

DEPARTMENT OF HEALTH & HUMAN SERVICES  
Centers for Medicare & Medicaid Services  
7500 Security Boulevard, Mail Stop S2-25-26  
Baltimore, Maryland 21244-1850



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## State Demonstrations Group

July 21, 2020

Nicole Comeaux  
Director, Medical Assistance Division  
New Mexico Human Services Department  
State Capitol, Room 400  
Santa Fe, NM 87501

Dear Ms. Comeaux:

Thank you to you and your staff for your work on the monitoring protocol for the substance use disorder (SUD) component of the state's section 1115 demonstration, "New Mexico Centennial Care 2.0" (Project No: 11-W-00285/6). The SUD monitoring protocol submitted to the Centers for Medicare & Medicaid Services (CMS) on June 12, 2020 has been found to fulfill the requirements set forth in the Special Terms and Conditions (STC), specifically STC 53, and the State Medicaid Director Letter (SMD #17-003), "Strategies to Address the Opioid Epidemic."

The monitoring protocol is approved for the demonstration period through December 31, 2023 and is hereby incorporated into the demonstration STCs as Attachment N (see attached). Per 42 CFR 431.424(c), the approved SUD monitoring protocol may now be posted to your state's Medicaid website.

If you have any questions, please contact your CMS project officer, Mr. Michael Trieger. Mr. Trieger is available to answer any questions concerning your section 1115 demonstration and may be reached either by phone at 410-786-0745 or by email at [Michael.Trieger1@cms.hhs.gov](mailto:Michael.Trieger1@cms.hhs.gov).

We look forward to our continued partnership on the New Mexico Centennial Care 2.0 section 1115 demonstration.

Sincerely,

Angela D.  
Garner -S

Digitally signed by Angela D.  
Garner -S  
Date: 2020.07.21 13:48:51  
-04'00'

Angela D. Garner  
Director  
Division of System Reform  
Demonstrations

cc: Peter Banks, State Monitoring Lead, CMS Medicaid and CHIP Operations Group

**1. Title Page for the State’s SUD Demonstration or SUD Components of Broader Demonstration**

*The state should complete this Title Page as part of its SUD Monitoring Protocol. This form should be submitted as the title page for all Monitoring Reports. The content of this table should stay consistent over time.*

<b>State</b>	<i>New Mexico</i>
<b>Demonstration name</b>	<i>Centennial Care 2.0 1115 Medicaid Demonstration.</i>
<b>Approval date for demonstration</b>	<i>12/14/2018</i>
<b>Approval period for SUD</b>	<i>01/01/2019-12/31/2023</i>
<b>Approval date for SUD, if different from above</b>	<i>05/21/2019</i>
<b>Implementation date of SUD, if different from above</b>	<i>01/01/2019</i>
<b>SUD (or if broader demonstration, then SUD - related) demonstration goals and objectives</b>	<p>New Mexico’s 1115 waiver application supports and focuses its SUD evaluation on the six goals developed by CMS:</p> <ol style="list-style-type: none"> <li>1. Increased rates of identification, initiation and engagement in treatment for OUD and other SUDs;</li> <li>2. Increased adherence to and retention in treatment for OUD and other SUD;</li> <li>3. Reductions in overdose deaths, particularly those due to opioids;</li> <li>4. Reduced utilization of emergency departments and inpatient hospital settings for OUD</li> </ol> <p>and other SUD treatment where the utilization is preventable or medically inappropriate through improved access to other continuum of care services;</p> <ol style="list-style-type: none"> <li>5. Fewer readmissions to the same or higher level of care where readmission is preventable or medically inappropriate for OUD and other SUD; and</li> <li>6. Improved access to care for physical health conditions among beneficiaries with OUD or other SUDs.</li> </ol> <p>This implementation plan will describe services currently in place, and put forward our plans to implement new services, i.e. our gaps in service options. It is based upon American Society of Addiction Medicine (ASAM) levels of care for the continuum of care and is organized by CMS’s SUD milestones:</p> <ol style="list-style-type: none"> <li>1. Access to critical levels of care for OUD and other SUDs</li> <li>2. Widespread use of evidence-based, SUD-specific patient placement criteria;</li> <li>3. Use of nationally recognized, evidence-based, SUD program standards to set residential treatment provider qualifications;</li> <li>4. Sufficient provider capacity at each level of care, including Medication Assisted Treatment (MAT);</li> <li>5. Implementation of comprehensive treatment and prevention strategies to address opioid abuse and OUD; and</li> <li>6. Improved care coordination and transitions between levels of care.</li> </ol>

