
Medicaid & CHIP: October 2014 Monthly Applications, Eligibility Determinations and Enrollment Report

December 18, 2014

Background

This monthly report on state Medicaid and Children's Health Insurance Program (CHIP) data represents state Medicaid and CHIP agencies' eligibility activity for the calendar month of October 2014. The data included in this report was submitted to CMS from state Medicaid and CHIP agencies as part of the Medicaid and CHIP Performance Indicator process.

Through the Medicaid and CHIP Performance Indicator process, states report on a common set of indicators designed to provide information to support program management and policy-making. State Medicaid and CHIP programs submit data to CMS on a range of indicators related to application, eligibility and enrollment processes. It is important to note that states are still transitioning to the standardized data definitions described in Appendix A, which limits the conclusions that can be drawn from the data.

As with previous reports, this month's report focuses on those indicators that relate to the application and enrollment process:

- The number of applications submitted directly to Medicaid and CHIP agencies, including those received through State-Based Marketplaces (SBMs);
- The number of eligibility determinations made by Medicaid and CHIP agencies on the applications described above, as well as applications to the Federally-Facilitated Marketplace (FFM) in states for which the FFM makes an eligibility assessment (and the state then completes the Medicaid or CHIP determination); and
- The total number of individuals enrolled in the Medicaid and CHIP programs who are receiving comprehensive benefits, including details on the total number of children enrolled in these programs.

As with each monthly report, given that states are reporting monthly data to CMS soon after the close of the month, the October 2014 data presented in this report should be considered preliminary. We have also published updated data for September 2014 applications, eligibility determinations and enrollment [on Medicaid.gov](http://on.Medicaid.gov), which includes a more complete data set than the preliminary September data reported last month.

Medicaid and CHIP are longstanding programs that serve many populations in addition to those that are newly eligible for Medicaid under the new low-income adult group established by the Affordable Care Act. Therefore, this report, which measures eligibility and enrollment activity for the entire Medicaid and CHIP program, necessarily captures data beyond the newly eligible individuals in states that have expanded Medicaid coverage. In addition, this report includes data from all states, not just those that

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have adopted the new low-income adult group.¹ Changes in eligibility and enrollment processes ushered in by the Affordable Care Act, which are discussed below, are in effect in all states and are likely to promote coverage among previously eligible but uninsured adults and children. The data elements are explained more fully in Appendix A.

Medicaid and CHIP October 2014 Enrollment Data Highlights

Total Individuals Enrolled in Medicaid and CHIP in October 2014 in All States Reporting October Data (includes all individuals enrolled in the program on the last day of the reporting period).²	68,529,576 ³
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- The 51 states (including the District of Columbia) that provided enrollment data for October 2014 reported over 68.5 million individuals were enrolled in Medicaid and CHIP.⁴ This enrollment count is point-in-time (on the last day of the month) and includes all enrollees in the Medicaid and CHIP programs who are receiving a comprehensive benefit package.
- 428,311 additional people were enrolled in October 2014 as compared to September 2014 in the 51 states that reported comparable October and September data.⁵
- Looking at the additional enrollment since October 2013 when the initial Marketplace open enrollment period began, among the 49 states reporting both October 2014 enrollment data and data from July-September of 2013, approximately 9.7 million additional individuals are enrolled in Medicaid and CHIP as of October 2014, nearly a 17 percent increase over the average

¹ As of October 2014, twenty-six states and the District of Columbia had implemented the expansion of Medicaid under the Affordable Care Act to cover adults under age 65 with incomes up to 133 percent of the Federal Poverty Level in 2014. Pennsylvania will implement the Medicaid expansion on January 1, 2015. There is no deadline for when a state must decide whether to expand Medicaid and states are continuing to consider their options. The number of people impacted by the Medicaid expansion varies; some of these states had previously expanded coverage to parents and other adults at income levels above the level required under federal law before the Affordable Care Act. Many other states previously covered parents only at the minimum required income levels and often did not cover other adults without disabilities who are under age 65 at all.

² This enrollment data may differ from other published state and national enrollment figures because it only includes individuals with comprehensive benefits. Individuals who are only eligible for limited benefits under the Medicaid and CHIP programs (e.g., emergency Medicaid, family planning-only coverage, limited benefit dual eligible individuals, and Medicaid section 1115 demonstration populations with limited benefits) are excluded from this indicator in all reporting periods. See Appendix A for more information on this methodology. See the notes in Table 1 for state-specific caveats regarding the reported data. It is important to note that the enrollment measure is a total count of enrollment in Medicaid and CHIP, not solely a count of those newly enrolled during the reporting period.

³ This number is not directly comparable to prior months' numbers because several states changed their methodology in October to better match CMS's data specifications.

⁴ See footnote 2.

⁵ See the notes in Table 1 for state-specific caveats regarding the reported data.

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monthly enrollment for July through September of 2013.⁶ (Connecticut and Maine are not included in this count.)⁷

- Among states that had implemented the Medicaid expansion and were covering newly eligible adults in October 2014, Medicaid and CHIP enrollment rose by over 24 percent compared to the July-September 2013 baseline period, while states that have not, to date, expanded Medicaid reported an increase of nearly 7 percent over the same period.⁸
- Eleven of the 25 states that had implemented the Medicaid expansion and were covering newly eligible adults in October 2014, and that reported relevant data for both October and the July-September 2013 baseline period, experienced an enrollment increase of 30 percent or more.⁹

⁶ The net change in enrollment is based on data from the 49 states reporting both October 2014 enrollment data and data from July-September 2013 (the baseline period before the initial Marketplace open enrollment period) that contains comparable enrollment groups. These 49 states report total enrollment in October of approximately 67.5 million individuals, and July-September 2013 average enrollment of approximately 57.8 million. For October 2014, we are reporting growth of 9,683,006 compared to July-September 2013, which is about 477,000 greater than the 9,205,414 figure for net growth in enrollment between July-September 2013 and September 2014 that was included in the *Medicaid and CHIP: September 2014 Applications, Eligibility Determinations, and Enrollment Report* (as corrected on 12/8/2014; the original version of the September report understated enrollment by approximately 100,000 individuals). This difference does not match the 428,311 increase reported above for the September to October 2014 period because some states updated their data this month to better align with CMS's data specifications. These changes mean that the summary statistics in the September 2014 report are not perfectly comparable to the figures in this report. See the notes in table 1 for state-specific caveats regarding the reported data. Because the July-September 2013 data may contain individuals with retroactive Medicaid coverage, and the September and October 2014 data included in this report is preliminary, the difference reported here between October 2014 and July-September 2013 period is likely understated. The data is preliminary because it is reported soon after the close of the reporting month and contains few, if any, individuals who become eligible in October 2014 after the close of the month due to retroactive eligibility under Medicaid or similar reasons. In Medicaid, individuals are potentially eligible for retroactive coverage for incurred health care costs dating back to 90 days prior to the date of application.

⁷ Connecticut and Maine did not submit enrollment data for the July-September 2013 baseline period.

⁸ Percentage calculations are based only on states reporting in both October 2014 and the July through September 2013 baseline period. Pennsylvania is not included in these percentages because the expansion in that state was not yet implemented as of October 2014. These percentages are not directly comparable to the figures reported in previous months because a few states made corrections to their data this month to bring their reporting in line with CMS's data definitions and because of differences in the number of states included in the calculation. See footnotes 6 for additional information.

