

Medicaid Section 1915(c) Waiver Data based on the CMS 372 Report, 2013 – 2014

September 6, 2017

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Executive Summary

Medicaid section 1915(c) waivers are a critical source of home and community-based alternatives to institutional services, comprising more than half of Medicaid home and community-based services expenditures.¹ The Centers for Medicare & Medicaid Services (CMS) requires states to submit an annual report, called the CMS 372 for each section 1915(c) waiver. This report presents information from the CMS 372 reports for waiver years ending in 2013 and 2014.² We also present trend data for section 1915(c) waivers starting with 2009, the first year in the series of reports based on CMS 372 data.³

Over 1.6 million people received section 1915(c) waiver services in 2014, a five percent increase from 1.5 million in 2013. The number of section 1915(c) waiver participants has increased by an average of four percent per year since 2009. After adjusting for overall U.S. population growth, the number of participants increased an average of three percent per year, from 4.3 participants per 1,000 U.S. residents in 2009 to 5.0 participants per 1,000 residents in 2014. Participants received services for an average of 10 months in 2014, as in previous years.

¹ Eiken S, Sredl K, Woodward R, and Saucier P. *Medicaid Expenditures for Section 1915(c) Waivers in FY 2015* CMS, July 10, 2017. Available on-line at <https://www.medicaid.gov/medicaid/ltss/reports-and-evaluations/index.html>.

² States must submit CMS 372 data for a waiver year, which starts on the waiver's effective date or the anniversary of that date. The reporting period varies based on the waiver's effective date. This report refers to the year in which the end of the reporting time period occurs. For example, 2014 data are for waiver years that end in 2014.

³ For the first report, see Eiken S and Lelchook S. *Medicaid 1915(c) Waiver Data Based on the CMS 372 Report, 2009 – 2010* CMS, October 2013. All reports in the series are available on-line at <https://www.medicaid.gov/medicaid/ltss/reports-and-evaluations/index.html>.

Average waiver expenditures per person were \$26,055, 72 percent of total Medicaid spending for these individuals (\$36,039). Average waiver expenditures have been almost flat since 2009, with an average annual increase of less than half a percent. Total Medicaid expenditures per waiver participant grew slightly more quickly, one percent per year from 2009 through 2014. Waiver expenditures accounted for only 72 percent of total Medicaid expenditures for waiver participants in 2014, compared to 76 percent in 2009.

Section 1915(c) waiver data by targeted population group have changed little over the years when adjusting for overall participant growth. In all years, a majority of participants received services in waivers targeting older adults and/or people with physical disabilities (54 percent in 2014). Most of the remaining participants received supports from waivers targeting people with developmental disabilities (43 percent in 2014). Older adults and people with physical disabilities received section 1915(c) waiver services for a shorter duration of time (nine months) than people with developmental disabilities (11 months), reflecting greater turnover in the program for the former group. Waivers targeting people with developmental disabilities accounted for \$30 billion in 2014, 71 percent of all section 1915(c) waiver expenditures, reflecting higher average costs per participant for this population. Most of the remaining spending, 27 percent, was targeted to older adults and/or people with physical disabilities (\$11 billion).

Waivers targeting people with brain injuries, medically fragile children, people with HIV/AIDS, and people with serious mental illness or serious emotional disturbance comprise approximately three percent of section 1915(c) waiver participants and two percent of waiver expenditures.

Total section 1915(c) waiver expenditures in the CMS 372, \$41.8 billion, was only one percent greater than the \$41.4 billion in federal fiscal year 2014 spending shown in a separate report of section 1915(c) waiver expenditures. The other report was based on state CMS-64 reports and estimates from states with managed care programs.⁴ For most states and about half of the waivers, the two sources had similar data. Significant differences occurred for a minority of states and for many waivers, however.

For all waivers and for each population group, Truven Health Analytics, now part of the IBM Watson Health Business, analyzed state variation in the number of waiver participants per 1,000 state residents and average waiver expenditures per participant. For all waivers in a state and for each population group, this report identifies typical values and outliers for the proportion of state residents in a waiver and for average cost. Accompanying the report are tables that display 2013 and 2014 data for each section 1915(c) waiver, followed by state-level data for total participants and for each target population. State-level tables rank states according to the proportion of total state residents who received section 1915(c) waiver services.

⁴ Eiken S, Sredl K, Woodward R, and Saucier P. *Medicaid Expenditures for Section 1915(c) Waivers in FY 2015 CMS*, July 10, 2017. Available on-line at <https://www.medicaid.gov/medicaid/ltss/reports-and-evaluations/index.html>.

Medicaid Section 1915(c) Waiver Data based on the CMS 372 Report, 2013 - 2014

Waivers authorized by section 1915(c) of the Social Security Act account for a majority of Medicaid funding for home and community-based services (HCBS).⁵ For each waiver, states must submit an annual report called the CMS 372 to document that the waiver meets cost-neutrality requirements.⁶ The CMS 372 includes the number of persons who received services (hereafter called participants), the number of days participants received services, section 1915(c) waiver expenditures, and total Medicaid expenditures for waiver participants.

Starting with waiver years⁷ ending in 2009, CMS required states to submit the CMS 372 using a web-based system called the Waiver Management System (WMS). This report uses WMS data to provide national information on section 1915(c) waivers for waiver years ending in 2013 and 2014. We also present trend data for waivers starting with 2009, the first year in the series of reports based on CMS 372 data by Truven Health Analytics, part of the IBM Watson Health Business.⁸ Readers are encouraged to review Appendix A for important notes and caveats regarding the data.

Data tables in Appendix B of this report provide the number of participants, expenditures for waiver services, and total Medicaid expenditures for section 1915(c) waivers. The tables also present the average number of months participants received services during the year based on the total days of service on the CMS 372. We use participant month instead of days to show smaller data values that clearly illustrate differences across states and section 1915(c) waivers. Participant months are the number of days of service divided by average days per month in a year: 365 divided by 12 for most years and 366 divided by 12 in 2012, a leap year.

⁵ Eiken S, Sredl K, Burwell B, and Woodward R. *Medicaid Expenditures for Long Term Services and Supports (LTSS) in 2015* CMS, April 14, 2017. Available on-line at <https://www.medicaid.gov/medicaid/ltss/reports-and-evaluations/index.html>.

⁶ *Federal Register* Vol. 73, No. 188. The report is officially called the CMS 372(S), a name adopted when 1995 changes shortened the report's format.

⁷ States must submit CMS 372 data for a waiver year, which starts on the waiver's effective date or the anniversary of that date. The reporting period varies based on the waiver's effective date. This report refers to the year in which the end of the reporting time period occurs. For example, 2014 data are for waiver years that end in 2014.

⁸ For the first report, see Eiken S and Lelchook S. *Medicaid 1915(c) Waiver Data Based on the CMS 372 Report, 2009 – 2010* CMS, October 2013. All reports in the series are available on-line at <https://www.medicaid.gov/medicaid/ltss/reports-and-evaluations/index.html>.

Summary Data for Section 1915(c) Waivers

Forty-seven states and the District of Columbia operated section 1915(c) waivers in 2013 and 2014. Three states (Arizona, Rhode Island, and Vermont) did not have section 1915(c) waivers, but provided similar services in demonstrations authorized under section 1115 of the Social Security Act. Delaware, Hawaii, and Tennessee had section 1915(c) waivers only for people with developmental disabilities during both years; they provided similar HCBS in section 1115 demonstrations for other populations. New Mexico only offered section 1915(c) waivers to people with developmental disabilities and medically fragile children in 2014; the state closed several waivers in 2013 and started providing similar services in a section 1115 demonstration. This report does not include data from section 1115 demonstrations because the CMS 372 is only required for section 1915(c) waivers.

Table 1 presents 2014 data for each section 1915(c) waiver, arranged by state, as well as national totals. For 33 waivers, data were missing because either 1) the state did not submit a report or 2) CMS had not accepted a state's report by July 14, 2017, the final date for data included in this report. Data from previous years' reports are used in Table 1 and subsequent tables so national totals include as many as waivers as possible. This analysis used 2013 data for 18 waivers with missing reports, 2012 data for five waivers, and 2011 data for four waivers. For six waivers, no data were used because no CMS 372 data from 2011 through 2014 were available. Table 2 provides similar data for 2013, using the most recent CMS-accepted data when 2013 data were not available.

Table 3 provides state-level 2014 data, ranking states according to the proportion of the total 2013 state population served in section 1915(c) waivers. Truven Health used the U.S. Census Bureau's Current Population Estimates for state population.⁹ The table also shows trends since 2013 in the number of participants, average months of service, and average cost.

Over 1.6 million people received section 1915(c) waiver services in 2014, about one of every 200 U.S. residents. The number of participants increased five percent from 1.5 million in 2013. Participants received services for an average of 10.0 months in 2014, a slight decrease from 10.1 months the previous year. Average waiver expenditures per person were \$26,055, a one percent decrease from 2013 driven by a \$672 million decrease in Oregon's expenditures as the state implemented the Medicaid state plan Community First

⁹ U.S. Census Bureau, Population Division "Table 1. Annual Estimates of the Resident Population for the United States, Regions, States, and Puerto Rico: April 1, 2010 to July 1, 2016 (NST-EST2016-01)" December 2016. Available on-line at <https://www.census.gov/data/tables/2016/demo/popest/state-total.html>.

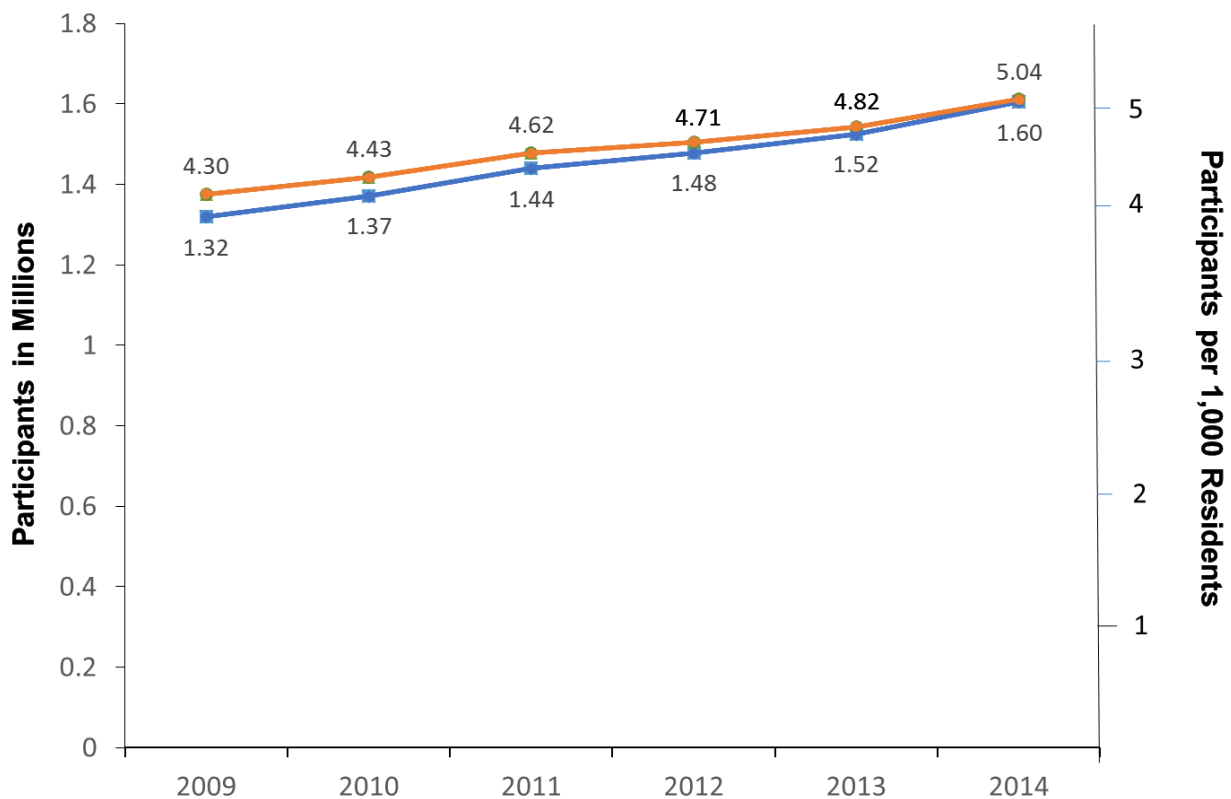
Choice benefit, which includes services previously funded by the state's section 1915(c) waivers. Average total Medicaid expenditures for waiver participants were \$36,039, a 1.5 percent increase from 2013.

Trends in Section 1915(c) Waiver Data

This report is the fifth in a series of reports based on CMS 372 data. Six years of data are available in the series, from 2009 through 2014, starting with the year CMS required the Waiver Management Systems for CMS 372 submissions.¹⁰ This section of the report includes previous years' data to present section 1915(c) waiver trends.

Participation in section 1915(c) waivers grew an average of four percent per year, from 1.3 million in 2009 to 1.6 million in 2014. As shown in Figure 1, population growth explains part of this trend. When adjusting for U.S. population growth, waiver participation has grown only three percent per year, from 4.3 participants per 1,000 U.S. residents in 2009 to 5.0 participants per 1,000 in 2014.

Figure 1. Section 1915(c) Waiver Participants, Waiver Years Ending in 2009 - 2014

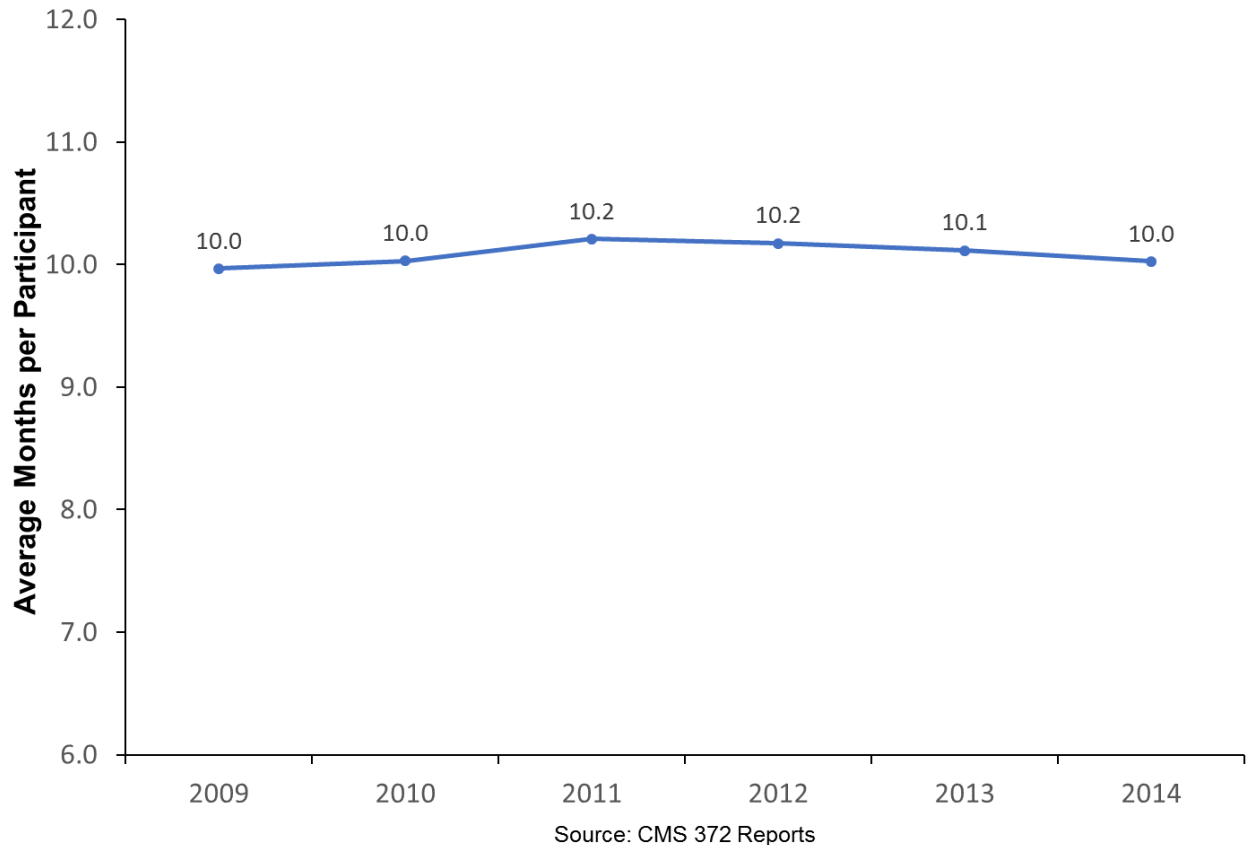


Source: CMS 372 Reports

¹⁰ For the first report, see Eiken S and Lelchook S. *Medicaid 1915(c) Waiver Data Based on the CMS 372 Report, 2009 – 2010* CMS, October 2013. All reports in the series are available on-line at <https://www.medicaid.gov/medicaid/ltss/reports-and-evaluations/index.html>.

Other data in the CMS 372 reports, when adjusting for the number of participants, have changed little from 2009 through 2014. For example, each year participants received services for an average of 10 months, as Figure 2 illustrates. The average increased from 10.0 months in 2009 to 10.2 months in 2011, about one percent per year, then decreased back to 10.0 months in 2014.

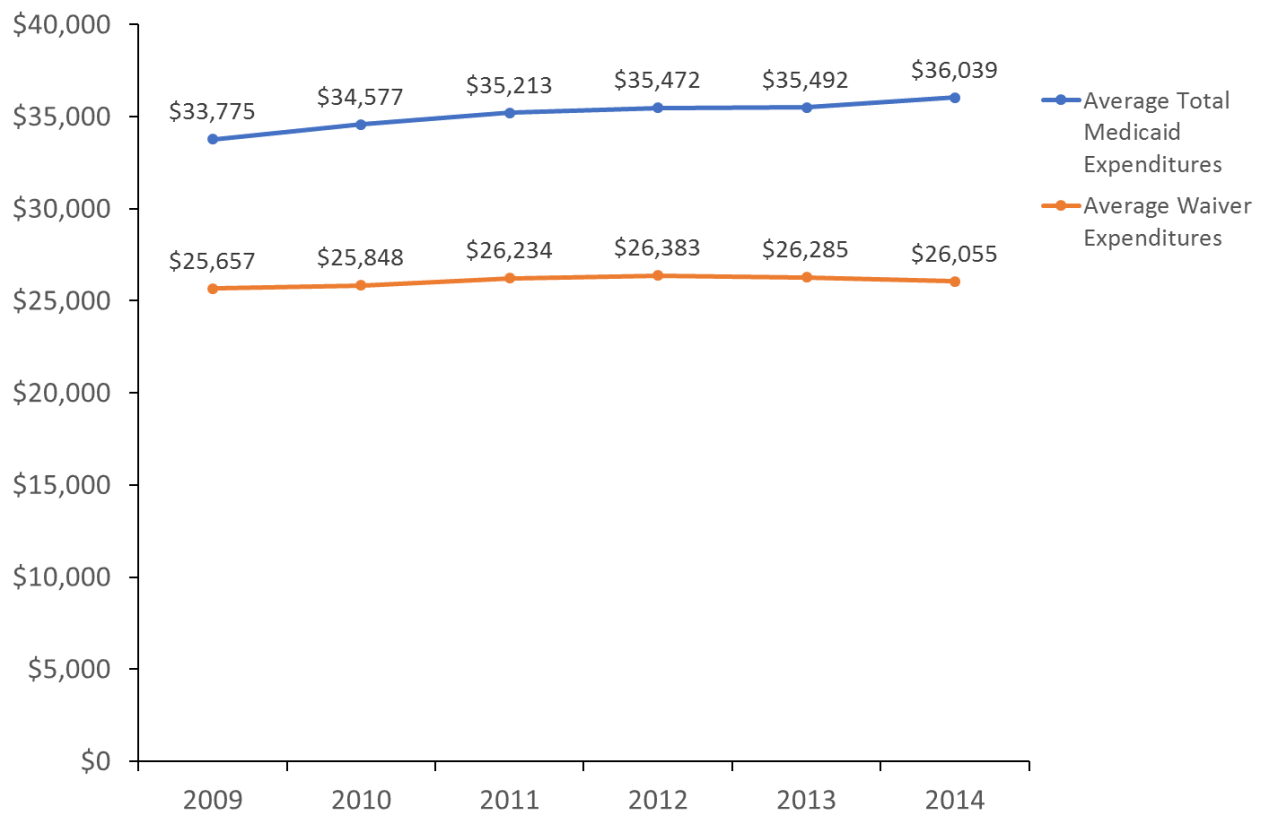
Figure 2. Average Months of Service per Section 1915(c) Waiver Participant, Waiver Years Ending in 2009 - 2014



Data labels are rounded to the tenth of a month, while points on the graph reflect the exact amount. Some years have data points at different levels but the same data label due to rounding.

Similarly, average waiver expenditures per person peaked in 2012, midway through the years of available data. Waiver expenditures increased one percent per year for three years from 2009 to 2012, then decreased less than one percent the next two years (See Figure 3). One factor in this decrease was Oregon’s implementation of the state plan Community First Choice (CFC) benefit effective July 1, 2013, which included some services the state previously covered under section 1915(c) waivers. The start of CFC occurred halfway through the 2013 waiver year for the state’s most populous waiver, lowering 2013 expenditures for that waiver. Data in 2014 showed a greater impact on expenditures because CFC was effective for the entire waiver year for the state’s largest three waivers, which supported 99 percent of the state’s participants.

Figure 3. Average Waiver and Total Medicaid Expenditures per Section 1915(c) Waiver Participant, Waiver Years Ending in 2009 - 2014



Source: CMS 372 Reports

Total Medicaid expenditures for section 1915(c) waiver participants increased each year at an average rate of one percent per year, from \$34 to \$36 thousand. The increase in total Medicaid spending and almost flat waiver expenditures caused waiver services to be a smaller proportion of Medicaid costs for waiver participants. Waiver expenditures accounted for only 72 percent of total Medicaid expenditures for waiver participants in 2014, compared to 76 percent in 2009.

Section 1915(c) Waiver Data by Target Population

Most of the remaining tables summarize 2014 data by target population groups. As in Table 3, each table ranks states based on the proportion of the state population served in section 1915(c) waivers and shows one-year trends in the number of participants, average months of service, and average waiver and total Medicaid expenditures per participant. Tables 4 through 6 reflect three target population groups used in a separate report on all long-term services and supports¹¹ because several other program authorities primarily serve these populations:

- Table 4: older adults and/or people with physical disabilities (abbreviated A/D for aging/disability)
- Table 5: people with developmental disabilities (DD)
- Table 6: adults with serious mental illness and/or children with serious emotional disturbance (SMI/SED)

Tables 7 through 9 are arranged from populations with the most waiver participants to populations with the fewest participants:

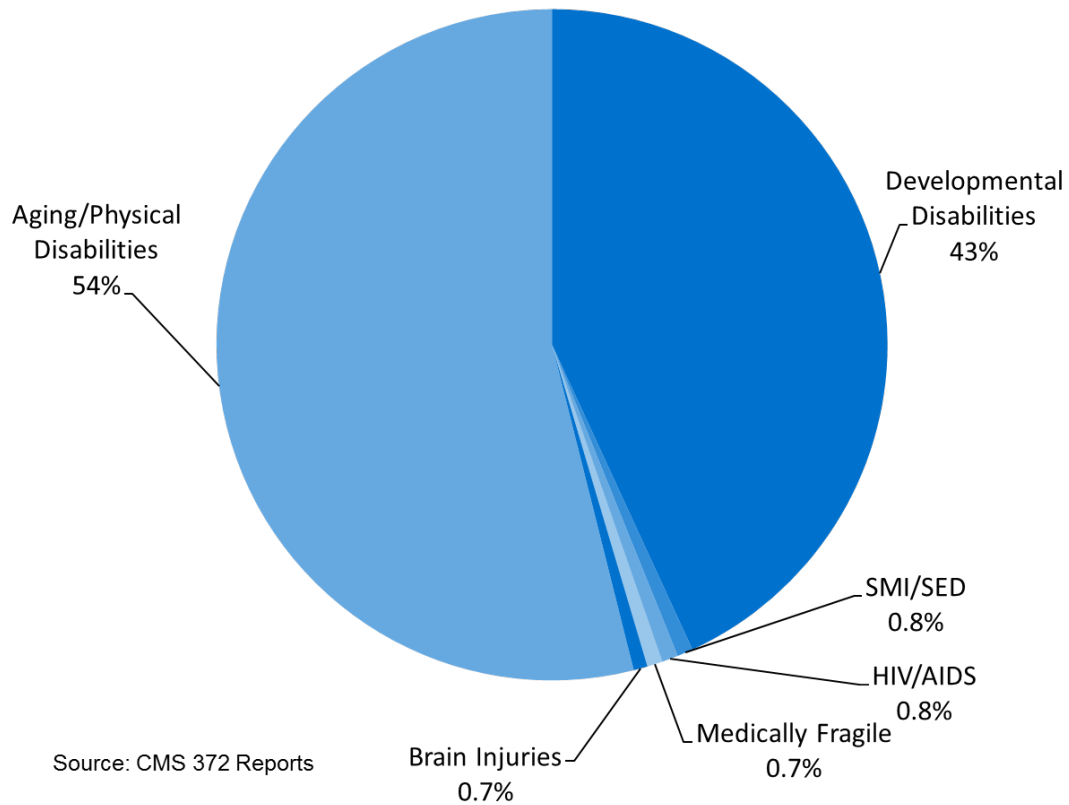
- Table 7: people with human immunodeficiency virus and/or acquired immunodeficiency syndrome (HIV/AIDS)
- Table 8: medically fragile children (MF)
- Table 9: people with brain injuries (BI)

The following series of charts present compare data for the target populations with a focus on the most recent year, 2014. Data were similar for 2013.

¹¹ Eiken S, Sredl K, Burwell B, and Woodward R. *Medicaid Expenditures for Long Term Services and Supports (LTSS) in 2015* CMS, April 14, 2017. Available on-line at <https://www.medicaid.gov/medicaid/ltss/reports-and-evaluations/index.html>.

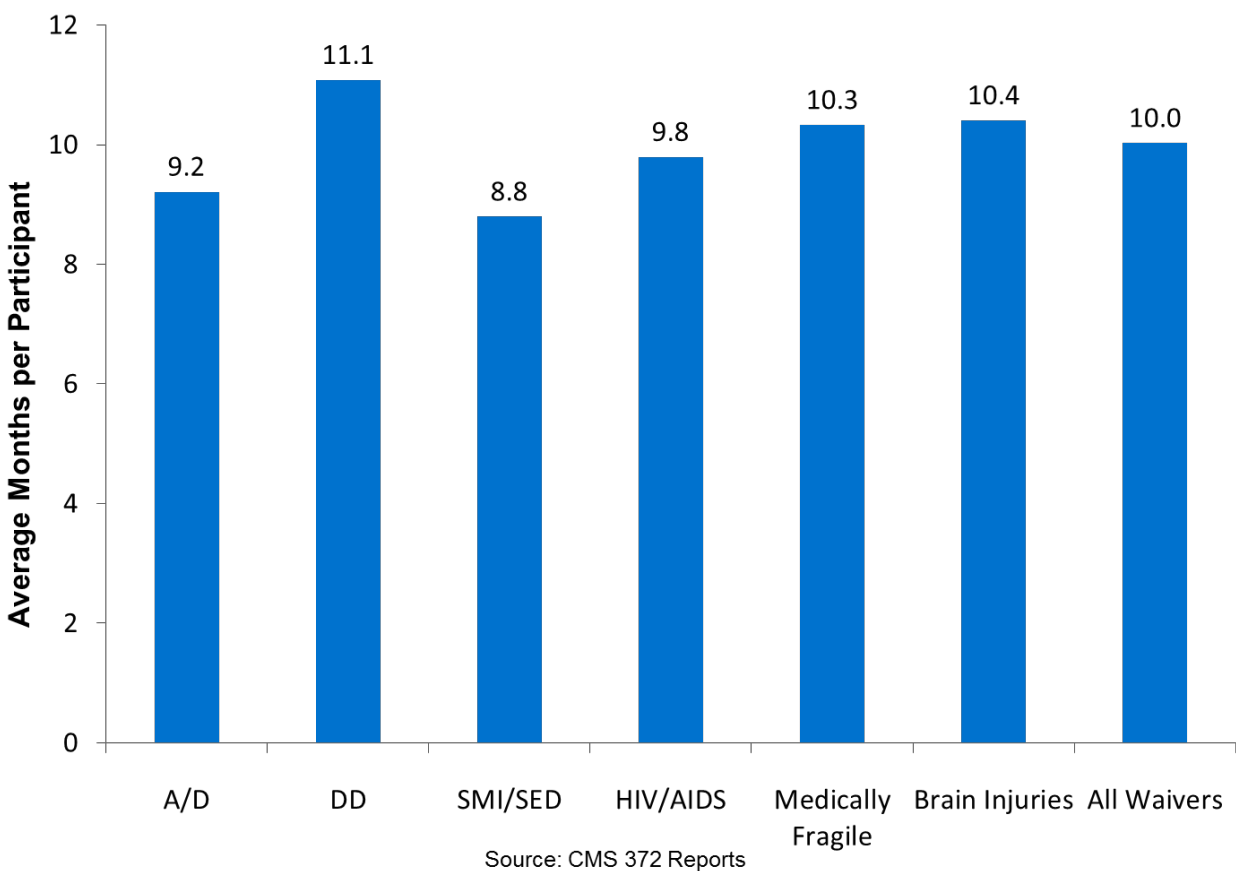
Waivers targeting older adults and/or people with physical disabilities supported about 874,000 participants, a majority of section 1915(c) waiver participants in 2014 (54 percent; see Figure 4). Most remaining participants received supports in waivers targeting people with developmental disabilities (683,000 participants or 43 percent). Waivers targeting other populations served 47,000 people (three percent).

