

**1. Title Page for the State’s SUD Demonstration or SUD Components of Broader Demonstration**

*The state should complete this Title Page as part of its SUD Monitoring Protocol. This form should be submitted as the title page for all Monitoring Reports. The content of this table should stay consistent over time.*

<b>State</b>	<i>Utah</i>
<b>Demonstration name</b>	<i>Utah 1115 Primary Care Network Demonstration Waiver</i>
<b>Approval date for demonstration</b>	<i>11/01/2017</i>
<b>Approval period for SUD</b>	<i>11/01/2017 –06/30/2022</i>
<b>Approval date for SUD, if different from above</b>	<i>N/A</i>
<b>Implementation date of SUD, if different from above</b>	<i>11/09/2017</i>
<b>SUD (or if broader demonstration, then SUD - related) demonstration goals and objectives</b>	<i>The SUD demonstration goals and objectives are to provide a broad continuum of care to Utah’s Medicaid beneficiaries who have a SUD, which will improve the quality, care and health outcomes for all Utah Medicaid state plan beneficiaries and Targeted Adults in the demonstration. The SUD program will contribute to a comprehensive statewide strategy to combat prescription drug abuse and opioid use disorders, and will expand the SUD benefits package to cover short-term residential services to all Medicaid enrollees.</i>

**2. Proposed Modifications to SUD Narrative Information on Implementation, by Milestone or Reporting Topic**

Summary of proposed modification	Related metric (if any)	Justification for modification
<b>1. Assessment of Need and Qualification for SUD Services</b>		
<p><i>Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)</i></p> <p><i>EXAMPLE</i> <i>Additional topic of interest</i></p>		<p><i>Summarize how the proposed modification will alter reporting relative to the SUD Monitoring Report Template and provide reasoning why this modification is needed</i></p> <p><i>EXAMPLE</i> <i>In addition to reporting on the requested information, the state plans to report on progress on X implementation activity not currently listed in the report template. The state will add this activity as a new row to the “Narrative Information on Implementation” table in Part A of its Monitoring Reports.</i></p>
<i>[Add rows as needed]</i>		
<p><input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.</p>		
<p><input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).</p>		

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<b>2. Access to Critical Levels of Care for OUD and other SUDs (Milestone 1)</b>		
<i>Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)</i>		
<i>[Add rows as needed]</i>		
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<b>3. Use of Evidence-based, SUD-specific Patient Placement Criteria (Milestone 2)</b>		
<i>Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)</i>		
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<b>4. Use of Nationally Recognized SUD-specific Program Standards to Set Provider Qualifications for Residential Treatment Facilities (Milestone 3)</b>		
<i>Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)</i>		
<i>[Add rows as needed]</i>		
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<b>5. Sufficient Provider Capacity at Critical Levels of Care including for Medication Assisted Treatment for OUD (Milestone 4)</b>		
<i>Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)</i>		
<i>[Add rows as needed]</i>		

Summary of proposed modification	Related metric (if any)	Justification for modification
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
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<b>6. Implementation of Comprehensive Treatment and Prevention Strategies to Address Opioid Abuse and OUD (Milestone 5)</b>		
<i>Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)</i>		
<i>[Add rows as needed]</i>		
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<b>7. Improved Care Coordination and Transitions between Levels of Care (Milestone 6)</b>		
<i>Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template</i>		

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<i>(Narrative Information on Implementation)</i>		
<i>[Add rows as needed]</i>		
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<b>8. SUD Health Information Technology (Health IT)</b>		
<i>Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)</i>		
<i>[Add rows as needed]</i>		
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<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
<b>9. Other SUD-related Metrics</b>		
<i>Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the</i>		

Summary of proposed modification	Related metric (if any)	Justification for modification
<i>expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)</i>		
<i>[Add rows as needed]</i>		
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<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
<b>10. Budget Neutrality</b>		
<i>Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)</i>		
<i>[Add rows as needed]</i>		
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<b>11. SUD-Related Demonstration Operations and Policy</b>		
<i>Provide a brief description of any changes or modifications the state</i>		

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<i>expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)</i>		
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<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
<b>12. SUD Demonstration Evaluation Update</b>		
<i>Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)</i>		
<i>[Add rows as needed]</i>		
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<b>13. Other Demonstration Reporting</b>		



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<i>Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)</i>		
<i>[Add rows as needed]</i>		
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<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
<b>14. Notable State Achievements and/or Innovations</b>		
<i>Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)</i>		
<i>[Add rows as needed]</i>		
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		<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).

