

DEPARTMENT OF HEALTH & HUMAN SERVICES
Centers for Medicare & Medicaid Services
7500 Security Boulevard, Mail Stop S2-25-26
Baltimore, Maryland 21244-1850



State Demonstrations Group

April 2, 2021

Stephen M. Groff
Medicaid Director
Division of Medicaid and Medical Assistance
Department of Health and Social Services
1901 N. Dupont Highway
New Castle, DE 19720

Dear Mr. Groff:

The Centers for Medicare & Medicaid Services (CMS) completed its review of the Substance Use Disorder (SUD) Monitoring Protocol, which is required by the Special Terms and Conditions (STC) of Delaware's section 1115 demonstration, "Delaware Diamond State Health Plan 1115 Demonstration" (Project Number 11-W-00036/4). CMS determined that the monitoring protocol, which was submitted on April 15, 2020, meets the requirements set forth in the STCs, and thereby approves the state's SUD monitoring protocol.

The monitoring protocol is approved for the demonstration period through December 31, 2023 and is hereby incorporated into the demonstration STCs as Attachment J (see attached). The approved SUD monitoring protocol may now be posted to your state's Medicaid website.

If you have any questions, please contact your CMS project officer, Thomas Long. Mr. Long is available to answer any questions concerning your section 1115 demonstration and may be reached by email at thomas.long@cms.hhs.gov.

We look forward to our continued partnership on the Delaware Diamond State Health Plan section 1115 demonstration.

Sincerely,

**Danielle
Daly -S** Digitally signed by
Danielle Daly -S
Date: 2021.04.02
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Danielle Daly
Director
Division of Demonstration
Monitoring and Evaluation

**Andrea J.
Casart -S** Digitally signed by
Andrea J. Casart -
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Date: 2021.04.05
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Andrea J. Casart
Director
Division of Eligibility and
Coverage Demonstrations

cc: Talbatha Myatt, State Monitoring Lead, CMS Medicaid and CHIP Operations Group

1. Title Page for the State’s SUD Demonstration or SUD Components of Broader Demonstration

The state should complete this Title Page as part of its SUD Monitoring Protocol. This form should be submitted as the title page for all Monitoring Reports. The content of this table should stay consistent over time.

State	Delaware
Demonstration name	Diamond State Health Plan
Approval date for demonstration	08/01/2019
Approval period for SUD	08/01/2019 – 12/31/2023
Approval date for SUD, if different from above	
Implementation date of SUD, if different from above	
SUD (or if broader demonstration, then SUD - related) demonstration goals and objectives	Increase enrollee access and utilization of appropriate SUD treatment services; decrease use of medically inappropriate and avoidable high-cost emergency and hospital services; increase initiation of follow-up SUD treatment after emergency department discharge; and reduce SUD readmission rates.

2. Proposed Modifications to SUD Narrative Information on Implementation, by Milestone or Reporting Topic

Summary of proposed modification	Related metric (if any)	Justification for modification
1. Assessment of Need and Qualification for SUD Services		
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
2. Access to Critical Levels of Care for OUD and other SUDs (Milestone 1)		
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
3. Use of Evidence-based, SUD-specific Patient Placement Criteria (Milestone 2)		
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
4. Use of Nationally Recognized SUD-specific Program Standards to Set Provider Qualifications for Residential Treatment Facilities (Milestone 3)		
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
5. Sufficient Provider Capacity at Critical Levels of Care including for Medication Assisted Treatment for OUD (Milestone 4)		
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		

Summary of proposed modification	Related metric (if any)	Justification for modification
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
6. Implementation of Comprehensive Treatment and Prevention Strategies to Address Opioid Abuse and OUD (Milestone 5)		
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
7. Improved Care Coordination and Transitions between Levels of Care (Milestone 6)		
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
8. SUD Health Information Technology (Health IT)		
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
9. Other SUD-related Metrics		
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
10. Budget Neutrality		
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		

Summary of proposed modification	Related metric (if any)	Justification for modification
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
11. SUD-Related Demonstration Operations and Policy		
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
12. SUD Demonstration Evaluation Update		
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
13. Other Demonstration Reporting		
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
14. Notable State Achievements and/or Innovations		
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		

3. Acknowledgement of Budget Neutrality Reporting-

The state has reviewed the Budget Neutrality workbook provided by the project officer and understands the expectations for quarterly and annual monitoring reports. The state will provide the requested budget neutrality information (no modifications).