Figure 4. Distribution of 1915(c) Waiver Participants by Target Population, Waiver Year Ending in 2014



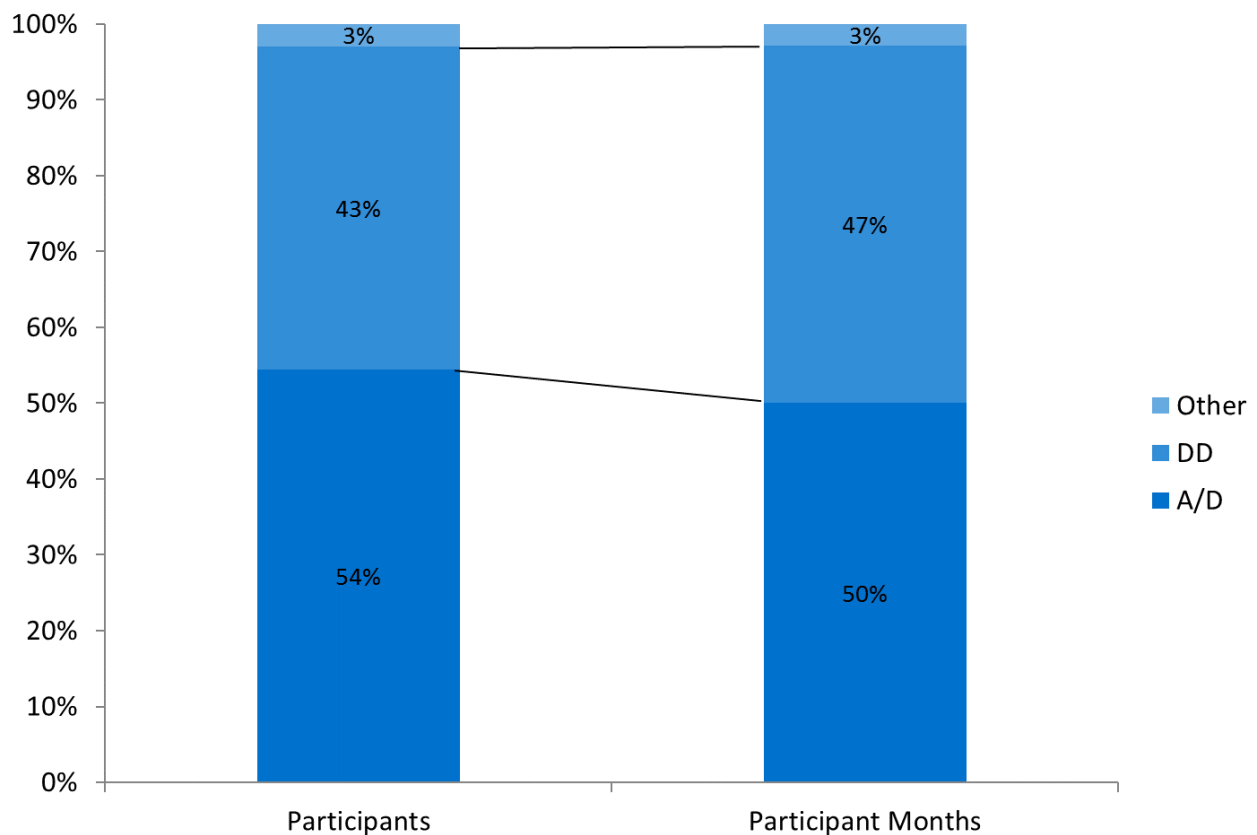
The amount of time participants received section 1915(c) waiver services varied by population group (See Figure 5). Waivers targeting older adults and/or people with physical disabilities served people an average of nine months, less than the 11-month average for waivers targeting people with developmental disabilities. Waivers targeting individuals with serious mental illness or serious emotional disturbance also provided services for only nine months per participant. Lower average time served in waivers generally indicates a higher turnover rate in the population group.

Figure 5. Average Months Receiving Section 1915(c) Waiver Services per Participant, Waiver Year Ending in 2014



The distribution of waiver participants by population changes when adjusting for months of service, as shown in Figure 6, because waivers for people with developmental disabilities have less turnover. Waivers for older adults and/or people with physical disabilities accounted for half of participant months, the number of months a person received services (8.1 million of the total 16.1 million participant months). This percentage was smaller than the percentage of participants served for this population (54 percent). Waivers for people with developmental disabilities included a greater percentage of participant months (7.6 million, or 47 percent) than persons (43 percent).

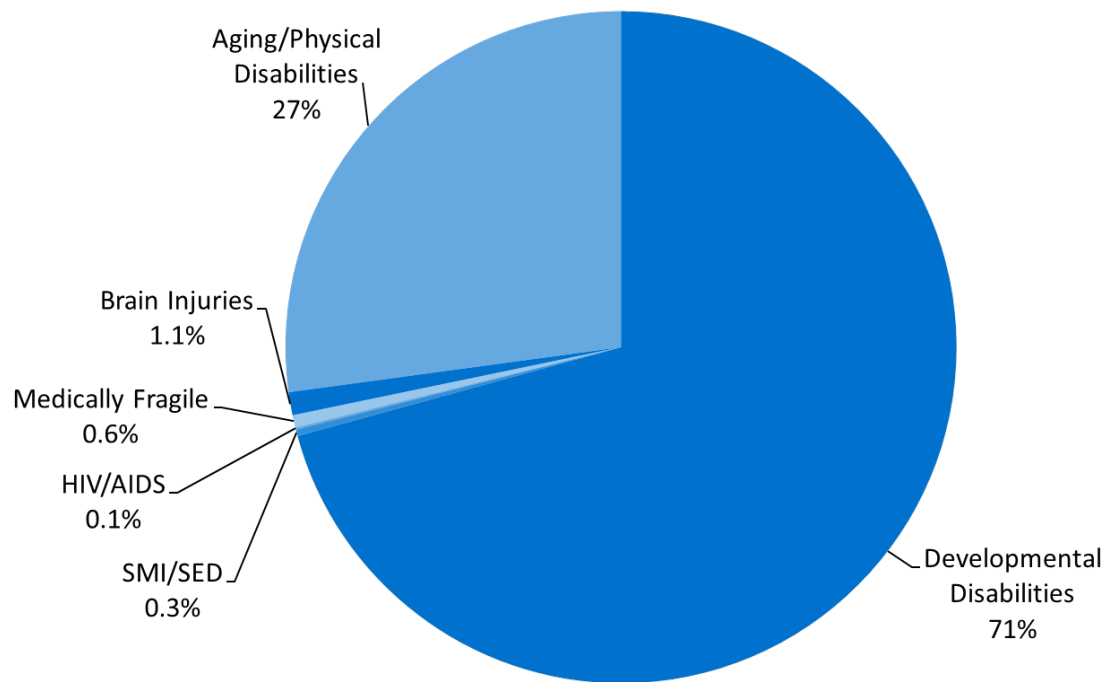
Figure 6. Distribution of Section 1915(c) Waiver Participants and Participant Months by Target Population, Waiver Year Ending in 2014



Source: CMS 372 Reports

The distribution of section 1915(c) waiver expenditures across target populations (See Figure 7) varied significantly from the variation in participants, reflecting differences in the average cost of waiver services by population (See Figure 8 on the following page). Waivers targeting people with developmental disabilities accounted for \$30 billion, 71 percent of expenditures, and had the highest average waiver service cost per participant. Waivers targeting older adults and/or people with physical disabilities spent \$11 billion (27 percent). Average expenditures per person for this group were about half the average for all waivers. The proportion of total waiver expenditures for other populations also varied from the proportion of participants, reflecting population differences in average cost per participant.

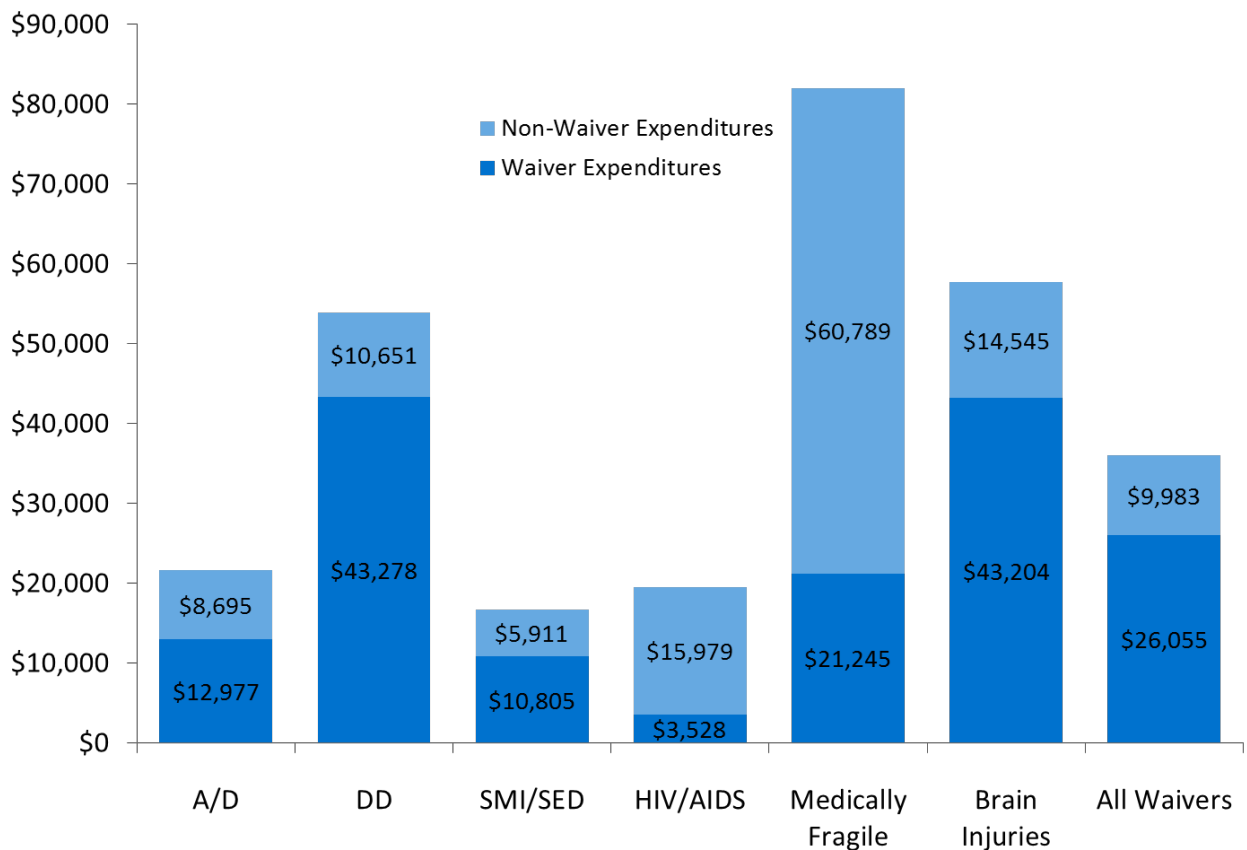
Figure 7. Distribution of Section 1915(c) Waiver Expenditures by Target Population, Waiver Year Ending in 2014



Source: CMS 372 Reports

Figure 8 displays the average cost of all Medicaid services for section 1915(c) waiver participants by population, showing waiver and non-waiver services separately. For people with HIV/AIDS and medically fragile children, waiver services were only 18 and 26 percent of all Medicaid spending, respectively. These populations had greater expenditures for non-waiver Medicaid services. For people with developmental disabilities, waiver services exceeded 80 percent of all Medicaid spending.

Figure 8: Average Section 1915(c) Waiver and Total Medicaid Expenditures per Participant, Waiver Year Ending in 2014



Source: CMS 372 Reports

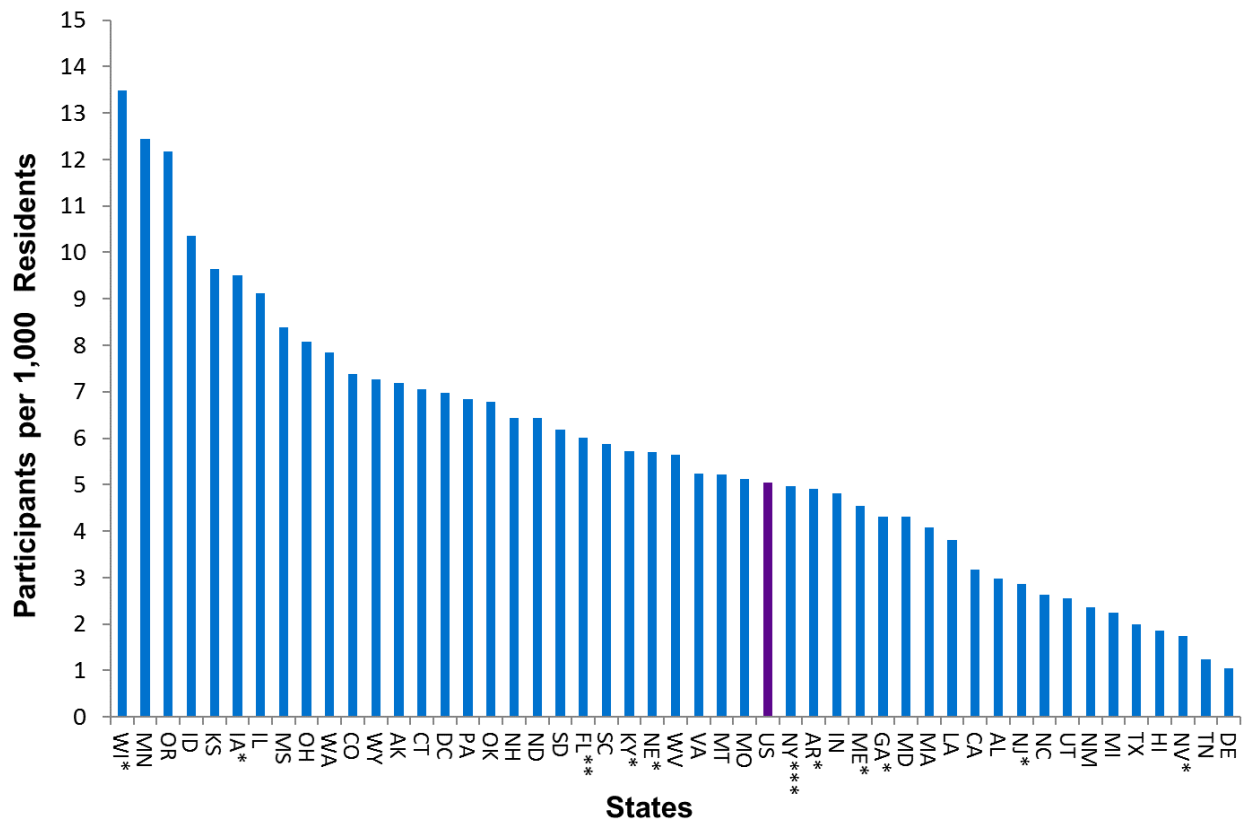
State Variation in Section 1915(c) Waiver Data

States vary significantly in the number of waiver participants and average cost per person. This variation is not surprising given the flexibility section 1915(c) allows for states to define the number of participants, the services offered, and the target population for services. This section describes state variation in total waiver participants and overall average cost of waiver services based on the state total data in Table 3, followed by state variation in target population data based on Tables 4 through 9. To facilitate state comparison, we calculated the number of waiver participants per 1,000 individuals in the total state population using the U.S. Census Bureau Current Population Estimates for state population data.¹² Throughout this section, the term “states” is used to refer to the District of Columbia as well as to the 47 states with section 1915(c) waivers in 2014. For several states, a 2014 CMS 372 was not available and data from previous years (2011 through 2013) were used. New York data are excluded from state comparisons for some populations because several waivers did not have a CMS 372 report accepted by CMS in the years 2011 through 2014.

¹² U.S. Census Bureau, Population Division “Table 1. Annual Estimates of the Resident Population for the United States, Regions, States, and Puerto Rico: April 1, 2010 to July 1, 2016 (NST-EST2016-01)” December 2016. Available on-line at <https://www.census.gov/data/tables/2016/demo/popest/state-total.html>.

As shown in Figure 9, a majority of states with section 1915(c) waivers (27 states, or 56 percent) served between four and eight participants per 1,000 residents in 2014. Nine states (19 percent) served more than eight participants per 1,000 residents. In order from most to least participants per 1,000 residents, these states were Wisconsin, Minnesota, Oregon, Idaho, Kansas, Iowa, Illinois, Mississippi, and Ohio.

Figure 9: Waiver Participants per 1,000 State Population, Waiver Year Ending in 2014



Source: CMS 372 Reports

* Data from previous years (2013, 2012, or 2011, the most recent available) were used for one or more waivers because the state did not submit a CMS 372 or CMS did not accept a submitted CMS 372. See Table 3 for more information.

** Florida 2014 data indicate 33% more participants than in 2013. Many individuals were counted in multiple waivers because the state terminated four waivers and transitioned participants to another waiver in March 2014.

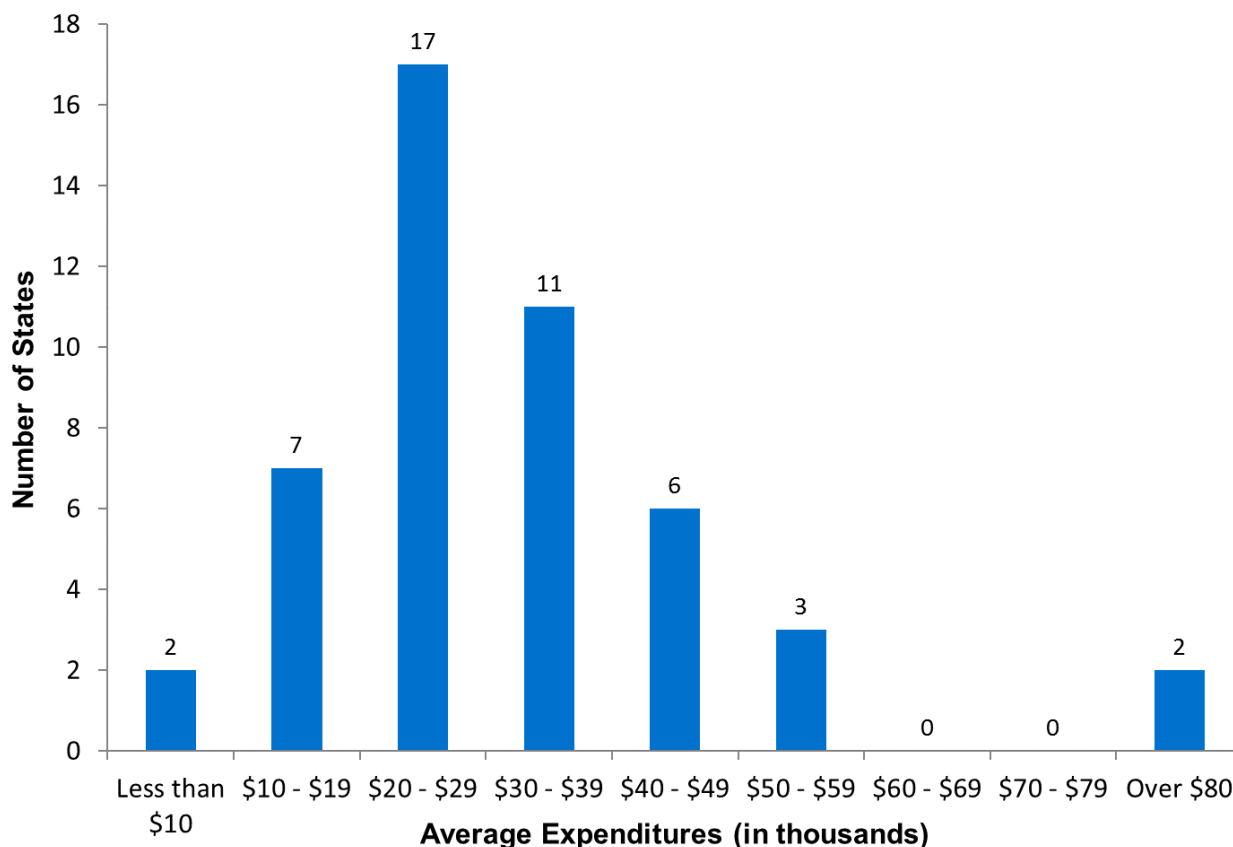
*** Data for some New York waivers are excluded because there was not a CMS 372 report accepted by CMS for 2011 through 2014.

Eleven states (23 percent) served fewer than three participants per 1,000 residents in 2014: Alabama, New Jersey, North Carolina, Utah, New Mexico, Michigan, Texas, Hawaii, Nevada, Tennessee and Delaware (also shown from most to least participants per 1,000 residents). New Mexico joined this group of states in 2014 because it terminated waivers for older adults and people with physical disabilities and people with HIV/AIDS in 2013 and started offering these services in a section 1115 demonstration. New Mexico only offers section 1915(c) waivers to people with developmental disabilities and medically fragile children. Three states that served a relatively low number of people in section 1915(c) waivers—Delaware, Hawaii, and Tennessee—

only offered section 1915(c) waivers targeting people with developmental disabilities. HCBS for other populations were provided within section 1115 demonstrations.

A majority of states with section 1915(c) waivers had average waiver expenditures per participant between \$20,000 and \$40,000 (28 of 48; 58 percent), as shown in Figure 10. Eleven states reported average waiver expenditures above \$40,000. In descending order, these states were Delaware, Tennessee, New Mexico, Maine, New York, Alaska, West Virginia, the District of Columbia, Connecticut, Massachusetts, and Hawaii. Delaware and Tennessee’s average costs—\$106,000 and \$82,000, respectively—are particularly high. These states’ only section 1915(c) waivers supported people with developmental disabilities, who had higher average cost for waiver services nationally than other populations. Nine states had average waiver expenditures under \$20,000. From highest to lowest expenditures, these states were Iowa, Oklahoma, Colorado, South Carolina, Idaho, Illinois, Mississippi, Florida, and Oregon.

Figure 10: Distribution of States by Average Waiver Expenditures per Participant, Waiver Year Ending in 2014



Source: CMS 372 Reports

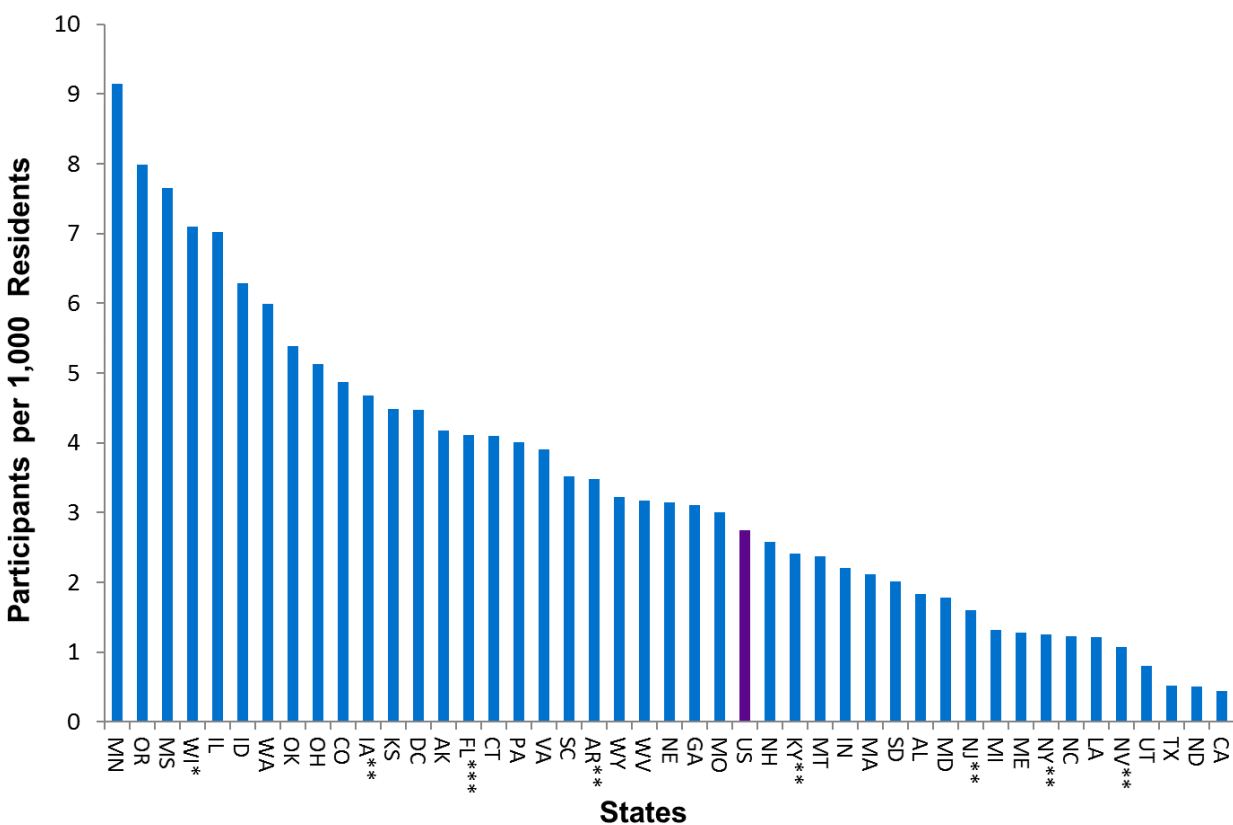
Figures 11 through 22 on the following pages show state variation within the target populations in Tables 4 through 9. Two charts are provided for each population: one for the number of participants per 1,000 state residents and one for average expenditures per participant. Populations are presented in the same order as in Tables 4 through 9:

- Figures 11 and 12: older adults and/or people with physical disabilities
- Figures 13 and 14: people with developmental disabilities
- Figures 15 and 16: adults with serious mental illness and/or children with serious emotional disturbance
- Figures 17 and 18: people with HIV/AIDS
- Figures 19 and 20: medically fragile children
- Figures 21 and 22: people with brain injuries

In addition to the graphs, the following pages identify states with particularly high or low values for participants served or average cost. States are listed from highest to lowest values.

States varied greatly in the proportion of state residents who received waivers targeting older adults and/or people with physical disabilities (See Figure 11). Most of the 44 states with waivers for this population served one to five participants per 1,000 residents (32 states or 73 percent). Six states (seven percent) served more than six participants per 1,000 residents: Minnesota, Oregon, Mississippi, Wisconsin, Illinois, and Idaho. Four states (nine percent) served less than one participant per 1,000 residents: Utah, Texas, North Dakota, and California. Texas appears on this list because it provides HCBS for many older adults and people with physical disabilities in a section 1115 demonstration.

