

## **Medicaid and CHIP State Plan, Waiver, and Program Submissions**

**PRA Disclosure Statement** - This information is being collected to assist the Centers for Medicare & Medicaid Services in program monitoring of Medicaid Section 1115 Substance Use Disorder Demonstrations. This mandatory information collection (42 CFR § 431.428) will be used to support more efficient, timely and accurate review of states' SUD 1115 demonstrations monitoring reports submissions to support consistency of monitoring and evaluation of SUD 1115 Demonstrations, increase in reporting accuracy, and reduce timeframes required for monitoring and evaluation. Under the Privacy Act of 1974 any personally identifying information obtained will be kept private to the extent of the law. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid Office of Management and Budget (OMB) control number. The OMB control number for this project is **0938-1148 (CMS-10398 #57)**." If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Mail Stop C4-26-05, Baltimore, Maryland 21244-1850.

**1. Title Page for the State’s SUD Demonstration or SUD Components of Broader Demonstration**

<b>State</b>	<b>Kansas</b>
<b>Demonstration Name</b>	<b>KanCare</b>
<b>Approval Date</b>	<b>August 7, 2019</b>
<b>Approval Period</b>	<b>January 1, 2019 – December 31, 2023</b>
<b>SUD (or if broader demonstration, then SUD Related) Demonstration Goals and Objectives</b>	<b>Under this SUD Demonstration, KanCare beneficiaries will have access to high quality, evidence-based OUD and other SUD treatment services ranging from medically supervised withdrawal management to on-going chronic care for these conditions cost-effective settings while also improving care coordination and care for comorbid physical and mental health conditions.</b>

## 2. Executive Summary

Despite the COVID-19 pandemic related challenges, Kansas continued to make progress on Demonstration implementation activities during DY3Q1. Highlights include:

- State Opioid Response program trained 539 total accumulative attendees and distributed 4,314 Naloxone kits. In addition to supplying Naloxone, the State Opioid Response program provided other materials: overdose pocket guides, treatment referral cards, and instructions for administering Naloxone following training.
  - The entire staff of the Kansas Department of Wildlife, Parks, and Tourism was trained so that all parks in the state are covered
- Continued development of policy to include coverage of Methadone for Medication Assisted Treatment for KanCare beneficiaries.
- Several media campaigns have been implemented to increase awareness of substance use and services offered throughout the state. The It Matters/ Learn, Lock, Lead campaign is targeted at 55+ with display and video (30,000 smart TV's viewing video app and 1.3 million impressions on website and medical apps); and University of Kansas & Kansas-State Media Campaign will utilize Kansas State University Head Football Coach Chris Klieman this week for stimulant prevention
- The Kansas University Medical Center has provided 1-1 education to three providers around opioid prescribing safety and overdose prevention. The Board of Healing Arts has implemented a requirement for providers to have at least one CE credit for overdose prevention/safe prescribing/pain management, whereby this initiative may fulfill that. Efforts are ongoing for recruitments.
- The Kansas Opioid and Stimulant Conference will be held in person/virtually on Wednesday, November 10, 2021, in Topeka. Speakers and proposals for presentations are currently being recruited and accepted. A location is being sought after the past conference center has undergone bankruptcy and is now under new management.

**3. Narrative Information on Implementation, by Reporting Topic**

Prompts	Demonstration year (DY) and quarter first reported	Related metric (if any)	Summary
<b>1.2 Assessment of Need and Qualification for SUD Services</b>			
<b>1.2.1 Metric Trends</b>			
Discuss any relevant trends that the data shows related to assessment of need and qualification for SUD services. At a minimum, changes (+ or -) greater than two percent should be described.			
<input checked="" type="checkbox"/> The state has no metrics trends to report for this reporting topic.			
<b>1.2.2 Implementation Update</b>			
Compared to the demonstration design details outlined in the STCs and implementation plan, have there been any changes or does the state expect to make any changes to: A) the target population(s) of the demonstration? B) the clinical criteria (e.g., SUD diagnoses) that qualify a beneficiary for the demonstration?			
Are there any other anticipated program changes that may impact metrics related to assessment			

