

DEPARTMENT OF HEALTH & HUMAN SERVICES  
Centers for Medicare & Medicaid Services  
7500 Security Boulevard, Mail Stop S2-01-16  
Baltimore, Maryland 21244-1850



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**State Demonstrations Group**

June 10, 2016

Tyler Ann McGuffee  
Insurance and Healthcare Policy Director  
Office of Governor Michael R. Pence  
State House, Second Floor  
Indianapolis, IN 46204

Dear Ms. McGuffee:

Thank you for ongoing weekly discussions between the Centers for Medicare & Medicaid Services (CMS) and the State of Indiana regarding the Healthy Indiana Plan 2.0 (HIP 2.0) section 1115 demonstration (Project No. 11-W-00296/5).

We wanted to follow-up on our discussion on June 2, 2016, about the importance of the federal evaluation of the HIP 2.0 demonstration and specifically where CMS needs Indiana's cooperation in ensuring the evaluation's success. As we have not yet received any response on the DUA, we are now requesting to facilitate a discussion on a data use agreement (DUA) between our contractor, Social & Scientific Systems (SSS) and the state so that we can finalize a DUA no later than June 17, 2016. In addition, as we have communicated, we need to discuss technical details of the state's enrollment data, and to obtain data dictionaries and record layouts. This will assist SSS in requesting the needed enrollment data under the terms of the DUA.

In April, 2016, CMS provided a draft DUA to the state and we remain available for any additional discussion needed to address the questions of the state's legal counsel about the DUA. Alternatively, as we have offered previously, CMS and its contractor remain open to reviewing a DUA the state may prefer to bring to closure the DUA for the HIP 2.0 federal evaluation.

I would note that both the Medicaid transparency regulation at 42 CFR 431.420(f), and the state's special terms and conditions (STCs) for the approved HIP 2.0 demonstration, specifically #2 in section XIII, require the state to cooperate fully with any federal evaluation. Therefore, it is urgent and time-sensitive that the state finalize with CMS a DUA that will adequately support the data sharing needs for the federal evaluation by no later than June 17, 2016, and by that same date provide a point of contact with technical knowledge about the enrollment data to provide the enrollment data dictionaries and record layouts and to make arrangements for the enrollment data to be accessible to SSS.

The CMS will facilitate the necessary exchanges with its contractor to bring the DUA and data request to completion. Please contact Brenda Blunt, Director of the Division of Monitoring and Evaluation, at [Brenda.Blunt@cms.hhs.gov](mailto:Brenda.Blunt@cms.hhs.gov), to discuss arrangements.

Sincerely,

/s/

Eliot Fishman  
Director

cc: Ruth Hughes, Associate Regional Administrator, CMS Chicago Regional Office  
Tannisse Joyce, CMS Chicago Regional Office