

DEPARTMENT OF HEALTH & HUMAN SERVICES  
Centers for Medicare & Medicaid Services  
7500 Security Boulevard, Mail Stop S2-25-26  
Baltimore, Maryland 21244-1850



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**State Demonstrations Group**

FEB 21 2020

Karen Kimsey  
Director  
Virginia Department of Medical Assistance Services  
600 East Broad Street, Suite 1300  
Richmond, VA 23219

Dear Ms. Kimsey:

Thank you to you and your staff for your work on the substance use disorder (SUD) Monitoring Protocol, which is a component of the state's section 1115(a) demonstration, "The Virginia Addiction and Recovery Treatment Services (ARTS) Delivery System Transformation" (Project No. 11-W-00297/3). The draft SUD Monitoring Protocol submitted to Centers for Medicare & Medicaid Services (CMS) on August 29, 2019 has been found to fulfill the requirements set forth in the Special Term and Conditions (STC), specifically, Section XII, and State Medicaid Director Letter SMD # 17-003, "Strategies to Address the Opioid Epidemic."

The SUD Monitoring Protocol is approved and hereby incorporated into the demonstration STCs as Attachment E (see attached). Per 42 CFR 431.424(c), the approved SUD Monitoring Protocol may now be posted to your state's Medicaid website.

We look forward to our continued partnership on the Virginia ARTS Delivery System Transformation section 1115 demonstration. If you have any questions, please contact your CMS project officer, Ms. Valisha Andrus. Ms. Andrus can be reached by email at [Valisha.Andrus@cms.hhs.gov](mailto:Valisha.Andrus@cms.hhs.gov).

Sincerely,



Andrea Casart  
Director  
Division of Eligibility and Coverage Demonstrations

Enclosure

cc: Margaret Kosherzenko, State Lead, Medicaid and CHIP Operations Group

**1. Transmittal Title Page for the State’s SUD Demonstration or SUD Components of Broader Demonstration**

*The state should complete this Transmittal Title Page as part of its SUD Monitoring Protocol. This form should be submitted as the title page of all Monitoring Reports. The content of this transmittal table should stay consistent over time.*

<b>State</b>	<i>Virginia</i>
<b>Demonstration Name</b>	<i>Virginia ARTS</i>
<b>Approval Date</b>	<i>December 15, 2016</i>
<b>Approval Period</b>	<i>January 1, 2020 through December 31, 2024.</i>
<b>SUD (or if broader demonstration, then SUD Related) Demonstration Goals and Objectives</b>	<ul style="list-style-type: none"> <li>•<i>Promote strategies to ID Medicaid individuals with SUD</i></li> <li>•<i>Enhance clinical practices and promote guidelines and decision making tools for serving youth and adults with SUD</i></li> <li>•<i>Build after care and recover supports (like recovery coaching)</i></li> <li>•<i>Coordinate SUD treatment with Primary care and Long Term Care</i></li> <li>•<i>Coordinate with other sources of local, state and federal funds for an efficient use of resources consistent with program objectives</i></li> <li>•<i>Encourage increased use of quality and outcome measures to inform benefit design and payment models</i></li> <li>•<i>Identify strategies to address prescription and illicit opioid addiction, consistent with efforts to curb epidemic.</i></li> </ul>

## 2. Proposed Modifications to SUD Narrative Information on Implementation, by Reporting Topic

Summary of proposed modification	Related metric (if any)	Justification for modification
<b>1. Assessment of Need and Qualification for SUD Services</b>		
<p><i>Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)</i></p> <p><i>EXAMPLE</i> <i>Additional topic of interest</i></p>		<p><i>Summarize how the proposed modification will alter reporting relative to the SUD Monitoring Report Template and provide reasoning why this modification is needed</i></p> <p><i>EXAMPLE</i> <i>In addition to reporting on the requested information, the state plans to report on progress on X implementation activity not currently listed in the report template. The state will add this activity as a new row to the “Narrative Information on Implementation” table in Part A of its Monitoring Reports.</i></p>
<i>[Add rows as needed]</i>		
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
<b>2. Access to Critical Levels of Care for OUD and other SUDs (Milestone 1)</b>		
<p><i>The department will use the recommendation from NPR to identify separate residential stays as a break in stay of one day as long as the provider NPI is the same. The department will use the required CMS procedure codes along with the Virginia specific codes to identify IMDs</i></p>	<p><i>10-Residential and Inpatient Services</i></p>	<p><i>The state request to modify current requirements as the state utilizes additional procedure codes for its residential substance use disorder services. Those procedure codes and breakdown by level of care are listed below.</i></p> <p><i>ASAM Level 3.3 - Clinically managed population-specific high intensity residential services</i> <i>H0010 Rev 1002 with modifier TG</i></p>