⁹ Medicaid expansion states that reported data in both periods that showed a greater than 30 percent increase in enrollment are: Arkansas, Colorado, Kentucky, Minnesota, Nevada, New Mexico, Oregon, Rhode Island, Vermont, Washington, and West Virginia (9 of these 11 states also run State-based Marketplaces). Among expansion states, the percent change in the number of individuals enrolled varies based on the size of the coverage expansion that is occurring in 2014. States that previously offered comprehensive coverage to many adults with incomes under 133 percent of the FPL will likely see a smaller increase than those who previously offered only coverage for very low-income parents. Additionally, in most states that provided Medicaid coverage to adults with incomes above 133 percent of the FPL prior to 2014, those individuals are no longer eligible for or enrolled in Medicaid and instead may be eligible to purchase qualified health plan coverage through the Marketplace, and may be eligible for advanced payments of premium tax credits and cost-sharing reductions; this change will reduce their overall Medicaid enrollment (however, please note that this enrollment change may not be reflected in this enrollment data, as it excludes the limited benefit programs that some states used to serve individuals with incomes above

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- These enrollment counts are in addition to the enrollment increases from the nearly 950,000 individuals who gained coverage as a result of the Affordable Care Act before open enrollment began.¹⁰ Seven states implemented an “early option” to expand Medicaid coverage to adults with incomes up to 133 percent of the FPL between April 1, 2010 and January 1, 2014, using new state plan authority provided by the Affordable Care Act or a demonstration under section 1115 of the Social Security Act building upon that authority.¹¹

It is important to note that, as with previous reports, multiple factors contribute to the change in enrollment between October 2014 and the July-September 2013 baseline period, including but not limited to changes attributable to the Affordable Care Act. The enrollment numbers included in this report understate total Medicaid and CHIP enrollment because the data is preliminary. The preliminary data, which is submitted approximately a week after the close of the reporting period, generally does not include Medicaid and CHIP eligible individuals who applied in October and whose application will be fully processed after October 31st; these individuals will be enrolled effective back to at least the date of application or the first of the month and we note that those enrollments are not reflected in this preliminary data. Similarly, the preliminary data does not likely include individuals who had medical expenses in October 2014, applied for Medicaid in a later month, and qualify for retroactive Medicaid coverage in October 2014.¹² Updated enrollment data for past months, which is updated one month after the close of the reporting period, is available on the Medicaid and CHIP Application, Eligibility Determination, and Enrollment Data page [on Medicaid.gov](#).¹³

133 percent of the FPL). Pennsylvania is not included in the count of expansion states because its Medicaid expansion was not yet implemented as of October 2014.

¹⁰ Enrollment data for California, Colorado, the District of Columbia, Minnesota, and New Jersey was reported to CMS by the state and is from the end of 2013. Data for Connecticut and Washington is from 2012 (Source: Sommers, B. D., Arntson, E., Kenney, G. M., et al., “Lesson from Early Medicaid Expansions under Health Reform: Interviews with Medicaid Officials”, *Medicare & Medicaid Research Review*, 2013: Volume 3, number 4 (http://www.cms.gov/mmrr/Downloads/MMRR2013_003_04_a02.pdf).

¹¹ Connecticut, the District of Columbia, Minnesota utilized state plan authority, while California, Colorado, New Jersey, and Washington provided the coverage through Medicaid demonstration authority under section 1115 of the Social Security Act. For more information about this “early option,” please see [Medicaid and CHIP: March 2014 Monthly Applications, Eligibility Determinations, and Enrollment Report \(May 1, 2014\)](#).

¹² See footnote 6 for additional information on retroactive eligibility.

¹³ The updated data available on Medicaid.gov is not directly comparable to the preliminary data included in this report because it contains retroactive enrollment and individuals who applied during the reporting period but were determined eligible after the close of the reporting period.

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Child Enrollment

Total Medicaid and CHIP child enrollment in the 44 states reporting in October 2014¹⁴	28,425,834 ¹⁵
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As in prior months, we are also reporting separately on the total number of individuals enrolled in CHIP and children enrolled in Medicaid for those states that are reporting the relevant data for each month in 2014.¹⁶ This data appears in Table 2.

- In the 44 states that reported relevant data for the month of October, over 28.4 million individuals are enrolled in CHIP or are children enrolled in the Medicaid program in those states.
- Based on the October 2014 data, children enrolled in the Medicaid program and CHIP make up approximately 55 percent of total Medicaid and CHIP program enrollment.

It is important to note that all states had already expanded coverage for children in Medicaid and/or CHIP prior to implementation of the Affordable Care Act.¹⁷

¹⁴ See the notes in Table 2 for state-specific caveats regarding the reported data. This figure includes children enrolled in the Medicaid program and the total enrollment for the CHIP program, which is comprised mostly of children. However, several states also cover pregnant women through CHIP. As a result, some adults may be included in this figure. This figure was calculated for any state that reported data on Medicaid child enrollment through the performance indicator process, subject to CMS quality review of the data. Forty-five states reported such data for October 2014, but one state had unresolved data quality issues at the time of publication resulting in the exclusion of that state from this data set. CMS hopes to resolve this data quality concern in the next few weeks, so that the October data for this state can be included in the next monthly report. States use the definition of "child" as included in the state's Medicaid or CHIP state plan in reporting performance indicator data to CMS. This definition varies from state to state. Individuals who are only eligible for limited benefits under the Medicaid and CHIP programs (e.g., emergency Medicaid, family planning-only coverage, limited benefit dual eligible individuals, and Medicaid 1115 demonstration populations with limited benefits) are excluded from this indicator in all reporting periods.

¹⁵ This number is lower than the figure we reported last month for September preliminary data (28,973,035), but the September preliminary figure was based on 46 states reporting. This month the data only includes 44 states. The percentage of child enrollment compared to total Medicaid and CHIP enrollment in the states reporting data has remained steady between September and October 2014.

¹⁶ Children are included in the total number of individuals enrolled in Medicaid and CHIP in October 2014, as reported on page 2 and in Table 1. Total enrollment data for January 2014 through September 2014 is available on Medicaid.gov.

¹⁷ Four million more children were covered by Medicaid or CHIP in 2012, compared with 2008. Kenneth Finegold and Sophia Koontz, *ASPE Issue Brief: Children's Health Coverage on the 5th Anniversary of CHIPRA*, February 4, 2014, http://aspe.hhs.gov/health/reports/2014/CHIPRA_5thAnniversary/ib_CHIPRA5thanniversary.pdf

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Medicaid and CHIP October 2014 Application and Eligibility Data Highlights

	October 2014 Monthly in All States Reporting
<i>Applications</i>	
Total Applications for Financial Assistance Initially Received by State Medicaid and CHIP Agencies (note that more than one individual may be included on an application and some states have included renewals and account transfers from the FFM)	2,225,231 ¹⁸
Total Applications for Financial Assistance Initially Received by State Based Marketplaces (note that more than one individual may be included on an application)	615,329 ¹⁹
<i>Eligibility Determinations</i>	
Total Individuals Determined Eligible for Medicaid and CHIP by State Agencies (includes those newly eligible under the Affordable Care Act and those eligible under prior law and, for some states, renewals)	2,326,995 ²⁰

During the month of October 2014, over 2.8 million applications for coverage and financial assistance (including Medicaid and CHIP coverage, tax credits and subsidies) were submitted at the state level (including over 2.2 million received directly by state Medicaid and CHIP agencies and over 615,000 received by SBMs).²¹ Table 3 includes a comparison of the total applications for financial assistance to state agencies (including applications for Medicaid, CHIP, and financial assistance in the Marketplace in SBM states) in October 2014 as compared to the prior month (September 2014). Note that these application numbers do not include applications received at the FFM and subsequently transferred to state Medicaid or CHIP agencies (see State-by-State Table notes for exceptions).²²

¹⁸ See the notes in table 3 for state-specific caveats regarding the reported data; because of reporting capability, some states included some renewals, applications to SBMs, or transfers from the FFM in the data.

¹⁹ See the notes in table 3 for state-specific caveats regarding the reported data; because of reporting capability, some states reported renewals in the data and included applications received by their SBMs in their Medicaid and CHIP agency application data instead of reporting these applications separately.

²⁰ See the notes in table 3 for state-specific caveats regarding the reported data; because of reporting capability, some states reported some individuals determined eligible at renewal in the data. Tennessee only provided determination data on their CHIP program.

²¹ The following states have included renewals in their October 2014 application data: Alaska, Nevada, New Mexico, Ohio, Oregon, Pennsylvania, Rhode Island, and Virginia. Florida and South Dakota included transfers from the FFM in their October 2014 application data.

²² See footnote 18.

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States reported making over 2.3 million eligibility determinations for Medicaid and CHIP in October 2014 for individuals applying for coverage. As described above and in prior reports, this figure includes all of state Medicaid and CHIP agencies' determinations for individuals eligible under prior law, as well as through coverage expansions under the Affordable Care Act; note that, due to limitations on the data some states are able to report, the number of determinations includes renewals in those states.²³ Additionally, the determination number does not represent unique individuals, as an individual may have more than one eligibility determination. It is important to keep in mind that differences in volume of administrative transfers and other types of one-time enrollment activities can affect month-to-month comparisons of eligibility data.²⁴

See the Medicaid and CHIP Application, Eligibility Determination, and Enrollment Data page [on Medicaid.gov](#) for preliminary and updated data on applications and determinations for October 2013 through October 2014.