of need and qualification for SUD services? If so, please describe these changes.			
<input checked="" type="checkbox"/> The state has no implementation update to report for this reporting topic.			
<b>2.2 Access to Critical Levels of Care for OUD and other SUDs (Milestone 1)</b>			
<b>2.2.1 Metric Trends</b>			
Discuss any relevant trends that the data shows related to assessment of need and qualification for SUD services. At a minimum, changes (+ or -) greater than two percent should be described.	DY2Q1  DY2Q1  DY2Q1  DY2Q1  DY2Q1  DY2Q1  DY2Q3	<i>Metric 6</i>  <i>Metric 8</i>  <i>Metric 9</i>  <i>Metric 10</i>  <i>Metric 11</i>  <i>Metric 12</i>	<p>The number of beneficiaries enrolled in the measurement period in the last quarter increased by 11% due to the substance use services and programs beginning to open services to normal capacity with the increase of vaccinations and safety precautions.</p> <p>The number of beneficiaries who used outpatient services for SUD services in the last quarter increased by 13% due to the substance use services and programs beginning to open services to normal capacity with the increase of vaccinations and safety precautions.</p> <p>The number of beneficiaries who used outpatient and/ or partial hospitalization service for SUD in the last quarter increased by 8% due to the substance use services and programs beginning to open services to normal capacity with the increase of vaccinations and safety precautions.</p> <p>The number of beneficiaries who use residential and/ or inpatient services for SUD in the measurement period in the last quarter increased by 10% due to the substance use services and programs beginning to open services to normal capacity with the increase of vaccinations and safety precautions.</p> <p>The number of beneficiaries who use withdrawal management services in the last quarter increased by 57% due to the substance use services and programs beginning to open services to normal capacity with the increase of vaccinations and safety precautions.</p> <p>The number of beneficiaries who have a claim for medication assisted treatment for substance use disorders in the last quarter increased by 11% due to the substance use</p>

			services and programs beginning to open services to normal capacity with the increase of vaccinations and safety precautions.
<input type="checkbox"/> The state has no metrics trends to report for this reporting topic.			
<b>2.2.2 Implementation Update</b>			
<p>Compared to the demonstration design and operational details outlined the implementation plan, have there been any changes or does the state expect to make any changes to:</p> <p>a. Planned activities to improve access to SUD treatment services across the continuum of care for Medicaid beneficiaries (e.g. outpatient services, intensive outpatient services, medication assisted treatment, services in intensive residential and inpatient settings, medically supervised withdrawal management)?</p> <p>b. SUD benefit coverage under the Medicaid state plan or the Expenditure Authority, particularly for residential treatment, medically</p>			

supervised withdrawal management, and medication assisted treatment services provided to individuals in IMDs?			
Are there any other anticipated program changes that may impact metrics related to access to critical levels of care for OUD and other SUDs? If so, please describe these changes.			
<input checked="" type="checkbox"/> The state has no implementation updates to report for this reporting topic.			
<b>3.2 Use of Evidence-based, SUD-specific Patient Placement Criteria (Milestone 2)</b>			
<b>3.2.1 Metric Trends</b>			
Discuss any relevant trends that the data shows related to assessment of need and qualification for SUD services. Changes (+ or -) greater than two percent should be described.			
<input type="checkbox"/> The state is reporting metrics related to Milestone 2, but has no metrics trends to report for this reporting topic.			
<input checked="" type="checkbox"/> The state is not reporting any metrics related to this reporting topic.			
<b>3.2.2 Implementation Update</b>			
Compared to the demonstration design and operational details outlined the implementation plan, have there been any changes or does the state expect to make any changes to:			