**2. Proposed Modifications to SUD Narrative Information on Implementation, by Milestone or Reporting Topic**

Summary of proposed modification	Related metric (if any)	Justification for modification
<b>1. Assessment of Need and Qualification for SUD Services</b>		
New Mexico is not proposing any changes or modifications to the narrative reporting, relative to the expectations described in the SUD Monitoring Report Template.	<b>Number of beneficiaries with a SUD diagnosis and a SUD-related service during the measurement period and/or in the 11 months before the measurement period</b>	N/A
New Mexico is not proposing any changes or modifications to the narrative reporting, relative to the expectations described in the SUD Monitoring Report Template.	<b>Number of beneficiaries with a claim for residential or inpatient treatment for SUD in IMDs during the measurement period</b>	N/A
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
<b>2. Access to Critical Levels of Care for OUD and other SUDs (Milestone 1)</b>		
New Mexico is not proposing any changes or modifications to the narrative reporting, relative to the expectations described in the SUD Monitoring Report Template.	<b>Number of beneficiaries enrolled in the measurement period receiving any SUD treatment service, facility claim, or pharmacy claim during the measurement period</b>	N/A

Summary of proposed modification	Related metric (if any)	Justification for modification
New Mexico is not proposing any changes or modifications to the narrative reporting, relative to the expectations described in the SUD Monitoring Report Template.	<b>Number of beneficiaries who used early intervention services (such as procedure codes associated with SBIRT) during the measurement period</b>	N/A
New Mexico is not proposing any changes or modifications to the narrative reporting, relative to the expectations described in the SUD Monitoring Report Template.	<b>Number of beneficiaries who used outpatient services for SUD (such as outpatient recovery or motivational enhancement therapies, step down care, and monitoring for stable patients) during the measurement period</b>	N/A
New Mexico is not proposing any changes or modifications to the narrative reporting, relative to the expectations described in the SUD Monitoring Report Template.	<b>Number of unique beneficiaries who used intensive outpatient and/or partial hospitalization services for SUD (such as specialized outpatient SUD therapy or other clinical services) during the measurement period</b>	N/A
New Mexico is not proposing any changes or modifications to the narrative reporting, relative to the expectations described in the SUD Monitoring Report Template.	<b>Number of beneficiaries who use residential and/or inpatient services for SUD during the measurement period</b>	N/A
New Mexico is not proposing any changes or modifications to the narrative reporting, relative to the	<b>Number of beneficiaries who use withdrawal management services (such as outpatient, inpatient, or residential) during the measurement period</b>	N/A

Summary of proposed modification	Related metric (if any)	Justification for modification
expectations described in the SUD Monitoring Report Template.		
New Mexico is not proposing any changes or modifications to the narrative reporting, relative to the expectations described in the SUD Monitoring Report Template.	<b>Number of beneficiaries who have a claim for MAT for SUD during the measurement period</b>	N/A
New Mexico is not proposing any changes or modifications to the narrative reporting, relative to the expectations described in the SUD Monitoring Report Template.	<b>The average length of stay for beneficiaries discharged from IMD inpatient or residential treatment for SUD during the measurement period</b>	N/A
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
<b>3. Use of Evidence-based, SUD-specific Patient Placement Criteria (Milestone 2)</b>		
New Mexico is not proposing any changes or modifications to the narrative reporting, relative to the expectations described in the SUD Monitoring Report Template	N/A	N/A