²³ The states that have included renewals in their October 2014 determination data are: District of Columbia, Iowa, Michigan, Nevada, New Mexico, Ohio, Pennsylvania, Rhode Island, South Dakota, Texas, Vermont and Virginia. Some of these renewals are conducted without the individual submitting a new application, using information already in the state's system that the individual is asked to verify.

²⁴ A number of states are employing a new tool for facilitating Medicaid enrollment to conduct an "administrative transfer" to Medicaid using income information from the Supplemental Nutritional Assistance Program (SNAP) or the CHIP program (for the parents of CHIP enrollees). The following states have implemented administrative transfers since this authority was made available: Arkansas, California, Illinois, New Jersey, Oregon, and West Virginia. California and Oregon conducted transfers in October 2014. As of the end of October, 685,020 individuals have been determined eligible for Medicaid or CHIP as a result of this transitional strategy in the six states that have implemented it. Michigan recently received approval to conduct administrative transfers but it has not yet implemented this targeted enrollment strategy.

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Data Limitations

CMS established a common set of definitions for consistency in reporting the Medicaid and CHIP performance indicators; these definitions appear in Appendix A. States are at various points in the process of transitioning from their historic definitions to the updated standardized reporting specifications. Where states have provided data that vary from our specifications, we have included that information in notes in the State-by-State tables.

State-by-State Tables

Below are 3 tables with state-specific data. The first table contains data on the total number of people enrolled in state Medicaid and CHIP programs in October 2014. The second table contains data on children enrolled in Medicaid and CHIP. The third table contains data on Medicaid and CHIP applications and eligibility determinations for the month of October 2014. The description of each data element included in the table is available in Appendix A. Unless otherwise noted, the reporting period for all elements is October 1 - 31, 2014.

Future Reports

In future months, we will continue to expand the number of performance indicators that will be included in this report.

Table 1: Medicaid and CHIP: September and October 2014 Preliminary Monthly Enrollment

		Enrollment					
States Expanding Medicaid	Marketplace Type	Total Medicaid and CHIP Enrollment, September 2014 (Preliminary) (I)	Total Medicaid and CHIP Enrollment, October 2014 (Preliminary) (II)	% Change September to October 2014 (Columns (I) and (II)) (III)	Average Monthly Medicaid and CHIP Enrollment, July-Sept. 2013 (IV)	Net Change, July-Sept. 2013 to October 2014 (Columns (IV) and (II)) (V)	% Change, July-Sept. 2013 to October 2014 (Columns (IV) and (II)) (VI)
Arizona	FFM	1,506,212	1,494,777	-0.76%	1,201,770	293,007	24.38%
Arkansas	Partnership	810,511	815,675	0.64%	556,851	258,824	46.48%
California	SBM	11,300,000	11,500,000	1.77%	9,157,000	2,343,000	25.59%
Colorado	SBM	1,134,667	1,143,585	0.79%	783,420	360,165	45.97%
Connecticut	SBM	762,749	767,157	0.58%	-	-	-
Delaware	Partnership	230,288	230,680	0.17%	223,324	7,356	3.29%
District of Columbia	SBM	254,246	255,707	0.57%	235,786	19,921	8.45%
Hawaii	SBM	282,987	291,505	3.01%	288,357	3,148	1.09%
Illinois	Partnership	3,045,410	3,062,543	0.56%	2,626,943	435,600	16.58%
Iowa	Partnership	568,568	569,181	0.11%	493,515	75,666	15.33%
Kentucky	SBM	1,070,510	1,040,548	-2.80%	606,805	433,743	71.48%
Maryland	SBM	1,142,924	1,077,179	-5.75%	856,297	220,882	25.80%
Massachusetts	SBM	1,480,959	1,493,702	0.86%	1,296,359	197,343	15.22%
Michigan	Partnership	2,156,867	2,151,130	-0.27%	1,912,009	239,121	12.51%
Minnesota	SBM	1,146,654	1,164,750	1.58%	873,040	291,710	33.41%
Nevada	SBM **	551,004	558,934	1.44%	332,560	226,374	68.07%
New Hampshire	Partnership	154,953	158,347	2.19%	127,082	31,265	24.60%
New Jersey	FFM	1,618,656	1,645,149	1.64%	1,283,851	361,298	28.14%
New Mexico	SBM **	742,582	748,832	0.84%	572,111	176,721	30.89%
New York	SBM	6,224,284	6,212,308	-0.19%	5,678,417	533,891	9.40%
North Dakota	FFM	80,834	82,014	1.46%	69,980	12,034	17.20%
Ohio	Plan Management	2,782,379	2,838,379	2.01%	2,341,481	496,898	21.22%
Oregon	SBM **	1,014,661	1,028,198	1.33%	626,356	401,842	64.16%
Pennsylvania*	FFM	2,417,990	2,420,980	0.12%	2,386,046	34,934	1.46%
Rhode Island	SBM	257,929	260,815	1.12%	190,833	69,982	36.67%
Vermont	SBM	180,957	184,867	2.16%	127,162	57,705	45.38%
Washington	SBM	1,562,263	1,590,657	1.82%	1,117,576	473,081	42.33%
West Virginia	Partnership	529,007	529,725	0.14%	354,544	175,181	49.41%
Subtotal for All States Expanding Medicaid		45,011,051	45,317,324	0.68%	36,319,475	8,230,692	22.66%
Subtotal for All States with Expansions in Effect and Providing Coverage in Reporting Month*		42,593,061	42,896,344	0.71%	33,933,429	8,195,758	24.15%
Subtotal for States Expanding Medicaid that Reported in September and October 2014		45,011,051	45,317,324	Difference September to October 2014 306,273			
Subtotal for States Expanding Medicaid that Reported in October 2014 and July-Sept. 2013			44,550,167		36,319,475	Difference July-Sept 2013 to October 2014 8,230,692	

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*=Pennsylvania's requested effective date for implementing the expansion is January 1, 2015. PA is not included in the "Subtotal for All States with Expansions in Effect and Providing Coverage in Reporting Month" because its expansion is not implemented as of October 2014.

**= The state's Marketplace uses the FFM's information technology platform for eligibility and enrollment functions (the FFM makes either assessments or determinations of Medicaid and CHIP eligibility).

(-)=state has not reported data except as noted below.

Partnership, Plan Management, FFM/SBM-SHOP are all types of FFMs.

Column III is calculated for only those states that reported both October 2014 and September 2014 data.

Columns V and VI are calculated for only those states that reported data from both October 2014 and the July-Sept. 2013 period.

The subtotals for states reporting data from both October 2014 and the July-Sept. 2013 period exclude CT.

Arkansas	(I), (II)	Includes Private Option enrollees.
California	(I), (II)	Includes individuals transferred from the Low Income Health Program
California		section 1115 demonstration.
California		Includes estimated retroactive enrollment.
California	(IV)	Includes approximately 650,000 individuals transferred from the Low Income
California		Health Program section 1115 demonstration.
District of Columbia	(I), (II), (IV)	Includes limited benefit dual eligible individuals and individuals enrolled in the locally funded DC Health Alliance.
Illinois	(IV)	Includes individuals who received retroactive coverage (unlike columns (I) and (II)).
Iowa	(I), (II)	Data are preliminary.
Massachusetts	(I), (II)	Does not include individuals receiving temporary transitional coverage.
Minnesota	(I)	Corrected.
Nevada	(I), (II)	Data are preliminary.
Nevada	(I), (IV)	Includes partial benefit dual eligible individuals.
New Jersey	(I), (II), (IV)	Includes individuals eligible at any point in the month.
New York	(I), (II)	Includes estimated retroactive enrollment.
North Dakota	(IV)	Data is from July 2013 only.