<p>a. Planned activities to improve providers’ use of evidence-based, SUD-specific placement criteria?</p> <p>b. Implementation of a utilization management approach to ensure:</p> <ul style="list-style-type: none"> <li>i. Beneficiaries have access to SUD services at the appropriate level of care?</li> <li>ii. Interventions are appropriate for the diagnosis and level of care?</li> <li>iii. Use of independent process for reviewing placement in residential treatment settings?</li> </ul>			
<p>Are there any other anticipated program changes that may impact metrics related to the use of evidence-based, SUD-specific patient placement criteria (if the state is reporting such metrics)? If so, please describe these changes.</p>			
<p><input checked="" type="checkbox"/> The state has no implementation updates to report for this reporting topic.</p>			
<p><b>4.2 Use of Nationally Recognized SUD-specific Program Standards to Set Provider Qualifications for Residential Treatment Facilities (Milestone 3)</b></p>			
<p><b>4.2.1 Metric Trends</b></p>			
<p>Discuss any relevant trends that the data shows related to assessment of need and</p>			



qualification for SUD services. Changes (+ or -) greater than two percent should be described.			
<input type="checkbox"/> The state is reporting metrics related to Milestone 3, but has no metrics trends to report for this reporting topic.			
<input checked="" type="checkbox"/> The state is not reporting any metrics related to this reporting topic.			
<b>4.2.2 Implementation Update</b>			
Compared to the demonstration design and operational details outlined the implementation plan, have there been any changes or does the state expect to make any changes to: a. Implementation of residential treatment provider qualifications that meet the ASAM Criteria or other nationally recognized, SUD-specific program standards? b. State review process for residential treatment providers' compliance with qualifications standards? c. Availability of medication assisted treatment at residential treatment facilities, either on-site or through facilitated access to services off site?			
Are there any other anticipated program changes that may impact metrics related to the use of			

<p>nationally recognized SUD-specific program standards to set provider qualifications for residential treatment facilities (if the state is reporting such metrics)? If so, please describe these changes.</p>			
<p><input checked="" type="checkbox"/> The state has no implementation updates to report for this reporting topic.</p>			
<p><b>5.2 Sufficient Provider Capacity at Critical Levels of Care including for Medication Assisted Treatment for OUD (Milestone 4)</b></p>			
<p><b>5.2.1 Metric Trends</b></p>			
<p>Discuss any relevant trends that the data shows related to assessment of need and qualification for SUD services. At a minimum, changes (+ or -) greater than two percent should be described.</p>			
<p><input checked="" type="checkbox"/> The state has no metrics trends to report for this reporting topic.</p>			
<p><b>5.2.2 Implementation Update</b></p>			
<p>Compared to the demonstration design and operational details outlined the implementation plan, have there been any changes or does the state expect to make any changes to planned activities to assess the availability of providers enrolled in Medicaid and accepting new patients in across the continuum of SUD care?</p>			

<p>Are there any other anticipated program changes that may impact metrics related to provider capacity at critical levels of care, including for medication assisted treatment (MAT) for OUD? If so, please describe these changes.</p>			
<p><input checked="" type="checkbox"/> The state has no implementation updates to report for this reporting topic.</p>			
<p><b>6.2 Implementation of Comprehensive Treatment and Prevention Strategies to Address Opioid Abuse and OUD (Milestone 5)</b></p>			
<p><b>6.2.1 Metric Trends</b></p>			
<p>Discuss any relevant trends that the data shows related to assessment of need and qualification for SUD services. At a minimum, changes (+ or -) greater than two percent should be described.</p>	<p><i>DY2Q3</i></p>	<p><i>Metric 15</i></p>	<p>The percentage of beneficiaries who initiated treatment through an inpatient AOD admission, outpatient visit, intensive outpatient encounter or partial hospitalization, telehealth or MAT within 14 days enrolled in the measurement period in the last year increased by 6% due to the substance use services and programs beginning to open services to normal capacity with the increase of vaccinations and safety precautions.</p>
	<p><i>DY2Q3</i></p>	<p><i>Metric 18</i></p>	<p>The number of opioids at high dosage in person without cancer enrolled in the measurement period in the last year decreased by 6% due to opioid and substance use awareness campaigns and prescriber’s awareness.</p>
	<p><i>DY2Q3</i></p>	<p><i>Metric 21</i></p>	<p>The number of concurrent use of opioids and benzodiazepines measured in the last year increased by 9%.</p>
	<p><i>DY2Q3</i></p>	<p><i>Metric 22</i></p>	<p>The continuity of pharmacotherapy for opioid use disorder use in the measurement period in the last year decreased by 74% due to because beneficiaries are not seeking readmissions during COVID-19 with limited spaces and longer treatment wait times.</p>

