1. Title Page for the State's SUD Demonstration or SUD Components of Broader Demonstration

The state should complete this Title Page at the beginning of a demonstration and submit as the title page of all SUD Monitoring Reports. The content of this l table should stay consistent over time.

State	Nebraska
Demonstration name	Nebraska Substance Use Disorder Demonstration
Approval date for demonstration	06/28/2019
Approval period for SUD	07/01/2019 — 6/30/2024
Approval date for SUD, if different from above	06/28/2019
Implementation date of SUD, if different from above	07/01/2019
SUD (or if broader demonstration, then SUD - related) demonstration goals and objectives	During the demonstration period, the state seeks to achieve the following goals: 1. Increased rates of identification, initiation, and engagement in treatment for SUD; 2. Increased adherence to and retention in treatment; 3. Reductions in overdose deaths, particularly those due to opioids; 4. Reduced utilization of emergency departments and inpatient hospital settings for treatment where the utilization is preventable or medically inappropriate through improved access to other continuum of care services; 5. Fewer readmissions to the same or higher level of care where the readmission is preventable or medically inappropriate; and, 6. Improved access to care for physical health conditions among beneficiaries with SUD

2. Executive Summary

The executive summary should be reported in the fillable box below. It is intended for summary-level information only. The recommended word count is 500 or less.

Key Achievements & Highlights:

During DY2Q4 (April 1, 2021 – June 30, 2021), the state focused on two implementation areas: 1) evaluation design and 2) new Medicaid-covered services.

On March 17, 2021, the state awarded the contract for the independent contractor for the evaluation design and as of this submission date have began regular meetings with the contractor.

The state received approval for Opioid Treatment Program (OTP) and Medically-monitored Inpatient Withdrawal (MMIW) State Plan Amendments (SPA) on November 3, 2020. The state implemented service delivery beginning June 2021.

Risks:

In DY2Q4, work on the SUD demonstration continued to be impacted by the need to allocate state Medicaid resources towards addressing COVID-19. This reprioritization impacted multiple demonstration implementation milestones.

Unexpected Changes:

The state recorded metric trends during DY2Q4 reporting quarter. These metric trends were almost exclusively due to this being the second quarter fully reflecting the addition of Medicaid Expansion population.

Issues: None

Key Changes: None

3. Narrative Information on Implementation, by Milestone and Reporting Topic

Prompt	State response	Measurement period first reported (MM/DD/YYYY - MM/DD/YYYY)	Related metric (if any)
1.2 Assessment of Need and Qualification for SUD	Services		
1.2.1 Metric Trends			
☑ The state reports the following metric trends, including all changes (+ or -) greater than 2 percent related to assessment of need and qualification for SUD services.	The state recorded metric increases during DY2Q4 reporting quarter. These metric trends were almost exclusively due to this being the first quarter fully reflecting the addition of the Medicaid Expansion population. *Metric 3 – Medicaid Beneficiaries with SUD Diagnosis (monthly): 23.71%	10/01/2020- 12/31/2020	Metric 3
☐ The state has no metrics trends to report for this rep	porting topic.		
1.2.2 Implementation Update			
Compared to the demonstration design and operational details, the state expects to make the following changes to: i) The target population(s) of the demonstration ii) The clinical criteria (e.g., SUD diagnoses) that qualify a beneficiary for the demonstration	For implementation updates, insert annual or quarterly report in which the updated was first reported. EXAMPLE: ii) The state is expanding the clinical criteria to include X diagnoses.	Insert the measurement period in which the update was first reported. EXAMPLE: ii) 01/01/2018-03/31/2018	Insert the metric related to the reported update (if any) or write "N/A". EXAMPLE: ii) N/A
☐ The state has no implementation update to report for			
☐ The state expects to make other program changes that may affect metrics related to assessment of need and qualification for SUD services	EXAMPLE: The state projects an x% increase in beneficiaries with an SUD diagnosis due to an increase in the FPL limits which will be effective on X date.	EXAMPLE: 01/01/2019- 03/31/2019	EXAMPLE: #6 and 7: Medicaid beneficiaries with SUD diagnosis (monthly)
☑ The state has no implementation update to report for	or this reporting topic.		