Figure 11: Participants per 1,000 State Residents, Waivers Targeting Older Adults and People with Physical Disabilities, Waiver Year Ending in 2014

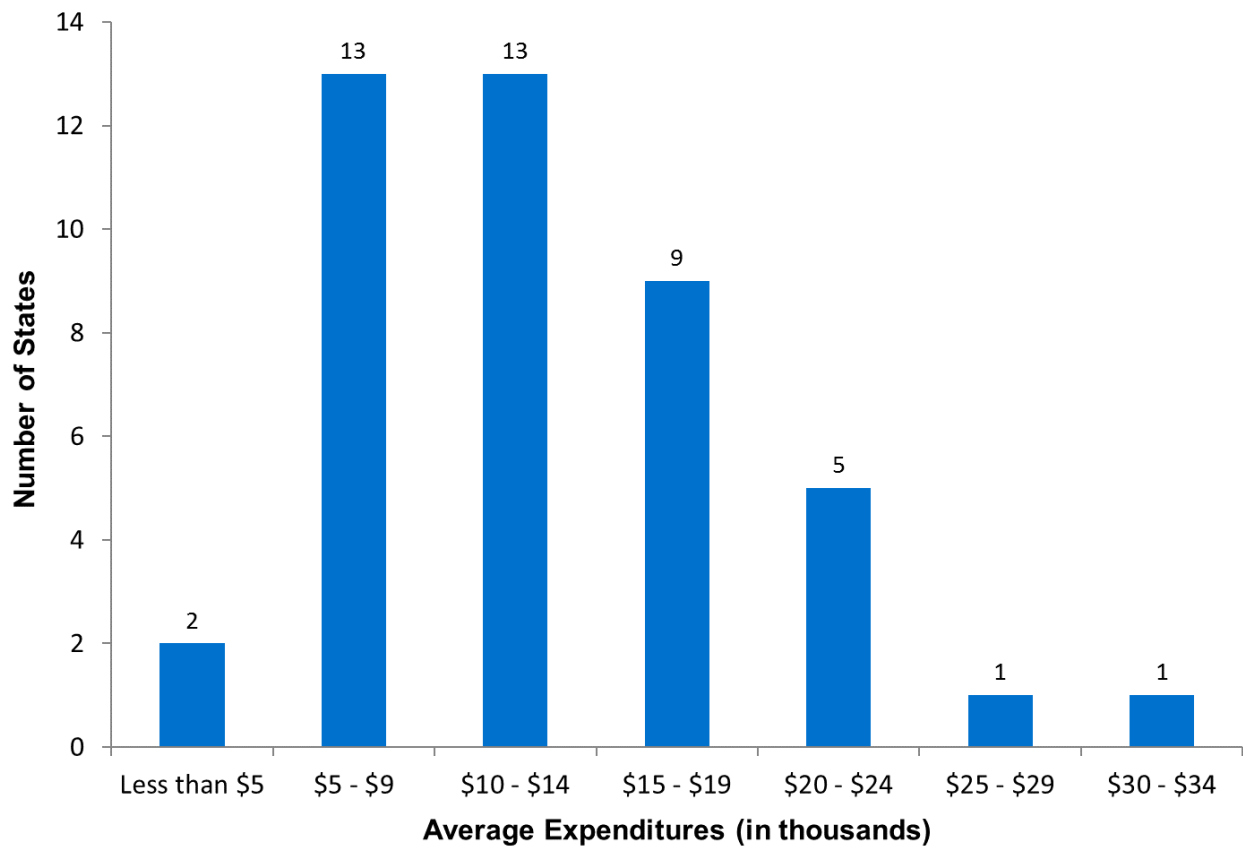


Source: CMS 372 Reports

* Wisconsin combined two waivers in 2015: one targeted people with developmental disabilities and the other targeted older adults and people with physical disabilities. The state submitted a single CMS 372 report in 2014 for both waivers. Data in this chart is based on the 2014 CMS 372 and the percentage of participants in each waiver in the most recent year with separate reports (2012).
 ** Data from previous years (2013, 2012, or 2011, the most recent available) were used for one or more waivers because the state did not submit a CMS 372 or CMS did not accept a submitted CMS 372. See Table 4 for more information.
 *** Florida 2014 data indicate 33% more participants than in 2013. Many individuals were counted in multiple waivers because the state terminated four waivers and transitioned participants to another waiver in March 2014.

As shown in Figure 12, a majority of states with waivers targeting older adults and/or people with physical disabilities reported average waiver expenditures per participant between \$5,000 and \$15,000 (26 of 44, or 59 percent). Seven states reported average expenditures over \$20,000: Kansas, Alaska, Wisconsin, Pennsylvania, Michigan, Louisiana, and Maine. Nevada and Oregon had the lowest average waiver expenditures, less than \$5,000 per participant. Oregon's expenditures were lower than in previous years because the state plan CFC benefit covered services previously paid under section 1915(c) waivers.

Figure 12: Distribution of States by Average Waiver Expenditures per Participant, Waivers Targeting Older Adults and People with Physical Disabilities, Waiver Year Ending in 2014

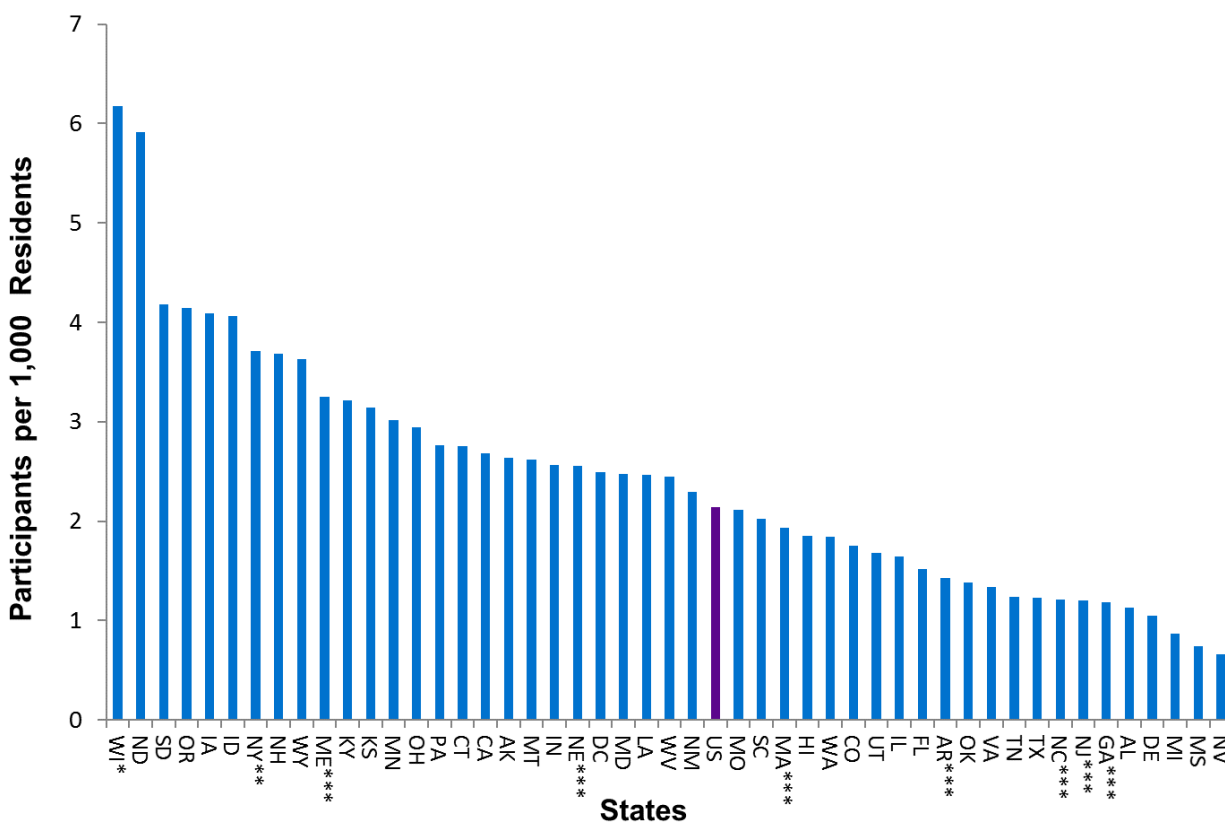


Source: CMS 372 Reports

States had less variation in participants per 1,000 state residents for waivers targeting people with developmental disabilities than for waivers targeting older adults and/or people with physical disabilities. Most states with waivers targeting people with developmental disabilities served *between one and three* participants per 1,000 residents (33 of 48 states or 69 percent; see Figure 13). For older adults and/or people with physical disabilities, 32 states supported *between one and five* participants per 1,000 residents.

Thirteen states (27 percent) served more than three participants per 1,000 residents: Wisconsin, North Dakota, South Dakota, Oregon, Iowa, Idaho, New York, New Hampshire, Wyoming, Maine, Kentucky, Kansas, and Minnesota. Three states (six percent) served less than one participant per 1,000 residents: Michigan, Mississippi, and Nevada.

Figure 13: Participants per 1,000 State Residents, Waivers Targeting People with Developmental Disabilities, Waiver Year Ending in 2014

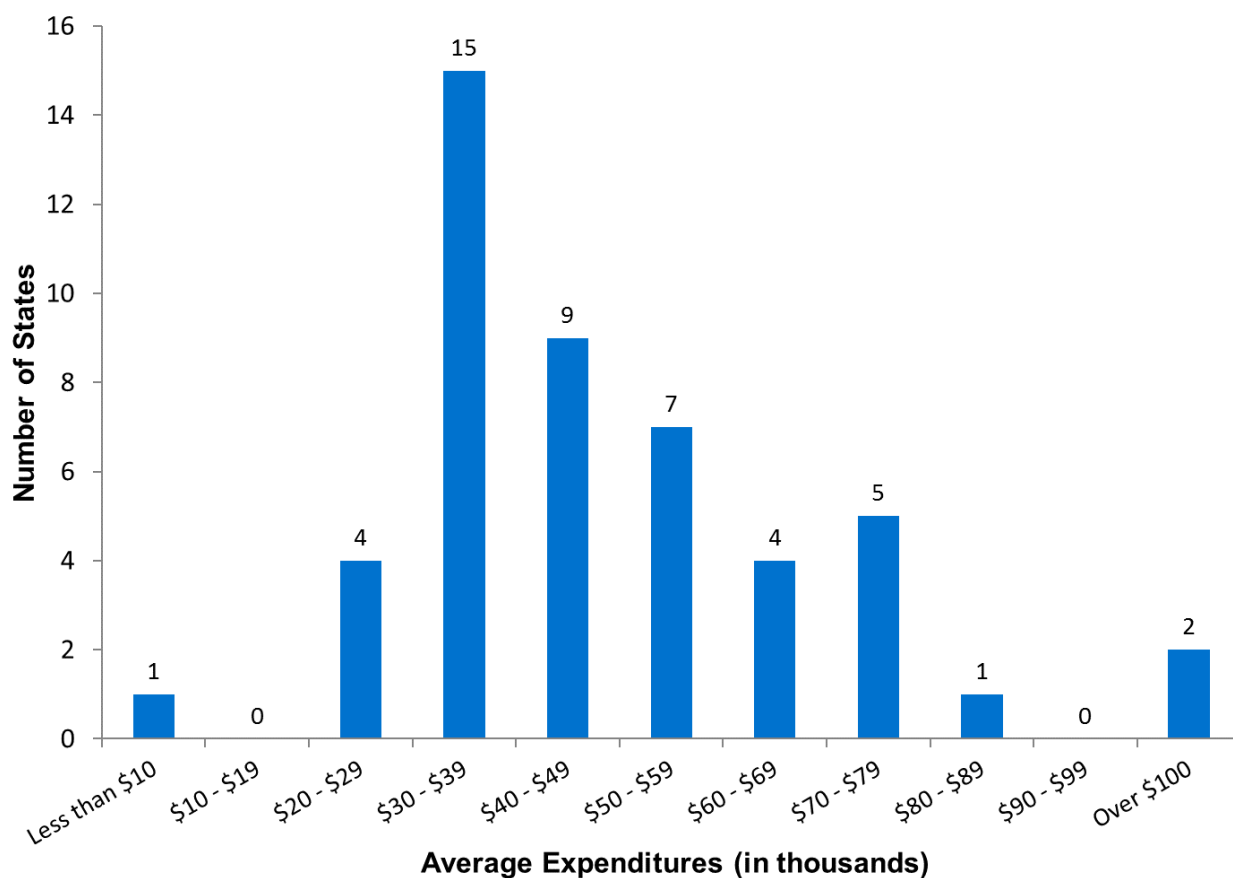


Source: CMS 372 Reports

* Wisconsin combined two waivers in 2015: one targeted people with developmental disabilities and the other targeted older adults and people with physical disabilities. The state submitted a single CMS 372 report in 2014 for both waivers. Data in this chart is based on the 2014 CMS 372 and the percentage of participants in each waiver in the most recent year with separate reports (2012).
 ** Data for some New York waivers are excluded because there was not a CMS 372 report accepted by CMS for 2011 through 2014.
 *** Data from previous years (2013, 2012, or 2011, the most recent available) were used for one or more waivers because the state did not submit a CMS 372 or CMS did not accept a submitted CMS 372. See Table 5 for more information.

Average waiver expenditures per participant for waivers targeting people with developmental disabilities varied widely. Most states (31, or 65 percent) spent between \$30,000 and \$60,000 per participant as shown in Figure 14. States with the highest average cost, above \$70,000, were Delaware, the District of Columbia, Tennessee, West Virginia, Alaska, Connecticut, Massachusetts, and Maine. Four states reported average cost between \$20,000 and \$30,000: Idaho, Wisconsin, California, and Florida. Oregon had by far the lowest expenditures per person, less than \$7,000, reflecting reduced waiver expenditures in 2014 as the state plan CFC benefit covered services previously funded by section 1915(c) waivers.

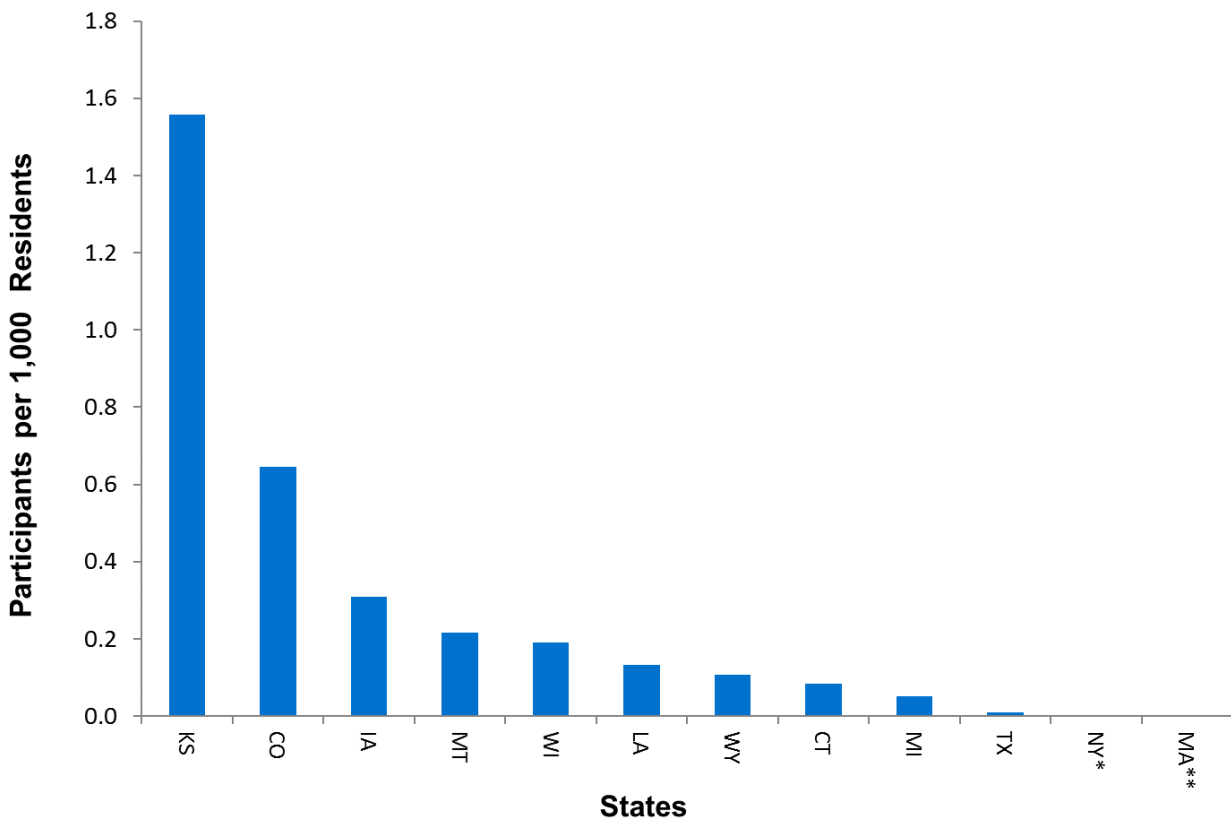
Figure 14: Distribution of States by Average Waiver Expenditures per Participant, Waivers Targeting People with Developmental Disabilities, Waiver Year Ending in 2014



Source: CMS 372 Reports

As Figure 15 indicates, eight of the 10 states (80 percent) with data for waivers targeting people with serious mental illness or serious emotional disturbance served fewer than 0.4 participants per 1,000 state residents. The exceptions, Kansas and Colorado, accounted for 66 percent of all participants for these waivers among states with CMS 372 data. Missing data from New York affected national data for this population. A separate report indicated New York accounted for 63 percent of spending for waivers for people with serious mental illness or serious emotional disturbance in federal fiscal year 2014.¹³

Figure 15: Participants per 1,000 State Residents, Waivers Targeting People with Serious Mental Illness or Serious Emotional Disturbance, Waiver Year Ending in 2014



Source: CMS 372 Reports

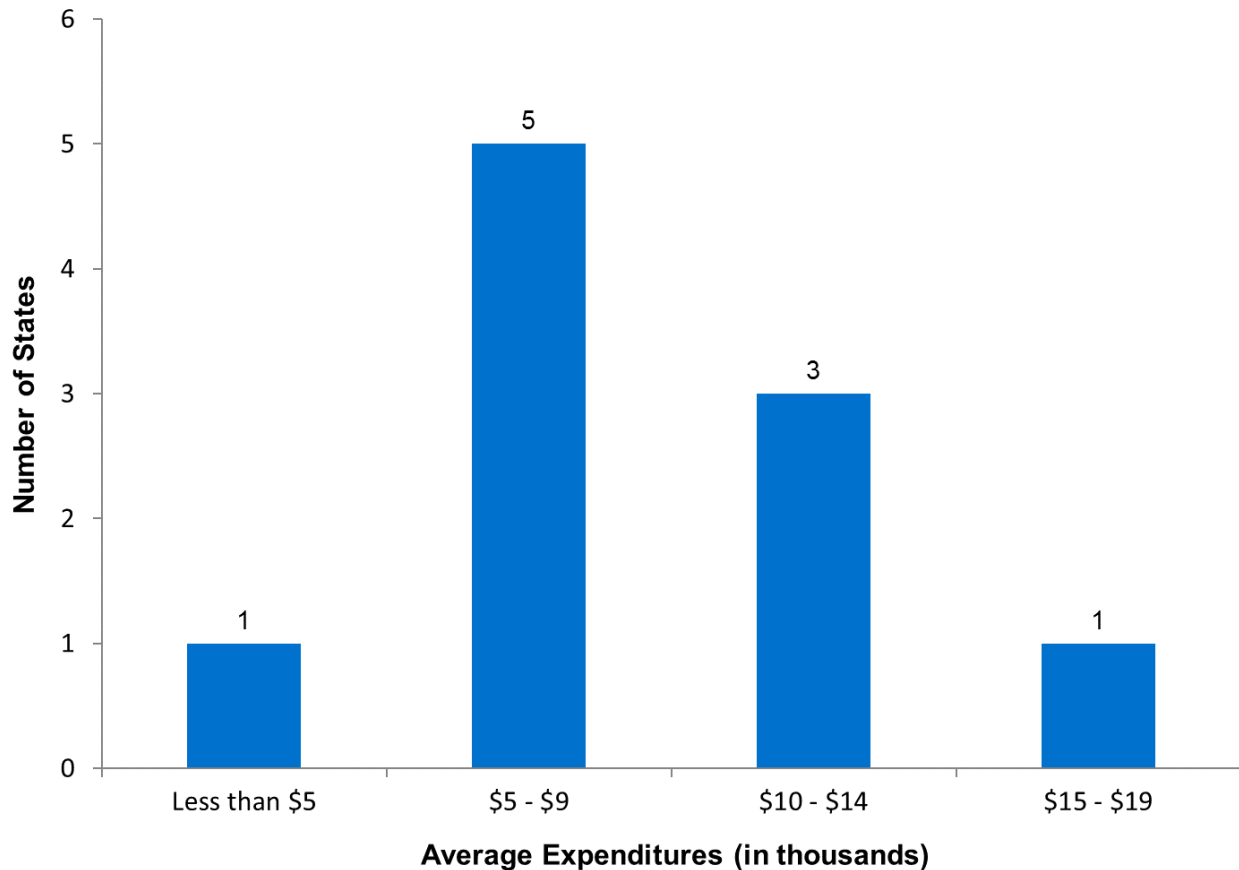
* Data for two New York waivers are excluded because there was not a CMS 372 report accepted by CMS for 2011 through 2014.

** CMS 372 reports for the Massachusetts waivers for this population indicated no participants were served in 2014.

¹³ Eiken S, Sredl K, Woodward R, and Saucier P. *Medicaid Expenditures for Section 1915(c) Waivers in FY 2015 CMS*, July 10, 2017. Available on-line at <https://www.medicaid.gov/medicaid/ltss/reports-and-evaluations/index.html>.

Half of the 10 states with data for waivers targeting people with serious mental illness or serious emotional disturbance had average annual expenditures between \$5,000 and \$10,000 (See Figure 16). Connecticut, Montana, Wisconsin, and Kansas reported average costs above \$10,000 per year. Louisiana reported the lowest average spending, less than \$2,000.

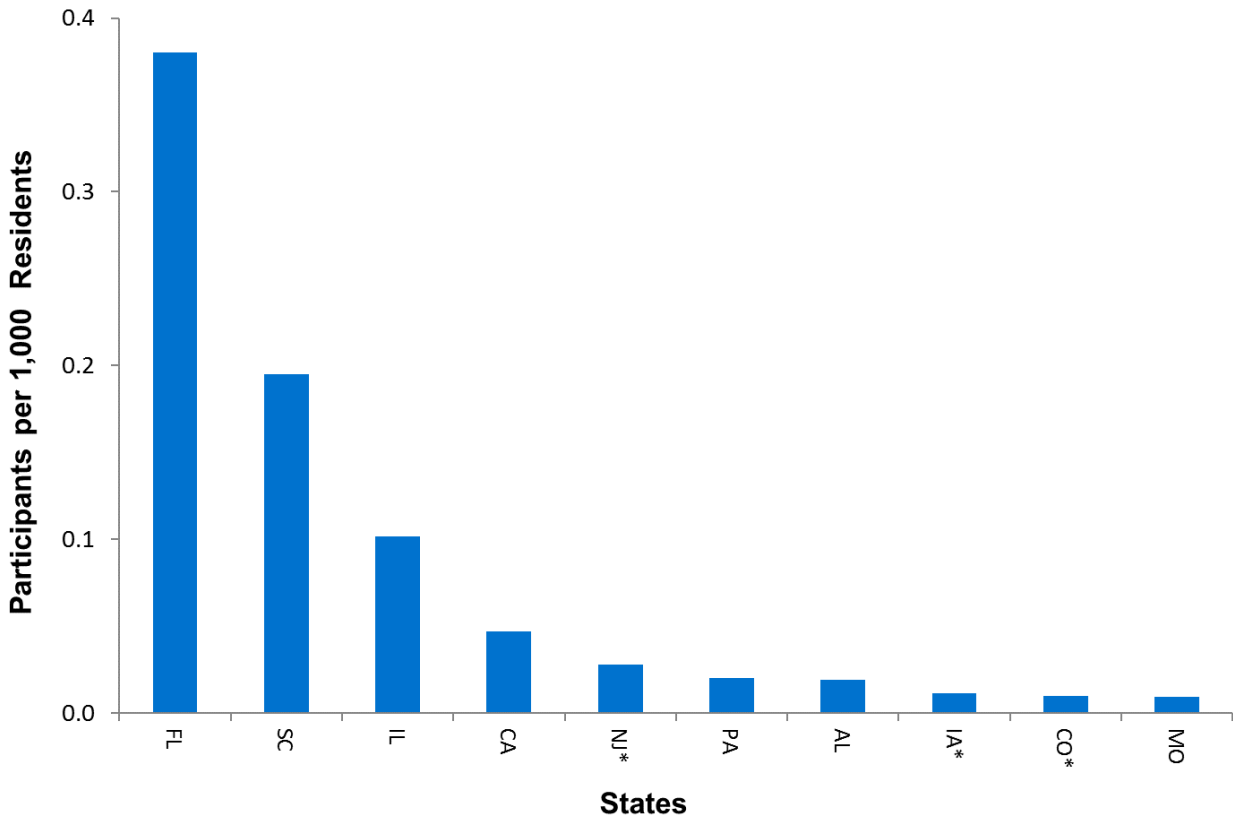
Figure 16: Distribution of States by Average Waiver Expenditures per Participant, Waivers Targeting People with Serious Mental Illness or Serious Emotional Disturbance, Waiver Year Ending in 2014



Source: CMS 372 Reports

Seven of the 10 states with waivers targeting people with HIV/AIDS (70 percent) served fewer than 0.1 participants per 1,000 state residents, as shown in Figure 17 below. The exceptions—Florida, South Carolina, and Illinois—comprised 79 percent of all HIV/AIDS waiver participants. Florida alone accounted for 61 percent of participants.

Figure 17: Participants per 1,000 State Residents, Waivers Targeting People with HIV/AIDS, Waiver Year Ending in 2014

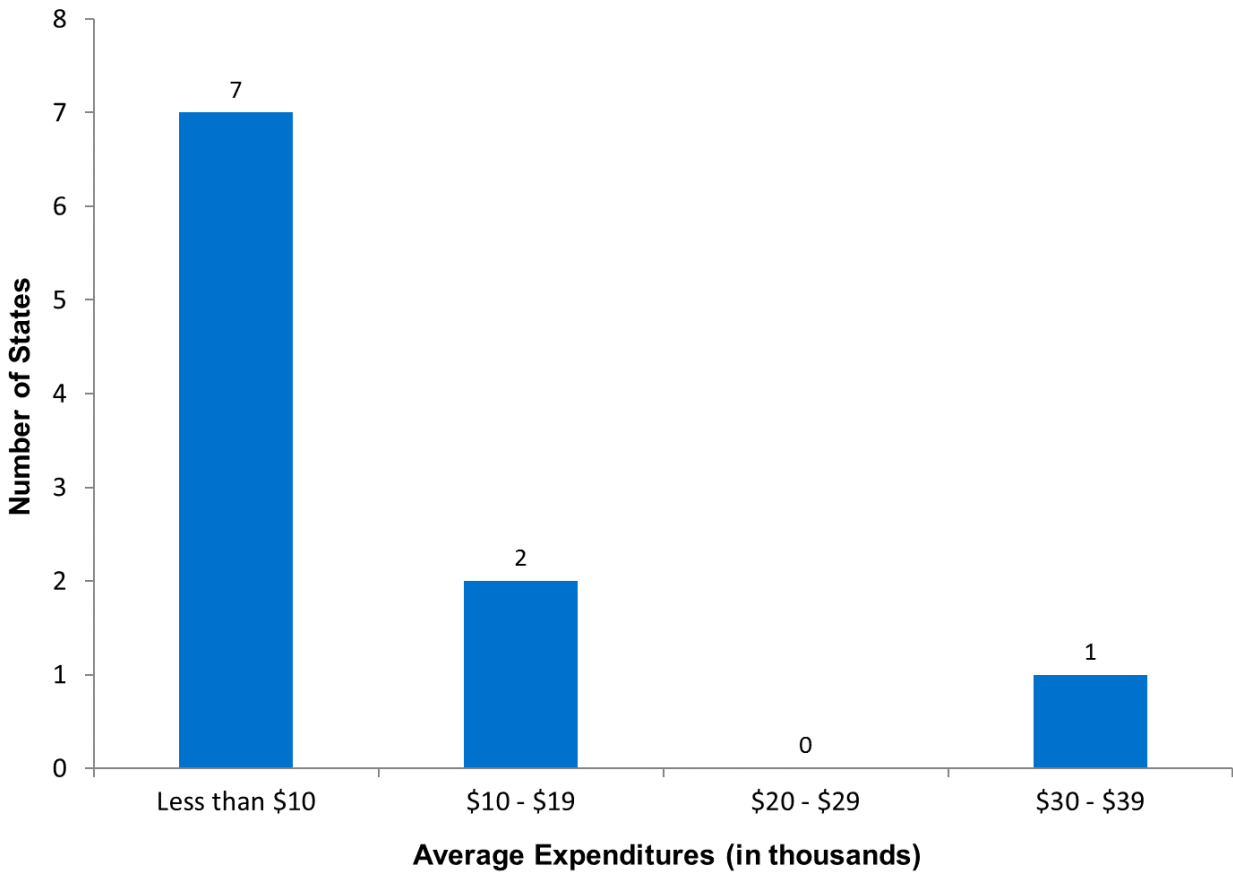


Source: CMS 372 Reports

* Data from the most recent year available (2013 for Iowa and Colorado; 2011 for New Jersey) were used for one or more waivers because the state did not submit a CMS 372 or CMS did not accept a submitted CMS 372. See Table 7 for more information.