4. Retrospective reporting

If a state's monitoring protocol is approved after its first quarterly monitoring report submission date, the state should report data to CMS retrospectively for any prior quarters of SUD demonstration implementation. States are expected to submit retrospective metrics data in the state's second monitoring report submission after monitoring protocol approval, or propose an alternative plan for reporting retrospectively on its SUD demonstration.

In the monitoring report submission containing retrospective metrics data, the state should also provide a general assessment of metrics trends from the start of the state's demonstration through the end of the current reporting period. The state should report this information in Part B of its report submission (Table 3: Narrative Information on Implementation, by Milestone and Reporting Topic). This general assessment is not intended to be a comprehensive description of every trend observed in metrics data (for example, unlike other monitoring report submissions, the state is not required to describe all metrics changes (+ or - greater than 2 percent). Rather, the assessment is an opportunity for states to provide context for its retrospective metrics data, to support CMS's review and interpretation. For example, consider a state that submits data showing an increase in the number of medication assisted treatment (MAT) providers (Metric #14) over the course of the retrospective reporting period. The state may decide to highlight this trend to CMS in Part B of its report (under Milestone 4) by briefly summarizing the trend and providing context that during this period, the state implemented a grant that supported training for new MAT providers throughout the state.

The state will report retrospectively for any quarters prior to monitoring protocol approval as described above, in the state's second monitoring report submission after protocol approval.

The state proposes an alternative plan to report retrospectively for any quarters prior to monitoring protocol approval: *DY2Q4 will be submitted on March 31, 2021 for approval. Once approved retrospective reports for DY1Q3-DY2Q3 will be submitted on August 29, 2021.*

5. Reporting SUD Demonstration Metrics and Narrative Information

The state should review the guidance in Appendix A of the instructions document in order to attest it will follow CMS's guidance on reporting metrics and narrative information, or propose any deviations. The state should complete Table A below to reflect its proposed reporting schedule for the duration of its SUD demonstration approval period.

The state has completed the table below according to the guidance in Appendix A of the instructions document and attests to reporting metrics and narrative information in its quarterly and annual reports according as described.

The state has reviewed Appendix A of the instructions document and completed the table below with the following deviations: *Insert narrative description of proposed changes to reporting. State should provide justification for any proposed deviation.*

Table A. Delaware reporting in quarterly and annual monitoring reports

Dates of reporting quarter	Broader 1115 DY (if applicable)	SUD DY	Report due (per STCs schedule)	Measurement period associated with SUD information in report, by reporting category
August 1, 2019 –September 30, 2019*	DY24Q3	DY1Q3	11/29/2019	<ul style="list-style-type: none"> Protocol in development
October 1, 2019 – December 31, 2019	DY24Q4 (annual monitoring report)	DY1Q4	3/31/2020	<ul style="list-style-type: none"> Protocol in development
January 1, 2020 – March 31, 2020	DY25Q1	DY2Q1	5/30/2020	<ul style="list-style-type: none"> Protocol in development
April 1, 2020 – June 30, 2020	DY25Q2	DY2Q2	8/29/2020	<ul style="list-style-type: none"> Protocol in development
July 1, 2020 – September 30, 2020	DY25Q3	DY2Q3	11/29/2020	<ul style="list-style-type: none"> Protocol in development
October 1, 2020 – December 31, 2020	DY25Q4 (annual monitoring report)	DY2Q4	3/31/2021	<ul style="list-style-type: none"> Narrative information for SUD DY2Q4 Other monthly and quarterly metrics for SUD DY2Q3
January 1, 2021 – March 31, 2021	DY26Q1	DY3Q1	5/30/2021	<ul style="list-style-type: none"> Narrative information for SUD DY3Q1 Other monthly and quarterly metrics for SUD DY2Q4 Other annual metrics for SUD DY2 (which for DE is CY 2020)
April 1, 2021 – June 30, 2021	DY26Q2	DY3Q2	8/29/2021	<ul style="list-style-type: none"> Narrative information for SUD DY3Q2 Other monthly and quarterly metrics for SUD DY3Q1