<input checked="" type="checkbox"/> The state has no metrics trends to report for this reporting topic.			
<b>6.2.2 Implementation Update</b>			
Compared to the demonstration design and operational details outlined the implementation plan, have there been any changes or does the state expect to make any changes to: a. Implementation of opioid prescribing guidelines and other interventions related to prevention of OUD? b. Expansion of coverage for and access to naloxone?			
Are there any other anticipated program changes that may impact metrics related to the implementation of comprehensive treatment and prevention strategies to address opioid abuse and OUD? If so, please describe these changes.			
<i>[Add rows as needed]</i>			
<input checked="" type="checkbox"/> The state has no implementation updates to report for this reporting topic.			
<b>7.2 Improved Care Coordination and Transitions between Levels of Care (Milestone 6)</b>			
<b>7.2.1 Metric Trends</b>			
Discuss any relevant trends that the data shows related to assessment of need and qualification for SUD services. At a minimum, changes (+ or -)	<i>DY2Q1</i>	<i>Metric 17(2)</i>	The number of follow-ups after emergency department visit for mental illness enrolled in the measurement period in the last year increased by 6% due to less access to mental health services during COVID-19 so individuals are accessing ED visits for mental health issues in interim.

greater than two percent should be described.			
<input type="checkbox"/> The state has no metrics trends to report for this reporting topic.			
<b>7.2.2 Implementation Update</b>			
Compared to the demonstration design and operational details outlined the implementation plan, have there been any changes or does the state expect to make any changes to implementation of policies supporting beneficiaries' transition from residential and inpatient facilities to community-based services and supports?			
Are there any other anticipated program changes that may impact metrics related to care coordination and transitions between levels of care? If so, please describe these changes.			
<input checked="" type="checkbox"/> The state has no implementation updates to report for this reporting topic.			
<b>8.2 SUD Health Information Technology (Health IT)</b>			
<b>8.2.1 Metric Trends</b>			
Discuss any relevant trends that the data shows related to assessment of need and qualification for SUD services.			

Changes (+ or -) greater than two percent should be described.			
<input checked="" type="checkbox"/> The state has no metrics trends to report for this reporting topic.			
<b>11.2.2 Implementation Update</b>			
<p>Compared to the demonstration design and operational details outlined in STCs and implementation plan, have there been any changes or does the state expect to make any changes to:</p> <ul style="list-style-type: none"> <li>a. How health IT is being used to slow down the rate of growth of individuals identified with SUD?</li> <li>b. How health IT is being used to treat effectively individuals identified with SUD?</li> <li>c. How health IT is being used to effectively monitor “recovery” supports and services for individuals identified with SUD?</li> <li>d. Other aspects of the state’s plan to develop the health IT infrastructure/capabilities at the state, delivery system, health plan/MCO, and individual provider levels?</li> </ul>			