Table 1: Medicaid and CHIP: September and October 2014 Preliminary Monthly Enrollment

		Enrollment					
States Not Expanding Medicaid	Marketplace Type	Total Medicaid and CHIP Enrollment, September 2014 (Preliminary) (I)	Total Medicaid and CHIP Enrollment, October 2014 (Preliminary) (II)	% Change September to October 2014 (Columns (I) and (II)) (III)	Average Monthly Medicaid and CHIP Enrollment, July-Sept. 2013 (IV)	Net Change, July-Sept. 2013 to October 2014 (Columns (IV) and (II)) (V)	% Change, July-Sept. 2013 to October 2014 (Columns (IV) and (II)) (VI)
Alabama	FFM	866,984	861,776	-0.60%	799,176	62,600	7.83%
Alaska	FFM	126,202	125,735	-0.37%	120,946	4,789	3.96%
Florida	FFM	3,377,384	3,375,405	-0.06%	3,104,996	270,409	8.71%
Georgia	FFM	1,732,786	1,733,367	0.03%	1,535,090	198,277	12.92%
Idaho	SBM	281,040	285,660	1.64%	251,926	33,734	13.39%
Indiana	FFM	1,195,776	1,198,070	0.19%	1,120,674	77,396	6.91%
Kansas	Plan Management	400,995	400,646	-0.09%	378,160	22,486	5.95%
Louisiana	FFM	1,050,331	1,051,248	0.09%	1,019,787	31,461	3.09%
Maine	Plan Management	293,533	291,930	-0.55%	-	-	-
Mississippi	FFM/SBM-SHOP	693,173	698,505	0.77%	637,229	61,276	9.62%
Missouri	FFM	827,764	843,692	1.92%	846,084	-2,392	-0.28%
Montana	Plan Management	161,606	159,365	-1.39%	148,974	10,391	6.98%
Nebraska	Plan Management	236,485	237,921	0.61%	244,600	-6,679	-2.73%
North Carolina	FFM	1,705,725	1,737,525	1.86%	1,595,952	141,573	8.87%
Oklahoma	FFM	808,370	811,979	0.45%	790,051	21,928	2.78%
South Carolina	FFM	881,765	888,326	0.74%	790,229	98,097	12.41%
South Dakota	Plan Management	115,926	116,100	0.15%	115,501	599	0.52%
Tennessee	FFM	1,373,015	1,390,324	1.26%	1,244,516	145,808	11.72%
Texas	FFM	4,641,324	4,679,930	0.83%	4,441,605	238,325	5.37%
Utah	FFM/SBM-SHOP	285,171	276,963	-2.88%	294,029	-17,066	-5.80%
Virginia	Plan Management	933,048	941,639	0.92%	935,434	6,205	0.66%
Wisconsin	FFM	1,031,081	1,034,850	0.37%	985,531	49,319	5.00%
Wyoming	FFM	70,730	71,296	0.80%	67,518	3,778	5.60%
Subtotal for All States Not Expanding Medicaid		23,090,214	23,212,252	0.53%	21,468,008	1,452,314	6.77%
Subtotal for States Not Expanding Medicaid that Reported in September and October 2014		23,090,214	23,212,252	Difference September to October 2014 122,038			
Subtotal for States Not Expanding Medicaid that Reported in October 2014 and July-Sept. 2013			22,920,322		21,468,008	Difference July-Sept 2013 to October 2014 1,452,314	

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(-)=state has not reported data except as noted below.

Partnership, Plan Management, FFM/SBM-SHOP are all types of FFM.

Column III is calculated for only those states that reported both October 2014 and September 2014 data.

Columns V and VI are calculated for only those states that reported data from both October 2014 and the July-Sept. 2013 period.

The subtotals for states reporting data from both October 2014 and the July-Sept. 2013 period exclude ME.

Alabama	(IV)	Data is from September 2013 only.
Florida	(I), (II)	Does not include SSI recipients enrolled in Medicaid.
Indiana	(I), (II), (IV)	Includes partial benefit dual eligible individuals.
Texas	(I), (II), (IV)	Includes partial benefit dual eligible individuals.
Utah	(I), (II), (IV)	Includes service limited Medicare program beneficiaries (SLMBs).
Virginia	(IV)	Corrected.
Wisconsin	(I)	Corrected.
Wisconsin	(IV)	Does not include retroactive enrollment.

Table 1: Medicaid and CHIP: September and October 2014 Preliminary Monthly Enrollment

Total Enrollment						
All States	Total Medicaid and CHIP Enrollment, September 2014 (Preliminary) (I)	Total Medicaid and CHIP Enrollment, October 2014 (Preliminary) (II)	% Change September to October 2014 (Columns (I) and (II)) (III)	Average Monthly Medicaid and CHIP Enrollment, July-Sept. 2013 (IV)	Net Change, July-Sept. 2013 to October 2014 (Columns (IV) and (II)) (V)	% Change, July-Sept. 2013 to October 2014 (Columns (IV) and (II)) (VI)
Total Across All States	68,101,265	68,529,576	0.63%	57,787,483	9,683,006	16.76%
Total for States that Reported in September and October 2014	68,101,265	68,529,576	Difference September to October 2014 428,311			
Total for States that Reported in October 2014 and July-Sept. 2013		67,470,489		57,787,483	Difference July-Sept 2013 to October 2014 9,683,006	

Column III is calculated for only those states that reported both October 2014 and September 2014 data.

Columns V and VI are calculated for only those states that reported data from both October 2014 and the July-Sept. 2013 period.

Totals for states reporting data from both October 2014 and the July-Sept. 2013 period exclude CT and ME.

Table 2: Medicaid and CHIP: October 2014 Preliminary Monthly Medicaid and CHIP Child Enrollment