Most states with waivers targeting people with HIV/AIDS also reported low average waiver expenditures, less than \$10,000 per participant (seven of 10 or 70 percent; see Figure 18). Missouri reported the highest average waiver cost, approximately \$30,000. Pennsylvania and Illinois also reported average spending greater than \$10,000.

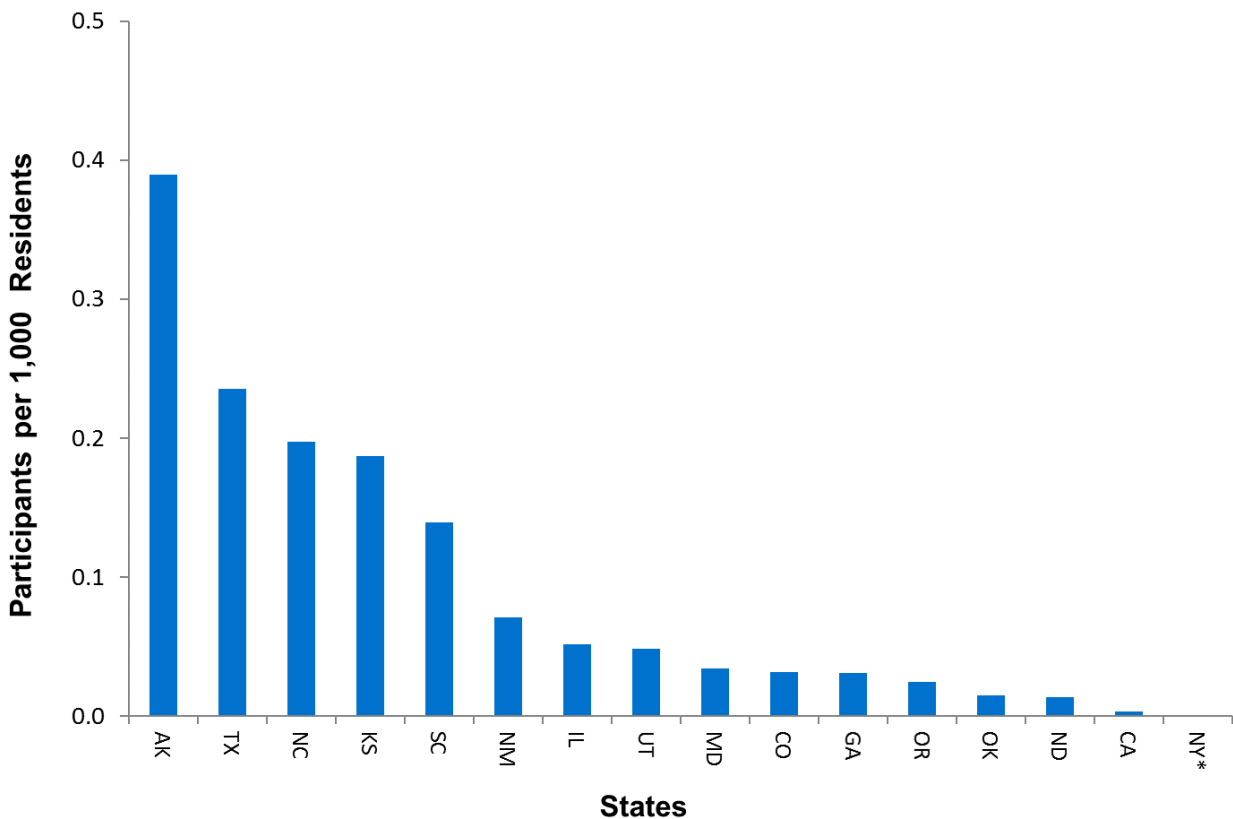
Figure 18: Distribution of States by Average Waiver Expenditures per Participant, Waivers Targeting People with HIV/AIDS, Waiver Year Ending in 2014



Source: CMS 372 Reports

Of the 15 states with available data for waivers targeting medically fragile children, 10 served fewer than 0.1 participants per 1,000 state residents (67 percent; see Figure 19). Alaska, Texas, North Carolina, Kansas, and South Carolina supported more individuals as a proportion of state population. These five states included 84 percent of all waiver participants for this population. Missing data from New York are unlikely to affect national trends because this state accounted for only one percent of 2014 expenditures for waivers targeting medically fragile children according to a separate report specifically regarding waiver expenditures.¹⁴

Figure 19: Participants per 1,000 State Residents, Waivers Targeting Medically Fragile Children, Waiver Year Ending in 2014



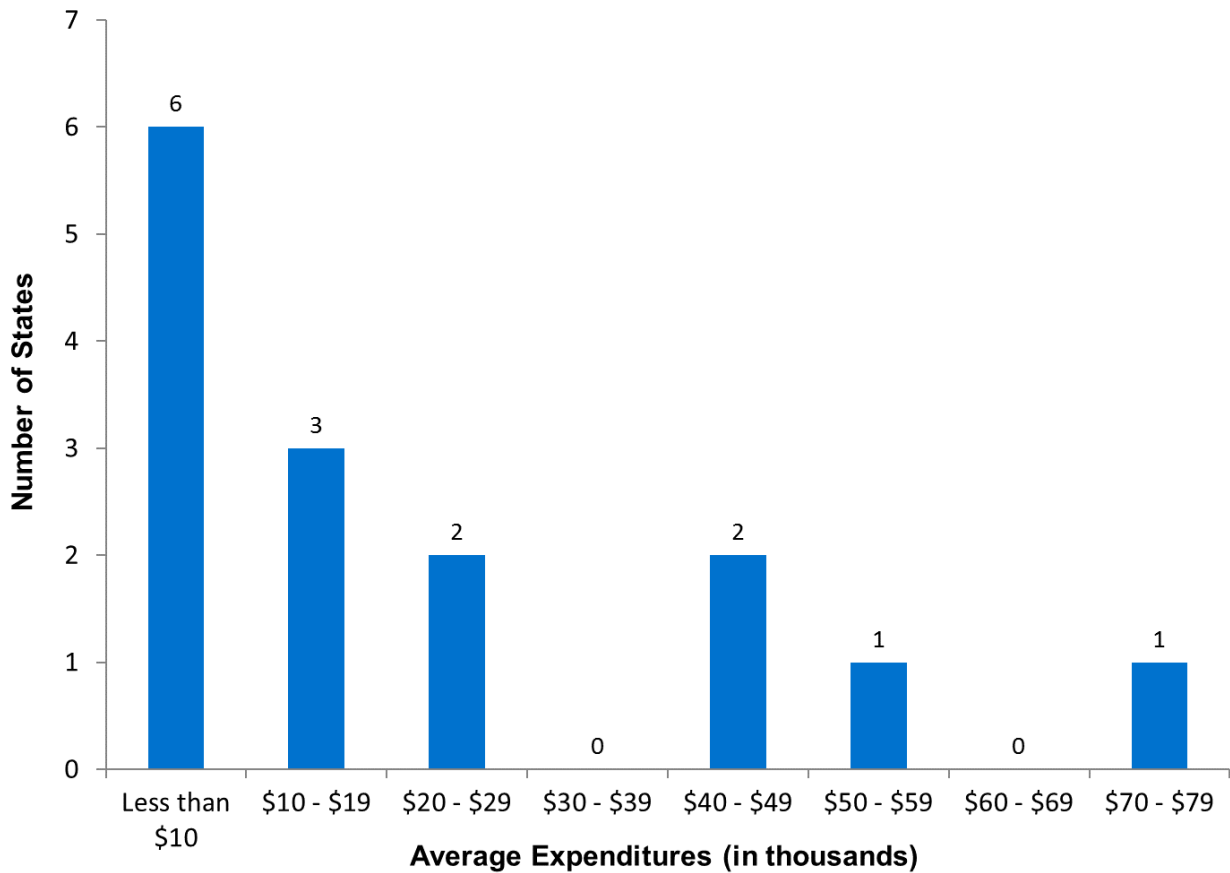
Source: CMS 372 Reports

* Data for a New York waiver are excluded because there was not a CMS 372 report accepted by CMS for 2011 through 2014.

¹⁴ Eiken S, Sredl K, Woodward R, and Saucier P. *Medicaid Expenditures for Section 1915(c) Waivers in FY 2015* CMS, July 10, 2017. Available on-line at <https://www.medicaid.gov/medicaid/ltss/reports-and-evaluations/index.html>.

Nine of 15 states with waivers targeting medically fragile children (60 percent) reported average waiver expenditures per participant of less than \$20,000, as shown in Figure 20. The six states with greater average expenditures were Kansas, Oklahoma, North Carolina, Alaska, Georgia, and Utah.

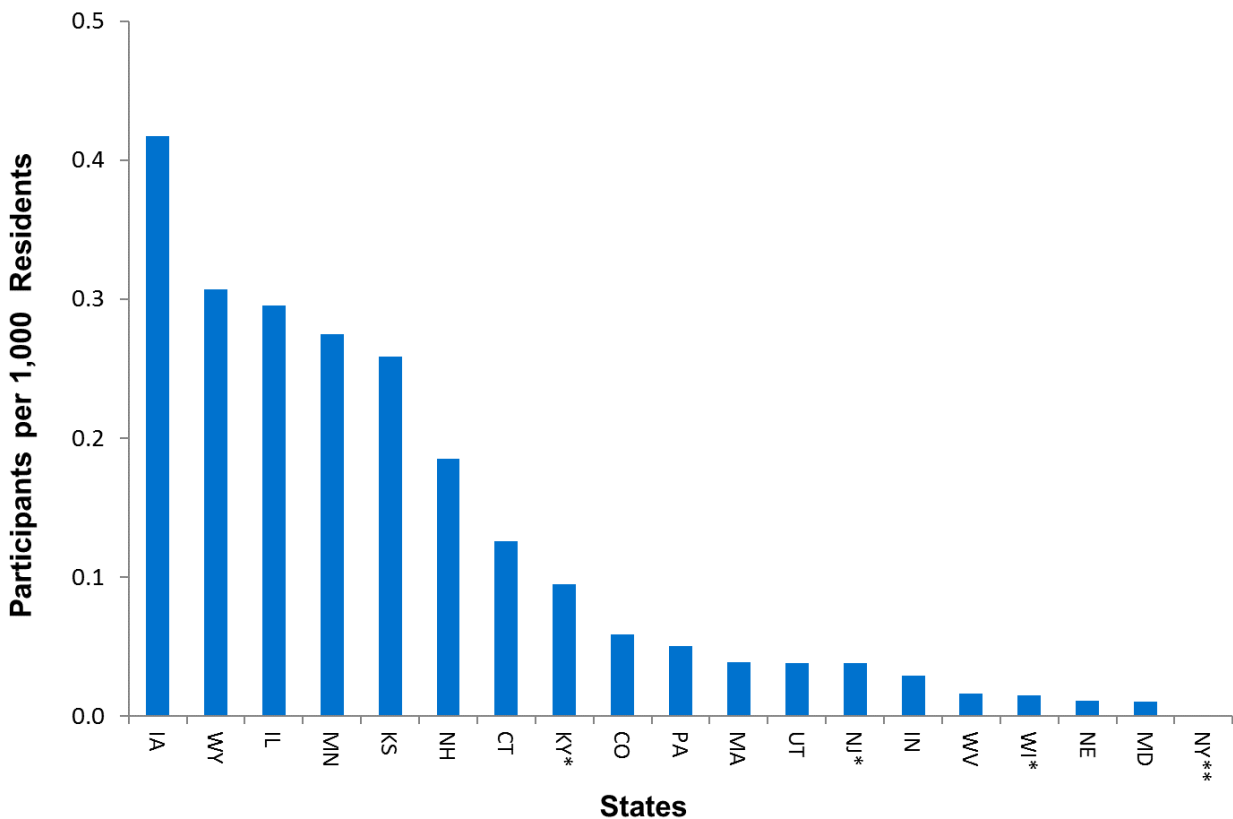
Figure 20: Distribution of States by Average Waiver Expenditures per Participant, Waivers Targeting Medically Fragile Children, Waiver Year Ending in 2014



Source: CMS 372 Reports

A majority of states with waivers targeting people with brain injuries (11 of 18 or 61 percent) supported fewer than 0.1 participants per 1,000 state residents, as indicated on Figure 21. The five states with the highest proportion of state residents—Iowa, Wyoming, Illinois, Minnesota, and Kansas—accounted for 70 percent of all waiver participants from states with CMS 372 data for this population. Missing data from New York may affect national data for this population. New York spent 27 percent of all expenditures nationwide for waivers targeting people with brain injuries in federal fiscal year 2014.¹⁵

Figure 21: Participants per 1,000 State Residents, Waivers Targeting People with Brain Injuries, Waiver Year Ending in 2014



Source: CMS 372 Reports

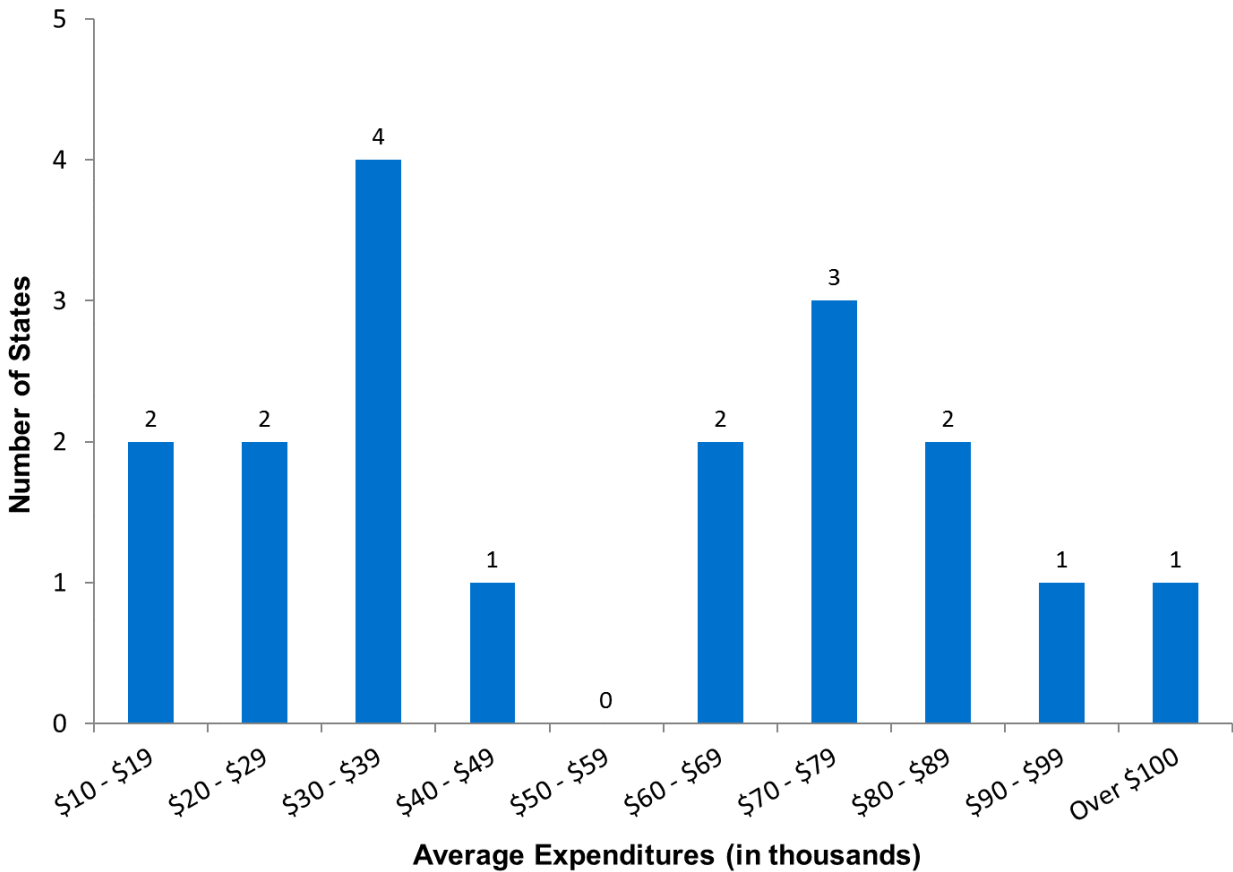
* Data from the most recent year available (2013 for Kentucky, 2012 for Wisconsin, 2011 for New Jersey) were used for one or more waivers because the state did not submit a CMS 372 or CMS did not accept a submitted CMS 372. See Table 9 for more information.

** Data for a New York waiver are excluded because there was not a CMS 372 report accepted by CMS for 2011 through 2014.

¹⁵ Eiken S, Sredl K, Woodward R, and Saucier P. *Medicaid Expenditures for Section 1915(c) Waivers in FY 2015 CMS*, July 10, 2017. Available on-line at <https://www.medicare.gov/medicaid/ltss/reports-and-evaluations/index.html>.

States showed wide variation in average waiver expenditures per participant among waivers for people with brain injuries. Half of the states had average expenditures below \$50,000, while the other half reported more than \$60,000 per participant (See Figure 22). Average cost for waiver services ranged from over \$100,000 in Maryland and Connecticut to under \$20,000 in Illinois and West Virginia.

Figure 22: Distribution of States by Average Waiver Expenditures per Participant, Waivers Targeting People with Brain Injuries, Waiver Year Ending in 2014



Source: CMS 372 Reports

Comparison to the Section 1915(c) Waiver Expenditures Report

We compared 2014 expenditures reported on the CMS 372 to a separate report focused on section 1915(c) waiver expenditures that used different data sources, hereafter referred to as the waiver expenditures report.¹⁶ The latter report used quarterly state submissions to claim Medicaid federal financial participation using the CMS-64 form, supplemented by data reported by states that have managed care programs with long-term services and supports expenditures that are not completely described on the CMS-64.

Table 10 compares data between the two sources for each waiver and state. Nationally, the two sources differed by only one percent, with more spending reported on the CMS 372 (\$41.8 billion) than in the waiver expenditures report (\$41.4 billion). Minor differences between the sources are expected because data requirements vary. First, the CMS 372 requires reporting based on date of service (the date a participant received services), while the waiver expenditures report is based on the date of payment (the date a state paid for services). Second, the CMS 372 defines a year based on the waiver's effective date, while the waiver expenditures report shows data by federal fiscal year.

While national totals were similar, significant differences between the two sources occur for a minority of states and for many individual waivers. Cumulative state data were more likely than individual waiver data to be consistent across the two sources. One reason is that occasionally states have reported CMS-64 expenditures under the wrong waiver, which would create inconsistencies for the particular waivers but not for statewide totals.

Most states had similar section 1915(c) waiver expenditures reported in both sources. The two sources were within five percent of each other in 32 states, 67 percent of the 48 states with section 1915(c) waivers in 2014. An additional nine states (19 percent) had a five-to-ten percent difference between the two sources. Seven states had more than a 10 percent variance.

The two sources had greater variation for individual waivers. CMS 372 expenditures were within five percent of waiver expenditures report data for fewer than half of waivers for which a CMS 372 was required in 2014 (135 of 295 waivers or 46 percent). An additional 47 waivers (16 percent) had a five-to-ten percent difference. The two sources disagreed by 10 percent or more for 113 waivers (38 percent), including 50 waivers (17 percent) with a discrepancy of 50 percent or more.

¹⁶ Eiken S, Sredl K, Woodward R, and Saucier P. *Medicaid Expenditures for Section 1915(c) Waivers in FY 2015 CMS*, July 10, 2017. Available on-line at <https://www.medicaid.gov/medicaid/ltss/reports-and-evaluations/index.html>.

Conclusion

The CMS 372 report includes useful data for policymakers regarding the number of section 1915(c) waiver participants, the amount of time a person received services, waiver expenditures per participant, and total Medicaid expenditures per participant. This report presents some of these data, including the following national totals for 2014:

- The number of waiver participants: 1.6 million
- The average number of months participants received services: 10 months
- Average annual expenditures per participant: \$26,055
- Average total Medicaid expenditures per participant: \$36,039

The number of participants increased four percent from 2013, continuing a trend of an increased number of people served in section 1915(c) waivers. Since the first year of CMS 372 data in this series of reports (2009), the number of participants has increased four percent per year. When adjusting for growth in the U.S. population, waiver participation has risen three percent per year. Other national trends changed by one percent per year or less since 2009.

In preparing this report, two issues emerged that affect the data's potential usefulness. First, the data are not complete. For example, CMS 372 reports for 33 waivers had either not been submitted for 2014 or were not accepted by CMS as of July 14, 2017, more than a full year after the latest possible deadline for submitting a CMS 372 report that year (June 30, 2016).¹⁷ This report used the most recent year available from 2011 through 2013 for waivers with missing data. For six New York waivers, no data were used for this report because no report had been submitted and accepted for any year from 2011 through 2014. Since missing data involved a large state, it could affect national totals, especially for smaller population groups.

Second, there are some discrepancies between the CMS 372 report and the annual waiver expenditures report that raise data accuracy concerns. Without further research, it is uncertain which report is more accurate. Further work could be done to ensure CMS is receiving accurate and timely information about section 1915(c) waiver participation and Medicaid expenditures for waiver participants.

¹⁷ CMS 372 reports are due 18 months after the end of the waiver year. The latest possible end of a waiver year for a 2014 report was the last day of the year, December 31, 2014.

Appendix A: Data Sources, Methods and Limitations

Sources

Most data are from the CMS 372(S) report, typically called the CMS 372, which states submit to the Centers for Medicare & Medicaid Services (CMS) to document that the section 1915(c) waiver meets federal cost effectiveness requirements. The U.S. Census Bureau Current Population Estimates were used for state population data to calculate waiver participants per 1,000 state residents.¹⁸ Waiver target population data were obtained from an annual report on Medicaid section 1915(c) waiver expenditures, hereafter called the waiver expenditures report.¹⁹ One data table (Table 10) compares data from the CMS 372 to waiver expenditures report data.

Methods

Truven Health extracted CMS 372 data for all section 1915(c) waivers from the CMS Waiver Management System (WMS). Data are presented as they appear in the WMS except obvious data entry errors, typically an expired waiver number or entry of the average days of service instead of total days, were fixed when the error was unambiguous.

Limitations

CMS reviews the CMS 372 report for consistency with the state's approved waiver, but the report is not subject to audit like the CMS-64 report. Also, CMS 372 data are not as timely as the CMS-64 because the CMS 372 is based on date of service. States may pay a provider several months after a service is provided and need additional time to aggregate and report data. As a result, CMS 372 data are not as timely as the CMS-64, which is based on date of payment.

There are two limitations to aggregating the CMS 372 for multiple waivers. First, states must submit the CMS 372 based on the anniversary of the approved waiver, so the time period used for a year of data varies across waivers. Second, participants in more than one waiver during a year are double-counted. Many people can be double-counted if a state starts a new waiver and moves participants from an old waiver to the new one.

¹⁸ U.S. Census Bureau, Population Division "Table 1. Annual Estimates of the Resident Population for the United States, Regions, States, and Puerto Rico: April 1, 2010 to July 1, 2016 (NST-EST2016-01)" December 2016. Available on-line at <https://www.census.gov/data/tables/2016/demo/popest/state-total.html>.

¹⁹ Eiken S, Sredl K, Woodward R, and Saucier P. *Medicaid Expenditures for Section 1915(c) Waivers in FY 2015* CMS, July 10, 2017. Available on-line at <https://www.medicaid.gov/medicaid/ltss/reports-and-evaluations/index.html>.

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Abbreviations in Data Tables

ASD – autism spectrum disorder

DD – developmental disabilities

HIV/AIDS – human immunodeficiency virus or acquired immunodeficiency syndrome

ID – intellectual disability

PD – physical disabilities

SED – serious emotional disturbance

State	Waiver Number	Target Population	Total Participants	Total Days of Service	Total Participant Months	Average Participant Months	Total Waiver Expenditures	Average Waiver Expenditures	Average Non-waiver Medicaid Expenditures	Average Total Medicaid Expenditures for Waiver Participants
Alabama	0001	Intellectual Disability	5,022	1,782,841	58,614	11.7	\$313,168,523	\$62,359	\$4,787	\$67,146
Alabama	0068	Aging/Physical Disabilities	8,323	2,464,922	81,039	9.7	\$59,711,061	\$7,174	\$6,154	\$13,328
Alabama	0241	Physical Disabilities	473	158,577	5,213	11.0	\$5,043,237	\$10,662	\$4,868	\$15,530
Alabama	0391	ID-Child	468	162,108	5,330	11.4	\$5,539,554	\$11,837	\$3,932	\$15,769
Alabama	0407	Physical Disabilities	24	7,679	252	10.5	\$1,576,282	\$65,678	\$10,238	\$75,916
Alabama	0878	Aging/Physical Disabilities	23	6,338	208	9.1	\$549,961	\$23,911	\$7,943	\$31,854
Alabama	40382	HIV/AIDS	92	29,100	957	10.4	\$508,294	\$5,525	\$12,225	\$17,750
Alabama	Total	All	14,425	4,611,565	151,613	10.5	\$386,096,912	\$26,766	\$5,612	\$32,378
Alaska ¹	0260	DD-incl. ID & ASD	1,940	675,120	22,196	11.4	\$147,681,413	\$76,124	\$10,983	\$87,107
Alaska	0261	Aging	2,907	909,381	29,897	10.3	\$77,949,211	\$26,814	\$25,799	\$52,613
Alaska	0262	Physical Disabilities	169	46,388	1,525	9.0	\$8,617,373	\$50,990	\$38,051	\$89,041
Alaska	0263	Medically Fragile	287	98,631	3,243	11.3	\$11,602,293	\$40,426	\$46,047	\$86,473
Alaska	Total	All	5,303	1,729,520	56,861	10.7	\$245,850,290	\$46,361	\$21,865	\$68,225
Arkansas ²	0188	DD-incl. ID & ASD	4,152	1,472,146	48,399	11.7	\$178,617,018	\$43,020	\$16,146	\$59,166
Arkansas ²	0195	Aging	6,792	1,960,753	64,463	9.5	\$59,176,879	\$8,713	\$8,261	\$16,974
Arkansas ²	0312	Physical Disabilities	2,582	630,945	20,743	8.0	\$43,598,712	\$16,886	\$7,215	\$24,101
Arkansas ³	0400	Aging/Physical Disabilities	951	242,955	7,988	8.4	\$13,584,396	\$14,284	\$2,134	\$16,418
Arkansas ²	0936	ASD-Child	81	17,496	575	7.1	\$874,401	\$10,795	\$15,665	\$26,460
Arkansas	Total	All	14,558	4,324,295	142,169	9.8	\$295,851,406	\$20,322	\$9,966	\$30,288
California	0139	Physical Disabilities	3,162	1,043,058	34,292	10.8	\$130,441,644	\$41,253	\$45,749	\$87,002
California	0141	Aging	11,266	3,330,935	109,510	9.7	\$39,046,818	\$3,466	\$14,223	\$17,689
California	0183	HIV/AIDS	1,812	546,140	17,955	9.9	\$8,413,253	\$4,643	\$21,313	\$25,956
California	0336	DD-incl. ID & ASD	103,814	34,667,811	1,139,764	11.0	\$2,590,002,145	\$24,948	\$13,473	\$38,421
California	0431	Aging/Physical Disabilities	2,617	570,939	18,771	7.2	\$49,753,788	\$19,012	\$6,238	\$25,250
California	0457	Physical Disabilities	128	45,984	1,512	11.8	\$10,774,768	\$84,178	\$28,589	\$112,767
California	0486	Medically Fragile	119	33,427	1,099	9.2	\$609,609	\$5,123	\$93,585	\$98,708
California	0855	Aging/Physical Disabilities	25	5,023	165	6.6	\$488,445	\$19,538	\$14,111	\$33,649
California	Total	All	122,943	40,243,317	1,323,068	10.8	\$2,829,530,470	\$23,015	\$14,426	\$37,441
Colorado	0006	Aging/Physical Disabilities	24,770	7,210,085	237,044	9.6	\$269,179,118	\$10,867	\$7,595	\$18,462
Colorado	0007	DD-incl. ID & ASD	4,861	1,646,079	54,118	11.1	\$307,164,487	\$63,190	\$8,328	\$71,518
Colorado ²	0211	HIV/AIDS	54	15,620	514	9.5	\$420,026	\$7,778	\$7,570	\$15,348
Colorado	0268	Serious Mental Illness	3,449	1,016,005	33,403	9.7	\$31,477,254	\$9,126	\$7,773	\$16,899
Colorado	0288	Brain Injuries	314	90,379	2,971	9.5	\$14,335,775	\$45,655	\$9,499	\$55,154
Colorado	0293	DD-incl. ID & ASD	3,550	1,131,650	37,205	10.5	\$38,987,011	\$10,982	\$11,379	\$22,361
Colorado	0305	DD-Child (incl. ID & ASD)	82	23,636	777	9.5	\$3,037,994	\$37,049	\$29,116	\$66,165
Colorado	0434	ASD-Child	81	11,937	392	4.8	\$669,622	\$8,267	\$3,693	\$11,960
Colorado	0450	Medically Fragile	168	33,510	1,102	6.6	\$223,309	\$1,329	\$51,131	\$52,460
Colorado	0961	Aging/Physical Disabilities	66	19,297	634	9.6	\$1,706,674	\$25,859	\$27,403	\$53,262