Dates of reporting quarter	Broader 1115 DY (if applicable)	SUD DY	Report due (per STCs schedule)	Measurement period associated with SUD information in report, by reporting category
				<ul style="list-style-type: none"> • Annual metrics that are established quality measures for SUD DY2 (calculated for CY 2020) • Retrospective Reporting: <ul style="list-style-type: none"> ○ Monthly and quarterly metrics for SUD DY1Q3 – SUD DY2Q3 ○ Annual metrics that are established quality measures for SUD DY1 (which for DE is CY 2019) • Other annual metrics for SUD DY1 (which for Delaware is CY 2019)
July 1, 2021 – September 30, 2021	DY26Q3	DY3Q3	11/29/2021	<ul style="list-style-type: none"> • Narrative information for SUD DY3Q3 • Other monthly and quarterly metrics for SUD DY3Q2
October 1, 2021 – December 31, 2021	DY26Q4 (annual monitoring report)	DY3Q4	3/31/2022	<ul style="list-style-type: none"> • Narrative information for SUD DY3Q4 • Other monthly and quarterly metrics for SUD DY3Q3
January 1, 2022 – March 31, 2022	DY27Q1	DY4Q1	5/30/2022	<ul style="list-style-type: none"> • Narrative information for SUD DY4Q1 • Other monthly and quarterly metrics for SUD DY3Q4 • Other annual metrics for SUD DY3
April 1, 2022 – June 30, 2022	DY27Q2	DY4Q2	8/29/2022	<ul style="list-style-type: none"> • Narrative information for SUD DY4Q2 • Other monthly and quarterly metrics for SUD DY4Q1 • Annual metrics that are established quality measures for SUD DY3 (calculated for CY 2021)
July 1, 2022 – September 30, 2022	DY27Q3	DY4Q3	11/29/2022	<ul style="list-style-type: none"> • Narrative information for SUD DY4Q3 • Other monthly and quarterly metrics for SUD DY4Q2

Dates of reporting quarter	Broader 1115 DY (if applicable)	SUD DY	Report due (per STCs schedule)	Measurement period associated with SUD information in report, by reporting category
October 1, 2022 – December 31, 2022	DY27Q4 (annual monitoring report)	DY4Q4	3/31/2023	<ul style="list-style-type: none"> Narrative information for SUD DY4Q4 Other monthly and quarterly metrics for SUD DY4Q3
January 1, 2023 – March 31, 2023	DY28Q1	DY5Q1	5/30/2023	<ul style="list-style-type: none"> Narrative information for SUD DY5Q1 Other monthly and quarterly metrics for SUD DY4Q4 Other annual metrics for SUD DY4
April 1, 2023 – June 30, 2023	DY28Q2	DY5Q2	8/29/2023	<ul style="list-style-type: none"> Narrative information for SUD DY5Q2 Other monthly and quarterly metrics for SUD DY5Q1 Annual metrics that are established quality measures for SUD DY4 (calculated for CY 2022)
July 1, 2023 – September 30, 2023	DY28Q3	DY5Q3	11/29/2023	<ul style="list-style-type: none"> Narrative information for SUD DY5Q3 Other monthly and quarterly metrics for SUD DY5Q2
October 1, 2023 – December 31, 2023	DY28Q4 (annual monitoring report)	DY5Q4	3/30/2024	<ul style="list-style-type: none"> Narrative information for SUD DY5Q4 Other monthly and quarterly metrics for SUD DY5Q3

* The SUD component of Delaware’s broader section 1115 demonstration and the SUD implementation plan were approved on August 1, 2019. To align with the state’s broader demonstration years, the first year of the SUD component is considered to include two quarters (SUD DY1Q3 and SUD DY1Q4), spanning August 1, 2019 – December 31, 2019. The “broader 1115 DY” column identifies the broader section 1115 demonstration years as defined in the STCs, for reference. The approval period for the current demonstration period ends December 31, 2023.