### 3. Acknowledgement of Budget Neutrality Reporting-

The state has reviewed the Budget Neutrality workbook provided by the project officer and understands the expectations for quarterly and annual monitoring reports. The state will provide the requested budget neutrality information (no modifications).

### 4. Retrospective reporting

If a state's monitoring protocol is approved after its first quarterly monitoring report submission date, the state should report data to CMS retrospectively for any prior quarters of SUD demonstration implementation. States are expected to submit retrospective metrics data in the state's second monitoring report submission after monitoring protocol approval, or propose an alternative plan for reporting retrospectively on its SUD demonstration.

In the monitoring report submission containing retrospective metrics data, the state should also provide a general assessment of metrics trends from the start of the state's demonstration through the end of the current reporting period. The state should report this information in Part B of its report submission (Table 3: Narrative Information on Implementation, by Milestone and Reporting Topic). This general assessment is not intended to be a comprehensive description of every trend observed in metrics data (for example, unlike other monitoring report submissions, the state is not required to describe all metrics changes (+ or - greater than 2 percent). Rather, the assessment is an opportunity for states to provide context for its retrospective metrics data, to support CMS's review and interpretation. For example, consider a state that submits data showing an increase in the number of medication assisted treatment (MAT) providers (Metric #14) over the course of the retrospective reporting period. The state may decide to highlight this trend to CMS in Part B of its report (under Milestone 4) by briefly summarizing the trend and providing context that during this period, the state implemented a grant that supported training for new MAT providers throughout the state.

The state will report retrospectively for any quarters prior to monitoring protocol approval as described above, in the state's second monitoring report submission after protocol approval. <sup>1</sup>

The state proposes an alternative plan to report retrospectively for any quarters prior to monitoring protocol approval: *Insert narrative description of proposed alternative plan for retrospective reporting. State should provide justification for its proposed alternative plan.*

### 5. Reporting SUD Demonstration Metrics and Narrative Information

The state should review the guidance in Appendix A of the instructions document in order to attest it will follow CMS's guidance on reporting metrics and narrative information, or propose any deviations. The state should complete Table A below to reflect its proposed reporting schedule for the duration of its SUD demonstration approval period.

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<sup>1</sup> The state will report retrospective metrics and a general assessment of metrics trends for any quarters prior to monitoring protocol approval in the state's second monitoring report submission after protocol approval.

The state has completed the table below according to the guidance in Appendix A of the instructions document and attests to reporting metrics and narrative information in its quarterly and annual reports according as described.

The state has reviewed Appendix A of the instructions document and completed the table below with the following deviations: *Insert narrative description of proposed changes to reporting. State should provide justification for any proposed deviation.*