<p>from a list of providers with specific NPIs who are licensed in the state as a residential provider with 16 beds or greater. The department will utilize the codes below to identify IMD claims for lengths of stay.</p>		<p>ASAM Level 3.5 Clinically managed high-intensity residential services (Adult) and Clinically managed medium-intensity residential services (Adolescent)  H0010 Rev 1002  Adult – with modifier HB  Adolescent – with modifier HA</p> <p>ASAM Level 3.7 Medically monitored intensive inpatient services (Adult) and Medically monitored high intensity inpatient services (Adolescent)  H2036 Rev 1002  Adult - with modifier HB  Adolescent -with modifier HA</p>
<p>The department will utilize the required CMS codes, but will also include the Virginia specific codes below to identify IMD claims for lengths of stay.</p>	<p>36- Average Length of Stay in IMDs</p>	<p>The state request to modify current requirements as the state utilizes additional procedure codes for its residential substance use disorder services. Those procedure codes and breakdown by level of care are listed below.</p> <p>ASAM Level 3.3 - Clinically managed population-specific high intensity residential services  H0010 Rev 1002 with modifier TG</p> <p>ASAM Level 3.5 Clinically managed high-intensity residential services (Adult) and Clinically managed medium-intensity residential services (Adolescent)  H0010 Rev 1002  Adult – with modifier HB  Adolescent – with modifier HA</p> <p>ASAM Level 3.7 Medically monitored intensive inpatient services (Adult) and Medically monitored high intensity inpatient services (Adolescent)  H2036 Rev 1002  Adult - with modifier HB  Adolescent -with modifier HA</p>

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<b>3. Use of Evidence-based, SUD-specific Patient Placement Criteria (Milestone 2)</b>		
<i>Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)</i>		
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<b>4. Use of Nationally Recognized SUD-specific Program Standards to Set Provider Qualifications for Residential Treatment Facilities (Milestone 3)</b>		
<i>Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)</i>		
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<b>5. Sufficient Provider Capacity at Critical Levels of Care including for Medication Assisted Treatment for OUD (Milestone 4)</b>		
<i>Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)</i>		
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<b>6. Implementation of Comprehensive Treatment and Prevention Strategies to Address Opioid Abuse and OUD (Milestone 5)</b>		
<i>Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)</i>		
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<b>7. Improved Care Coordination and Transitions between Levels of Care (Milestone 6)</b>		

<i>Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)</i>		
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<b>8. SUD Health Information Technology (Health IT)</b>		
Opioid Prescriptions	S.1	<p>"Use of Opioid Utilization-Members and Opioid Utilization-Providers report (dashboard reports from OTAAS Project)</p> <p>-Number of members, utilization rates, total #of prescriptions (member and provider), opioid days supply, total opioid cost (\$)</p> <p>-Opioid measures stratified by cities and counties and by age groups"</p>
Access to ARTS Provider/Resource directory - connecting providers and members to additional SUD services.	S.2	<p>Monthly updates of the ARTS provider network submitted by the managed care organizations are deduplicated and uploaded in a google map dedicated to show providers by the American Society of Addiction Medicine (ASAM) Level of Care.</p>
Peer Recovery Supports	S.3	<p>Use of OTAAS data to measure Peer Recovery Support Services utilization.</p> <p>-Number of members and claims for Peer Recovery Support Services using relevant procedure codes (T1012 and S9445).</p> <p>-Analyze the use of Peer Recovery Support Services and utilization in conjunction with other services.</p>
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<b>9. Other SUD-Related Metrics</b>		
<i>Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)</i>		
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<b>10. Budget Neutrality</b>		
<i>Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)</i>		
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<b>11. SUD-Related Demonstration Operations and Policy</b>		



<i>Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)</i>		
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<b>12. SUD Demonstration Evaluation Update</b>		
<i>Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)</i>		
<i>[Add rows as needed]</i>		
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<b>13. Other Demonstration Reporting</b>		
<i>Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the</i>		

<i>expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)</i>		
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<b>14. Notable State Achievements and/or Innovations</b>		
<i>Provide a brief description of any changes or modifications the state expects to make in its narrative reporting, relative to the expectations described in the SUD Monitoring Report Template (Narrative Information on Implementation)</i>		
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### **3. Acknowledgement of Budget Neutrality Reporting-**

The state has reviewed the Budget Neutrality workbook provided by the project officer and understands the expectations for quarterly and annual monitoring reports. The state will provide the requested budget neutrality information (no modifications).