State	Enrollment							
	Medicaid and CHIP Child Enrollment						Total Medicaid and CHIP Enrollment	Medicaid and CHIP Child Enrollment as a % of Total Enrollment (Columns (VI) and (VII))
	May, 2014 (I)	June, 2014 (II)	July, 2014 (III)	August, 2014 (IV)	September, 2014 (V)	October, 2014 (Preliminary) (VI)	October, 2014 (Preliminary) (VII)	October, 2014 (Preliminary) (VIII)
Alabama	642,467	642,844	643,854	647,625	642,646	634,653	861,776	73.64%
Alaska	74,453	74,345	73,780	74,251	73,706	73,071	125,735	58.12%
Arizona	-	-	-	-	-	-	1,494,777	-
Arkansas	-	-	-	-	431,339	431,490	815,675	52.90%
California	-	-	-	-	-	-	11,500,000	-
Colorado	549,172	553,934	559,112	567,484	568,972	567,023	1,143,585	49.58%
Connecticut	298,206	309,332	312,589	317,887	319,564	320,127	767,157	41.73%
Delaware	101,445	101,729	101,969	101,696	101,688	102,304	230,680	44.35%
District of Columbia	-	-	-	-	-	-	255,707	-
Florida	2,248,731	2,253,690	2,263,868	2,267,848	2,281,978	2,279,731	3,375,405	67.54%
Georgia	1,233,020	1,251,719	1,230,907	1,251,603	1,250,161	1,218,048	1,733,367	70.27%
Hawaii	137,562	142,878	141,613	133,176	128,654	129,723	291,505	44.50%
Idaho	188,421	189,432	194,637	193,877	198,147	198,156	285,660	69.37%
Illinois	1,553,912	1,530,373	1,519,492	1,535,988	1,525,138	1,503,250	3,062,543	49.09%
Indiana	708,953	711,794	714,816	714,082	717,009	704,816	1,198,070	58.83%
Iowa	329,738	290,741	291,099	285,489	287,449	287,255	569,181	50.47%
Kansas	290,375	290,028	288,341	287,792	285,530	285,069	400,646	71.15%
Kentucky	-	523,537	506,659	497,132	503,942	-	1,040,548	-
Louisiana	734,341	739,388	742,144	748,219	750,483	753,063	1,051,248	71.64%
Maine	125,731	124,119	123,011	122,648	122,451	122,143	291,930	41.84%
Maryland	599,057	601,851	593,652	598,935	603,330	558,037	1,077,179	51.81%
Massachusetts	583,740	587,932	588,398	591,415	593,661	593,036	1,493,702	39.70%
Michigan	1,061,866	1,066,526	1,064,615	1,017,455	1,004,973	966,408	2,151,130	44.93%
Minnesota	456,951	465,467	468,308	483,250	487,032	483,820	1,164,750	41.54%
Mississippi	463,138	467,716	472,137	475,354	479,218	477,969	698,505	68.43%
Missouri	500,974	504,057	508,010	511,724	520,779	533,716	843,692	63.26%
Montana	108,100	106,163	102,252	103,610	102,545	100,111	159,365	62.82%
Nebraska	158,049	157,454	157,832	160,165	161,008	158,124	237,921	66.46%
Nevada	-	269,161	275,337	281,614	283,081	285,364	558,934	51.06%
New Hampshire	92,124	92,427	93,038	91,866	91,944	91,942	158,347	58.06%
New Jersey	771,438	781,640	789,130	798,719	803,170	812,669	1,645,149	49.40%
New Mexico	-	-	-	-	-	-	748,832	-
New York	2,368,432	2,370,481	2,380,653	2,387,386	2,390,927	2,394,154	6,212,308	38.54%
North Carolina	1,103,822	1,118,773	1,189,159	1,179,363	1,209,053	1,199,317	1,737,525	69.02%
North Dakota	-	-	40,073	40,134	40,050	40,253	82,014	49.08%
Ohio	1,197,774	1,208,772	1,222,720	1,230,096	1,239,342	1,256,684	2,838,379	44.27%
Oklahoma	502,688	506,175	511,283	515,794	517,141	519,968	811,979	64.04%
Oregon	430,206	431,745	435,087	438,143	441,632	440,917	1,028,198	42.88%
Pennsylvania*	1,271,292	1,266,100	1,274,385	1,275,437	1,287,139	1,289,774	2,420,980	53.27%
Rhode Island	103,953	104,449	105,785	106,484	105,240	105,304	260,815	40.37%
South Carolina	606,035	614,297	623,160	630,373	634,877	636,904	888,326	71.70%
South Dakota	78,960	78,884	78,989	78,821	78,708	78,903	116,100	67.96%
Tennessee	-	-	-	-	-	-	1,390,324	-
Texas	3,317,991	3,324,633	3,358,478	3,384,078	3,447,054	3,451,843	4,679,930	73.76%
Utah	205,233	204,351	210,319	210,702	204,271	196,687	276,963	71.02%
Vermont	66,406	61,250	71,455	68,863	67,159	-	184,867	-
Virginia	643,053	644,756	639,407	637,902	634,163	640,651	941,639	68.04%
Washington	745,665	746,462	745,929	748,198	756,092	756,488	1,590,657	47.56%
West Virginia	209,187	209,888	209,881	212,589	209,920	208,552	529,725	39.37%
Wisconsin	-	-	-	-	491,362	491,325	1,034,850	47.48%
Wyoming	45,368	44,455	44,850	44,528	46,812	46,992	71,296	65.91%
Total For All States	26,908,029	27,765,748	27,962,213	28,049,795	29,120,540	28,425,834	68,529,576	54.76%
Number of States Reporting	41	43	44	44	46	44	51	44

Table 2: Medicaid and CHIP: October 2014 Preliminary Monthly Medicaid and CHIP Child Enrollment

For general notes on enrollment data, see Table 1: Medicaid and CHIP: September and October 2014 Preliminary Monthly Enrollment

(-)=State has not reported data or data submitted was incomplete, except as noted below.

Column VIII is calculated for only those states that reported both October 2014 child enrollment data and October 2014 Total Medicaid and CHIP enrollment data .

Iowa	(I)-(VI)	Data are preliminary.
Kentucky	(VI)	Data excluded subject to CMS quality review.
Maryland	(I)-(III)	Includes limited benefit dual eligible individuals (unlike columns (IV) - (VII)). All data is preliminary.
New York	(I)-(VII)	Includes estimated retroactive enrollment.
North Carolina	(I)-(II)	Includes limited benefit populations (unlike columns (III)-(VII)).

Table 3: Medicaid and CHIP: October 2014 Monthly Applications and Eligibility Determinations

States Expanding Medicaid	Marketplace Type	Applications					Determinations		
		New Applications Submitted to Medicaid and CHIP Agencies, October 2014 (Preliminary) (I)	Applications for Financial Assistance Submitted to the State Based Marketplace, October 2014 (Preliminary) (II)	Total Applications for Financial Assistance Submitted at State Level, October 2014 (Preliminary) (III)	Total Applications for Financial Assistance Submitted at State Level, September 2014 (Preliminary) (IV)	% Change September 2014 to October 2014 (Columns (IV) and (III)) (V)	Individuals Determined Eligible for Medicaid at Application, October 2014 (Preliminary) (VI)	Individuals Determined Eligible for CHIP at Application, October 2014 (Preliminary) (VII)	Total New Determinations, October 2014 (Preliminary) (VIII)
Arizona	FFM	120,403	N/A	120,403	114,199	5.43%	104,673	-	104,673
Arkansas	Partnership	31,252	N/A	31,252	32,097	-2.63%	25,618	-	25,618
California	SBM	186,000	270,000	456,000	292,000	56.16%	356,000	-	356,000
Colorado	SBM	23,257	-	23,257	23,096	0.70%	20,718	1,185	21,903
Connecticut	SBM	11,517	12,089	23,606	21,813	8.22%	19,424	†	19,424
Delaware	Partnership	2,940	N/A	2,940	3,052	-3.67%	2,033	102	2,135
District of Columbia	SBM	4,798	1,604	6,402	5,841	9.60%	4,902	-	4,902
Hawaii	SBM	7,320	-	7,320	7,427	-1.44%	8,211	506	8,717
Illinois	Partnership	74,633	N/A	74,633	57,993	28.69%	77,288	22,447	99,735
Iowa	Partnership	23,000	N/A	23,000	22,446	2.47%	61,034	-	61,034
Kentucky	SBM	29,181	-	29,181	29,200	-0.07%	140,886	5,049	145,935
Maryland	SBM	48,282	4,616	52,898	44,947	17.69%	35,998	4,223	40,221
Massachusetts	SBM	21,538	6,596	28,134	31,587	-10.93%	-	-	-
Michigan	Partnership	90,019	N/A	90,019	84,290	6.80%	102,439	7,008	109,447
Minnesota	SBM	16,104	-	16,104	18,895	-14.77%	12,953	-	12,953
Nevada	SBM **	12,216	-	12,216	12,035	1.50%	9,230	15	9,245
New Hampshire	Partnership	4,315	N/A	4,315	4,467	-3.40%	4,806	-	4,806
New Jersey	FFM	33,297	N/A	33,297	31,751	4.87%	6,573	4,085	10,658
New Mexico	SBM **	33,390	N/A	33,390	33,021	1.12%	10,089	-	10,089
New York	SBM	-	211,883	211,883	216,223	-2.01%	129,610	8,501	138,111
North Dakota	FFM	-	N/A	-	-	-	8,183	293	8,476
Ohio	Plan Management	165,735	N/A	165,735	198,856	-16.66%	108,203	-	108,203
Oregon	SBM **	51,958	18,604	70,562	81,045	-12.93%	30,267	-	30,267
Pennsylvania*	FFM	185,258	N/A	185,258	178,697	3.67%	58,324	6,584	64,908
Rhode Island	SBM	9,650	-	9,650	6,783	42.27%	20,372	1,742	22,114
Vermont	SBM	4,032	3,825	7,857	5,790	35.70%	1,805	-	1,805
Washington	SBM	22,893	86,112	109,005	118,813	-8.25%	53,279	2,033	55,312
West Virginia	Partnership	25,015	N/A	25,015	25,015	-	13,427	789	14,216
Subtotal for All States Expanding Medicaid		1,238,003	615,329	1,853,332	1,701,379	8.93%	1,426,345	64,562	1,490,907
Subtotal for All States with Expansions in Effect and Providing Coverage in Reporting Month*		1,052,745	615,329	1,668,074	1,522,682	9.55%	1,368,021	57,978	1,425,999
Subtotal for States Expanding Medicaid that Reported in September and Oct 2014				1,853,332	1,701,379	Difference September to October 2014 151,953			

*=Pennsylvania's requested effective date for implementing the expansion is January 1, 2015. PA is not included in the "Subtotal for All States with Expansions in Effect and Providing Coverage in Reporting Month"

because its expansion is not implemented as of October 2014.