CMS 372 Data by State and Waiver, 2014

Table 1

State	Waiver Number	Target Population	Total Participants	Total Days of Service	Total Participant Months	Average Participant Months	Total Waiver Expenditures	Average Waiver Expenditures	Average Non-waiver Medicaid Expenditures	Average Total Medicaid Expenditures for Waiver Participants
Colorado	4157	PD-Child	1,235	364,647	11,988	9.7	\$8,205,004	\$6,644	\$28,811	\$35,455
Colorado	4180	DD-Child (incl. ID & ASD)	825	202,516	6,658	8.1	\$9,719,210	\$11,781	\$41,337	\$53,118
Colorado	Total	All	39,455	11,765,361	386,806	9.8	\$685,125,484	\$17,365	\$9,681	\$27,046
Connecticut	0140	Aging	13,688	4,064,836	133,638	9.8	\$213,769,241	\$15,617	\$5,876	\$21,493
Connecticut	0301	Physical Disabilities	1,033	328,083	10,786	10.4	\$28,466,790	\$27,557	\$14,339	\$41,896
Connecticut	0302	Brain Injuries	452	162,445	5,341	11.8	\$43,939,213	\$97,211	\$10,361	\$107,572
Connecticut	0426	DD-incl. ID & ASD	3,966	1,392,436	45,779	11.5	\$115,629,193	\$29,155	\$9,084	\$38,239
Connecticut	0437	DD-incl. ID & ASD	4,915	1,748,481	57,484	11.7	\$623,834,909	\$126,925	\$7,617	\$134,542
Connecticut	0653	Serious Mental Illness	300	76,105	2,502	8.3	\$5,269,215	\$17,564	\$18,474	\$36,038
Connecticut	0881	DD-incl. ID & ASD	627	195,649	6,432	10.3	\$9,167,478	\$14,621	\$6,605	\$21,226
Connecticut	0993	Autism Spectrum Disorder	96	31,396	1,032	10.8	\$1,247,773	\$12,998	\$10,405	\$23,403
Connecticut	40110	DD-Child (incl. ID & ASD)	283	78,048	2,566	9.1	\$43,920	\$155	\$19,039	\$19,194
Connecticut	Total	All	25,360	8,077,479	265,561	10.5	\$1,041,367,732	\$41,063	\$7,471	\$48,534
Delaware	0009	DD-incl. ID & ASD	984	344,990	11,342	11.5	\$104,303,024	\$105,999	\$4,276	\$110,275
Delaware	Total	All	984	344,990	11,342	11.5	\$104,303,024	\$105,999	\$4,276	\$110,275
Dist. of Columbia	0307	DD-incl. ID & ASD	1,642	592,806	19,490	11.9	\$167,817,691	\$102,203	\$11,883	\$114,086
Dist. of Columbia	0334	Aging/Physical Disabilities	2,950	847,878	27,875	9.4	\$29,861,828	\$10,123	\$44,610	\$54,733
Dist. of Columbia	Total	All	4,592	1,440,684	47,365	10.3	\$197,679,518	\$43,049	\$32,908	\$75,956
Florida ⁴	0010	Aging/Physical Disabilities	11,550	1,639,283	53,894	4.7	\$61,942,419	\$5,363	\$1,410	\$6,773
Florida ⁴	0116	Aging	1,225	187,417	6,162	5.0	\$6,909,154	\$5,640	\$330	\$5,970
Florida	0194	HIV/AIDS	7,559	2,247,553	73,892	9.8	\$10,731,791	\$1,420	\$16,664	\$18,084
Florida ⁴	0280	Aging/Physical Disabilities	1,523	65,317	2,147	1.4	\$2,189,420	\$1,438	\$2,313	\$3,751
Florida ⁴	0315	Aging	12,846	1,646,119	54,119	4.2	\$74,404,991	\$5,792	\$421	\$6,213
Florida	0342	Physical Disabilities	419	128,372	4,220	10.1	\$13,251,796	\$31,627	\$15,263	\$46,890
Florida	0392	DD-other specific diagnoses	128	41,343	1,359	10.6	\$785,617	\$6,138	\$31,273	\$37,411
Florida	0867	DD-incl. ID & ASD	30,058	9,889,044	325,119	10.8	\$735,681,732	\$24,475	\$11,511	\$35,986
Florida	0962	Aging/Physical Disabilities	54,277	9,219,363	303,102	5.6	\$264,494,421	\$4,873	\$18,621	\$23,494
Florida	40166	Physical Disabilities	4	733	24	6.0	\$17,034	\$4,259	\$252,077	\$256,336
Florida	40205	DD-other specific diagnoses	9	3,285	108	12.0	\$59,237	\$6,582	\$22,946	\$29,528
Florida ⁴	Total	All	119,598	25,067,829	824,148	6.9	\$1,170,467,612	\$9,787	\$12,708	\$22,495
Georgia	0112	Aging/Physical Disabilities	30,057	9,076,556	298,407	9.9	\$403,584,810	\$13,427	\$6,514	\$19,941
Georgia	0175	DD-incl. ID & ASD	5,040	1,743,983	57,336	11.4	\$67,533,018	\$13,399	\$2,445	\$15,844
Georgia ⁵	0323	DD-incl. ID & ASD	6,916	2,380,874	78,275	11.3	\$377,985,228	\$54,654	\$4,196	\$58,850
Georgia	4116	Medically Fragile	314	72,892	2,396	7.6	\$7,767,535	\$24,737	\$31,002	\$55,739
Georgia	4170	Physical Disabilities	1,234	418,408	13,756	11.1	\$46,015,522	\$37,290	\$7,770	\$45,060
Georgia	Total	All	43,561	13,692,713	450,171	10.3	\$902,886,114	\$20,727	\$5,887	\$26,614
Hawaii	0013	DD-incl. ID & ASD	2,623	933,184	30,680	11.7	\$105,903,418	\$40,375	\$5,563	\$45,938
Hawaii	Total	All	2,623	933,184	30,680	11.7	\$105,903,418	\$40,375	\$5,563	\$45,938

CMS 372 Data by State and Waiver, 2014

Table 1

State	Waiver Number	Target Population	Total Participants	Total Days of Service	Total Participant Months	Average Participant Months	Total Waiver Expenditures	Average Waiver Expenditures	Average Non-waiver Medicaid Expenditures	Average Total Medicaid Expenditures for Waiver Participants
Idaho	0076	DD-incl. ID & ASD	3,631	1,251,618	41,149	11.3	\$145,834,094	\$40,164	\$9,662	\$49,826
Idaho	0859	DD-Child (incl. ID & ASD)	2,408	707,867	23,272	9.7	\$17,196,081	\$7,141	\$9,355	\$16,496
Idaho	0887	DD-Child (incl. ID & ASD)	598	165,696	5,448	9.1	\$8,644,790	\$14,456	\$7,322	\$21,778
Idaho	1076	Aging/Physical Disabilities	10,269	2,560,487	84,180	8.2	\$78,186,301	\$7,614	\$9,369	\$16,983
Idaho	Total	All	16,906	4,685,668	154,049	9.1	\$249,861,267	\$14,779	\$9,358	\$24,137
Illinois	0142	Physical Disabilities	18,743	5,119,090	168,299	9.0	\$258,954,174	\$13,816	\$4,809	\$18,625
Illinois	0143	Aging/Physical Disabilities	61,909	16,861,200	554,341	9.0	\$468,701,151	\$7,571	\$1,799	\$9,370
Illinois	0202	HIV/AIDS	1,308	345,808	11,369	8.7	\$13,930,503	\$10,650	\$9,476	\$20,126
Illinois	0278	Medically Fragile	666	205,465	6,755	10.1	\$2,059,773	\$3,093	\$133,087	\$136,180
Illinois	0326	Aging/Physical Disabilities	9,699	2,332,394	76,681	7.9	\$142,516,651	\$14,694	\$1,320	\$16,014
Illinois	0329	Brain Injuries	3,802	1,086,540	35,722	9.4	\$66,389,418	\$17,462	\$21,258	\$38,720
Illinois ⁶	0350	DD-incl. ID & ASD	19,487	6,608,478	217,265	11.1	\$654,584,102	\$33,591	\$36,017	\$69,608
Illinois	0464	DD-Child (incl. ID & ASD)	1,428	458,390	15,070	10.6	\$18,024,620	\$12,622	\$15,049	\$27,671
Illinois	0473	DD-Child (incl. ID & ASD)	300	90,898	2,988	10.0	\$23,492,188	\$78,307	\$4,294	\$82,601
Illinois	Total	All	117,342	33,108,263	1,088,491	9.3	\$1,648,652,581	\$14,050	\$9,552	\$23,602
Indiana	0210	Aging/Physical Disabilities	14,579	4,142,191	136,182	9.3	\$160,763,036	\$11,027	\$20,092	\$31,119
Indiana	0378	DD-incl. ID & ASD	8,553	2,959,116	97,286	11.4	\$525,907,108	\$61,488	\$7,310	\$68,798
Indiana	0387	DD-incl. ID & ASD	8,377	2,384,384	78,391	9.4	\$61,565,773	\$7,349	\$3,852	\$11,201
Indiana	4197	Brain Injuries	192	63,363	2,083	10.8	\$5,143,178	\$26,787	\$28,380	\$55,167
Indiana	Total	All	31,701	9,549,054	313,942	9.9	\$753,379,095	\$23,765	\$12,402	\$36,167
Iowa ⁵	0213	HIV/AIDS	36	11,651	383	10.6	\$334,904	\$9,303	\$10,925	\$20,228
Iowa	0242	Intellectual Disability	12,721	4,419,488	145,298	11.4	\$441,226,003	\$34,685	\$12,624	\$47,309
Iowa	0299	Brain Injuries	1,296	455,553	14,977	11.6	\$31,649,135	\$24,421	\$19,271	\$43,692
Iowa	0345	Physical Disabilities	900	299,964	9,862	11.0	\$4,365,862	\$4,851	\$21,237	\$26,088
Iowa	0819	SED	961	307,496	10,109	10.5	\$9,149,620	\$9,521	\$13,538	\$23,059
Iowa ⁵	4111	Physical Disabilities	2,564	873,602	28,721	11.2	\$20,912,200	\$8,156	\$20,478	\$28,634
Iowa ⁵	4155	Aging	11,053	3,178,303	104,492	9.5	\$73,719,366	\$6,670	\$4,690	\$11,360
Iowa	Total	All	29,531	9,546,057	313,843	10.6	\$581,357,090	\$19,686	\$10,918	\$30,605
Kansas ⁷	0224	DD-incl. ID & ASD	9,044	3,125,922	102,770	11.4	\$399,432,697	\$44,165	\$0	\$44,165
Kansas ⁷	0303	Aging	6,857	1,941,636	63,835	9.3	\$195,462,702	\$28,506	\$0	\$28,506
Kansas ⁷	0304	Physical Disabilities	6,146	1,812,561	59,591	9.7	\$229,537,357	\$37,347	\$0	\$37,347
Kansas ⁷	0320	SED	4,517	1,182,308	38,870	8.6	\$58,799,898	\$13,017	\$0	\$13,017
Kansas ⁷	0476	ASD-Child	79	22,487	739	9.4	\$1,107,993	\$14,025	\$0	\$14,025
Kansas ⁷	4164	Brain Injuries	750	232,501	7,644	10.2	\$29,837,765	\$39,784	\$0	\$39,784
Kansas ⁷	4165	Medically Fragile	542	167,337	5,501	10.2	\$41,799,166	\$77,120	\$0	\$77,120
Kansas ⁷	Total	All	27,935	8,484,752	278,951	10.0	\$955,977,577	\$34,221	\$0	\$34,221
Kentucky	0144	Aging/Physical Disabilities	10,573	3,322,892	109,246	10.3	\$87,241,015	\$8,251	\$7,893	\$16,144
Kentucky	0314	DD-incl. ID & ASD	4,134	1,163,542	38,253	9.3	\$232,333,505	\$56,201	\$4,773	\$60,974

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Table 1

State	Waiver Number	Target Population	Total Participants	Total Days of Service	Total Participant Months	Average Participant Months	Total Waiver Expenditures	Average Waiver Expenditures	Average Non-waiver Medicaid Expenditures	Average Total Medicaid Expenditures for Waiver Participants
Kentucky ⁵	0333	Brain Injuries	190	61,225	2,013	10.6	\$21,416,291	\$112,717	\$5,640	\$118,357
Kentucky	0475	DD-incl. ID & ASD	10,062	3,510,307	115,407	11.5	\$264,917,533	\$26,329	\$7,305	\$33,634
Kentucky	0477	Brain Injuries	230	81,498	2,679	11.6	\$15,803,863	\$68,712	\$5,906	\$74,618
Kentucky ⁵	40146	Physical Disabilities	62	18,884	621	10.0	\$5,153,692	\$83,124	\$67,922	\$151,046
Kentucky	Total	All	25,251	8,158,348	268,220	10.6	\$626,865,897	\$24,825	\$7,260	\$32,086
Louisiana	0121	Aging/Physical Disabilities	798	254,365	8,363	10.5	\$9,334,728	\$11,698	\$11,461	\$23,159
Louisiana	0361	DD-Child (incl. ID & ASD)	1,189	423,995	13,940	11.7	\$12,645,777	\$10,636	\$17,750	\$28,386
Louisiana	0401	DD-incl. ID & ASD	8,612	3,101,605	101,971	11.8	\$420,004,711	\$48,770	\$7,601	\$56,371
Louisiana	0453	DD-incl. ID & ASD	1,610	576,135	18,941	11.8	\$12,132,411	\$7,536	\$4,746	\$12,282
Louisiana	0472	DD-incl. ID & ASD	30	10,450	344	11.5	\$807,401	\$26,913	\$36,333	\$63,246
Louisiana	0866	Aging/Physical Disabilities	4,866	1,545,960	50,826	10.4	\$109,035,399	\$22,408	\$6,418	\$28,826
Louisiana	0889	SED	612	87,240	2,868	4.7	\$832,539	\$1,360	\$12,494	\$13,854
Louisiana	Total	All	17,717	5,999,750	197,252	11.1	\$564,792,966	\$31,879	\$8,090	\$39,968
Maine	0127	Physical Disabilities	156	51,975	1,709	11.0	\$5,159,418	\$33,073	\$7,498	\$40,571
Maine	0159	ID & ASD	2,927	1,004,143	33,013	11.3	\$291,699,930	\$99,658	\$6,777	\$106,435
Maine	0276	Aging/Physical Disabilities	1,550	389,954	12,820	8.3	\$30,152,119	\$19,453	\$6,915	\$26,368
Maine ²	0467	ID & ASD	1,393	474,148	15,588	11.2	\$24,132,609	\$17,324	\$13,730	\$31,054
Maine	0995	DD - non-ID	7	722	24	3.4	\$167,711	\$23,959	\$15,459	\$39,418
Maine	Total	All	6,033	1,920,942	63,154	10.5	\$351,311,786	\$58,232	\$8,446	\$66,678
Maryland	0023	DD-incl. ID & ASD	13,757	4,852,048	159,519	11.6	\$765,926,370	\$55,675	\$8,605	\$64,280
Maryland ⁸	0265	Aging/Physical Disabilities	4,713	1,346,864	44,280	9.4	\$83,089,767	\$17,630	\$18,782	\$36,412
Maryland	0339	ASD-Child	1,003	351,304	11,550	11.5	\$31,255,856	\$31,162	\$12,518	\$43,680
Maryland ⁸	0353	Physical Disabilities	1,035	168,686	5,546	5.4	\$23,283,539	\$22,496	\$6,649	\$29,145
Maryland	0645	Aging/Physical Disabilities	4,900	1,496,899	49,213	10.0	\$71,155,998	\$14,522	\$9,964	\$24,486
Maryland	40118	Medically Fragile	203	69,521	2,286	11.3	\$2,305,686	\$11,358	\$131,050	\$142,408
Maryland	40198	Brain Injuries	63	20,707	681	10.8	\$6,415,051	\$101,826	\$7,239	\$109,065
Maryland ⁸	Total	All	25,674	8,306,029	273,075	10.6	\$983,432,267	\$38,305	\$11,771	\$50,076
Massachusetts	0059	Aging/Physical Disabilities	14,260	3,996,388	131,388	9.2	\$142,151,265	\$9,969	\$12,109	\$22,078
Massachusetts	0359	Brain Injuries	99	32,429	1,066	10.8	\$6,832,172	\$69,012	\$32,137	\$101,149
Massachusetts	0826	Intellectual Disability	1,758	565,822	18,602	10.6	\$40,942,882	\$23,289	\$24,843	\$48,132
Massachusetts	0827	Intellectual Disability	8,447	2,949,407	96,967	11.5	\$876,039,475	\$103,710	\$25,208	\$128,918
Massachusetts	0828	Intellectual Disability	2,663	905,687	29,776	11.2	\$41,043,153	\$15,412	\$21,157	\$36,569
Massachusetts ⁹	1027	Serious Mental Illness	0	0	0	0.0	\$0	\$0	\$0	\$0
Massachusetts ⁹	1028	Serious Mental Illness	0	0	0	0.0	\$0	\$0	\$0	\$0
Massachusetts ²	40207	ASD-Child	211	49,108	1,615	7.7	\$2,298,042	\$10,891	\$11,616	\$22,507
Massachusetts	40701	Brain Injuries	79	21,387	703	8.9	\$8,955,812	\$113,365	\$15,298	\$128,663
Massachusetts	40702	Brain Injuries	83	20,949	689	8.3	\$2,867,695	\$34,551	\$20,508	\$55,059
Massachusetts	Total	All	27,600	8,541,177	280,806	10.2	\$1,121,130,495	\$40,621	\$17,905	\$58,525

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Table 1

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Michigan	0167	DD-incl. ID & ASD	8,121	2,841,812	93,429	11.5	\$442,626,984	\$54,504	\$11,715	\$66,219
Michigan	0233	Aging/Physical Disabilities	13,107	3,545,119	116,552	8.9	\$274,221,684	\$20,922	\$4,190	\$25,112
Michigan	0438	SED	515	100,805	3,314	6.4	\$3,282,940	\$6,375	\$6,274	\$12,649
Michigan	4119	DD-Child (incl. ID & ASD)	462	148,227	4,873	10.5	\$11,534,304	\$24,966	\$14,981	\$39,947
Michigan	Total	All	22,205	6,635,963	218,169	9.8	\$731,665,912	\$32,951	\$7,215	\$40,166
Minnesota	0025	Aging	28,936	8,841,722	290,687	10.0	\$334,498,038	\$11,560	\$8,127	\$19,687
Minnesota	0061	DD-incl. ID & ASD	16,459	5,827,013	191,573	11.6	\$1,082,168,890	\$65,749	\$8,119	\$73,868
Minnesota	0166	Physical Disabilities	20,495	6,586,671	216,548	10.6	\$598,480,236	\$29,201	\$16,479	\$45,680
Minnesota	4128	Physical Disabilities	429	136,275	4,480	10.4	\$26,001,572	\$60,610	\$115,427	\$176,037
Minnesota	4169	Brain Injuries	1,497	496,741	16,331	10.9	\$97,593,787	\$65,193	\$11,672	\$76,865
Minnesota	Total	All	67,816	21,888,422	719,619	10.6	\$2,138,742,523	\$31,537	\$11,406	\$42,943
Mississippi	0255	Physical Disabilities	2,534	804,460	26,448	10.4	\$46,997,195	\$18,547	\$4,721	\$23,268
Mississippi	0272	Aging/Physical Disabilities	18,758	5,592,832	183,874	9.8	\$158,604,458	\$8,455	\$3,852	\$12,307
Mississippi	0282	DD-incl. ID & ASD	2,211	744,415	24,474	11.1	\$69,963,569	\$31,643	\$4,617	\$36,260
Mississippi	0355	Aging/Physical Disabilities	729	205,939	6,771	9.3	\$10,376,066	\$14,233	\$5,800	\$20,033
Mississippi	0366	Physical Disabilities	892	299,293	9,840	11.0	\$20,446,641	\$22,922	\$8,475	\$31,397
Mississippi	Total	All	25,124	7,646,939	251,406	10.0	\$306,387,929	\$12,195	\$4,227	\$16,422
Missouri	0026	Aging/Physical Disabilities	16,343	5,698,464	187,347	11.5	\$95,538,881	\$5,846	\$10,676	\$16,522
Missouri	0178	DD-incl. ID & ASD	8,461	2,627,989	86,400	10.2	\$621,552,421	\$73,461	\$8,666	\$82,127
Missouri	0197	HIV/AIDS	57	13,798	454	8.0	\$1,712,485	\$30,044	\$19,447	\$49,491
Missouri ¹⁰	0346	Aging/Physical Disabilities	152	46,931	1,543	10.2	\$1,628,840	\$10,716	\$39,866	\$50,582
Missouri	0404	DD-incl. ID & ASD	1,560	235,336	7,737	5.0	\$23,113,796	\$14,817	\$12,986	\$27,803
Missouri	0698	ASD-Child	128	15,841	521	4.1	\$1,449,290	\$11,323	\$12,693	\$24,016
Missouri	0841	DD-incl. ID & ASD	2,373	644,970	21,204	8.9	\$13,263,202	\$5,589	\$9,817	\$15,406
Missouri	1021	Physical Disabilities	1,543	381,450	12,541	8.1	\$14,408,156	\$9,338	\$14,271	\$23,609
Missouri	40185	DD-Child (incl. ID & ASD)	312	97,610	3,209	10.3	\$3,689,752	\$11,826	\$28,519	\$40,345
Missouri	40190	Physical Disabilities	148	49,769	1,636	11.1	\$14,493,314	\$97,928	\$19,231	\$117,159
Missouri	Total	All	31,077	9,812,158	322,591	10.4	\$790,850,138	\$25,448	\$10,745	\$36,193
Montana	0148	Aging/Physical Disabilities	2,427	731,259	24,041	9.9	\$36,406,937	\$15,001	\$12,671	\$27,672
Montana	0208	DD-incl. ID & ASD	2,597	794,062	26,106	10.1	\$96,760,303	\$37,258	\$8,409	\$45,667
Montana	0455	Serious Mental Illness	222	64,746	2,129	9.6	\$3,267,164	\$14,717	\$14,000	\$28,717
Montana	0667	ASD-Child	64	10,244	337	5.3	\$1,582,289	\$24,723	\$6,123	\$30,846
Montana	1037	DD-incl. ID & ASD	20	2,670	88	4.4	\$38,570	\$1,929	\$2,442	\$4,371
Montana	Total	All	5,330	1,602,981	52,701	9.9	\$138,055,263	\$25,902	\$10,533	\$36,434
Nebraska	0187	Aging/Physical Disabilities	5,918	1,656,293	54,453	9.2	\$72,694,418	\$12,284	\$6,932	\$19,216
Nebraska ⁵	0394	DD-incl. ID & ASD	894	296,570	9,750	10.9	\$10,824,436	\$12,108	\$4,466	\$16,574
Nebraska ⁵	0396	DD-incl. ID & ASD	3,581	1,266,503	41,638	11.6	\$206,959,936	\$57,794	\$5,872	\$63,666
Nebraska ⁵	4154	DD-Child (incl. ID & ASD)	327	100,650	3,309	10.1	\$19,824,559	\$60,626	\$15,056	\$75,682

State	Waiver Number	Target Population	Total Participants	Total Days of Service	Total Participant Months	Average Participant Months	Total Waiver Expenditures	Average Waiver Expenditures	Average Non-waiver Medicaid Expenditures	Average Total Medicaid Expenditures for Waiver Participants
Nebraska	40199	Brain Injuries	21	7,451	245	11.7	\$689,711	\$32,843	\$2,841	\$35,684
Nebraska	Total	All	10,741	3,327,467	109,396	10.2	\$310,993,060	\$28,954	\$6,613	\$35,567
Nevada	0125	DD-incl. ID & ASD	1,883	641,273	21,083	11.2	\$89,324,937	\$47,438	\$9,756	\$57,194
Nevada	0152	Aging	2,294	623,003	20,482	8.9	\$10,305,153	\$4,492	\$6,058	\$10,550
Nevada ³	0452	Aging/Physical Disabilities	37	10,559	347	9.4	\$266,816	\$7,211	\$630	\$7,841
Nevada	4150	Physical Disabilities	702	220,306	7,243	10.3	\$3,777,251	\$5,381	\$23,913	\$29,294
Nevada	Total	All	4,916	1,495,141	49,155	10.0	\$103,674,156	\$21,089	\$9,983	\$31,073
New Hampshire	0053	DD-incl. ID & ASD	4,556	1,438,488	47,293	10.4	\$203,065,424	\$44,571	\$4,302	\$48,873
New Hampshire	0060	Aging/Physical Disabilities	3,426	996,219	32,752	9.6	\$45,646,057	\$13,323	\$10,494	\$23,817
New Hampshire	0397	DD-Child (incl. ID & ASD)	336	92,181	3,031	9.0	\$4,680,603	\$13,930	\$22,954	\$36,884
New Hampshire	4177	Brain Injuries	246	80,055	2,632	10.7	\$20,978,426	\$85,278	\$239	\$85,517
New Hampshire	Total	All	8,564	2,606,943	85,708	10.0	\$274,370,510	\$32,038	\$7,394	\$39,432
New Jersey ¹¹	0031	DD-incl. ID & ASD	10,716	3,752,062	123,355	11.5	\$604,997,705	\$56,457	\$7,613	\$64,070
New Jersey ¹¹	0032	Aging/Physical Disabilities	13,995	4,082,751	134,227	9.6	\$168,441,873	\$12,036	\$8,691	\$20,727
New Jersey ¹¹	0160	HIV/AIDS	249	83,819	2,756	11.1	\$580,651	\$2,332	\$17,520	\$19,852
New Jersey ³	4133	Physical Disabilities	301	96,099	3,159	10.5	\$16,784,149	\$55,761	\$13,498	\$69,259
New Jersey ¹¹	4174	Brain Injuries	342	118,965	3,911	11.4	\$25,948,003	\$75,871	\$9,167	\$85,038
New Jersey	Total	All	25,603	8,133,696	267,409	10.4	\$816,752,381	\$31,901	\$8,389	\$40,289
New Mexico	0173	DD-incl. ID & ASD	4,003	1,347,191	44,291	11.1	\$268,436,667	\$67,059	\$5,521	\$72,580
New Mexico	0223	Medically Fragile	148	43,447	1,428	9.7	\$1,596,927	\$10,790	\$12,805	\$23,595
New Mexico	0448	DD-incl. ID & ASD	785	223,309	7,342	9.4	\$25,424,526	\$32,388	\$7,290	\$39,678
New Mexico	Total	All	4,936	1,613,947	53,061	10.7	\$295,458,120	\$59,858	\$6,021	\$65,879
New York ²	0034	Aging/Physical Disabilities	22,174	5,302,598	174,332	7.9	\$26,923,490	\$1,214	\$27,000	\$28,214
New York	0238	DD-incl. ID & ASD	72,719	25,833,350	849,316	11.7	\$4,919,512,736	\$67,651	\$11,820	\$79,471
New York ¹²	0269	Brain Injuries	0	0	0	0.0	\$0	\$0	\$0	\$0
New York ¹²	0296	SED	0	0	0	0.0	\$0	\$0	\$0	\$0
New York	0444	Aging/Physical Disabilities	2,491	745,554	24,511	9.8	\$113,208,388	\$45,447	\$25,402	\$70,849
New York ¹²	0469	SED	0	0	0	0.0	\$0	\$0	\$0	\$0
New York ¹²	0470	DD-Child (incl. ID & ASD)	0	0	0	0.0	\$0	\$0	\$0	\$0
New York ¹²	0471	Medically Fragile	0	0	0	0.0	\$0	\$0	\$0	\$0
New York ¹²	4125	PD-Child	0	0	0	0.0	\$0	\$0	\$0	\$0
New York	40163	DD-Child (incl. ID & ASD)	139	47,883	1,574	11.3	\$1,110,460	\$7,989	\$52,415	\$60,404
New York	40176	DD-Child (incl. ID & ASD)	228	73,468	2,415	10.6	\$1,532,694	\$6,722	\$39,371	\$46,093
New York	40200	DD-Child (incl. ID & ASD)	174	58,436	1,921	11.0	\$1,118,122	\$6,426	\$50,436	\$56,862
New York	Total	All	97,925	32,061,289	1,054,070	10.8	\$5,063,405,890	\$51,707	\$15,793	\$67,500
North Carolina	0132	Aging/Physical Disabilities	12,189	3,736,615	122,848	10.1	\$234,651,265	\$19,251	\$7,254	\$26,505
North Carolina	0423	DD-incl. ID & ASD	11,989	3,301,182	108,532	9.1	\$504,436,754	\$42,075	\$4,270	\$46,345
North Carolina	4141	Medically Fragile	1,961	591,769	19,455	9.9	\$79,618,023	\$40,601	\$28,363	\$68,964