Prompt	State response	Measurement period first reported (MM/DD/YYYY - MM/DD/YYYY)	Related metric (if any)
2.2 Access to Critical Levels of Care for OUD and o	ther SUDs (Milestone 1)		
2.2.1 Metric Trends			
⊠ The state reports the following metric trends, including all changes (+ or -) greater than 2 percent related to Milestone 1	The state recorded metric increases during DY2Q4 reporting quarter. These metric trends were almost exclusively due to this being the first quarter fully reflecting the addition of the Medicaid Expansion population. *Metric 6 – Any SUD Treatment: 18.23% *Metric 8 – Outpatient Services: 21.99% *Metric 9 – Intensive Outpatient and Partial Hospitalization Services: 34.75% *Metric 10 – Residential and Inpatient Services: 13.76% *Metric 11 – Withdrawl Management: 14.98% *Metric 12 – Medication-Assisted Treatment (MAT): 23.79%	10/01/2020- 12/31/2020	Metric 6, 8, 9, 10, 11, and 12
\Box The state has no metrics trends to report for this rep	orting topic.		

Prompt	State response	Measurement period first reported (MM/DD/YYYY - MM/DD/YYYY)	Related metric (if any)
2.2.2 Implementation Update			
Compared to the demonstration design and operational details, the state expects to make the following changes to: i) Planned activities to improve access to SUD treatment services across the continuum of care for Medicaid beneficiaries (e.g. outpatient services, intensive outpatient services, medication assisted treatment, services in intensive residential and inpatient settings, medically supervised withdrawal management) ii) SUD benefit coverage under the Medicaid state plan or the Expenditure Authority, particularly for residential treatment, medically supervised withdrawal management, and medication assisted treatment services provided to individuals in IMDs	On March 31, 2020, the state submitted state plan amendments (SPAs) to add Medically-monitored Inpatient Withdrawal Management (MMIW) and Opioid Treatment Program (OTP) as Medicaid-covered state plan services. The state recieved SPA approval for the MMIW and OTP services on Novermber 3, 2020. The state training materials for providers was released in DY2Q4. Service start date was June 1, 2021. The state is also continuing the work necessary to facilitate provider Medicaid enrollment and reimbursement for the new services. Progress on this milestone was impacted for several reporting quarters including DY2Q4 due to the reallocation of resources to address COVID-19.		
\Box The state has no implementation update to report fo	r this reporting topic.		
☐ The state expects to make other program changes that may affect metrics related to Milestone 1			
☐ The state has no implementation update to report fo	r this reporting topic.		
3.2 Use of Evidence-based, SUD-specific Patient Pla			
3.2.1 Metric Trends			
☐ The state reports the following metric trends, including all changes (+ or -) greater than 2 percent related to Milestone 2			
☐ The state has no trends to report for this reporting to	pic.		
☐ ☐ The state is not reporting metrics related to Mileston	ne 2.		

Prompt	State response	Measurement period first reported (MM/DD/YYYY - MM/DD/YYYY)	Related metric (if any)
3.2.2 Implementation Update			
Compared to the demonstration design and operational details, the state expects to make the following changes to: ☑ i) Planned activities to improve providers' use of evidence-based, SUD-specific placement criteria	For DY2Q4, MLTC will continue its work with NE DHHS sister divisions and contracted health plans to develop additional managed care contract language specifying utilization management requirements and level of care assessments for SUD treatment. Progress on this milestone was impacted for several reporting quarters including DY2Q4 due to the reallocation of resources to address COVID-19.		
☐ ii) Implementation of a utilization management approach to ensure (a) beneficiaries have access to SUD services at the appropriate level of care, (b) interventions are appropriate for the diagnosis and level of care, or (c) use of independent process for reviewing placement in residential treatment settings			
☐ The state has no implementation update to report for	r this reporting topic.		
☐ The state expects to make other program changes that may affect metrics related to Milestone 2			
☐ The state has no implementation update to report for	r this reporting topic.		
☐ The state is not reporting metrics related to Mileston	ne 2.		
	ram Standards to Set Provider Qualifications for Residential Treatment Faci	lities (Milestone 3)	
4.2.1 Metric Trends			
☐ The state reports the following metric trends, including all changes (+ or -) greater than 2 percent related to Milestone 3			
☐ The state has no trends to report for this reporting to	ppic.		
☐ The state is not reporting metrics related to Mileston	ne 3.		