Summary of proposed modification	Related metric (if any)	Justification for modification
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
<b>4. Use of Nationally Recognized SUD-specific Program Standards to Set Provider Qualifications for Residential Treatment Facilities (Milestone 3)</b>		
New Mexico is not proposing any changes or modifications to the narrative reporting, relative to the expectations described in the SUD Monitoring Report Template	N/A	N/A
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
<b>5. Sufficient Provider Capacity at Critical Levels of Care including for Medication Assisted Treatment for OUD (Milestone 4)</b>		
New Mexico is not proposing any changes or modifications to the narrative reporting, relative to the expectations described in the SUD Monitoring Report Template.	<b>The number of providers who were enrolled in Medicaid and qualified to deliver SUD services during the measurement period</b>	N/A
New Mexico is not proposing any changes or modifications to the narrative reporting, relative to the expectations described in the SUD Monitoring Report Template.	<b>The number of providers who were enrolled in Medicaid and qualified to deliver SUD services during the measurement period and who meet the standards to provide buprenorphine or methadone as part of MAT</b>	N/A

Summary of proposed modification	Related metric (if any)	Justification for modification
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
<b>6. Implementation of Comprehensive Treatment and Prevention Strategies to Address Opioid Abuse and OUD (Milestone 5)</b>		
New Mexico is not proposing any changes or modifications to the narrative reporting, relative to the expectations described in the SUD Monitoring Report Template.	<p><b>Percentage of beneficiaries with a new episode of alcohol or other drug (AOD) abuse or dependence who received the following:</b></p> <ul style="list-style-type: none"> <li>• <b>Initiation of AOD Treatment</b>—percentage of beneficiaries who initiated treatment through an inpatient AOD admission, outpatient visit, intensive outpatient encounter or partial hospitalization, telehealth, or MAT within 14 days of the diagnosis</li> <li>• <b>Engagement of AOD Treatment</b>—percentage of beneficiaries who initiated treatment and who had two or more additional AOD services or MAT within 34 days of the initiation visit.</li> </ul> <p><b>The following diagnosis cohorts are reported for each rate: (1) Alcohol abuse or dependence, (2) Opioid abuse or dependence, (3) Other drug abuse or dependence, and (4) Total AOD abuse or dependence. A total of 8 separate rates are reported for this measure.</b></p>	N/A
New Mexico is not proposing any changes or modifications to the narrative reporting, relative to the expectations described in the SUD Monitoring Report Template.	<p><b>Percentage of beneficiaries age 18 and older who received prescriptions for opioids with an average daily dosage greater than or equal to 90 morphine milligram equivalents (MME) over a period of 90 days or more. Beneficiaries with a cancer diagnosis or in hospice are excluded.</b></p>	N/A
New Mexico is not proposing any changes or modifications to the narrative reporting, relative to the expectations described in the	<p><b>Percentage of beneficiaries age 18 and older with concurrent use of prescription opioids and benzodiazepine. Patients with a cancer diagnosis or in hospice are excluded.</b></p>	N/A

Summary of proposed modification	Related metric (if any)	Justification for modification
SUD Monitoring Report Template.		
New Mexico is not proposing any changes or modifications to the narrative reporting, relative to the expectations described in the SUD Monitoring Report Template.	<b>Percentage of adults in the denominator with pharmacotherapy for OUD who have at least 180 days of continuous treatment.</b>	N/A
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
<b>7. Improved Care Coordination and Transitions between Levels of Care (Milestone 6)</b>		
New Mexico is not proposing any changes or modifications to the narrative reporting, relative to the expectations described in the SUD Monitoring Report Template.	<b>Percentage of ED visits for beneficiaries who have a principal diagnosis of AOD abuse or dependence and who had a follow-up visit with a corresponding principal diagnosis for AOD. Two rates are reported:</b>  - Percentage of ED visits for AOD abuse or dependence for which the beneficiary received follow-up within 7 days of the ED visit (8 total days). - Percentage of ED visits for AOD abuse or dependence for which the beneficiary received follow-up within 30 days of the ED visit (31 total days).	N/A
New Mexico is not proposing any changes or modifications to the narrative reporting, relative to the expectations described in the	<b>Percentage of ED visits for beneficiaries who have a principal diagnosis of mental illness and who had a follow-up visit with a corresponding principal diagnosis for mental illness. Two rates are reported:</b>  - Percentage of ED visits for mental illness for which the beneficiary received follow-up within 7 days of the ED visit (8 total days).	N/A