<p>e. Other aspects of the state’s health IT implementation milestones?          f. The timeline for achieving health IT implementation milestones?          g. Planned activities to increase use and functionality of the state’s prescription drug monitoring program?</p>			
<p>Are there any other anticipated program changes that may impact metrics related to SUD Health IT (if the state is reporting such metrics)? If so, please describe these changes.</p>			
<p><input checked="" type="checkbox"/> The state has no implementation updates to report for this reporting topic.</p>			
<p><b>9.2 Other SUD-Related Metrics</b></p>			
<p><b>9.2.1 Metric Trends</b></p>			
<p>Discuss any relevant trends that the data shows related to assessment of need and qualification for SUD services. At a minimum, changes (+ or -) greater than two percent should be described.</p>	<p><i>DY2Q1</i></p> <p><i>DY2Q1</i></p>	<p><i>Metric 23</i></p> <p><i>Metric 24</i></p>	<p>The total number of ED visits for substance use disorder number of beneficiaries enrolled in the measurement period in the last quarter increased by 6% due to the substance use services and programs beginning to open services to normal capacity with the increase of vaccinations and safety precautions.</p> <p>The total number of inpatient stays for substance use disorder for Medicaid beneficiaries enrolled in the measurement period in the last quarter increased by 3% due to the substance use services and programs beginning to open services to normal capacity with the increase of vaccinations and safety precautions.</p>

	<i>DY2Q1</i>	<i>Metric 26</i>	The total number of overdose deaths count in the measurement period in the last year decreased by 45%.
	<i>DY2Q1</i>	<i>Metric 27</i>	The total number of overdose deaths rate in the measurement period in the last year decreased by 48%.
	<i>DY2Q1</i>	<i>Metric 34</i>	The total number of appeals related to SUD treatment services in the measurement period in the last quarter increased by 200%.
<i>[Add rows as needed]</i>			
<input checked="" type="checkbox"/> The state has no metrics trends to report for this reporting topic.			
<b>9.2.2 Implementation Update</b>			
Are there any anticipated program changes that may impact the other SUD-related metrics? If so, please describe these changes.			
<i>[Add rows as needed]</i>			
<input checked="" type="checkbox"/> The state has no implementation updates to report for this reporting topic.			
<b>10.2 Budget Neutrality</b>			
<b>10.2.1 Current status and analysis</b>			
Discuss the current status of budget neutrality and provide an analysis of the budget neutrality to date. If the SUD component is part of a comprehensive demonstration, the state should provide an analysis of the SUD-related budget neutrality and an analysis of budget neutrality as a whole.			



<input checked="" type="checkbox"/> The state has no metrics trends to report for this reporting topic.			
<b>10.2.2 Implementation Update</b>			
Are there any anticipated program changes that may impact budget neutrality? If so, please describe these changes.			
<input checked="" type="checkbox"/> The state has no implementation updates to report for this reporting topic.			
<b>11.1 SUD-Related Demonstration Operations and Policy</b>			
<b>11.1.1 Considerations</b>			
Highlight significant SUD (or if broader demonstration, then SUD-related) demonstration operations or policy considerations that could positively or negatively impact beneficiary enrollment, access to services, timely provision of services, budget neutrality, or any other provision that has potential for beneficiary impacts. Also note any activity that may accelerate or create delays or impediments in achieving the SUD demonstration’s approved goals or objectives, if not already reported elsewhere in this document. See report template instructions for more detail.			The onset of the COVID-19 pandemic may have significant impact on Demonstration outcomes this reporting period. Public health measures including stay-at-home orders and gathering restrictions may delay some demonstration goals to have been achieved by training and outreach activities. The impact of these events on the demonstration will be more evident once future quarterly metrics are reported.
<i>[Add rows as needed]</i>			

<input type="checkbox"/> The state has no related considerations to report for this reporting topic.			
<b>11.1.2 Implementation Update</b>			
<p>Compared to the demonstration design and operational details outlined in STCs and the implementation plan, have there been any changes or does the state expect to make any changes to:</p> <ul style="list-style-type: none"> <li>a. How the delivery system operates under the demonstration (e.g. through the managed care system or fee for service)?</li> <li>b. Delivery models affecting demonstration participants (e.g. Accountable Care Organizations, Patient Centered Medical Homes)?</li> <li>c. Partners involved in service delivery?</li> </ul>			
<p>Has the state experienced any significant challenges in partnering with entities contracted to help implement the demonstration (e.g., health plans, credentialing vendors, private sector providers)? Has the state noted any performance issues with contracted entities?</p>			
<p>What other initiatives is the state working on related to SUD or</p>			<p>Kansas also engaged in planning and distribution of additional federal funding to Substance Use Disorder programs across the state from CARES Act funding. This funding</p>