**= The state's Marketplace uses the FFM's information technology platform for eligibility and enrollment functions (the FFM makes either assessments or determinations of Medicaid and CHIP eligibility).

(-)=state has not reported data except as noted below.

Column V is calculated for only those states that reported September and October 2014 Applications data (subtotals exclude ND).

Partnership, Plan Management, FFM/SBM-SHOP are all types of FFMs.

(†) reported value is less than under 10, excluded from data set to ensure privacy.

Table 3: Medicaid and CHIP: October 2014 Monthly Applications and Eligibility Determinations

Arkansas	(VI)	Includes CHIP.
California	(I)	Reflects primarily newly-determined and likely eligible Medicaid applicants,
California		as well as some ongoing caseload activity conducted via the state's health exchange automation system.
California	(II)	Includes applications to SBM that did not request financial assistance.
California	(IV)	Reflects primarily newly-determined and likely eligible Medicaid applicants,
California		as well as some ongoing caseload activity conducted via the state's health exchange automation system.
California	(V)	Increase reflects improved reporting from county-based systems in October 2014.
California	(VI)	Includes 7,000 individuals eligible via targeted enrollment strategy.
California		Reflects primarily newly-determined and likely eligible Medicaid applicants
California		as well as some ongoing caseload activity conducted via the state's health exchange automation system.
Colorado	(I)	State Medicaid agency hosts SBM portal; number includes all applications for insurance affordability programs.
Connecticut	(I), (III), (IV)	Data may include some duplication of applications between Medicaid and CHIP.
Connecticut	(VI)	Count is of households, not individuals.
District of Columbia	(III)	The count of applications received by the Medicaid agency and the state based marketplace may overlap; total may contain some duplicates.
District of Columbia	(VI)	Includes all determinations (e.g., renewals); includes CHIP.
Hawaii	(I)	Number includes all applications for insurance affordability programs.
Iowa	(I), (III), (IV)	Data are preliminary.
Iowa	(VI), (VII), (VIII)	Data are preliminary.
Iowa	(VI)	Includes renewals.
Iowa	(VII)	Includes renewals.
Maryland	(II)	Includes State Medicaid Agency data and SBM data from 10/1 - 10/31.
Maryland	(II), (III), (IV)	Includes all applications not only those requesting financial assistance.
Maryland	(VI)	Count is of households, not individuals. Includes State Medicaid Agency data and SBM data from 10/1 - 10/31.
Maryland	(VII)	Count is of households, not individuals. Includes State Medicaid Agency data and SBM data from 10/1 - 10/31.
Maryland	(VIII)	Count is of households, not individuals. Includes State Medicaid Agency data and SBM data from 10/1 - 10/31.
Massachusetts	(VI)	Data are preliminary and are derived.
Michigan	(IV)	Corrected.
Michigan	(VI)	Includes renewals.
Michigan	(VII)	Includes renewals.
Minnesota	(I), (III), (IV)	Count is of persons applying, not applications. Does not include applications to the new eligibility and enrollment system.
Minnesota	(VI)	Includes CHIP.
Nevada	(I), (III), (IV)	Includes renewals.
Nevada	(VI)	Count is of households, not individuals. Includes renewals.
New Hampshire	(VI)	Data is derived by considering prior coverage; includes CHIP.
New Jersey	(I), (III), (IV)	Includes applications received at county welfare agencies.
New Jersey	(VI), (VII), (VIII)	Does not include all eligibility determinations; county welfare agencies determinations are not included in this data.
New Mexico	(I), (III), (IV)	Includes renewals.
New Mexico	(VI)	Includes all determinations (e.g., renewals); includes CHIP.
New York	(VI)	Data are preliminary.
New York	(VII)	Data are preliminary.
New York	(VIII)	Data are preliminary.

Table 3: Medicaid and CHIP: October 2014 Monthly Applications and Eligibility Determinations

Ohio	(I), (III), (IV)	Includes renewals. May include some applications only for other benefits, not for Medicaid and CHIP.
Ohio	(VI)	Includes CHIP.
Ohio	(VI), (VIII)	Includes renewals
Oregon	(I), (II), (III), (IV)	Includes renewals
Oregon	(III)	State began processing expedited renewals in August 2014. These renewals make up a very large percentage of the applications received in October 2014.
Oregon	(VI)	Count is of households, not individuals; includes CHIP.
Oregon		Includes 53 individuals determined eligible via Targeted Enrollment Strategy.
Oregon		Includes MAGI populations only.
Oregon	(VI), (VIII)	Includes MAGI populations only.
Pennsylvania	(I), (III), (IV)	Includes renewals.
Pennsylvania	(VI), (VIII)	Includes renewals.
Rhode Island	(I)	Includes applications submitted to SBM.
Rhode Island	(I), (III)	Applications include delayed renewals being transitioned into the new eligibility system.
Rhode Island	(I), (III), (IV)	Does not include applications in legacy system for certain non-MAGI populations.
Rhode Island	(VI)	Includes only determinations through new MAGI system. Includes renewals.
Rhode Island	(VII)	Includes only determinations through new MAGI system. Includes renewals.
Vermont	(VI)	Includes renewals.

Table 3: Medicaid and CHIP: October 2014 Monthly Applications and Eligibility Determinations

States Not Expanding Medicaid	Marketplace Type	Applications					Determinations		
		New Applications Submitted to Medicaid and CHIP Agencies, October 2014 (Preliminary) (I)	Applications for Financial Assistance Submitted to the State Based Marketplace, October 2014 (Preliminary) (II)	Total Applications for Financial Assistance Submitted at State Level, October 2014 (Preliminary) (III)	Total Applications for Financial Assistance Submitted at State Level, September 2014 (Preliminary) (IV)	% Change September 2014 to October 2014 (Columns (IV) and (III)) (V)	Individuals Determined Eligible for Medicaid at Application, October 2014 (Preliminary) (VI)	Individuals Determined Eligible for CHIP at Application, October 2014 (Preliminary) (VII)	Total New Determinations, October 2014 (Preliminary) (VIII)
Alabama	FFM	19,076	N/A	19,076	19,942	-4.34%	28,121	730	28,851
Alaska	FFM	5,004	N/A	5,004	3,290	52.10%	6,844	-	6,844
Florida	FFM	349,156	N/A	349,156	370,813	-5.84%	121,206	11,511	132,717
Georgia	FFM	91,070	N/A	91,070	86,244	5.60%	44,372	1,127	45,499
Idaho	SBM	4,468	N/A	4,468	4,560	-2.02%	8,817	2,116	10,933
Indiana	FFM	78,579	N/A	78,579	78,951	-0.47%	34,124	3,128	37,252
Kansas	Plan Management	8,555	N/A	8,555	8,550	0.06%	7,481	772	8,253
Louisiana	FFM	27,341	N/A	27,341	27,040	1.11%	27,635	1,281	28,916
Maine	Plan Management	1,486	N/A	1,486	1,472	0.95%	13,072	288	13,360
Mississippi	FFM/SBM-SHOP	20,202	N/A	20,202	26,243	-23.02%	11,785	396	12,181
Missouri	FFM	30,591	N/A	30,591	35,648	-14.19%	20,733	-	20,733
Montana	Plan Management	3,500	N/A	3,500	3,388	3.31%	3,245	397	3,642
Nebraska	Plan Management	7,281	N/A	7,281	7,026	3.63%	9,650	1,054	10,704
North Carolina	FFM	76,574	N/A	76,574	84,966	-9.88%	99,879	9,328	109,207
Oklahoma	FFM	49,252	N/A	49,252	40,882	20.47%	37,891	4,628	42,519
South Carolina	FFM	25,183	N/A	25,183	29,826	-15.57%	34,589	1,513	36,102
South Dakota	Plan Management	2,576	N/A	2,576	2,679	-3.84%	1,736	-	1,736
Tennessee	FFM	1,824	N/A	1,824	1,900	-4.00%	-	1,020	1,020
Texas	FFM	106,891	N/A	106,891	110,352	-3.14%	177,671	-	177,671
Utah	FFM/SBM-SHOP	21,262	N/A	21,262	22,227	-4.34%	44,491	-	44,491
Virginia	Plan Management	26,997	N/A	26,997	27,637	-2.32%	35,481	2,563	38,044
Wisconsin	FFM	29,599	N/A	29,599	28,927	2.32%	21,737	2,238	23,975
Wyoming	FFM	761	N/A	761	844	-9.83%	1,277	161	1,438
Subtotal for All States Not Expanding Medicaid		987,228	N/A	987,228	1,023,407	-3.53%	791,837	44,251	836,088
Subtotal for States Not Expanding Medicaid that Reported in September and October 2014				987,228	1,023,407	Difference September to October 2014 -36,179			
Total Across All States		2,225,231	615,329	2,840,560	2,724,786	4.25%	2,218,182	108,813	2,326,995
Total for States that Reported in September and October 2014				2,840,560	2,724,786	Difference September to October 2014 115,774			

(-)=state has not reported data except as noted below.