**Table A. Utah reporting in quarterly and annual monitoring reports**

Dates of reporting quarter	Broader 1115 DY (if applicable)	SUD DY*	Report due (per STCs schedule)	Measurement period associated with SUD information in report, by reporting category
11/1/2017 - 12/31/2017	DY16 Q2	DY1 Q2	3/1/2018	<ul style="list-style-type: none"> <li>Protocol in development</li> </ul>
1/1/2018 - 3/31/2018	DY16 Q3	DY1 Q3	5/30/2018	<ul style="list-style-type: none"> <li>Protocol in development</li> </ul>
4/1/2018 – 6/30/2018	DY16Q4	DY1 Q4	9/28/2018	<ul style="list-style-type: none"> <li>Protocol in development</li> </ul>
7/1/2018 – 9/30/2018	DY17 Q1	DY2 Q1	11/29/2018	<ul style="list-style-type: none"> <li>Protocol in development</li> </ul>
10/1/2018 – 12/31/2018	DY17 Q2	DY2 Q2	3/1/2019	<ul style="list-style-type: none"> <li>Protocol in development</li> </ul>
1/1/2019 - 3/31/2019	DY17 Q3	DY2 Q3	5/30/2019	<ul style="list-style-type: none"> <li>Protocol in development</li> </ul>
4/1/2019 – 6/30/2019	DY17 Q4	DY2 Q4	9/28/2019	<ul style="list-style-type: none"> <li>Protocol in development</li> </ul>
7/1/2019 – 9/30/2019	DY18 Q1	DY3 Q1	11/29/2019	<ul style="list-style-type: none"> <li>Protocol in development</li> </ul>
10/1/2019 – 12/31/2019	DY18 Q2	DY3 Q2	3/1/2020	<ul style="list-style-type: none"> <li>Protocol in development</li> </ul>
1/1/2020 - 3/31/2020	DY18 Q3	DY3 Q3	5/30/2020	<ul style="list-style-type: none"> <li>Protocol in development</li> </ul>
4/1/2020 – 6/30/2020	DY18 Q4	DY3 Q4	9/28/2020	<ul style="list-style-type: none"> <li>Narrative information for SUD DY3 Q4</li> <li>Grievances and appeals for SUD DY3 Q4</li> <li>Monthly and quarterly metrics for SUD DY3 Q3</li> </ul>
7/1/2020 – 9/30/2020	DY19 Q1	DY4 Q1	11/29/2020	<ul style="list-style-type: none"> <li>Narrative information for SUD DY4 Q1</li> <li>Grievances and appeals for SUD DY4 Q1</li> <li>Monthly and quarterly metrics for SUD DY3 Q4</li> <li>Annual CMS-constructed and state-identified metrics (calculated for SUD DY3)</li> <li>Annual metrics that are established quality measures (calculated for CY 2019)</li> </ul>
10/1/2020 – 12/31/2020	DY19 Q2	DY4 Q2	3/1/2021	<ul style="list-style-type: none"> <li>Narrative information for SUD DY4 Q2</li> <li>Grievances and appeals for SUD DY4 Q2</li> <li>Monthly and quarterly metrics for SUD DY4 Q1</li> </ul>
1/1/2021 - 3/31/2021	DY19 Q3	DY4 Q3	5/30/2021	<ul style="list-style-type: none"> <li>Narrative information for SUD DY4 Q3</li> <li>Grievances and appeals for SUD DY4 Q3</li> <li>Monthly and quarterly metrics for SUD DY4 Q2</li> </ul>
4/1/2021 – 6/30/2021	DY19 Q4	DY4 Q4	9/28/2021	<ul style="list-style-type: none"> <li>Narrative information for SUD DY4 Q4</li> <li>Grievances and appeals for SUD DY4 Q4</li> <li>Monthly and quarterly metrics for SUD DY4 Q3</li> <li>Annual metrics that are established quality measures (calculated for CY 2020)</li> </ul>

Medicaid Section 1115 SUD Demonstration Monitoring Protocol – Part B  
 Utah 1115 Primary Care Network Demonstration Waiver  
 Submitted on July 31, 2020

Dates of reporting quarter	Broader 1115 DY (if applicable)	SUD DY*	Report due (per STCs schedule)	Measurement period associated with SUD information in report, by reporting category
7/1/2021 – 9/30/2021	DY20 Q1	DY5 Q1	11/29/2021	<ul style="list-style-type: none"> <li>• Narrative information for SUD DY5 Q1</li> <li>• Grievances and appeals for SUD DY5 Q1</li> <li>• Monthly and quarterly metrics for SUD DY4 Q4</li> <li>• Annual CMS-constructed and state-identified metrics (calculated for SUD DY4)</li> </ul>
10/1/2021 – 12/31/2021	DY20 Q2	DY5 Q2	3/1/2022	<ul style="list-style-type: none"> <li>• Narrative information for SUD DY5 Q2</li> <li>• Grievances and appeals for SUD DY5 Q2</li> <li>• Monthly and quarterly metrics for SUD DY5 Q1</li> </ul>
1/1/2022 - 3/31/2022	DY20 Q3	DY5 Q3	5/30/2022	<ul style="list-style-type: none"> <li>• Narrative information for SUD DY5 Q3</li> <li>• Grievances and appeals for SUD DY5 Q3</li> <li>• Monthly and quarterly metrics for SUD DY5 Q2</li> </ul>
4/1/2022 – 6/30/2022	DY20 Q4	DY5 Q4	9/28/2022	<ul style="list-style-type: none"> <li>• Narrative information for SUD DY5 Q4</li> <li>• Grievances and appeals for SUD DY5 Q4</li> <li>• Monthly and quarterly metrics for SUD DY5 Q3</li> <li>• Annual metrics that are established quality measures (calculated for CY 2021)</li> </ul>

\* The SUD component of Utah’s broader section 1115 demonstration and the SUD implementation plan were approved on November 9, 2017. To align with the state’s broader demonstration years, the first year of the SUD component is considered to include three quarters (SUD DY1Q2, SUD DY1Q3 and SUD DY1Q4), spanning November 1, 2017 – June 30, 2018. The “broader 1115 DY” column identifies the broader section 1115 demonstration years as defined in the STCs, for reference. The approval period for the current demonstration period ends June 30, 2022.