Prompt	State response	Measurement period first reported (MM/DD/YYYY - MM/DD/YYYY)	Related metric (if any)
4.2.2 Implementation Update			
Compared to the demonstration design and operational details, the state expects to make the following changes to: i) Implementation of residential treatment provider qualifications that meet the ASAM Criteria or other nationally recognized, SUD-specific program standards ii) State review process for residential treatment providers' compliance with qualifications standards iii) Availability of medication assisted treatment at residential treatment facilities, either on-site or through facilitated access to services off site	For DY2Q4, MLTC will continue its work with NE DHHS sister divisions and contracted health plans to develop additional managed care contract language to require provider education regarding the requirements to facilitate onsite or offsite access to MAT. For DY2Q4, MLTC will continue its work with the NE DHHS sister divisions and contracted health plans to develop additional managed care contract language to require reviews of residential treatment providers to assure the types of services, hours of clinical care, and credentials of staff for residential treatment settings are performed according to ASAM Criteria, or other nationally recognized, evidence-based SUD-specific program standards. In response to SUPPORT ACT requirements, MLTC sumitted the MAT SPA on March 31, 2021. Progress on this milestone was impacted for several reporting quarters including DY2Q4 due to the reallocation of resources to address COVID-19.		
☐ The state has no implementation update to report for	r this reporting topic.		
☐ The state expects to make other program changes that may affect metrics related to Milestone 3			
☐ The state has no implementation update to report fo	r this reporting topic.		
☐ The state is not reporting metrics related to Mileston	ne 3.		
	of Care including for Medication Assisted Treatment for OUD (Milestone 4)		
☐ The state reports the following metric trends, including all changes (+ or -) greater than 2 percent related to Milestone 4			

Prompt	State response	Measurement period first reported (MM/DD/YYYY - MM/DD/YYYY)	Related metric (if any)
☐ The state has no trends to report for this reporting to	opic.		
5.2.2 Implementation Update			
Compared to the demonstration design and operational details, the state expects to make the following changes to:	In DY2Q4, the Heritage Health MCOs began reporting capacity for all ASAM levels of care.		
☑ Planned activities to assess the availability of providers enrolled in Medicaid and accepting new patients in across the continuum of SUD care	Progress on this milestone was impacted for several reporting quarters including DY2Q4 due to the reallocation of resources to address COVID-19.		
☐ The state has no implementation update to report fo	r this reporting topic.		
☐ The state expects to make other program changes that may affect metrics related to Milestone 4			
☐ ☐ The state has no implementation update to report for	or this reporting topic.		
6.2 Implementation of Comprehensive Treatment a	and Prevention Strategies to Address Opioid Abuse and OUD (Milestone 5)		
6.2.1 Metric Trends			
⊠ The state reports the following metric trends, including all changes (+ or -) greater than 2 percent related to Milestone 5	The state recorded metric increases during DY2Q4 reporting quarter. These metric trends were almost exclusively due to this being the first quarter fully reflecting the addition of the Medicaid Expansion population. *Metric 23 – Emergency Department Utilization fro SUD per 1,000: 5.96%	10/01/2020- 12/31/2020	Metric 23
☐ The state has no trends to report for this reporting to	opic.		

Prompt	State response	Measurement period first reported (MM/DD/YYYY - MM/DD/YYYY)	Related metric (if any)
6.2.2 Implementation Update			
Compared to the demonstration design and operational details, the state expects to make the following changes to: i) Implementation of opioid prescribing guidelines and other interventions related to prevention of OUD			
☐ ii) Expansion of coverage for and access to naloxone			
☐ The state has no implementation update to report for	or this reporting topic.		
☐ The state expects to make other program changes that may affect metrics related to Milestone 5			
☑ The state has no implementation update to report for	or this reporting topic.		
7.2 Improved Care Coordination and Transitions b			
7.2.1 Metric Trends			
☐ The state reports the following metric trends, including all changes (+ or -) greater than 2 percent related to Milestone 6			
☑ The state has no trends to report for this reporting to	opic.		
7.2.2 Implementation Update			
Compared to the demonstration design and operational details, the state expects to make the following changes to:	For DY2Q4, MLTC will continue its work with contracted health plans to update contract language to reflect specific requirements for Care Management follow up after SUD treatment discharge.		
☑ Implementation of policies supporting beneficiaries' transition from residential and inpatient facilities to community-based services and supports	Progress on this milestone was impacted for several reporting quarters including DY2Q4 due to the reallocation of resources to address COVID-19.		
☐ The state has no implementation update to report fo	r this reporting topic.		