#### 4. SUD Demonstration Monitoring Reporting Schedule

Reporting schedule for VA monitoring information for third and fourth SUD demonstration years (DYs)

Dates of reporting quarter	VA's broader 1115 DY	VA's SUD DY	Report due (per STCs schedule)	SUD metrics included in report
<b>April 1, 2019 – June 30, 2019</b>	DY5 Q2	DY3 Q1	8/29/2019	<ul style="list-style-type: none"> <li>• Narrative information for SUD DY3 Q1</li> <li>• Grievances and appeals for SUD DY3 Q1</li> <li>• Monthly and quarterly metrics for SUD DY2 Q4</li> <li>• Annual CMS-constructed and state-identified metrics (calculated for SUD DY2)</li> </ul>
<b>July 1, 2019 – September 30, 2019</b>	DY5 Q3	DY3 Q2	11/29/2019	<ul style="list-style-type: none"> <li>• Narrative information for SUD DY3 Q2</li> <li>• Grievances and appeals for SUD DY3 Q2</li> <li>• Monthly and quarterly metrics for SUD DY3 Q1</li> </ul>
<b>October 1, 2019 – December 31, 2019</b>	DY5 Q4	DY3 Q3	2/28/2020	<ul style="list-style-type: none"> <li>• Narrative information for SUD DY3 Q3</li> <li>• Grievances and appeals for SUD DY3 Q3</li> <li>• Monthly and quarterly metrics for SUD DY3 Q2</li> </ul>
<b>January 1, 2020 – March 31, 2020*</b>	DY6 Q1	DY3 Q4	6/30/2020	<ul style="list-style-type: none"> <li>• Narrative information for SUD DY3 Q4</li> <li>• Grievances and appeals for SUD DY3 Q4</li> <li>• Monthly and quarterly metrics for SUD DY3 Q3</li> <li>• Annual metrics that are established quality measures (calculated for CY 2019)</li> </ul>
<b>April 1, 2020 – June 30, 2020*</b>	DY6 Q2	DY4 Q1	8/29/2020	<ul style="list-style-type: none"> <li>• Narrative information for SUD DY4 Q1</li> <li>• Grievances and appeals for SUD DY4 Q1</li> <li>• Monthly and quarterly metrics for SUD DY3 Q4</li> <li>• Annual CMS-constructed and state-identified metrics (calculated for SUD DY3)</li> </ul>

\*The state's demonstration approval period ends December 31, 2019. These rows are included to illustrate a year of reporting for all metrics and narrative information.

## Reporting in quarterly and annual monitoring reports

Report name:	DY1 Q1 report	DY1 Q2 report	DY1 Q3 report	DY1 Q4 (annual) report	DY2 Q1 report
<b>Report due date:</b>	Due 60 days after quarter ends	Due 60 days after quarter ends	Due 60 days after quarter ends	Due 90 days after quarter ends	Due 60 days after quarter ends
<b>Measurement periods, by reporting category</b>					
<b>Narrative information on implementation</b>	DY1 Q1	DY1 Q2	DY1 Q3	DY1 Q4	DY2 Q1
<b>Grievances and appeals</b>	DY1 Q1	DY1 Q2	DY1 Q3	DY1 Q4	DY2 Q1
<b>Other monthly and quarterly metrics</b>	NA	DY1 Q1	DY1 Q2	DY1 Q3	DY1 Q4
<b>Annual metrics that are established quality measures*</b>	NA	NA	NA	All states except those with DY ending 12/31: DY1 (Q1-Q4)	States with DY ending on 12/31: DY2 Q1
<b>Other annual metrics</b>	NA	NA	NA	NA	DY1

DY = Demonstration year

NA = not applicable (information not expected to be included in report)

\* Metrics that are established quality measures should be calculated for the calendar year. All other metrics should be calculated for the SUD demonstration year.