CMS 372 Data by State and Waiver, 2014

Table 1

State	Waiver Number	Target Population	Total Participants	Total Days of Service	Total Participant Months	Average Participant Months	Total Waiver Expenditures	Average Waiver Expenditures	Average Non-waiver Medicaid Expenditures	Average Total Medicaid Expenditures for Waiver Participants
North Carolina	Total	All	26,139	7,629,566	250,835	9.6	\$818,706,042	\$31,321	\$7,469	\$38,790
North Dakota	0037	DD-incl. ID & ASD	4,342	1,341,955	44,119	10.2	\$162,198,869	\$37,356	\$4,813	\$42,169
North Dakota	0273	Aging/Physical Disabilities	372	105,281	3,461	9.3	\$5,199,916	\$13,978	\$21,382	\$35,360
North Dakota ¹³	0468	Physical Disabilities	1	365	12	12.0	\$166,973	\$166,973	\$48,672	\$215,645
North Dakota	0568	Medically Fragile	10	3,552	117	11.7	\$89,797	\$8,980	\$21,774	\$30,754
North Dakota ⁹	0834	Medically Fragile	0	0	0	0.0	\$0	\$0	\$0	\$0
North Dakota	0842	ASD-Child	30	7,019	231	7.7	\$966,333	\$32,211	\$16,726	\$48,937
North Dakota	Total	All	4,755	1,458,172	47,940	10.1	\$168,621,888	\$35,462	\$6,229	\$41,691
Ohio	0198	Aging/Physical Disabilities	41,175	11,847,281	389,500	9.5	\$372,000,086	\$9,035	\$7,775	\$16,810
Ohio	0231	DD-incl. ID & ASD	17,856	6,268,715	206,095	11.5	\$1,138,789,140	\$63,776	\$9,687	\$73,463
Ohio	0337	Physical Disabilities	9,500	2,940,328	96,668	10.2	\$186,325,508	\$19,613	\$27,622	\$47,235
Ohio	0380	DD-incl. ID & ASD	13,114	4,444,404	146,117	11.1	\$157,551,591	\$12,014	\$7,388	\$19,402
Ohio	0383	DD-incl. ID & ASD	2,920	1,028,835	33,825	11.6	\$63,269,903	\$21,668	\$42,741	\$64,409
Ohio	0440	Aging/Physical Disabilities	2,693	848,116	27,883	10.4	\$58,251,790	\$21,631	\$19,019	\$40,650
Ohio	0446	Aging/Physical Disabilities	5,503	1,445,630	47,528	8.6	\$72,243,908	\$13,128	\$3,551	\$16,679
Ohio	0877	DD-incl. ID & ASD	248	69,143	2,273	9.2	\$2,344,655	\$9,454	\$14,893	\$24,347
Ohio	4196	Aging/Physical Disabilities	560	150,109	4,935	8.8	\$6,633,918	\$11,846	\$9,278	\$21,124
Ohio	Total	All	93,569	29,042,561	954,824	10.2	\$2,057,410,499	\$21,988	\$11,295	\$33,283
Oklahoma	0179	Intellectual Disability	2,869	1,008,880	33,169	11.6	\$175,452,031	\$61,154	\$10,877	\$72,031
Oklahoma	0256	Aging/Physical Disabilities	20,731	6,125,294	201,380	9.7	\$192,282,173	\$9,275	\$6,756	\$16,031
Oklahoma	0343	Intellectual Disability	1,534	523,373	17,207	11.2	\$23,219,908	\$15,137	\$9,839	\$24,976
Oklahoma	0351	ID-Child	284	90,722	2,983	10.5	\$2,269,254	\$7,990	\$21,235	\$29,225
Oklahoma	0399	Intellectual Disability	688	247,377	8,133	11.8	\$91,052,600	\$132,344	\$9,127	\$141,471
Oklahoma	0809	Aging	53	11,982	394	7.4	\$400,518	\$7,557	\$4,170	\$11,727
Oklahoma	0810	Physical Disabilities	101	27,437	902	8.9	\$1,140,347	\$11,291	\$11,277	\$22,568
Oklahoma	0811	Medically Fragile	57	2,381	78	1.4	\$3,328,847	\$58,401	\$13,120	\$71,521
Oklahoma	Total	All	26,317	8,037,446	264,245	10.0	\$489,145,677	\$18,587	\$7,629	\$26,216
Oregon ¹⁴	0117	DD-incl. ID & ASD	8,343	2,628,000	86,400	10.4	\$80,631,780	\$9,665	\$7,641	\$17,306
Oregon ¹⁴	0185	Aging/Physical Disabilities	31,508	8,748,848	287,633	9.1	\$24,931,530	\$791	\$8,610	\$9,401
Oregon ¹⁴	0375	DD-incl. ID & ASD	7,976	2,732,532	89,837	11.3	\$27,197,904	\$3,410	\$8,030	\$11,440
Oregon ¹⁴	0565	PD-Child	200	61,584	2,025	10.1	\$250,568	\$1,253	\$29,257	\$30,510
Oregon ¹⁴	40193	Medically Fragile	97	29,289	963	9.9	\$77,537	\$799	\$75,795	\$76,594
Oregon ¹⁴	40194	DD-Child (incl. ID & ASD)	145	44,291	1,456	10.0	\$293,822	\$2,026	\$29,982	\$32,008
Oregon ¹⁴	Total	All	48,269	14,244,544	468,314	9.7	\$133,383,141	\$2,763	\$8,631	\$11,395
Pennsylvania	0147	Intellectual Disability	17,209	6,009,633	197,577	11.5	\$1,769,969,115	\$102,851	\$6,557	\$109,408
Pennsylvania	0192	HIV/AIDS	257	81,989	2,696	10.5	\$2,762,050	\$10,747	\$2,528	\$13,275
Pennsylvania	0235	DD - non-ID	1,427	514,455	16,914	11.9	\$79,847,467	\$55,955	\$6,878	\$62,833
Pennsylvania	0277	Physical Disabilities	10,471	3,481,591	114,463	10.9	\$226,988,358	\$21,678	\$7,653	\$29,331

CMS 372 Data by State and Waiver, 2014

Table 1

State	Waiver Number	Target Population	Total Participants	Total Days of Service	Total Participant Months	Average Participant Months	Total Waiver Expenditures	Average Waiver Expenditures	Average Non-waiver Medicaid Expenditures	Average Total Medicaid Expenditures for Waiver Participants
Pennsylvania	0279	Aging/Physical Disabilities	30,363	9,408,242	309,312	10.2	\$591,624,022	\$19,485	\$2,122	\$21,607
Pennsylvania	0319	Physical Disabilities	10,385	3,419,559	112,424	10.8	\$361,426,424	\$34,803	\$6,829	\$41,632
Pennsylvania	0324	DD-Child (incl. ID & ASD)	3,779	740,835	24,356	6.4	\$9,500,101	\$2,514	\$18,139	\$20,653
Pennsylvania	0354	Intellectual Disability	12,500	4,118,704	135,409	10.8	\$213,009,504	\$17,041	\$10,117	\$27,158
Pennsylvania	0386	Brain Injuries	647	221,185	7,272	11.2	\$48,294,536	\$74,644	\$5,036	\$79,680
Pennsylvania	0593	Autism Spectrum Disorder	401	131,673	4,329	10.8	\$14,563,264	\$36,317	\$7,961	\$44,278
Pennsylvania	Total	All	87,439	28,127,866	924,752	10.6	\$3,317,984,840	\$37,946	\$6,179	\$44,125
South Carolina	0186	HIV/AIDS	943	308,528	10,143	10.8	\$4,235,846	\$4,492	\$13,352	\$17,844
South Carolina	0237	DD-incl. ID & ASD	6,290	2,110,210	69,377	11.0	\$261,880,273	\$41,634	\$8,473	\$50,107
South Carolina	0284	Physical Disabilities	721	230,000	7,562	10.5	\$21,594,298	\$29,950	\$10,004	\$39,954
South Carolina	0405	Aging/Physical Disabilities	16,234	4,646,106	152,749	9.4	\$137,700,022	\$8,482	\$5,224	\$13,706
South Carolina	0456	ASD-Child	947	236,513	7,776	8.2	\$11,284,074	\$11,916	\$5,620	\$17,536
South Carolina	0675	Medically Fragile	673	216,586	7,121	10.6	\$1,119,195	\$1,663	\$69,800	\$71,463
South Carolina	0676	DD-incl. ID & ASD	2,547	812,723	26,720	10.5	\$20,958,255	\$8,229	\$3,409	\$11,638
South Carolina	40181	Physical Disabilities	57	15,729	517	9.1	\$1,969,800	\$34,558	\$25,533	\$60,091
South Carolina	Total	All	28,412	8,576,395	281,964	9.9	\$460,741,763	\$16,216	\$7,755	\$23,972
South Dakota	0044	DD-incl. ID & ASD	2,604	908,545	29,870	11.5	\$104,451,647	\$40,112	\$3,795	\$43,907
South Dakota	0189	Aging	1,609	432,161	14,208	8.8	\$12,154,775	\$7,554	\$4,987	\$12,541
South Dakota	0264	Physical Disabilities	107	34,793	1,144	10.7	\$3,038,926	\$28,401	\$13,700	\$42,101
South Dakota	0338	DD-Child (incl. ID & ASD)	959	324,400	10,665	11.1	\$2,619,751	\$2,732	\$8,333	\$11,065
South Dakota	Total	All	5,279	1,699,899	55,887	10.6	\$122,265,099	\$23,161	\$5,183	\$28,344
Tennessee	0128	Intellectual Disability	6,567	2,327,568	76,523	11.7	\$601,398,632	\$91,579	\$4,733	\$96,312
Tennessee	0357	Intellectual Disability	300	107,579	3,537	11.8	\$41,765,806	\$139,219	\$4,218	\$143,437
Tennessee	0427	Intellectual Disability	1,223	412,299	13,555	11.1	\$22,419,590	\$18,332	\$2,849	\$21,181
Tennessee	Total	All	8,090	2,847,446	93,615	11.6	\$665,584,028	\$82,272	\$4,429	\$86,702
Texas	0110	Intellectual Disability	21,723	7,672,639	252,251	11.6	\$896,453,308	\$41,267	\$5,887	\$47,154
Texas	0181	Medically Fragile	6,346	2,075,478	68,235	10.8	\$93,994,487	\$14,812	\$68,964	\$83,776
Texas	0221	DD - non-ID	4,872	1,709,585	56,206	11.5	\$214,813,414	\$44,091	\$17,039	\$61,130
Texas	0266	Aging/Physical Disabilities	14,060	4,279,494	140,696	10.0	\$180,095,647	\$12,809	\$5,921	\$18,730
Texas	0281	DD-other specific diagnoses	160	56,521	1,858	11.6	\$7,761,528	\$48,510	\$2,341	\$50,851
Texas	0403	DD-incl. ID & ASD	6,402	1,970,428	64,781	10.1	\$52,979,519	\$8,275	\$6,984	\$15,259
Texas	0657	SED	294	60,480	1,988	6.8	\$1,500,377	\$5,103	\$12,920	\$18,023
Texas	Total	All	53,857	17,824,625	586,015	10.9	\$1,447,598,280	\$26,879	\$14,495	\$41,374
Utah	0158	DD-incl. ID & ASD	4,609	1,610,377	52,944	11.5	\$172,690,443	\$37,468	\$4,405	\$41,873
Utah	0247	Aging	500	147,538	4,851	9.7	\$3,975,709	\$7,951	\$2,419	\$10,370
Utah	0292	Brain Injuries	113	37,548	1,234	10.9	\$3,634,492	\$32,164	\$3,409	\$35,573
Utah	0331	Physical Disabilities	128	44,067	1,449	11.3	\$2,183,068	\$17,055	\$6,555	\$23,610
Utah	0439	Aging/Physical Disabilities	1,721	460,860	15,152	8.8	\$34,962,487	\$20,315	\$1,514	\$21,829

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Table 1

State	Waiver Number	Target Population	Total Participants	Total Days of Service	Total Participant Months	Average Participant Months	Total Waiver Expenditures	Average Waiver Expenditures	Average Non-waiver Medicaid Expenditures	Average Total Medicaid Expenditures for Waiver Participants
Utah	1029	ASD-Child	326	106,696	3,508	10.8	\$5,493,755	\$16,852	\$3,411	\$20,263
Utah	40183	Medically Fragile	143	42,944	1,412	9.9	\$3,099,743	\$21,677	\$34,479	\$56,156
Utah	Total	All	7,540	2,450,030	80,549	10.7	\$226,039,698	\$29,979	\$4,162	\$34,141
Virginia	0321	Aging/Physical Disabilities	32,077	9,884,923	324,984	10.1	\$596,412,099	\$18,593	\$6,791	\$25,384
Virginia	0358	DD - non-ID	860	299,846	9,858	11.5	\$26,015,953	\$30,251	\$9,276	\$39,527
Virginia	0372	Intellectual Disability	9,995	3,502,750	115,159	11.5	\$657,884,138	\$65,821	\$10,392	\$76,213
Virginia	0430	Intellectual Disability	249	88,395	2,906	11.7	\$3,437,763	\$13,806	\$8,618	\$22,424
Virginia	4149	Physical Disabilities	373	112,949	3,713	10.0	\$31,330,351	\$83,996	\$55,480	\$139,476
Virginia	40206	Aging/Physical Disabilities	59	15,178	499	8.5	\$587,913	\$9,965	\$752	\$10,717
Virginia	Total	All	43,613	13,904,041	457,119	10.5	\$1,315,668,217	\$30,167	\$8,084	\$38,251
Washington	0049	Aging/Physical Disabilities	41,489	12,748,704	419,135	10.1	\$649,103,599	\$15,645	\$3,957	\$19,602
Washington	0409	DD-incl. ID & ASD	7,850	2,558,650	84,120	10.7	\$196,889,261	\$25,081	\$4,103	\$29,184
Washington	0410	DD-incl. ID & ASD	4,598	1,525,700	50,160	10.9	\$379,825,384	\$82,607	\$3,573	\$86,180
Washington	0411	DD-incl. ID & ASD	456	150,380	4,944	10.8	\$52,625,406	\$115,407	\$3,245	\$118,652
Washington	0443	Aging/Physical Disabilities	788	256,217	8,424	10.7	\$6,068,058	\$7,701	\$5,201	\$12,902
Washington	40669	DD-incl. ID & ASD	104	29,200	960	9.2	\$6,035,659	\$58,035	\$7,265	\$65,300
Washington	Total	All	55,285	17,268,851	567,743	10.3	\$1,290,547,367	\$23,344	\$3,964	\$27,307
West Virginia	0133	DD-incl. ID & ASD	4,526	1,628,734	53,547	11.8	\$355,331,328	\$78,509	\$6,887	\$85,396
West Virginia	0134	Aging/Physical Disabilities	5,862	1,892,296	62,212	10.6	\$105,367,312	\$17,975	\$7,297	\$25,272
West Virginia	0876	Brain Injuries	30	6,812	224	7.5	\$506,060	\$16,869	\$11,711	\$28,580
West Virginia	Total	All	10,418	3,527,842	115,984	11.1	\$461,204,700	\$44,270	\$7,132	\$51,402
Wisconsin	0154	Aging/Physical Disabilities	3,561	1,052,084	34,589	9.7	\$67,218,950	\$18,876	\$9,394	\$28,270
Wisconsin ²	0229	DD-incl. ID & ASD	3,428	1,194,433	39,269	11.5	\$152,536,084	\$44,497	\$13,135	\$57,632
Wisconsin ³	0275	Brain Injuries	86	30,719	1,010	11.7	\$5,845,148	\$67,967	\$22,423	\$90,390
Wisconsin ¹⁵	0367	Aging/Physical Disabilities	31,722	9,301,495	305,803	9.6	\$873,940,310	\$27,550	\$2,564	\$30,114
Wisconsin ¹⁵	0368	DD-incl. ID & ASD	15,154	5,155,125	169,484	11.2	\$513,751,801	\$33,902	\$2,735	\$36,637
Wisconsin	0413	PD-Child	507	156,589	5,148	10.2	\$4,487,562	\$8,851	\$32,147	\$40,998
Wisconsin	0414	DD-Child (incl. ID & ASD)	4,739	1,477,922	48,589	10.3	\$52,291,034	\$11,034	\$11,406	\$22,440
Wisconsin	0415	SED	1,094	308,307	10,136	9.3	\$15,807,713	\$14,449	\$6,689	\$21,138
Wisconsin	0484	DD-incl. ID & ASD	12,258	3,861,167	126,942	10.4	\$200,877,335	\$16,387	\$17,562	\$33,949
Wisconsin ³	0485	Aging/Physical Disabilities	5,073	1,320,644	43,418	8.6	\$47,841,816	\$9,431	\$19,410	\$28,841
Wisconsin	Total	All	77,622	23,858,485	784,389	10.1	\$1,934,597,753	\$24,923	\$7,660	\$32,584
Wyoming	0226	DD-incl. ID & ASD	1,406	489,104	16,080	11.4	\$82,315,832	\$58,546	\$6,780	\$65,326
Wyoming	0236	Aging/Physical Disabilities	1,686	488,007	16,044	9.5	\$12,909,869	\$7,657	\$8,160	\$15,817
Wyoming	0253	DD-Child (incl. ID & ASD)	713	231,079	7,597	10.7	\$11,460,110	\$16,073	\$10,637	\$26,710
Wyoming	0369	Aging/Physical Disabilities	197	56,135	1,846	9.4	\$2,579,571	\$13,094	\$3,100	\$16,194
Wyoming	0370	Brain Injuries	179	61,538	2,023	11.3	\$5,682,457	\$31,746	\$6,954	\$38,700
Wyoming	0451	SED	63	14,330	471	7.5	\$565,764	\$8,980	\$10,080	\$19,060

CMS 372 Data by State and Waiver, 2014

Table 1

State	Waiver Number	Target Population	Total Participants	Total Days of Service	Total Participant Months	Average Participant Months	Total Waiver Expenditures	Average Waiver Expenditures	Average Non-waiver Medicaid Expenditures	Average Total Medicaid Expenditures for Waiver Participants
Wyoming	Total	All	4,244	1,340,193	44,061	10.4	\$115,513,603	\$27,218	\$7,862	\$35,080
United States	Total	All	1,604,177	489,193,885	16,083,087	10.0	\$41,797,191,480	\$26,055	\$9,983	\$36,039

Notes:

- ¹ The CMS 372 for Alaska Waiver 0260 listed total days of service of 348. This table assumes 348 is the average days of service.
- ² A CMS 372 for this waiver for 2014 has not been submitted. Data from the CMS 372 for 2013 are included in this table.
- ³ A CMS 372 for this waiver was not submitted for 2013 or 2014. Data from the CMS 372 for 2012 are included in this table.
- ⁴ Florida terminated four waivers and transitioned participants to Waiver 0962 in March 2014. As a result, this table includes only three months of data for Waiver 0280 and only nine months of data for Waivers 0010, 0116, and 0315. The waiver years for Waiver 0962 and the terminated waivers overlapped, so some participants were counted in both waivers and total participants for Florida is higher than in 2013.
- ⁵ The state submitted a CMS 372 for 2014 for this waiver, but it was not accepted by CMS. Data from the CMS 372 for 2013 are included in this table.
- ⁶ The CMS 372 report for Illinois Waiver 0350 indicated average non-waiver expenditures of more than \$30,000, while the 2013 report indicated less than \$3,000. The reason for the data anomaly is not known.
- ⁷ Kansas transitioned all section 1915(c) services to managed care in 2013. After the transition, all capitated expenditures were reported as waiver expenditures and no non-waiver expenditures were reported.
- ⁸ Maryland terminated Waiver 0353 and transitioned participants to Waiver 0265 in January 2014. As a result, this table includes only seven months of data for Waiver 0353. The waiver years overlapped, so some participants were counted in both waivers and total participants for Maryland is higher than in 2013.
- ⁹ CMS 372 reports for Massachusetts Waivers 1027 and 1028 and North Dakota Waiver 0834 indicated no participants were served in 2014.
- ¹⁰ The 2014 CMS 372 report for Missouri Waiver 0346 was for a temporary extension and included only four months of data. Data for 2015 are used in this table because 2013 data also were for a partial year.
- ¹¹ For most of New Jersey's waivers, the most recent CMS 372 accepted by CMS is from 2011. Data in these tables include 2011. New Jersey terminated all waivers except Waiver 0031 in 2014.
- ¹² For New York Waivers 0269, 0296, 0469, 0470, 0471, and 4125, no CMS 372 has been accepted by CMS from 2011 through 2014. No data are included in the data tables.
- ¹³ The CMS 372 report for North Dakota Waiver 0468 indicated 365 participants and 365 total days of service. This table assumes only one participant and 365 days of service, consistent with 2013 data.
- ¹⁴ Oregon waiver expenditures for all waivers decreased in 2014 as the state implemented Community First Choice, which provides services previously funded by waivers.
- ¹⁵ Wisconsin combined its Family Care section 1915(c) waivers in 2015: one waiver targeted people with developmental disabilities and the other targeted older adults and people with physical disabilities. The state submitted a single CMS 372 report for both waivers in 2013 and 2014. Estimates for each waiver were developed based on the percentage of Family Care participants, total days of service, and expenditures for each waiver in 2012.

State	Waiver Number	Target Population	Total Partici	Total Days of Service	Total Participant Months	Average Participant Months	Total Waiver Expenditures	Average Waiver Expenditures	Average Non-waiver Medicaid Expenditures	Average Total Medicaid Expenditures for Waiver Participants
Alabama	0001	Intellectual Disability	5,049	1,795,758	59,039	11.7	\$300,337,704	\$59,485	\$4,611	\$64,096
Alabama	0068	Aging/Physical Disabilities	7,841	2,300,378	75,629	9.6	\$52,680,536	\$6,719	\$5,914	\$12,633
Alabama	0241	Physical Disabilities	470	158,683	5,217	11.1	\$5,216,853	\$11,100	\$4,386	\$15,486
Alabama	0391	ID-Child	489	171,724	5,646	11.5	\$5,853,977	\$11,971	\$3,670	\$15,641
Alabama	0407	Physical Disabilities	18	5,040	166	9.2	\$1,139,345	\$63,297	\$13,547	\$76,844
Alabama	0878	Aging/Physical Disabilities	9	1,729	57	6.3	\$98,603	\$10,956	\$2,769	\$13,725
Alabama	40382	HIV/AIDS	100	30,561	1,005	10.0	\$594,299	\$5,943	\$12,189	\$18,132
Alabama	Total	All	13,976	4,463,873	146,757	10.5	\$365,921,317	\$26,182	\$5,366	\$31,548
Alaska	0260	DD-incl. ID & ASD	1,814	601,446	19,774	10.9	\$145,400,518	\$80,155	\$9,070	\$89,225
Alaska	0261	Aging	3,258	937,669	30,827	9.5	\$88,600,600	\$27,195	\$22,773	\$49,968
Alaska	0262	Physical Disabilities	101	32,342	1,063	10.5	\$8,500,211	\$84,161	\$46,310	\$130,471
Alaska	0263	Medically Fragile	283	87,837	2,888	10.2	\$11,439,974	\$40,424	\$35,351	\$75,775
Alaska	Total	All	5,456	1,659,294	54,552	10.0	\$253,941,303	\$46,543	\$19,305	\$65,849
Arkansas	0188	DD-incl. ID & ASD	4,152	1,472,146	48,399	11.7	\$178,617,018	\$43,020	\$16,146	\$59,166
Arkansas	0195	Aging	6,792	1,960,753	64,463	9.5	\$59,176,879	\$8,713	\$8,261	\$16,974
Arkansas	0312	Physical Disabilities	2,582	630,945	20,743	8.0	\$43,598,712	\$16,886	\$7,215	\$24,101
Arkansas ¹	0400	Aging/Physical Disabilities	951	242,955	7,988	8.4	\$13,584,396	\$14,284	\$2,134	\$16,418
Arkansas ²	0936	ASD-Child	81	17,496	575	7.1	\$874,401	\$10,795	\$15,665	\$26,460
Arkansas	Total	All	14,558	4,324,295	142,169	9.8	\$295,851,406	\$20,322	\$9,965	\$30,288
California	0139	Physical Disabilities	2,751	872,929	28,699	10.4	\$108,375,089	\$39,395	\$41,074	\$80,469
California	0141	Aging	11,113	3,260,508	107,195	9.6	\$38,783,692	\$3,490	\$15,171	\$18,661
California	0183	HIV/AIDS	1,950	597,671	19,649	10.1	\$8,971,159	\$4,601	\$22,353	\$26,954
California	0336	DD-incl. ID & ASD	96,369	32,336,177	1,063,107	11.0	\$2,378,949,710	\$24,686	\$13,263	\$37,949
California	0431	Aging/Physical Disabilities	2,240	576,917	18,967	8.5	\$44,437,273	\$19,838	\$11,882	\$31,720
California	0457	Physical Disabilities	131	47,089	1,548	11.8	\$11,290,357	\$86,186	\$22,591	\$108,777
California	0486	Medically Fragile	97	25,168	827	8.5	\$500,319	\$5,158	\$79,176	\$84,334
California	0855	Aging/Physical Disabilities	8	1,583	52	6.5	\$113,135	\$14,142	\$25,929	\$40,071
California	Total	All	114,659	37,718,042	1,240,045	10.8	\$2,591,420,734	\$22,601	\$14,310	\$36,911
Colorado	0006	Aging/Physical Disabilities	23,706	6,860,042	225,536	9.5	\$236,713,300	\$9,985	\$7,259	\$17,244
Colorado	0007	DD-incl. ID & ASD	4,496	1,571,586	51,669	11.5	\$284,817,167	\$63,349	\$8,045	\$71,394
Colorado	0211	HIV/AIDS	54	15,620	514	9.5	\$420,026	\$7,778	\$7,570	\$15,348
Colorado	0268	Serious Mental Illness	3,286	963,876	31,689	9.6	\$28,256,442	\$8,599	\$7,097	\$15,696
Colorado	0288	Brain Injuries	284	84,719	2,785	9.8	\$12,890,635	\$45,390	\$7,660	\$53,050
Colorado	0293	DD-incl. ID & ASD	3,353	1,136,011	37,348	11.1	\$36,999,596	\$11,035	\$11,230	\$22,265
Colorado	0305	DD-Child (incl. ID & ASD)	82	23,636	777	9.5	\$3,033,581	\$36,995	\$29,098	\$66,093
Colorado	0434	ASD-Child	84	13,421	441	5.3	\$693,198	\$8,252	\$3,241	\$11,493
Colorado	0450	Medically Fragile	162	39,956	1,314	8.1	\$191,379	\$1,181	\$52,716	\$53,897
Colorado	0961	Aging/Physical Disabilities	41	3,866	127	3.1	\$391,570	\$9,550	\$5,739	\$15,289