Column V is calculated for only those states that reported September and October 2014 Applications data (totals exclude ND).

Partnership, Plan Management, FFM/SBM-SHOP are all types of FFM.

Percent change figures in the subtotal of column V exclude TN, which only reports CHIP data.

Table 3: Medicaid and CHIP: October 2014 Monthly Applications and Eligibility Determinations

Alaska	(I), (III), (IV)	Includes renewals converting to MAGI methodology.
Alaska	(VI), (VIII)	Count is of households, not individuals; includes CHIP.
Florida	(I), (III), (IV)	Includes account transfers from the FFM.
Kansas	(I), (III), (IV)	Includes MAGI populations only.
Kansas	(VI)	Includes MAGI populations only.
South Dakota	(I), (III), (IV)	Includes account transfers from the FFM.
South Dakota	(IV)	Corrected.
South Dakota	(VI)	Includes all determinations (e.g., renewals); includes CHIP.
Tennessee	(I), (III), (IV)	Data are from CHIP agency only. Medicaid reporting capability in development.
Tennessee	(V)	Excluded because data is only from CHIP agency.
Texas	(VI)	Includes renewals and CHIP.
Utah	(I), (III), (IV)	Includes applications for non-health coverage programs.
Virginia	(I), (III), (IV)	Includes renewals.
Virginia	(VI)	Includes renewals.
Virginia	(VII)	Includes renewals.
Wisconsin	(VI), (VII)	Count is of unique individuals receiving determinations, not unique determinations.

APPENDIX A: Description of Data Elements in Tables

Table 1: Medicaid and CHIP: September and October Preliminary Monthly Enrollment

Total Medicaid and CHIP Enrollment, September 2014 (Preliminary) (I)

Total Medicaid and CHIP Enrollment, October 2014 (Preliminary) (II)

The total unduplicated number of individuals enrolled in Medicaid and CHIP as of the last day of the reporting period, including those with retroactive, conditional, and presumptive eligibility. This indicator is a point-in-time count of total program enrollment, and is not solely a count of those newly enrolled during the reporting period. This number includes only those individuals who are eligible for comprehensive benefits (e.g., emergency Medicaid, family planning-only coverage and limited benefit dual eligible individuals are excluded). Individuals eligible under demonstration projects authorized by section 1115 of the Social Security Act are included as long as the benefits and networks are comprehensive. CHIP children subject to a waiting period or premium lock-out period are considered eligible but not enrolled and are not included. The October 2014 data was submitted in November and is considered preliminary.²⁵ The September 2014 data in this table was submitted in October and is also preliminary. September data that was updated in November (which may include more individuals with retroactive eligibility) is posted separately under the Eligibility Data tab on Medicaid.gov. Other reporting on Medicaid and CHIP enrollment by states and researchers often may include some beneficiaries excluded in this data (because comprehensive coverage is not provided), or otherwise use a different methodology.

Percent Change September to October 2014 (III)

The percentage change in **Total Medicaid and CHIP Enrollment, October 2014 (Preliminary) (II)** as compared to **Total Medicaid and CHIP Enrollment, September 2014 (Preliminary) (II)** is calculated for states that provided data for both periods. In cases where there is a negative percentage change, this may be due to a number of factors. Changes in enrollment levels are driven by the number of newly enrolled individuals as well as by the number of individuals whose coverage has terminated.

Average Monthly Medicaid and CHIP Enrollment, July-Sept. 2013 (IV)

The average number of individuals enrolled in Medicaid and CHIP for each month during the July-September 2013 period using an average of enrollment numbers submitted by the states for each month in this period. Not all states submitted three months of data; the average is calculated using any months of baseline data that were provided. The unit of measurement is individuals enrolled as of the last day of the reporting period (month). This baseline data includes more retroactive enrollments than the October 2014 data, which makes change between the baseline data and the October preliminary data look smaller than it would be if

²⁵ In Medicaid, individuals are potentially eligible for retroactive eligibility to cover incurred health care costs for up to 90 days prior to the date of application. Under the Performance Indicator process, states initially submit their monthly enrollment data just a week after the month closes, and then update their data one month later. Therefore, the Performance Indicator enrollment counts will always be slightly understated, as they will not include all individuals granted retroactive eligibility.

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retroactive enrollments were excluded from the data for the July-September 2013 period.²⁶ Such exclusions were not possible.

Net Change, July-Sept. 2013 to October 2014 (V)

The net change in **Total Medicaid and CHIP Enrollment, October 2014 (preliminary) (II)** as compared to **Average Monthly Medicaid and CHIP Enrollment, July-Sept. 2013 (IV)** is calculated for states that provided data for both periods and represents the difference in enrollment between these two periods. A negative net change may be due to a number of factors, including the preliminary nature of the monthly data (as described above) as compared to the finalized nature of the baseline data. Changes in enrollment levels are driven by the number of newly enrolled individuals as well as by the number of individuals whose coverage has terminated.

Percentage Change, July-Sept. 2013 to October 2014 (VI)

The percentage change in **Total Medicaid and CHIP Enrollment, October 2014 (Preliminary) (II)**, compared to **Average Monthly Medicaid and CHIP Enrollment, July-Sept. 2013 (IV)**, is calculated for states that provided data for both periods. A negative percentage change may be due to a number of factors, including the preliminary nature of the monthly data (as described above) as compared to the finalized nature of the baseline data. Changes in enrollment levels are driven by the number of newly enrolled individuals as well as by the number of individuals whose coverage has terminated.

Note: Updated enrollment data for January through September of 2014 is available on the Medicaid and CHIP Application, Eligibility Determination, and Enrollment Data page [on Medicaid.gov](http://on.Medicaid.gov). This data is updated more than 30 days after the close of the reporting period, to account for retroactive Medicaid eligibility and other updates to the preliminary data provided soon after the close of the reporting period, which forms the basis for this report.

Table 2: Medicaid and CHIP: October 2014 Preliminary Monthly Medicaid CHIP Child Enrollment

Medicaid and CHIP Child Enrollment, May - September, 2014 ((I)-(IV))

As of the last day of the calendar month—

- The total unduplicated number of individuals enrolled in CHIP (i.e. funded under title XXI of the Social Security Act); plus
- The total unduplicated number of individuals enrolled in Medicaid (i.e. funded under title XIX of the Social Security Act) who are children.

States use the definition of "child" as included in the state's Medicaid or CHIP state plan. This definition may vary from state to state. A few states cover pregnant women through CHIP, which means this figure may include some adults.²⁷ These figures may have been updated by

²⁶ See footnote 25.

²⁷ The states which provide complete coverage to pregnant women through CHIP are New Jersey, Rhode Island, and Colorado. In addition, the following states provide certain services to pregnant women through CHIP: Arkansas, California, Louisiana, Massachusetts, Michigan, Minnesota, Nebraska, Oklahoma, Oregon, Rhode Island, Tennessee, Texas, Washington, and Wisconsin. Benefits offered vary by state.

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states more than 30 days after the close to the reporting period to include enrollees with retroactive coverage and other updates.

Medicaid and CHIP Child Enrollment, October, 2014 (Preliminary) (V)

As of the last day of the calendar month—

- The total unduplicated number of individuals enrolled in CHIP (i.e. funded under title XXI of the Social Security Act); plus
- The total unduplicated number of individuals enrolled in Medicaid (i.e. funded under title XIX of the Social Security Act) who are children.