Summary of proposed modification	Related metric (if any)	Justification for modification
SUD Monitoring Report Template.	<b>- Percentage of ED visits for mental illness for which the beneficiary received follow-up within 30 days of the ED visit (31 total days)</b>	
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
<b>8. SUD Health Information Technology (Health IT)</b>		
New Mexico is not proposing any changes or modifications to the narrative reporting, relative to the expectations described in the SUD Monitoring Report Template.	<b>Percentage of providers checking PDMP by provider type (number of PDMP users, number of checks)</b>	N/A
New Mexico is not proposing any changes or modifications to the narrative reporting, relative to the expectations described in the SUD Monitoring Report Template.	<b>Number of providers trained on pain management through Project ECHO and number of training sessions held.</b>	N/A
New Mexico is not proposing any changes or modifications to the narrative reporting, relative to the expectations described in the SUD Monitoring Report Template.	<b>Number of providers and resources managed in provider/resource directory; accuracy of information; frequency of information update</b>	N/A

Summary of proposed modification	Related metric (if any)	Justification for modification
New Mexico is not proposing any changes or modifications to the narrative reporting, relative to the expectations described in the SUD Monitoring Report Template.	<b>Number of clinicians with list of community resources that individuals can be referred to in an e-directory; tracking MAT with counseling and behavioral therapies to treat SUD and prevent opioid overdose</b>	N/A
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
<b>9. Other SUD-related Metrics</b>		
New Mexico is not proposing any changes or modifications to the narrative reporting, relative to the expectations described in the SUD Monitoring Report Template.	<b>Total number of ED visits for SUD per 1,000 beneficiaries in the measurement period.</b>	N/A
New Mexico is not proposing any changes or modifications to the narrative reporting, relative to the expectations described in the SUD Monitoring Report Template.	<b>Total number of inpatient stays per 1,000 beneficiaries in the measurement period.</b>	N/A
New Mexico is not proposing any changes or modifications to the narrative reporting, relative to the expectations described in the	<b>The rate of all-cause readmissions during the measurement period among beneficiaries with SUD.</b>	N/A

Summary of proposed modification	Related metric (if any)	Justification for modification
SUD Monitoring Report Template.		
New Mexico is not proposing any changes or modifications to the narrative reporting, relative to the expectations described in the SUD Monitoring Report Template.	<b>Number of overdose deaths during the measurement period among Medicaid beneficiaries living in a geographic area covered by the demonstration. States are encouraged to report the cause of overdose death as specifically as possible (for example, prescription vs. illicit opioid).</b>	N/A
New Mexico is not proposing any changes or modifications to the narrative reporting, relative to the expectations described in the SUD Monitoring Report Template.	<b>Rate of overdose deaths during the measurement period among adult Medicaid beneficiaries living in a geographic area covered by the demonstration. States are encouraged to report the cause of overdose death as specifically as possible (for example, prescription vs. illicit opioid).</b>	N/A
New Mexico is not proposing any changes or modifications to the narrative reporting, relative to the expectations described in the SUD Monitoring Report Template.	<b>The percentage of Medicaid beneficiaries with SUD who had an ambulatory or preventive care visit during the measurement period.</b>	N/A
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		

Summary of proposed modification	Related metric (if any)	Justification for modification
<b>10. Budget Neutrality</b>		
New Mexico is not proposing any changes or modifications to the narrative reporting, relative to the expectations described in the SUD Monitoring Report Template.	N/A	N/A
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
<b>11. SUD-Related Demonstration Operations and Policy</b>		
New Mexico is not proposing any changes or modifications to the narrative reporting, relative to the expectations described in the SUD Monitoring Report Template.	N/A	N/A
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
<b>12. SUD Demonstration Evaluation Update</b>		
New Mexico is not proposing any changes or modifications to the narrative reporting, relative to the expectations described in the	N/A	N/A

Summary of proposed modification	Related metric (if any)	Justification for modification
SUD Monitoring Report Template.		
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
<b>13. Other Demonstration Reporting</b>		
New Mexico is not proposing any changes or modifications to the narrative reporting, relative to the expectations described in the SUD Monitoring Report Template.	N/A	N/A
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		
<b>14. Notable State Achievements and/or Innovations</b>		
New Mexico is not proposing any changes or modifications to the narrative reporting, relative to the expectations described in the SUD Monitoring Report Template.	N/A	N/A
<input type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information with the modifications described above.		