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Table 2

State	Waiver Number	Target Population	Total Partici	Total Days of Service	Total Participant Months	Average Participant Months	Total Waiver Expenditures	Average Waiver Expenditures	Average Non-waiver Medicaid Expenditures	Average Total Medicaid Expenditures for Waiver Participants
Colorado	4157	PD-Child	1,216	393,013	12,921	10.6	\$5,501,925	\$4,525	\$30,946	\$35,471
Colorado	4180	DD-Child (incl. ID & ASD)	439	134,458	4,421	10.1	\$6,814,627	\$15,523	\$45,869	\$61,392
Colorado	Total	All	37,203	11,240,204	369,541	9.9	\$616,723,446	\$16,577	\$9,166	\$25,743
Connecticut	0140	Aging	13,284	3,942,478	129,616	9.8	\$182,219,556	\$13,717	\$5,995	\$19,712
Connecticut	0301	Physical Disabilities	996	328,649	10,805	10.8	\$26,363,967	\$26,470	\$11,979	\$38,449
Connecticut	0302	Brain Injuries	428	154,481	5,079	11.9	\$40,153,738	\$93,817	\$8,462	\$102,279
Connecticut	0426	DD-incl. ID & ASD	3,912	1,384,601	45,521	11.6	\$113,208,961	\$28,939	\$8,750	\$37,689
Connecticut	0437	DD-incl. ID & ASD	4,792	1,706,758	56,113	11.7	\$601,405,346	\$125,502	\$7,912	\$133,414
Connecticut	0653	Serious Mental Illness	166	43,984	1,446	8.7	\$2,844,718	\$17,137	\$16,820	\$33,957
Connecticut	0881	DD-incl. ID & ASD	433	125,406	4,123	9.5	\$7,621,825	\$17,602	\$6,232	\$23,834
Connecticut	0993	Autism Spectrum Disorder	58	18,058	594	10.2	\$625,546	\$10,785	\$6,892	\$17,677
Connecticut	40110	DD-Child (incl. ID & ASD)	197	68,435	2,250	11.4	\$24,711	\$125	\$24,301	\$24,426
Connecticut	Total	All	24,266	7,772,850	255,546	10.5	\$974,468,368	\$40,158	\$7,336	\$47,494
Delaware	0009	DD-incl. ID & ASD	950	331,813	10,909	11.5	\$98,614,546	\$103,805	\$3,922	\$107,727
Delaware	Total	All	950	331,813	10,909	11.5	\$98,614,546	\$103,805	\$3,922	\$107,727
Dist. of Columbia	0307	DD-incl. ID & ASD	1,592	576,322	18,948	11.9	\$150,810,996	\$94,731	\$23,745	\$118,476
Dist. of Columbia	0334	Aging/Physical Disabilities	3,340	921,140	30,284	9.1	\$51,110,991	\$15,303	\$39,401	\$54,704
Dist. of Columbia	Total	All	4,932	1,497,462	49,232	10.0	\$201,921,987	\$40,941	\$34,347	\$75,289
Florida	0010.90	Aging/Physical Disabilities	12,587	3,681,680	121,042	9.6	\$140,238,535	\$11,142	\$4,945	\$16,087
Florida	0010.91	DD-incl. ID & ASD	4,187	928,128	30,514	7.3	\$168,169,478	\$40,165	\$6,888	\$47,053
Florida	0116	Aging	1,500	411,575	13,531	9.0	\$13,388,549	\$8,926	\$2,060	\$10,986
Florida	0194	HIV/AIDS	8,022	2,350,181	77,266	9.6	\$11,378,717	\$1,418	\$14,200	\$15,618
Florida	0280	Aging/Physical Disabilities	3,635	951,958	31,297	8.6	\$32,939,366	\$9,062	\$5,724	\$14,786
Florida	0294	DD-incl. ID & ASD	5,016	825,873	27,152	5.4	\$17,898,896	\$3,568	\$7,009	\$10,577
Florida	0315	Aging	25,130	7,501,003	246,608	9.8	\$217,080,492	\$8,638	\$1,321	\$9,959
Florida	0342	Physical Disabilities	371	125,047	4,111	11.1	\$11,952,228	\$32,216	\$13,395	\$45,611
Florida	0392	DD-other specific diagnoses	124	40,809	1,342	10.8	\$684,900	\$5,523	\$30,300	\$35,823
Florida	0482	DD-incl. ID & ASD	4,033	1,084,625	35,659	8.8	\$133,301,504	\$33,053	\$13,441	\$46,494
Florida	0483	DD-incl. ID & ASD	5,605	1,494,369	49,130	8.8	\$94,336,408	\$16,831	\$8,390	\$25,221
Florida	0867	DD-incl. ID & ASD	19,366	3,614,136	118,821	6.1	\$253,658,064	\$13,098	\$20,467	\$33,565
Florida	40166	Physical Disabilities	5	973	32	6.4	\$16,474	\$3,295	\$161,284	\$164,579
Florida	40205	DD-other specific diagnoses	9	3,011	99	11.0	\$43,834	\$4,870	\$14,420	\$19,290
Florida	Total	All	89,590	23,013,368	756,604	8.4	\$1,095,087,445	\$12,223	\$8,980	\$21,203
Georgia	0112	Aging/Physical Disabilities	30,947	9,452,152	310,756	10.0	\$400,659,209	\$12,947	\$6,553	\$19,500
Georgia	0175	DD-incl. ID & ASD	5,313	1,808,804	59,468	11.2	\$70,656,083	\$13,299	\$2,384	\$15,683
Georgia	0323	DD-incl. ID & ASD	6,916	2,380,874	78,275	11.3	\$377,985,228	\$54,654	\$4,196	\$58,850
Georgia	4116	Medically Fragile	415	111,755	3,674	8.9	\$13,971,390	\$33,666	\$40,159	\$73,825
Georgia	4170	Physical Disabilities	1,157	386,689	12,713	11.0	\$43,031,138	\$37,192	\$6,411	\$43,603

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Table 2

State	Waiver Number	Target Population	Total Partici	Total Days of Service	Total Participant Months	Average Participant Months	Total Waiver Expenditures	Average Waiver Expenditures	Average Non-waiver Medicaid Expenditures	Average Total Medicaid Expenditures for Waiver Participants
Georgia	Total	All	44,748	14,140,274	464,886	10.4	\$906,303,048	\$20,253	\$6,002	\$26,255
Hawaii	0013	DD-incl. ID & ASD	2,661	958,140	31,500	11.8	\$104,338,769	\$39,210	\$5,182	\$44,392
Hawaii	Total	All	2,661	958,140	31,500	11.8	\$104,338,769	\$39,210	\$5,182	\$44,392
Idaho	0076	DD-incl. ID & ASD	3,373	1,153,825	37,934	11.2	\$130,241,395	\$38,613	\$10,005	\$48,618
Idaho	0859	DD-Child (incl. ID & ASD)	918	178,156	5,857	6.4	\$4,110,733	\$4,478	\$6,978	\$11,456
Idaho	0887	DD-Child (incl. ID & ASD)	337	67,082	2,205	6.5	\$3,288,948	\$9,759	\$5,315	\$15,074
Idaho	1076	Aging/Physical Disabilities	9,926	3,210,579	105,553	10.6	\$75,415,707	\$7,598	\$10,231	\$17,829
Idaho	Total	All	14,554	4,609,642	151,550	10.4	\$213,056,783	\$14,639	\$9,860	\$24,499
Illinois	0142	Physical Disabilities	21,081	6,006,921	197,488	9.4	\$287,939,646	\$13,659	\$6,049	\$19,708
Illinois	0143	Aging/Physical Disabilities	56,643	14,973,041	492,264	8.7	\$427,421,057	\$7,546	\$2,140	\$9,686
Illinois	0202	HIV/AIDS	1,400	400,370	13,163	9.4	\$15,488,170	\$11,063	\$12,144	\$23,207
Illinois	0278	Medically Fragile	654	199,365	6,554	10.0	\$2,334,942	\$3,570	\$134,380	\$137,950
Illinois	0326	Aging/Physical Disabilities	9,281	2,252,390	74,051	8.0	\$138,462,749	\$14,919	\$1,269	\$16,188
Illinois	0329	Brain Injuries	4,361	1,269,139	41,725	9.6	\$72,711,312	\$16,673	\$4,673	\$21,346
Illinois	0350	DD-incl. ID & ASD	18,537	6,127,356	201,447	10.9	\$589,123,048	\$31,781	\$2,619	\$34,400
Illinois	0464	DD-Child (incl. ID & ASD)	1,396	461,006	15,156	10.9	\$17,709,580	\$12,686	\$7,074	\$19,760
Illinois	0473	DD-Child (incl. ID & ASD)	283	90,226	2,966	10.5	\$23,209,844	\$82,014	\$4,913	\$86,927
Illinois	Total	All	113,636	31,779,814	1,044,816	9.2	\$1,574,400,347	\$13,855	\$3,921	\$17,776
Indiana	0210	Aging/Physical Disabilities	12,390	3,423,994	112,570	9.1	\$132,967,436	\$10,732	\$21,124	\$31,856
Indiana	0378	DD-incl. ID & ASD	8,153	2,855,581	93,882	11.5	\$485,444,723	\$59,542	\$8,098	\$67,640
Indiana	0387	DD-incl. ID & ASD	5,960	1,914,672	62,948	10.6	\$47,538,748	\$7,976	\$4,603	\$12,579
Indiana	4197	Brain Injuries	194	67,061	2,205	11.4	\$5,162,842	\$26,613	\$27,269	\$53,882
Indiana	Total	All	26,697	8,261,308	271,605	10.2	\$671,113,749	\$25,138	\$13,502	\$38,641
Iowa	0213	HIV/AIDS	36	11,651	383	10.6	\$334,904	\$9,303	\$10,925	\$20,228
Iowa	0242	Intellectual Disability	12,263	4,258,405	140,002	11.4	\$394,936,731	\$32,206	\$11,544	\$43,750
Iowa	0299	Brain Injuries	1,352	468,906	15,416	11.4	\$30,678,929	\$22,692	\$16,974	\$39,666
Iowa	0345	Physical Disabilities	1,057	329,963	10,848	10.3	\$4,584,982	\$4,338	\$18,152	\$22,490
Iowa	0819	SED	1,107	331,035	10,883	9.8	\$9,366,308	\$8,461	\$15,985	\$24,446
Iowa	4111	Physical Disabilities	2,564	873,602	28,721	11.2	\$20,912,200	\$8,156	\$20,478	\$28,634
Iowa	4155	Aging	10,521	3,136,041	103,103	9.8	\$76,979,814	\$7,317	\$4,898	\$12,215
Iowa	Total	All	28,900	9,409,603	309,357	10.7	\$537,793,868	\$18,609	\$10,583	\$29,191
Kansas ³	0224	DD-incl. ID & ASD	8,872	3,045,619	100,130	11.3	\$358,300,070	\$40,385	\$1,816	\$42,201
Kansas ³	0303	Aging	6,762	1,837,311	60,405	8.9	\$173,005,033	\$25,585	\$0	\$25,585
Kansas ³	0304	Physical Disabilities	6,292	2,019,106	66,382	10.6	\$213,893,592	\$33,995	\$0	\$33,995
Kansas ³	0320	SED	5,097	1,134,409	37,296	7.3	\$53,112,404	\$10,420	\$7,722	\$18,142
Kansas ³	0476	ASD-Child	56	13,390	440	7.9	\$812,787	\$14,514	\$0	\$14,514
Kansas ³	4164	Brain Injuries	680	183,112	6,020	8.9	\$22,709,846	\$33,397	\$3,172	\$36,569
Kansas ³	4165	Medically Fragile	463	145,893	4,796	10.4	\$35,779,595	\$77,278	\$15,953	\$93,231

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Table 2

State	Waiver Number	Target Population	Total Partici	Total Days of Service	Total Participant Months	Average Participant Months	Total Waiver Expenditures	Average Waiver Expenditures	Average Non-waiver Medicaid Expenditures	Average Total Medicaid Expenditures for Waiver Participants
Kansas ³	Total	All	28,222	8,378,840	275,469	9.8	\$857,613,327	\$30,388	\$2,304	\$32,692
Kentucky	0144	Aging/Physical Disabilities	10,907	3,403,301	111,889	10.3	\$84,399,302	\$7,738	\$7,792	\$15,530
Kentucky	0314	DD-incl. ID & ASD	4,147	1,415,674	46,543	11.2	\$284,253,233	\$68,544	\$5,609	\$74,153
Kentucky	0333	Brain Injuries	190	61,225	2,013	10.6	\$21,416,291	\$112,717	\$5,640	\$118,357
Kentucky	0475	DD-incl. ID & ASD	9,097	2,903,831	95,468	10.5	\$201,423,109	\$22,142	\$6,744	\$28,886
Kentucky	0477	Brain Injuries	228	77,685	2,554	11.2	\$15,165,888	\$66,517	\$5,308	\$71,825
Kentucky	40146	Physical Disabilities	62	18,884	621	10.0	\$5,153,692	\$83,124	\$67,922	\$151,046
Kentucky	Total	All	24,631	7,880,600	259,088	10.5	\$611,811,516	\$24,839	\$7,149	\$31,988
Louisiana	0121	Aging/Physical Disabilities	802	247,455	8,136	10.1	\$8,754,895	\$10,916	\$11,300	\$22,216
Louisiana	0361	DD-Child (incl. ID & ASD)	1,319	467,335	15,364	11.6	\$13,814,002	\$10,473	\$18,381	\$28,854
Louisiana	0401	DD-incl. ID & ASD	8,623	3,102,780	102,009	11.8	\$414,249,955	\$48,040	\$7,761	\$55,801
Louisiana	0453	DD-incl. ID & ASD	1,721	613,290	20,163	11.7	\$12,438,207	\$7,227	\$4,965	\$12,192
Louisiana ¹	0472	DD-incl. ID & ASD	25	8,600	283	11.3	\$557,130	\$22,285	\$24,593	\$46,878
Louisiana	0866	Aging/Physical Disabilities	5,035	1,569,900	51,613	10.3	\$110,213,551	\$21,889	\$6,557	\$28,446
Louisiana	0889	SED	199	35,800	1,177	5.9	\$175,982	\$884	\$11,419	\$12,303
Louisiana	Total	All	17,724	6,045,160	198,745	11.2	\$560,203,722	\$31,607	\$8,163	\$39,770
Maine	0127	Physical Disabilities	159	53,691	1,765	11.1	\$5,162,902	\$32,471	\$8,297	\$40,768
Maine	0159	ID & ASD	2,851	1,018,354	33,480	11.7	\$285,471,330	\$100,130	\$8,857	\$108,987
Maine	0276	Aging/Physical Disabilities	1,519	385,000	12,658	8.3	\$27,926,042	\$18,384	\$7,022	\$25,406
Maine	0467	ID & ASD	1,393	474,148	15,588	11.2	\$24,132,609	\$17,324	\$13,730	\$31,054
Maine	Total	All	5,922	1,931,193	63,491	10.7	\$342,692,884	\$57,868	\$9,518	\$67,385
Maryland	0023	DD-incl. ID & ASD	13,226	4,602,909	151,329	11.4	\$718,112,669	\$54,296	\$6,484	\$60,780
Maryland	0265	Aging/Physical Disabilities	4,033	1,249,044	41,064	10.2	\$121,105,538	\$30,029	\$5,534	\$35,563
Maryland	0339	ASD-Child	892	316,610	10,409	11.7	\$29,127,131	\$32,654	\$12,250	\$44,904
Maryland	0353	Physical Disabilities	993	285,594	9,389	9.5	\$39,194,656	\$39,471	\$11,388	\$50,859
Maryland	0424	DD-incl. ID & ASD	277	89,740	2,950	10.7	\$11,756,266	\$42,441	\$12,318	\$54,759
Maryland	0645	Aging/Physical Disabilities	4,734	1,436,443	47,226	10.0	\$65,165,910	\$13,766	\$9,223	\$22,989
Maryland	40118	Medically Fragile	219	72,184	2,373	10.8	\$2,561,483	\$11,696	\$110,168	\$121,864
Maryland	40198	Brain Injuries	59	19,259	633	10.7	\$5,740,830	\$97,302	\$7,338	\$104,640
Maryland	Total	All	24,433	8,071,783	265,374	10.9	\$992,764,484	\$40,632	\$8,265	\$48,897
Massachusetts	0059	Aging/Physical Disabilities	13,874	3,946,793	129,758	9.4	\$128,487,183	\$9,261	\$11,228	\$20,489
Massachusetts	0359	Brain Injuries	100	34,040	1,119	11.2	\$7,242,930	\$72,429	\$31,769	\$104,198
Massachusetts	0826	Intellectual Disability	1,680	573,751	18,863	11.2	\$46,791,996	\$27,852	\$22,428	\$50,280
Massachusetts	0827	Intellectual Disability	8,074	2,794,103	91,861	11.4	\$766,818,802	\$94,974	\$24,149	\$119,123
Massachusetts	0828	Intellectual Disability	2,503	838,141	27,555	11.0	\$34,344,999	\$13,722	\$18,845	\$32,567
Massachusetts	40207	ASD-Child	211	49,108	1,615	7.7	\$2,298,042	\$10,891	\$11,616	\$22,507
Massachusetts	40701	Brain Injuries	39	10,611	349	8.9	\$4,599,509	\$117,936	\$14,067	\$132,003
Massachusetts	40702	Brain Injuries	53	13,515	444	8.4	\$1,953,920	\$36,866	\$21,912	\$58,778

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Massachusetts	Total	All	26,534	8,260,062	271,564	10.2	\$992,537,381	\$37,406	\$16,693	\$54,100
Michigan	0167	DD-incl. ID & ASD	8,150	2,839,154	93,342	11.5	\$419,366,400	\$51,456	\$11,526	\$62,982
Michigan	0233	Aging/Physical Disabilities	11,543	3,130,289	102,914	8.9	\$168,594,079	\$14,606	\$4,867	\$19,473
Michigan ¹	0438	SED	439	87,751	2,885	6.6	\$3,010,590	\$6,858	\$7,508	\$14,366
Michigan ¹	4119	DD-Child (incl. ID & ASD)	460	148,180	4,872	10.6	\$12,265,471	\$26,664	\$19,690	\$46,354
Michigan	Total	All	20,592	6,205,374	204,012	9.9	\$603,236,540	\$29,295	\$7,890	\$37,185
Minnesota	0025	Aging	28,671	8,704,313	286,169	10.0	\$313,199,736	\$10,924	\$7,617	\$18,541
Minnesota	0061	DD-incl. ID & ASD	16,289	5,743,981	188,843	11.6	\$1,051,809,524	\$64,572	\$7,626	\$72,198
Minnesota	0166	Physical Disabilities	19,707	6,283,186	206,570	10.5	\$540,461,057	\$27,425	\$15,876	\$43,301
Minnesota	4128	Physical Disabilities	431	133,597	4,392	10.2	\$23,976,653	\$55,630	\$115,340	\$170,970
Minnesota	4169	Brain Injuries	1,474	493,750	16,233	11.0	\$97,776,927	\$66,334	\$11,967	\$78,301
Minnesota	Total	All	66,572	21,358,827	702,208	10.5	\$2,027,223,897	\$30,452	\$10,858	\$41,309
Mississippi	0255	Physical Disabilities	2,522	826,224	27,164	10.8	\$47,096,138	\$18,674	\$4,432	\$23,106
Mississippi	0272	Aging/Physical Disabilities	17,584	5,093,904	167,471	9.5	\$143,890,040	\$8,183	\$4,037	\$12,220
Mississippi ⁴	0282	DD-incl. ID & ASD	1,819	647,074	21,274	11.7	\$42,378,408	\$23,298	\$4,307	\$27,605
Mississippi	0355	Aging/Physical Disabilities	683	172,931	5,685	8.3	\$8,183,654	\$11,982	\$4,930	\$16,912
Mississippi	0366	Physical Disabilities	833	278,120	9,144	11.0	\$18,784,794	\$22,551	\$6,809	\$29,360
Mississippi	Total	All	23,441	7,018,253	230,737	9.8	\$260,333,034	\$11,106	\$4,225	\$15,331
Missouri	0026	Aging/Physical Disabilities	17,067	4,956,512	162,954	9.5	\$94,178,875	\$5,518	\$6,220	\$11,738
Missouri	0178	DD-incl. ID & ASD	8,442	2,581,623	84,875	10.1	\$567,242,146	\$67,193	\$10,621	\$77,814
Missouri	0197	HIV/AIDS	73	20,927	688	9.4	\$1,926,366	\$26,389	\$21,010	\$47,399
Missouri	0346	Aging/Physical Disabilities	187	44,236	1,454	7.8	\$1,710,433	\$9,147	\$24,890	\$34,037
Missouri	0404	DD-incl. ID & ASD	1,504	211,832	6,964	4.6	\$19,162,713	\$12,741	\$14,386	\$27,127
Missouri	0698	ASD-Child	153	16,888	555	3.6	\$1,513,102	\$9,890	\$12,416	\$22,306
Missouri	0841	DD-incl. ID & ASD	2,009	127,975	4,207	2.1	\$10,091,131	\$5,023	\$8,956	\$13,979
Missouri	1021	Physical Disabilities	1,333	281,795	9,264	7.0	\$8,895,141	\$6,673	\$16,275	\$22,948
Missouri	40185	DD-Child (incl. ID & ASD)	310	30,597	1,006	3.2	\$3,079,342	\$9,933	\$23,793	\$33,726
Missouri	40190	Physical Disabilities	133	46,770	1,538	11.6	\$12,315,128	\$92,595	\$25,772	\$118,367
Missouri	Total	All	31,211	8,319,155	273,506	8.8	\$720,114,376	\$23,072	\$8,844	\$31,917
Montana	0148	Aging/Physical Disabilities	2,384	737,165	24,236	10.2	\$37,452,547	\$15,710	\$12,930	\$28,640
Montana	0208	DD-incl. ID & ASD	2,314	833,002	27,386	11.8	\$88,775,895	\$38,365	\$8,964	\$47,329
Montana	0371	DD-incl. ID & ASD	325	88,757	2,918	9.0	\$1,877,537	\$5,777	\$5,826	\$11,603
Montana	0455	Serious Mental Illness	210	58,338	1,918	9.1	\$3,617,021	\$17,224	\$14,000	\$31,224
Montana	0667	ASD-Child	64	19,769	650	10.2	\$1,358,291	\$21,223	\$7,697	\$28,920
Montana	Total	All	5,297	1,737,031	57,108	10.8	\$133,081,291	\$25,124	\$10,741	\$35,865
Nebraska	0187	Aging/Physical Disabilities	5,883	1,655,882	54,440	9.3	\$70,770,022	\$12,030	\$6,606	\$18,636
Nebraska	0394	DD-incl. ID & ASD	894	296,570	9,750	10.9	\$10,824,436	\$12,108	\$4,466	\$16,574
Nebraska	0396	DD-incl. ID & ASD	3,581	1,266,503	41,638	11.6	\$206,959,936	\$57,794	\$5,872	\$63,666

State	Waiver Number	Target Population	Total Partici	Total Days of Service	Total Participant Months	Average Participant Months	Total Waiver Expenditures	Average Waiver Expenditures	Average Non-waiver Medicaid Expenditures	Average Total Medicaid Expenditures for Waiver Participants
Nebraska	4154	DD-Child (incl. ID & ASD)	327	100,650	3,309	10.1	\$19,824,559	\$60,626	\$15,056	\$75,682
Nebraska ⁵	40199	Brain Injuries	20	7,300	240	12.0	\$655,154	\$32,758	\$1,878	\$34,636
Nebraska	Total	All	10,705	3,326,905	109,378	10.2	\$309,034,107	\$28,868	\$6,431	\$35,300
Nevada	0125	DD-incl. ID & ASD	1,779	607,832	19,984	11.2	\$79,860,174	\$44,890	\$10,408	\$55,298
Nevada	0152	Aging	2,236	591,123	19,434	8.7	\$9,339,958	\$4,177	\$9,803	\$13,980
Nevada ¹	0452	Aging/Physical Disabilities	37	10,559	347	9.4	\$266,816	\$7,211	\$630	\$7,841
Nevada	4150	Physical Disabilities	617	192,243	6,320	10.2	\$3,569,461	\$5,785	\$20,375	\$26,160
Nevada	Total	All	4,669	1,401,757	46,085	9.9	\$93,036,409	\$19,926	\$11,358	\$31,284
New Hampshire	0053	DD-incl. ID & ASD	4,341	1,397,853	45,957	10.6	\$195,757,921	\$45,095	\$7,839	\$52,934
New Hampshire	0060	Aging/Physical Disabilities	3,490	991,462	32,596	9.3	\$44,203,038	\$12,666	\$11,838	\$24,504
New Hampshire	0397	DD-Child (incl. ID & ASD)	312	88,082	2,896	9.3	\$4,565,407	\$14,633	\$28,288	\$42,921
New Hampshire	4177	Brain Injuries	232	76,477	2,514	10.8	\$20,404,586	\$87,951	\$9,190	\$97,141
New Hampshire	Total	All	8,375	2,553,874	83,963	10.0	\$264,930,952	\$31,634	\$10,305	\$41,938
New Jersey ⁴	0031	DD-incl. ID & ASD	10,716	3,752,062	123,355	11.5	\$604,997,705	\$56,457	\$7,613	\$64,070
New Jersey ⁴	0032	Aging/Physical Disabilities	13,995	4,082,751	134,227	9.6	\$168,441,873	\$12,036	\$8,691	\$20,727
New Jersey ⁴	0160	HIV/AIDS	249	83,819	2,756	11.1	\$580,651	\$2,332	\$17,520	\$19,852
New Jersey ¹	4133	Physical Disabilities	301	96,099	3,159	10.5	\$16,784,149	\$55,761	\$13,498	\$69,259
New Jersey ⁴	4174	Brain Injuries	342	118,965	3,911	11.4	\$25,948,003	\$75,871	\$9,167	\$85,038
New Jersey	Total	All	25,603	8,133,696	267,409	10.4	\$816,752,381	\$31,901	\$8,389	\$40,289
New Mexico	0161	HIV/AIDS	7	2,428	80	11.4	\$292,612	\$41,802	\$10,256	\$52,058
New Mexico	0173	DD-incl. ID & ASD	3,789	1,335,202	43,897	11.6	\$271,190,131	\$71,573	\$4,799	\$76,372
New Mexico	0223	Medically Fragile	148	49,425	1,625	11.0	\$1,674,894	\$11,317	\$12,257	\$23,574
New Mexico	0448	DD-incl. ID & ASD	471	134,515	4,422	9.4	\$15,202,053	\$32,276	\$6,628	\$38,904
New Mexico ¹	0449	Aging/Physical Disabilities	881	282,695	9,294	10.5	\$27,790,421	\$31,544	\$6,917	\$38,461
New Mexico	0479	Aging/Physical Disabilities	2,048	649,608	21,357	10.4	\$6,831,598	\$3,336	\$32,231	\$35,567
New Mexico	Total	All	7,344	2,453,873	80,675	11.0	\$322,981,709	\$43,979	\$12,976	\$56,955
New York	0034	Aging/Physical Disabilities	22,174	5,302,598	174,332	7.9	\$26,923,490	\$1,214	\$27,000	\$28,214
New York	0238	DD-incl. ID & ASD	71,738	25,457,287	836,952	11.7	\$5,146,107,965	\$71,735	\$11,571	\$83,306
New York ⁶	0269	Brain Injuries	0	0	0	0.0	\$0	\$0	\$0	\$0
New York ⁶	0296	SED	0	0	0	0.0	\$0	\$0	\$0	\$0
New York	0444	Aging/Physical Disabilities	2,257	635,144	20,881	9.3	\$92,471,405	\$40,971	\$22,099	\$63,070
New York ⁶	0469	SED	0	0	0	0.0	\$0	\$0	\$0	\$0
New York ⁶	0470	DD-Child (incl. ID & ASD)	0	0	0	0.0	\$0	\$0	\$0	\$0
New York ⁶	0471	Medically Fragile	0	0	0	0.0	\$0	\$0	\$0	\$0
New York ⁷	4125	PD-Child	0	0	0	0.0	\$0	\$0	\$0	\$0
New York ⁶	40163	DD-Child (incl. ID & ASD)	0	0	0	0.0	\$0	\$0	\$0	\$0
New York ⁶	40176	DD-Child (incl. ID & ASD)	0	0	0	0.0	\$0	\$0	\$0	\$0
New York ⁶	40200	DD-Child (incl. ID & ASD)	0	0	0	0.0	\$0	\$0	\$0	\$0