Prompt	State response	Measurement period first reported (MM/DD/YYYY - MM/DD/YYYY)	Related metric (if any)
☐ The state expects to make other program changes that may affect metrics related to Milestone 6			
☐ The state has no implementation update to report for	r this reporting topic.		
8.2 SUD Health Information Technology (Health IT			
8.2.1 Metric Trends			
☑ The state reports the following metric trends, including all changes (+ or -) greater than 2 percent related to its Health IT metrics	The state saw a 32.41% increase in telehealth utilization during DY2Q3 compared to the previous reporting quarter due to the COVID public health emergency. For DY2Q4, this increase dropped to 6.55%, likely due to easing of public health restrictions and the reopening of in-person services. The 6.55% increase remains indicative of the value of telehealth.	10/01/2020- 12/31/2020	Metric Q3
☐ The state has no trends to report for this reporting to	opic.		

Prompt	State response	Measurement period first reported (MM/DD/YYYY - MM/DD/YYYY)	Related metric (if any)
8.2.2 Implementation Update	•		•
Compared to the demonstration design and operational details, the state expects to make the following changes to: i) How health IT is being used to slow down the rate of growth of individuals identified with SUD ii) How health IT is being used to treat effectively individuals identified with SUD iii) How health IT is being used to effectively monitor "recovery" supports and services for individuals identified with SUD iv) Other aspects of the state's plan to develop the health IT infrastructure/capabilities at the state, delivery system, health plan/MCO, and individual provider levels v) Other aspects of the state's health IT implementation milestones vi) The timeline for achieving health IT implementation milestones			
functionality of the state's prescription drug monitoring program			
☑ The state has no implementation update to report fo	r this reporting topic.		
☐ The state expects to make other program changes that may affect metrics related to Health IT			
☐ The state has no implementation update to report fo	r this reporting topic.		

Prompt	State response	Measurement period first reported (MM/DD/YYYY - MM/DD/YYYY)	Related metric (if any)
9.2 Other SUD-Related Metrics			
9.2.1 Metric Trends			
⊠ The state reports the following metric trends, including all changes (+ or -) greater than 2 percent related to other SUD-related metrics	The state recorded metric increases during DY2Q4 reporting quarter. These metric trends were almost exclusively due to this being the first quarter fully reflecting the addition of the Medicaid Expansion population. *Metric 24 – Inpatient Stays for SUD per 1,000 Medicaid Beneficiaries: 5.96%	10/01/2020- 12/31/2020	Metric 24
☐ The state has no trends to report for this reporting to	opic.		
9.2.2 Implementation Update	•		
☐ The state expects to make other program changes that may affect metrics related to other SUD-related metrics			
☐ ☐ The state has no implementation update to report for	or this reporting topic.		
10.2 Budget Neutrality			
10.2.1 Current status and analysis			
☑ If the SUD component is part of a broader demonstration, the state should provide an analysis of the SUD-related budget neutrality and an analysis of budget neutrality as a whole. Describe the current status of budget neutrality and an analysis of the budget neutrality to date.	Nebraska received a request to resubmit the Budget Neutrality DY2Q3 report. The State is working with CMS on technical guidance that will assist with reporting accuracy.	04/01/2021- 06/30/2021	
10.2.2 Implementation Update			
☐ The state expects to make other program changes that may affect budget neutrality			
☑ The state has no implementation update to report for	or this reporting topic.		

Prompt	State response	Measurement period first reported (MM/DD/YYYY - MM/DD/YYYY)	Related metric (if any)
11.1 SUD-Related Demonstration Operations and P	Policy		
11.1.1 Considerations			
☐ States should highlight significant SUD (or if broader demonstration, then SUD-related) demonstration operations or policy considerations that could positively or negatively affect beneficiary enrollment, access to services, timely provision of services, budget neutrality, or any other provision that has potential for beneficiary impacts. Also note any activity that may accelerate or create delays or impediments in achieving the SUD demonstration's approved goals or objectives, if not already reported elsewhere in this document. See report template instructions for more detail.			
☐ The state has no related considerations to report for	this reporting topic.		
11.1.2 Implementation Update			
Compared to the demonstration design and operational details, the state expects to make the following changes to: i) How the delivery system operates under the demonstration (e.g. through the managed care system or fee for service) ii) Delivery models affecting demonstration participants (e.g. Accountable Care Organizations, Patient Centered Medical Homes) iii) Partners involved in service delivery			
\boxtimes The state has no implementation update to report fo	r this reporting topic.		