<p>           OUD? How do these initiatives relate to the SUD demonstration?            How are they similar to or different from the SUD demonstration?         </p>			<p>will be available to those not eligible for KanCare benefits but will continue to support expanded access of services across the state.</p>
<p><i>[Add rows as needed]</i></p>			
<p><input type="checkbox"/> The state has no implementation updates to report for this reporting topic.</p>			
<p><b>12.1 SUD Demonstration Evaluation Update</b></p>			
<p><b>12.1.1 Narrative Information</b></p>			
<p>Provide updates on SUD evaluation work and timeline. The appropriate content will depend on when this report is due to CMS and the timing for the demonstration. See report template instructions for more details.</p>			
<p>Provide status updates on deliverables related to the demonstration evaluation and indicate whether the expected timelines are being met and/or if there are any real or anticipated barriers in achieving the goals and timeframes agreed to in the STCs.</p>			
<p>List anticipated evaluation-related deliverables related to this demonstration and their due dates.</p>			<p>Outstanding evaluation-related deliverables:</p> <ul style="list-style-type: none"> <li>• Draft Interim Evaluation Report – due January 1, 2023</li> <li>• Final Interim Evaluation Report – due 60 days after receipt of CMS comments</li> <li>• Draft Summative Evaluation Report – due June 30, 2025</li> </ul>

			<ul style="list-style-type: none"> <li>Final Summative Evaluation Report – due 60 calendar days after receipt of CMS comments</li> </ul>
<i>[Add rows as needed]</i>			
<input type="checkbox"/> The state has no SUD demonstration evaluation update to report for this reporting topic..			
<b>13.1 Other Demonstration Reporting</b>			
<b>13.1.1 General Reporting Requirements</b>			
Have there been any changes in the state’s implementation of the demonstration that might necessitate a change to approved STCs, implementation plan, or monitoring protocol?			
Does the state foresee the need to make future changes to the STCs, implementation plan, or monitoring protocol, based on expected or upcoming implementation changes?			
Compared to the details outlined in the STCs and the monitoring protocol, has the state formally requested any changes or does the state expect to formally request any changes to: a. The schedule for completing and submitting monitoring reports? b. The content or completeness of submitted reports? Future reports?			
Has the state identified any real or anticipated issues submitting			

timely post-approval demonstration deliverables, including a plan for remediation?			
<input checked="" type="checkbox"/> The state has no updates on general reporting requirements to report for this reporting topic.			
<b>13.1.2 Post Award Public Forum</b>			
If applicable within the timing of the demonstration, provide a summary of the annual post-award public forum held pursuant to 42 CFR § 431.420(c) indicating any resulting action items or issues. A summary of the post-award public forum must be included here for the period during which the forum was held and in the annual report.			
<input checked="" type="checkbox"/> There was not a post-award public forum held during this reporting period and this is not an annual report, so the state has no post award public forum update to report for this reporting topic.			
<b>14.1 Notable State Achievements and/or Innovations</b>			
<b>14.1 Narrative Information</b>			
Provide any relevant summary of achievements and/or innovations in demonstration enrollment, benefits, operations, and policies pursuant to the hypotheses of the SUD (or if broader demonstration, then SUD related) demonstration or that served to provide better care for individuals, better health for			

<p>populations, and/or reduce per capita cost. Achievements should focus on significant impacts to beneficiary outcomes. Whenever possible, the summary should describe the achievement or innovation in quantifiable terms, e.g., number of impacted beneficiaries.</p>			
<input checked="" type="checkbox"/> The state has no notable achievements or innovations to report for this reporting topic.			