States use the definition of "child" as included in the state's Medicaid or CHIP state plan. This definition may vary from state to state. A few states cover pregnant women through CHIP, which means this figure may include some adults.²⁸ The October 2014 data was submitted in November and is considered preliminary.²⁹

Total Medicaid and CHIP Enrollment, October 2014 (Preliminary) (VII)

The total unduplicated number of individuals enrolled in Medicaid and CHIP as of the last day of the reporting period, including those with retroactive, conditional, and presumptive eligibility. This indicator is a point-in-time count of total program enrollment, and is not solely a count of those newly enrolled during the reporting period. This number includes only those individuals who are eligible for comprehensive benefits (e.g., emergency Medicaid, family planning-only coverage and limited benefit dual eligible individuals are excluded). Individuals eligible under demonstration projects authorized by section 1115 of the Social Security Act are included as long as the benefits and networks are comprehensive. CHIP children subject to a waiting period or premium lock-out period are considered eligible but not enrolled and are not included. The September 2014 data was submitted in October and is considered preliminary.³⁰ This data is the same as the data reported in column (II) in Table 1: Medicaid and CHIP: September and October Preliminary Monthly Enrollment.

Medicaid and CHIP Child Enrollment as a % of Total Enrollment (VIII)

Medicaid and CHIP Child Enrollment, October 2014 (Preliminary) (V) as a percentage of Total Medicaid and CHIP Enrollment, October 2014 (Preliminary) (VIII).

²⁸ See footnote 27.

²⁹ See footnote 25.

³⁰ See footnote 25.

Table 3: Medicaid and CHIP: October 2014 Monthly Applications and Eligibility Determinations

Application Data Elements

New Applications Submitted to Medicaid and CHIP Agencies, October 2014 (Preliminary) (I)

Number of applications received by the Medicaid agency, a separate CHIP agency (if one exists in the state), or both during the reporting period. Includes applications received online, via mail, in person or phone. Does not include applications submitted to a State-Based Marketplace (SBM) or the Federally-Facilitated Marketplace (FFM) (the SBM number is reported in column IV).³¹ It should be noted that data reflected in this performance indicator are not a count of individuals, as more than one person may be included on a single application. As states are transitioning to new systems, they may not be able to report applications received across all aspects of their programs or systems. See the state-specific notes in the table for further information about state limitations in this area.³² The October 2014 data was submitted in November and is considered preliminary.³³

Applications for Financial Assistance Submitted to the State-Based Marketplace, October 2014 (Preliminary) (II)

This element is the number of applications requesting financial assistance (Medicaid, CHIP or the Advanced Premium Tax Credit and Cost-Sharing Reductions) that have been received by the SBM during the reporting period. The October 2014 data was submitted in November and is considered preliminary.³⁴

Total Applications for Financial Assistance Submitted at the State Level, October 2014 (Preliminary) (III)

Total Applications for Financial Assistance Submitted at the State Level, September 2014 (Preliminary) (IV)

For states with an SBM, the data reflects the total of **Applications Submitted to Medicaid and CHIP Agencies, October 2014 (Preliminary)** plus **Applications for Financial Assistance Submitted to the State-Based Marketplace, October 2014 (Preliminary)**. For FFM states, the data reflects **Applications Submitted to Medicaid and CHIP Agencies, October 2014 (Preliminary)**. For SBM states, the data includes all applications for financial assistance; individuals on these applications will be determined eligible for Medicaid, CHIP or Advanced Premium Tax Credits and Cost-Sharing Reductions, or determined ineligible for financial assistance. The October 2014 data was submitted in November and is considered preliminary. The September 2014 data in this table was submitted in October and is also preliminary. September data that was updated in November (which may include additional individuals who

³¹ As described in the state-specific notes in the tables, some states included account transfers from the FFM in the total count of applications received by Medicaid and CHIP agencies.

³² As described in the state-specific notes in the tables, some states included renewals in the total count of applications received by Medicaid and CHIP agencies.

³³ See footnote 25.

³⁴ See footnote 25.

applied in September, but who were not captured in the preliminary data) is posted separately under the Eligibility Data tab on Medicaid.gov.

Percentage Change September 2014 to October 2014 (V)

The percentage change in **Total Applications for Financial Assistance Submitted at the State Level, October 2014 (Preliminary) (III)** as compared to **Total Preliminary Applications Submitted at the State Level, September 2014 (Preliminary) (IV)** is calculated for states that provided data for both periods.

Eligibility Determination Data Elements

Individuals Determined Eligible for Medicaid at Application, October 2014 (VI)

Total number of individuals determined eligible for Medicaid (under title XIX of the Social Security Act) during the reporting period based on applications for coverage submitted to any state agency (Medicaid, CHIP or the SBM) or based on an administrative determination authorized under a targeted enrollment strategy approved by CMS.³⁵ The unit of measurement is a count of individuals (as compared to the application numbers, which may include multiple people in one application). This number includes all determinations on applications made within the reporting period—some of those determinations are on applications reported in the **Applications** data, and some are on applications received prior to the reporting period. This does not include determinations made at a periodic redetermination or because of a change in circumstance for a current beneficiary.³⁶ The unit of measurement is people with determinations completed; this is not an unduplicated number of unique individuals because an individual may have more than one determination within the reporting period. For example, individuals are first determined based on MAGI, and if needed, may request a subsequent determination based on non-MAGI factors. A list of which eligibility groups are MAGI and which are non-MAGI is available [on Medicaid.gov](#).

As states are transitioning to their new systems, they may not be able to report determinations being made across all aspects of their programs or systems (i.e., the legacy systems they are winding down and the new eligibility systems they are implementing). See the notes in the table for further information about state limitations in this area.

In states receiving account transfers from the FFM in October where the FFM makes an eligibility assessment (and the state then completes the Medicaid determination), this number includes determinations made on accounts assessed as eligible and transferred from the FFM. In other states, where the state has delegated to the FFM the authority to make Medicaid eligibility determinations, the report does not include the FFM determinations, because the Medicaid/CHIP agency is not performing the determinations, and instead is accepting the

³⁵ Information on targeted enrollment strategies and the states approved for these strategies is available here: <http://www.medicaid.gov/medicaid-chip-program-information/program-information/targeted-enrollment-strategies/targeted-enrollment-strategies.html>.

³⁶ As described in the state-specific notes in the tables, some states, due to data limitations, could not provide data that met our specifications. Notes are provided in cases where the state included renewals in this data element.

account transfer and proceeding with enrollment steps. Individuals determined eligible by the FFM are included in the Total Enrolled indicators. For more information about the assessment and determination models in FFM states see Medicaid.gov.

Individuals Determined Eligible for CHIP at Application, October 2014 (VII)

Total number of individuals determined eligible for CHIP (under title XXI of the Social Security Act) during the reporting period that follows the applicant submitting an application for coverage to any state agency (Medicaid, CHIP, or the SBM). This number includes all determinations at application made within the reporting period—some of those determinations are on applications reported in the **Applications** data, and some are on applications received prior to the reporting period. As states are transitioning to their new systems, they may not be able to report determinations being made across all aspects of their programs or systems (i.e., the legacy systems they are winding down and the new eligibility systems they are implementing). See the footnotes in the table for further information about state limitations in this area. The unit of measurement is people with determinations completed; this is not an unduplicated number of unique individuals.

In states receiving account transfers from the FFM in October where the FFM makes an eligibility assessment (and the state then completes the CHIP determination), this number includes determinations made on accounts assessed as eligible and transferred from the FFM. In other states, where the state has delegated to the FFM the authority to make a CHIP eligibility determination, the report does not include the FFM determinations, because the Medicaid/CHIP agency is not performing a determination, and instead is accepting the account transfer and proceeding with enrollment steps. Individuals determined eligible by the FFM are included in the Total Enrolled indicators. For more information about the assessment and determination models in FFM states see Medicaid.gov.

Total New Determinations, October 2014 (VIII)

The total of **Individuals Determined Eligible for Medicaid at Application** plus **Individuals Determined Eligible for CHIP at Application**.

A Note about Federally-Facilitated Marketplace Types: For the purposes of the report, we refer to State Partnership Marketplaces (Partnership), States performing Plan Management functions (Plan Management), and the State-Based Small Business Health Options Program (SB-SHOP) as Federally-Facilitated Marketplaces (FFMs). The state-by-state tables contain information on the specific marketplace type for each state.