Summary of proposed modification	Related metric (if any)	Justification for modification
<input checked="" type="checkbox"/> The state has reviewed the corresponding prompts for narrative information in the SUD Monitoring Report Template and confirms that it will report the narrative information as requested (no modifications).		

### 3. Acknowledgement of Budget Neutrality Reporting-

The state has reviewed the Budget Neutrality workbook provided by the project officer and understands the expectations for quarterly and annual monitoring reports. The state will provide the requested budget neutrality information (no modifications).

### 4. Retrospective reporting

If a state's monitoring protocol is approved after its first quarterly monitoring report submission date, the state should report data to CMS retrospectively for any prior quarters of SUD demonstration implementation. States are expected to submit retrospective metrics data in the state's second monitoring report submission after monitoring protocol approval or propose an alternative plan for reporting retrospectively on its SUD demonstration.

In the monitoring report submission containing retrospective metrics data, the state should also provide a general assessment of metrics trends from the start of the state's demonstration through the end of the current reporting period. The state should report this information in Part B of its report submission (Table 3: Narrative Information on Implementation, by Milestone and Reporting Topic). This general assessment is not intended to be a comprehensive description of every trend observed in metrics data (for example, unlike other monitoring report submissions, the state is not required to describe all metrics changes (+ or - greater than 2 percent). Rather, the assessment is an opportunity for states to provide context for its retrospective metrics data, to support CMS's review and interpretation. For example, consider a state that submits data showing an increase in the number of medication assisted treatment (MAT) providers (Metric #14) over the course of the retrospective reporting period. The state may decide to highlight this trend to CMS in Part B of its report (under Milestone 4) by briefly summarizing the trend and providing context that during this period, the state implemented a grant that supported training for new MAT providers throughout the state.

The state will report retrospectively for any quarters prior to monitoring protocol approval as described above, in the state's second monitoring report submission after protocol approval.

The state proposes an alternative plan to report retrospectively for any quarters prior to monitoring protocol approval: *Insert narrative description of proposed alternative plan for retrospective reporting. State should provide justification for its proposed alternative plan.*

### 5. Reporting SUD Demonstration Metrics and Narrative Information

The state should review the guidance in Appendix A of the instructions document in order to attest it will follow CMS's guidance on reporting metrics and narrative information, or propose any deviations. The state should complete Table A below to reflect its proposed reporting schedule for the duration of its SUD demonstration approval period.

The state has completed the table below according to the guidance in Appendix A of the instructions document and attests to reporting metrics and narrative information in its quarterly and annual reports according as described.

The state has reviewed Appendix A of the instructions document and completed the table below with the following deviations: *Insert narrative description of proposed changes to reporting. State should provide justification for any proposed deviation.*