		Measurement period first reported (MM/DD/YYYY -	Related metric	
Prompt	State response	MM/DD/YYYY)	(if any)	
☐ The state experienced challenges in partnering				
with entities contracted to help implement the				
demonstration (e.g., health plans, credentialing				
vendors, private sector providers) and/or noted any				
performance issues with contracted entities				
☐ ☐ The state has no implementation update to report for	r this reporting topic.			
☐ The state is working on other initiatives related to				
SUD or OUD				
☐ The state has no implementation update to report for	r this reporting tonic			
1 1	i tilis reporting topic.			
☐ The initiatives described above are related to the				
SUD or OUD demonstration (States should note similarities and differences from the SUD				
demonstration)				
demonstration)				
☐ The state has no implementation update to report for	r this reporting topic.			
12. SUD Demonstration Evaluation Update				
12.1. Narrative Information				
☑ Provide updates on SUD evaluation work and	The state awarded the contract with Health Services Advisory Group (HSAG)	04/01/20201 -		
timeline. The appropriate content will depend on	as the demonstration evaluator on March 17, 2021 and began meeting with the	06/30/2021		
when this report is due to CMS and the timing for	contractor on April 6, 2021. These meetings are ongoing and the state is			
the demonstration. See report template instructions	working with the contractor to develop the key informant interview protocol,			
for more details.	and needed data extracts. Additionally, the contract was amended 8/3/2021 to			
	include the Mid-point Assessment. The state and contractor have worked			
	together to develop the interview questions and contacts for the MPA.			
☐ The state has no SUD demonstration evaluation update to report for this reporting topic.				

Prompt	State response	Measurement period first reported (MM/DD/YYYY - MM/DD/YYYY)	Related metric (if any)	
☑ Provide status updates on deliverables related to the demonstration evaluation and indicate whether the expected timelines are being met and/or if there are any real or anticipated barriers in achieving the goals and timeframes agreed to in the STCs.	The state received approval of its final Evaluation Design on August 28, 2020. The design and the approval have been posted to the MLTC SUD Demonstration website: http://dhhs.ne.gov/Pages/Substance-Use-Disorder-Demonstration.aspx			
☐ The state has no SUD demonstration evaluation upon	late to report for this reporting topic.			
☐ List anticipated evaluation-related deliverables related to this demonstration and their due dates.				
☑ The state has no SUD demonstration evaluation upon	late to report for this reporting topic.			
13.1 Other Demonstration Reporting				
13.1.1 General Reporting Requirements				
☐ The state reports changes in its implementation of the demonstration that might necessitate a change to approved STCs, implementation plan, or monitoring protocol				
☑ The state has no updates on general requirements to	report for this reporting topic.			
☐ The state anticipates the need to make future changes to the STCs, implementation plan, or monitoring protocol, based on expected or upcoming implementation changes				
□ The state has no updates on general requirements to report for this reporting topic.				

Prompt	State response	Measurement period first reported (MM/DD/YYYY - MM/DD/YYYY)	Related metric (if any)
Compared to the demonstration design and operational details, the state expects to make the following changes to: □ i) The schedule for completing and submitting monitoring reports □ ii) The content or completeness of submitted reports and/or future reports	In DY3Q1, MLTC received communications from CMS regarding the submitted monitoring reports for DY2Q3. The state is continuing to work with CMS to correct these reports.		
☐ The state has no updates on general requirements to ☐ The state identified real or anticipated issues submitting timely post-approval demonstration deliverables, including a plan for remediation	report for this reporting topic.		
☑ The state has no updates on general requirements to13.1.2 Post-Award Public Forum	report for this reporting topic.		
☐ If applicable within the timing of the demonstration, provide a summary of the annual post-award public forum held pursuant to 42 CFR § 431.420(c) indicating any resulting action items or issues. A summary of the post-award public forum must be included here for the period during which the forum was held and in the annual report.			

Prompt	State response	Measurement period first reported (MM/DD/YYYY - MM/DD/YYYY)	Related metric (if any)
14.1 Notable State Achievements and/or Innovations			
14.1 Narrative Information			
☐ Provide any relevant summary of achievements and/or innovations in demonstration enrollment, benefits, operations, and policies pursuant to the hypotheses of the SUD (or if broader demonstration, then SUD related) demonstration or that served to provide better care for individuals, better health for populations, and/or reduce per capita cost. Achievements should focus on significant impacts to beneficiary outcomes. Whenever possible, the summary should describe the achievement or innovation in quantifiable terms, e.g., number of impacted beneficiaries.			
☑ The state has no notable achievements or innovations to report for this reporting topic.			

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