**Table A. [STATE] reporting in quarterly and annual monitoring reports**

Dates of reporting quarter	Broader 1115 DY (if applicable)*	SUD DY	Report due (per STCs schedule)	Measurement period associated with SUD information in report, by reporting category
January 1, 2019 – December 31, 2019	DY6 Q1 Q2 Q3 Q4	DY1 Q1 Q2 Q3 Annual	5/29/2020	<ul style="list-style-type: none"> <li>• Narrative information: SUD DY1 Q1, Q2, Q3, Annual</li> <li>• Grievances and appeals: SUD DY1 Q1, Q2, Q3, Annual</li> <li>• Other monthly and quarterly metrics: None</li> <li>• Annual metrics that are established quality measures: None</li> <li>• Other annual metrics: None</li> </ul>
January 1, 2020 – March 31, 2020	DY7 Q1	DY2 Q1	5/29/2020	<ul style="list-style-type: none"> <li>• Narrative information: SUD DY2 Q1</li> <li>• Grievances and appeals: SUD DY2 Q1</li> <li>• Other monthly and quarterly metrics: SUD DY1 Q4</li> <li>• Annual metrics that are established quality measures: None</li> <li>• Other annual metrics: None</li> </ul>
April 1, 2020 – June 30, 2020	DY7 Q2	DY2 Q2	08/31/2020	<ul style="list-style-type: none"> <li>• Narrative information: SUD DY2 Q2</li> <li>• Grievances and appeals: SUD DY2 Q2</li> <li>• Other monthly and quarterly metrics: SUD DY2 Q1</li> <li>• Annual metrics that are established quality measures: None</li> <li>• Other annual metrics: None</li> </ul>
July 1, 2020 – September 20, 2021	DY7 Q3	DY2 Q3	11/30/2020	<ul style="list-style-type: none"> <li>• Narrative information: SUD DY2 Q3</li> <li>• Grievances and appeals: SUD DY2 Q3</li> <li>• Other monthly and quarterly metrics: SUD DY2 Q2</li> <li>• Annual metrics that are established quality measures: None**</li> <li>• Other annual metrics: None</li> </ul>
October 1, 2020 – December 31, 2020	DY7 Q4	DY2 Annual	02/26/2021	<ul style="list-style-type: none"> <li>• Narrative information: SUD DY2 Annual</li> <li>• Grievances and appeals: SUD DY2 Annual</li> <li>• Other monthly and quarterly metrics: SUD DY1 Q3</li> <li>• Annual metrics that are established quality measures: None</li> <li>• Other annual metrics: SUD DY1 (calculated for DY1)</li> </ul>
January 1, 2021 – March 31, 2021	DY8 Q1	DY3 Q1	05/31/2021	<ul style="list-style-type: none"> <li>• Narrative information: SUD DY3 Q1</li> <li>• Grievances and appeals: SUD DY3 Q1</li> <li>• Other monthly and quarterly metrics: SUD DY2 Q4</li> <li>• Annual metrics that are established quality measures: None</li> <li>• Other annual metrics: None</li> </ul>



Dates of reporting quarter	Broader 1115 DY (if applicable)*	SUD DY	Report due (per STCs schedule)	Measurement period associated with SUD information in report, by reporting category
April 1, 2021 – June 30, 2021	DY8 Q2	DY3 Q2	08/31/2021	<ul style="list-style-type: none"> <li>• Narrative information: SUD DY3 Q2</li> <li>• Grievances and appeals: SUD DY3 Q2</li> <li>• Other monthly and quarterly metrics: SUD DY3 Q1</li> <li>• Annual metrics that are established quality measures: None</li> <li>• Other annual metrics: None</li> </ul>
July 1, 2021 – September 20, 2021	DY8 Q3	DY3 Q3	11/30/2021	<ul style="list-style-type: none"> <li>• Narrative information: SUD DY3 Q3</li> <li>• Grievances and appeals: SUD DY3 Q3</li> <li>• Other monthly and quarterly metrics: SUD DY3 Q2</li> <li>• Annual metrics that are established quality measures: None</li> <li>• Other annual metrics: None</li> </ul>
October 1, 2021 – December 31, 2021	DY8 Q4	DY3 Annual	02/28/2022	<ul style="list-style-type: none"> <li>• Narrative information: SUD DY3 Annual</li> <li>• Grievances and appeals: SUD DY3 Annual</li> <li>• Other monthly and quarterly metrics: SUD DY3 Q3</li> <li>• Annual metrics that are established quality measures: SUD DY1 (calculated for CY 2019)</li> <li>• Other annual metrics: SUD DY2 (calculated for DY2)</li> </ul>
January 1, 2022 – March 31, 2022	DY9 Q1	DY4 Q1	05/31/2022	<ul style="list-style-type: none"> <li>• Narrative information: SUD DY4 Q1</li> <li>• Grievances and appeals: SUD DY4 Q1</li> <li>• Other monthly and quarterly metrics: SUD DY3 Q4</li> <li>• Annual metrics that are established quality measures: None</li> <li>• Other annual metrics: None</li> </ul>
April 1, 2022 – June 30, 2022	DY9 Q2	DY4 Q2	08/31/2022	<ul style="list-style-type: none"> <li>• Narrative information: SUD DY4 Q2</li> <li>• Grievances and appeals: SUD DY4 Q2</li> <li>• Other monthly and quarterly metrics: SUD DY4 Q1</li> <li>• Annual metrics that are established quality measures: None</li> <li>• Other annual metrics: None</li> </ul>
July 1, 2022 – September 20, 2022	DY9 Q3	DY4 Q3	11/30/2022	<ul style="list-style-type: none"> <li>• Narrative information: SUD DY4 Q3</li> <li>• Grievances and appeals: SUD DY4 Q3</li> <li>• Other monthly and quarterly metrics: SUD DY4 Q2</li> <li>• Annual metrics that are established quality measures: None</li> <li>• Other annual metrics: None</li> </ul>

Dates of reporting quarter	Broader 1115 DY (if applicable)*	SUD DY	Report due (per STCs schedule)	Measurement period associated with SUD information in report, by reporting category
October 1,2022 – December 31, 2022	DY9 Q4	DY4 Annual	02/28/2023	<ul style="list-style-type: none"> <li>Narrative information: SUD DY4 Annual</li> <li>Grievances and appeals: SUD DY4 Annual</li> <li>Other monthly and quarterly metrics: SUD DY4 Q3</li> <li>Annual metrics that are established quality measures: None</li> <li>Other annual metrics: SUD DY3 (calculated for DY3)</li> </ul>
January 1, 2022 – March 31,2022	DY10 Q1	DY5 Q1	05/31/2023	<ul style="list-style-type: none"> <li>Narrative information: SUD DY5 Q1</li> <li>Grievances and appeals: SUD DY5 Q1</li> <li>Other monthly and quarterly metrics: SUD DY4 Q4</li> <li>Annual metrics that are established quality measures: None</li> <li>Other annual metrics: None</li> </ul>
April 1, 2022 – June 30, 2022	DY10 Q2	DY5 Q2	08/31/2023	<ul style="list-style-type: none"> <li>Narrative information: SUD DY5 Q2</li> <li>Grievances and appeals: SUD DY5 Q2</li> <li>Other monthly and quarterly metrics: SUD DY5 Q1</li> <li>Annual metrics that are established quality measures: None</li> <li>Other annual metrics: None</li> </ul>
July 1, 2022 – September 20, 2022	DY10 Q3	DY5 Q3	11/30/2023	<ul style="list-style-type: none"> <li>Narrative information: SUD DY5 Q3</li> <li>Grievances and appeals: SUD DY5 Q3</li> <li>Other monthly and quarterly metrics: SUD DY5 Q2</li> <li>Annual metrics that are established quality measures: None</li> <li>Other annual metrics: None</li> </ul>
October 1,2022 – December 31, 2022	DY10 Q4	DY5 Annual	02/29/2024	<ul style="list-style-type: none"> <li>Narrative information: SUD DY5 Annual</li> <li>Grievances and appeals: SUD DY5 Annual</li> <li>Other monthly and quarterly metrics: SUD DY5 Q3</li> <li>Annual metrics that are established quality measures: None</li> <li>Other annual metrics: SUD DY4 (calculated for DY4)</li> </ul>
DY 1-5	DY6-10	Final Demonstration Report	03/29/2024	<ul style="list-style-type: none"> <li>Narrative information: SUD DY 1-5</li> <li>Grievances and appeals: SUD DY 1-5</li> <li>Other monthly and quarterly metrics: SUD DY 1-5</li> <li>Annual metrics that are established quality measures: None</li> <li>Other annual metrics: SUD DY 1-5</li> </ul>

\*In this example, the state's SUD demonstration was added to its broader 1115 demonstration by amendment at the start of the broader 1115 demonstration's third demonstration year. States that do not have a broader 1115 demonstration (i.e., that have a SUD demonstration only) should delete this column.

\*\*In this example, the state reports its established quality measures in the second quarterly report following the annual report because its demonstration year ends on 12/31; this lag allows adequate time for claims runout and other data completeness issues, as well as time to incorporate annual measure steward updates to specifications. States with demonstration years that end January 31 or February 28 should instead report established quality measures in the first quarterly report following the annual report. All other states should report established quality measures in the annual report.