State	Waiver Number	Target Population	Total Partici	Total Days of Service	Total Participant Months	Average Participant Months	Total Waiver Expenditures	Average Waiver Expenditures	Average Non-waiver Medicaid Expenditures	Average Total Medicaid Expenditures for Waiver Participants
New York	Total	All	96,169	31,395,029	1,032,165	10.7	\$5,265,502,860	\$54,753	\$15,376	\$70,128
North Carolina	0132	Aging/Physical Disabilities	12,542	3,977,185	130,757	10.4	\$186,394,024	\$14,862	\$6,431	\$21,293
North Carolina ⁸	0423	DD-incl. ID & ASD	10,731	2,026,543	66,626	6.2	\$139,477,351	\$12,998	\$3,234	\$16,232
North Carolina	4141	Medically Fragile	1,683	518,538	17,048	10.1	\$62,454,852	\$37,109	\$22,409	\$59,518
North Carolina ⁸	Total	All	24,956	6,522,266	214,431	8.6	\$388,326,228	\$15,560	\$6,134	\$21,695
North Dakota	0037	DD-incl. ID & ASD	4,170	1,278,076	42,019	10.1	\$142,543,051	\$34,183	\$5,466	\$39,649
North Dakota	0273	Aging/Physical Disabilities	379	109,688	3,606	9.5	\$4,697,685	\$12,395	\$20,560	\$32,955
North Dakota	0468	Physical Disabilities	1	365	12	12.0	\$153,419	\$153,419	\$46,800	\$200,219
North Dakota	0568	Medically Fragile	9	2,415	79	8.8	\$70,686	\$7,854	\$8,576	\$16,430
North Dakota ⁹	0834	Medically Fragile	0	0	0	0.0	\$0	\$0	\$0	\$0
North Dakota	0842	ASD-Child	29	8,419	277	9.5	\$293,739	\$10,129	\$2,647	\$12,776
North Dakota	Total	All	4,588	1,398,963	45,993	10.0	\$147,758,580	\$32,205	\$6,710	\$38,916
Ohio	0198	Aging/Physical Disabilities	40,356	11,781,241	387,328	9.6	\$359,944,796	\$8,919	\$7,568	\$16,487
Ohio	0231	DD-incl. ID & ASD	17,429	6,093,069	200,320	11.5	\$1,088,127,391	\$62,432	\$9,296	\$71,728
Ohio	0337	Physical Disabilities	10,001	3,096,085	101,789	10.2	\$192,871,161	\$19,285	\$28,220	\$47,505
Ohio	0380	DD-incl. ID & ASD	12,135	4,050,369	133,163	11.0	\$147,043,907	\$12,117	\$7,257	\$19,374
Ohio	0383	DD-incl. ID & ASD	3,056	1,061,811	34,909	11.4	\$65,768,954	\$21,521	\$40,720	\$62,241
Ohio	0440	Aging/Physical Disabilities	2,628	789,792	25,966	9.9	\$54,382,876	\$20,694	\$21,115	\$41,809
Ohio	0446	Aging/Physical Disabilities	5,255	1,366,812	44,936	8.6	\$66,598,046	\$12,673	\$3,430	\$16,103
Ohio	0877	DD-incl. ID & ASD	86	12,043	396	4.6	\$336,734	\$3,916	\$16,010	\$19,926
Ohio	4196	Aging/Physical Disabilities	637	196,888	6,473	10.2	\$8,590,154	\$13,485	\$7,285	\$20,770
Ohio	Total	All	91,583	28,448,110	935,280	10.2	\$1,983,664,018	\$21,660	\$11,374	\$33,034
Oklahoma	0179	Intellectual Disability	2,835	994,557	32,698	11.5	\$163,269,763	\$57,591	\$12,539	\$70,130
Oklahoma	0256	Aging/Physical Disabilities	20,953	6,137,451	201,779	9.6	\$184,652,790	\$8,813	\$6,356	\$15,169
Oklahoma	0343	Intellectual Disability	1,434	502,429	16,518	11.5	\$21,708,940	\$15,139	\$11,054	\$26,193
Oklahoma	0351	ID-Child	287	93,295	3,067	10.7	\$2,358,312	\$8,217	\$23,224	\$31,441
Oklahoma	0399	Intellectual Disability	696	251,554	8,270	11.9	\$88,046,662	\$126,504	\$10,363	\$136,867
Oklahoma	0809	Aging	36	9,321	306	8.5	\$306,378	\$8,510	\$3,674	\$12,184
Oklahoma	0810	Physical Disabilities	92	22,624	744	8.1	\$882,047	\$9,587	\$8,930	\$18,517
Oklahoma	0811	Medically Fragile	45	2,737	90	2.0	\$2,283,729	\$50,750	\$20,099	\$70,849
Oklahoma	Total	All	26,378	8,013,968	263,473	10.0	\$463,508,621	\$17,572	\$7,594	\$25,166
Oregon	0117	DD-incl. ID & ASD	7,295	2,490,256	81,871	11.2	\$543,269,313	\$74,471	\$11,068	\$85,539
Oregon	0185	Aging/Physical Disabilities	29,659	8,336,131	274,065	9.2	\$192,906,054	\$6,504	\$4,522	\$11,026
Oregon	0375	DD-incl. ID & ASD	7,706	2,647,753	87,049	11.3	\$67,024,300	\$8,698	\$7,655	\$16,353
Oregon	0565	PD-Child	191	53,196	1,749	9.2	\$674,806	\$3,533	\$23,856	\$27,389
Oregon	40193	Medically Fragile	97	29,749	978	10.1	\$537,825	\$5,545	\$105,837	\$111,382
Oregon	40194	DD-Child (incl. ID & ASD)	134	41,457	1,363	10.2	\$655,288	\$4,890	\$22,224	\$27,114
Oregon	Total	All	45,082	13,598,542	447,075	9.9	\$805,067,586	\$17,858	\$6,469	\$24,327

CMS 372 Data by State and Waiver, 2013

Table 2

State	Waiver Number	Target Population	Total Partici	Total Days of Service	Total Participant Months	Average Participant Months	Total Waiver Expenditures	Average Waiver Expenditures	Average Non-waiver Medicaid Expenditures	Average Total Medicaid Expenditures for Waiver Participants
Pennsylvania	0147	Intellectual Disability	16,647	5,789,073	190,326	11.4	\$1,650,656,059	\$99,156	\$6,711	\$105,867
Pennsylvania	0192	HIV/AIDS	297	93,064	3,060	10.3	\$2,919,095	\$9,829	\$2,697	\$12,526
Pennsylvania	0235	DD - non-ID	1,493	534,388	17,569	11.8	\$78,996,031	\$52,911	\$7,001	\$59,912
Pennsylvania	0277	Physical Disabilities	9,848	3,290,139	108,169	11.0	\$206,635,530	\$20,982	\$7,704	\$28,686
Pennsylvania	0279	Aging/Physical Disabilities	29,158	8,420,313	276,832	9.5	\$515,170,365	\$17,668	\$1,977	\$19,645
Pennsylvania	0319	Physical Disabilities	8,548	2,763,369	90,850	10.6	\$292,144,939	\$34,177	\$7,049	\$41,226
Pennsylvania	0324	DD-Child (incl. ID & ASD)	5,608	924,953	30,409	5.4	\$8,622,043	\$1,537	\$18,565	\$20,102
Pennsylvania	0354	Intellectual Disability	11,705	3,851,645	126,629	10.8	\$192,162,659	\$16,417	\$9,153	\$25,570
Pennsylvania	0386	Brain Injuries	606	215,220	7,076	11.7	\$46,249,957	\$76,320	\$5,372	\$81,692
Pennsylvania	0593	Autism Spectrum Disorder	306	105,838	3,480	11.4	\$11,251,219	\$36,769	\$7,162	\$43,931
Pennsylvania	Total	All	84,216	25,988,002	854,400	10.1	\$3,004,807,896	\$35,680	\$6,334	\$42,014
South Carolina	0186	HIV/AIDS	986	327,332	10,762	10.9	\$4,401,896	\$4,464	\$12,716	\$17,180
South Carolina	0237	DD-incl. ID & ASD	6,021	2,088,190	68,653	11.4	\$257,238,668	\$42,724	\$8,629	\$51,353
South Carolina	0284	Physical Disabilities	659	230,382	7,574	11.5	\$20,522,916	\$31,143	\$12,093	\$43,236
South Carolina	0405	Aging/Physical Disabilities	15,464	4,617,728	151,816	9.8	\$137,553,715	\$8,895	\$4,975	\$13,870
South Carolina	0456	ASD-Child	851	222,055	7,300	8.6	\$9,930,103	\$11,669	\$5,660	\$17,329
South Carolina	0675	Medically Fragile	608	148,237	4,874	8.0	\$815,677	\$1,342	\$59,446	\$60,788
South Carolina	0676	DD-incl. ID & ASD	2,355	786,251	25,849	11.0	\$19,902,506	\$8,451	\$3,852	\$12,303
South Carolina	40181	Physical Disabilities	52	13,797	454	8.7	\$1,757,428	\$33,797	\$24,317	\$58,114
South Carolina	Total	All	26,996	8,433,972	277,281	10.3	\$452,122,908	\$16,748	\$7,434	\$24,182
South Dakota	0044	DD-incl. ID & ASD	2,580	892,398	29,339	11.4	\$100,589,040	\$38,988	\$3,676	\$42,664
South Dakota	0189	Aging	1,561	416,368	13,689	8.8	\$10,944,050	\$7,011	\$5,212	\$12,223
South Dakota	0264	Physical Disabilities	108	36,573	1,202	11.1	\$3,038,190	\$28,131	\$15,803	\$43,934
South Dakota	0338	DD-Child (incl. ID & ASD)	939	313,839	10,318	11.0	\$2,605,985	\$2,775	\$7,884	\$10,659
South Dakota	Total	All	5,188	1,659,178	54,548	10.5	\$117,177,265	\$22,586	\$5,152	\$27,738
Tennessee	0128	Intellectual Disability	6,495	2,293,188	75,392	11.6	\$570,263,845	\$87,800	\$3,715	\$91,515
Tennessee	0357	Intellectual Disability	314	112,417	3,696	11.8	\$44,344,843	\$141,226	\$4,038	\$145,264
Tennessee	0427	Intellectual Disability	1,214	412,380	13,558	11.2	\$21,912,373	\$18,050	\$2,248	\$20,298
Tennessee	Total	All	8,023	2,817,985	92,646	11.5	\$636,521,061	\$79,337	\$3,506	\$82,843
Texas	0110	Intellectual Disability	20,940	7,475,612	245,774	11.7	\$860,824,493	\$41,109	\$6,369	\$47,478
Texas	0181	Medically Fragile	6,282	2,112,957	69,467	11.1	\$97,122,322	\$15,460	\$64,712	\$80,172
Texas	0221	DD - non-ID	4,801	1,730,443	56,891	11.8	\$209,762,863	\$43,691	\$17,581	\$61,272
Texas	0266	Aging/Physical Disabilities	14,480	4,245,167	139,567	9.6	\$174,046,502	\$12,020	\$4,834	\$16,854
Texas	0281	DD-other specific diagnoses	155	55,551	1,826	11.8	\$7,705,156	\$49,711	\$2,454	\$52,165
Texas	0403	DD-incl. ID & ASD	5,480	1,754,293	57,675	10.5	\$48,413,293	\$8,835	\$4,683	\$13,518
Texas	0657	SED	167	27,930	918	5.5	\$389,588	\$2,333	\$7,504	\$9,837
Texas	Total	All	52,305	17,401,953	572,119	10.9	\$1,398,264,217	\$26,733	\$13,796	\$40,529
Utah	0158	DD-incl. ID & ASD	4,486	1,580,902	51,975	11.6	\$164,892,052	\$36,757	\$5,117	\$41,874

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Utah	0247	Aging	513	166,994	5,490	10.7	\$4,206,127	\$8,199	\$3,696	\$11,895
Utah	0292	Brain Injuries	106	36,094	1,187	11.2	\$3,329,396	\$31,409	\$4,292	\$35,701
Utah	0331	Physical Disabilities	128	43,771	1,439	11.2	\$2,135,190	\$16,681	\$11,603	\$28,284
Utah	0439	Aging/Physical Disabilities	1,436	388,132	12,761	8.9	\$28,824,054	\$20,072	\$3,190	\$23,262
Utah	1029	ASD-Child	275	46,594	1,532	5.6	\$2,255,801	\$8,203	\$1,424	\$9,627
Utah	40183	Medically Fragile	124	39,107	1,286	10.4	\$2,662,272	\$21,470	\$70,918	\$92,388
Utah	Total	All	7,068	2,301,594	75,669	10.7	\$208,304,891	\$29,472	\$5,738	\$35,210
Virginia	0321	Aging/Physical Disabilities	30,178	9,200,016	302,466	10.0	\$508,563,155	\$16,852	\$6,813	\$23,665
Virginia	0358	DD - non-ID	774	275,576	9,060	11.7	\$21,402,929	\$27,652	\$9,262	\$36,914
Virginia	0372	Intellectual Disability	9,252	3,259,300	107,155	11.6	\$611,078,147	\$66,048	\$10,372	\$76,420
Virginia	0430	Intellectual Disability	304	107,920	3,548	11.7	\$3,839,132	\$12,629	\$10,823	\$23,452
Virginia	40206	Aging/Physical Disabilities	58	16,849	554	9.6	\$605,589	\$10,441	\$2,343	\$12,784
Virginia	4149	Physical Disabilities	400	121,143	3,983	10.0	\$32,034,814	\$80,087	\$61,142	\$141,229
Virginia	Total	All	40,966	12,980,804	426,766	10.4	\$1,177,523,766	\$28,744	\$8,217	\$36,961
Washington	0049	Aging/Physical Disabilities	40,024	11,782,794	387,380	9.7	\$571,229,235	\$14,272	\$5,527	\$19,799
Washington	0409	DD-incl. ID & ASD	7,476	2,475,723	81,394	10.9	\$164,500,666	\$22,004	\$3,649	\$25,653
Washington	0410	DD-incl. ID & ASD	4,480	1,576,825	51,841	11.6	\$355,451,137	\$79,342	\$5,021	\$84,363
Washington	0411	DD-incl. ID & ASD	459	160,618	5,281	11.5	\$53,257,975	\$116,030	\$3,575	\$119,605
Washington	0443	Aging/Physical Disabilities	906	254,929	8,381	9.3	\$8,440,104	\$9,316	\$7,034	\$16,350
Washington	40669	DD-incl. ID & ASD	95	31,097	1,022	10.8	\$5,630,587	\$59,269	\$5,106	\$64,375
Washington	Total	All	53,440	16,281,986	535,298	10.0	\$1,158,509,704	\$21,679	\$5,230	\$26,909
West Virginia	0133	DD-incl. ID & ASD	4,492	1,605,076	52,770	11.7	\$335,956,881	\$74,790	\$6,929	\$81,719
West Virginia	0134	Aging/Physical Disabilities	6,754	2,199,977	72,328	10.7	\$120,080,626	\$17,779	\$6,904	\$24,683
West Virginia	0876	Brain Injuries	7	829	27	3.9	\$58,255	\$8,322	\$8,116	\$16,438
West Virginia	Total	All	11,253	3,805,882	125,125	11.1	\$456,095,762	\$40,531	\$6,915	\$47,446
Wisconsin	0154	Aging/Physical Disabilities	3,240	958,402	31,509	9.7	\$55,629,964	\$17,170	\$10,039	\$27,209
Wisconsin	0229	DD-incl. ID & ASD	3,428	1,194,433	39,269	11.5	\$152,536,084	\$44,497	\$13,135	\$57,632
Wisconsin ¹	0275	Brain Injuries	86	30,719	1,010	11.7	\$5,845,148	\$67,967	\$22,423	\$90,390
Wisconsin ¹⁰	0367	Aging/Physical Disabilities	31,000	9,042,358	297,283	9.6	\$866,421,133	\$27,949	\$2,449	\$30,398
Wisconsin ¹⁰	0368	DD-incl. ID & ASD	14,810	5,011,504	164,762	11.1	\$509,331,602	\$34,391	\$2,589	\$36,980
Wisconsin	0413	PD-Child	475	140,232	4,610	9.7	\$4,129,661	\$8,694	\$30,176	\$38,870
Wisconsin	0414	DD-Child (incl. ID & ASD)	4,369	1,333,885	43,854	10.0	\$48,673,955	\$11,141	\$11,659	\$22,800
Wisconsin	0415	SED	1,371	351,830	11,567	8.4	\$17,316,479	\$12,631	\$5,440	\$18,071
Wisconsin	0484	DD-incl. ID & ASD	10,260	3,016,581	99,175	9.7	\$171,782,096	\$16,743	\$26,811	\$43,554
Wisconsin ¹	0485	Aging/Physical Disabilities	5,073	1,320,644	43,418	8.6	\$47,841,816	\$9,431	\$19,410	\$28,841
Wisconsin	Total	All	74,112	22,400,588	736,458	9.9	\$1,879,507,938	\$25,360	\$8,636	\$33,996
Wyoming	0226	DD-incl. ID & ASD	1,392	493,012	16,209	11.6	\$84,952,698	\$61,029	\$6,661	\$67,690
Wyoming	0236	Aging/Physical Disabilities	1,665	483,702	15,903	9.6	\$13,270,229	\$7,970	\$8,340	\$16,310

State	Waiver Number	Target Population	Total Partici	Total Days of Service	Total Participant Months	Average Participant Months	Total Waiver Expenditures	Average Waiver Expenditures	Average Non-waiver Medicaid Expenditures	Average Total Medicaid Expenditures for Waiver Participants
Wyoming	0253	DD-Child (incl. ID & ASD)	751	247,903	8,150	10.9	\$13,289,991	\$17,696	\$10,286	\$27,982
Wyoming	0369	Aging/Physical Disabilities	182	54,003	1,775	9.8	\$2,406,801	\$13,224	\$3,022	\$16,246
Wyoming	0370	Brain Injuries	184	64,643	2,125	11.6	\$8,580,725	\$46,634	\$6,692	\$53,326
Wyoming	0451	SED	74	18,807	618	8.4	\$631,089	\$8,528	\$8,528	\$17,056
Wyoming	Total	All	4,248	1,362,070	44,780	10.5	\$123,131,533	\$28,986	\$7,838	\$36,823
United States	Total	All	1,524,632	469,067,257	15,421,389	10.1	\$40,075,099,961	\$26,285	\$9,207	\$35,492

Notes:

- ¹ A CMS 372 for this waiver for 2013 has not been submitted. Data from the CMS 372 for 2012 are included in this table.
- ² The CMS 372 for Arkansas Waiver 0936 listed total days of service of 216. This table assumes 216 is the average days of service.
- ³ Kansas transitioned all section 1915(c) services to managed care on January 1, 2013. Starting on that date, all capitated expenditures were reported as waiver expenditures and no non-waiver expenditures were reported. For Waivers 0303, 0304, and 0476, the waiver year for 2013 was calendar year 2013, so \$0 was reported for non-waiver expenditures. For other waivers, non-waiver expenditures were reported for the part of the waiver year that occurred before January 1, 2013 (for example, July 1, 2012 through December 31, 2012 for a waiver year ending June 30, 2013).
- ⁴ A CMS 372 for this waiver for 2013 has not been submitted. Data from the CMS 372 for 2011 are included in this table because a CMS 372 also was not submitted for 2012.
- ⁵ The state submitted a CMS 372 for 2013 for this waiver, but it was not accepted by CMS. Data from the CMS 372 for 2012 are included in this table.
- ⁶ The state submitted a CMS 372 for 2013 for this waiver, but it was not accepted by CMS. Data for this waiver are not included because data also were not available for 2011 and 2012.
- ⁷ A CMS 372 for this waiver for 2013 has not been submitted. Data for this waiver are not included because data also were not available for 2011 and 2012.
- ⁸ North Carolina expanded Waiver 0423 and terminated Waivers 0662 and 0663 in calendar year 2012, during the time period for the 2013 report for Waiver 0423. Average days of service for Waiver 0423 are lower than in previous years because participants from Waivers 0662 and 0663 joined during the waiver year.
- ⁹ North Dakota submitted a CMS 372 for Waiver 0834 that indicated no participants were served in 2013.
- ¹⁰ Wisconsin combined its Family Care section 1915(c) waivers in 2015: one waiver targeted people with developmental disabilities and the other targeted older adults and people with physical disabilities. The state submitted a single CMS 372 report for both waivers in 2013. Estimates for each waiver were developed based on the percentage of Family Care participants, total days of service, and expenditures for each waiver in 2012.

State Totals: Waiver Participants, Participant Months, and Expenditures, 2014

Table 3

State	Participants per 1,000 State Residents	Rank	Total Participants	Percent Change Participants 2013-14	Total Participant Months	Percent Change Participant Months 2013-14	Average Participant Months	Percent Change Average Participant Months 2013-14	Average Waiver Expenditures	Percent Change Average Waiver Expenditures 2013-14	Average Total Medicaid Expenditures for Waiver Participants	Percent Change Average Medicaid Expenditures 2013-14
Wisconsin ^{1, 2}	13.48	1	77,622	4.7%	784,389	6.5%	10.1	1.7%	\$24,923	-1.7%	\$32,584	-4.2%
Minnesota	12.44	2	67,816	1.9%	719,619	2.5%	10.6	0.6%	\$31,537	3.6%	\$42,943	4.0%
Oregon ³	12.16	3	48,269	7.1%	468,314	4.8%	9.7	-2.2%	\$2,763	-84.5%	\$11,395	-53.2%
Idaho	10.35	4	16,906	16.2%	154,049	1.6%	9.1	-12.5%	\$14,779	1.0%	\$24,137	-1.5%
Kansas ⁴	9.63	5	27,935	-1.0%	278,951	1.3%	10.0	2.3%	\$34,221	12.6%	\$34,221	4.7%
Iowa ⁵	9.50	6	29,531	2.2%	313,843	1.5%	10.6	-0.7%	\$19,686	5.8%	\$30,605	4.8%
Illinois ⁶	9.12	7	117,342	3.3%	1,088,491	4.2%	9.3	0.9%	\$14,050	1.4%	\$23,602	32.8%
Mississippi ⁷	8.40	8	25,124	7.2%	251,406	9.0%	10.0	1.7%	\$12,195	9.8%	\$16,422	7.1%
Ohio	8.07	9	93,569	2.2%	954,824	2.1%	10.2	-0.1%	\$21,988	1.5%	\$33,283	0.8%
Washington	7.84	10	55,285	3.5%	567,743	6.1%	10.3	2.5%	\$23,344	7.7%	\$27,307	1.5%
Colorado	7.38	11	39,455	6.1%	386,806	4.7%	9.8	-1.3%	\$17,365	4.8%	\$27,046	5.1%
Wyoming	7.27	12	4,244	-0.1%	44,061	-1.6%	10.4	-1.5%	\$27,218	-6.1%	\$35,080	-4.7%
Alaska ⁸	7.20	13	5,303	-2.8%	56,861	4.2%	10.7	7.2%	\$46,361	-0.4%	\$68,225	3.6%
Connecticut	7.06	14	25,360	4.5%	265,561	3.9%	10.5	-0.6%	\$41,063	2.3%	\$48,534	2.2%
Dist. of Columbia	6.97	15	4,592	-6.9%	47,365	-3.8%	10.3	3.3%	\$43,049	5.1%	\$75,956	0.9%
Pennsylvania	6.84	16	87,439	3.8%	924,752	8.2%	10.6	4.2%	\$37,946	6.4%	\$44,125	5.0%
Oklahoma	6.79	17	26,317	-0.2%	264,245	0.3%	10.0	0.5%	\$18,587	5.8%	\$26,216	4.2%
New Hampshire	6.45	18	8,564	2.3%	85,708	2.1%	10.0	-0.2%	\$32,038	1.3%	\$39,432	-6.0%
North Dakota ⁹	6.43	19	4,755	3.6%	47,940	4.2%	10.1	0.6%	\$35,462	10.1%	\$41,691	7.1%
South Dakota	6.19	20	5,279	1.8%	55,887	2.5%	10.6	0.7%	\$23,161	2.5%	\$28,344	2.2%
Florida ¹⁰	6.01	21	119,598	33.5%	824,148	8.9%	6.9	-18.4%	\$9,787	-19.9%	\$22,495	6.1%
South Carolina	5.88	22	28,412	5.2%	281,964	1.7%	9.9	-3.4%	\$16,216	-3.2%	\$23,972	-0.9%
Kentucky ⁵	5.72	23	25,251	2.5%	268,220	3.5%	10.6	1.0%	\$24,825	-0.1%	\$32,086	0.3%
Nebraska ^{5, 11}	5.71	24	10,741	0.3%	109,396	0.0%	10.2	-0.3%	\$28,954	0.3%	\$35,567	0.8%
West Virginia	5.64	25	10,418	-7.4%	115,984	-7.3%	11.1	0.1%	\$44,270	9.2%	\$51,402	8.3%
Virginia	5.24	26	43,613	6.5%	457,119	7.1%	10.5	0.6%	\$30,167	5.0%	\$38,251	3.5%
Montana	5.21	27	5,330	0.6%	52,701	-7.7%	9.9	-8.3%	\$25,902	3.1%	\$36,434	1.6%
Missouri ¹²	5.13	28	31,077	-0.4%	322,591	17.9%	10.4	18.5%	\$25,448	10.3%	\$36,193	13.4%
New York ^{1, 13}	4.97	29	97,925	1.8%	1,054,070	2.1%	10.8	0.3%	\$51,707	-5.6%	\$67,500	-3.7%
Arkansas ^{1, 2, 14}	4.91	30	14,558	0.0%	142,169	0.0%	9.8	0.0%	\$20,322	0.0%	\$30,288	0.0%
Indiana	4.81	31	31,701	18.7%	313,942	15.6%	9.9	-2.7%	\$23,765	-5.5%	\$36,167	-6.4%
Maine ¹	4.53	32	6,033	1.9%	63,154	-0.5%	10.5	-2.4%	\$58,232	0.6%	\$66,678	-1.0%
Georgia ⁵	4.32	33	43,561	-2.7%	450,171	-3.2%	10.3	-0.5%	\$20,727	2.3%	\$26,614	1.4%
Maryland ¹⁵	4.30	34	25,674	5.1%	273,075	2.9%	10.6	-2.1%	\$38,305	-5.7%	\$50,076	2.4%
Massachusetts	4.09	35	27,600	4.0%	280,806	3.4%	10.2	-0.6%	\$40,621	8.6%	\$58,525	8.2%
Louisiana ⁷	3.81	36	17,717	0.0%	197,252	-0.8%	11.1	-0.7%	\$31,879	0.9%	\$39,968	0.5%
California	3.18	37	122,943	7.2%	1,323,068	6.7%	10.8	-0.5%	\$23,015	1.8%	\$37,441	1.4%
Alabama	2.98	38	14,425	3.2%	151,613	3.3%	10.5	0.1%	\$26,766	2.2%	\$32,378	2.6%

State Totals: Waiver Participants, Participant Months, and Expenditures, 2014

Table 3

State	Participants per 1,000 State Residents	Rank	Total Participants	Percent Change Participants 2013-14	Total Participant Months	Percent Change Participant Months 2013-14	Average Participant Months	Percent Change Average Participant Months 2013-14	Average Waiver Expenditures	Percent Change Average Waiver Expenditures 2013-14	Average Total Medicaid Expenditures for Waiver Participants	Percent Change Average Medicaid Expenditures 2013-14
New Jersey ^{2, 16}	2.87	39	25,603	0.0%	267,409	0.0%	10.4	0.0%	\$31,901	0.0%	\$40,289	0.0%
North Carolina ¹⁷	2.63	40	26,139	4.7%	250,835	17.0%	9.6	11.7%	\$31,321	101.3%	\$38,790	78.8%
Utah	2.56	41	7,540	6.7%	80,549	6.4%	10.7	-0.2%	\$29,979	1.7%	\$34,141	-3.0%
New Mexico ^{7, 18}	2.37	42	4,936	-32.8%	53,061	-34.2%	10.7	-2.1%	\$59,858	36.1%	\$65,879	15.7%
Michigan	2.24	43	22,205	7.8%	218,169	6.9%	9.8	-0.8%	\$32,951	12.5%	\$40,166	8.0%
Texas	2.00	44	53,857	3.0%	586,015	2.4%	10.9	-0.5%	\$26,879	0.5%	\$41,374	2.1%
Hawaii ¹⁹	1.85	45	2,623	-1.4%	30,680	-2.6%	11.7	-1.2%	\$40,375	3.0%	\$45,938	3.5%
Nevada ²	1.74	46	4,916	5.3%	49,155	6.7%	10.0	1.3%	\$21,089	5.8%	\$31,073	-0.7%
Tennessee ¹⁹	1.24	47	8,090	0.8%	93,615	1.0%	11.6	0.2%	\$82,272	3.7%	\$86,702	4.7%
Delaware ¹⁹	1.05	48	984	3.6%	11,342	4.0%	11.5	0.4%	\$105,999	2.1%	\$110,275	2.4%
United States	5.04	n/a	1,604,177	5.2%	16,083,087	4.3%	10.0	-0.9%	\$26,055	-0.9%	\$36,039	1.5%

Notes:

- ¹ One or more CMS 372s for 2014 were not submitted for this state. Data from the CMS 372 for 2013 are included in this table.
- ² One or more CMS 372s were not submitted for either 2013 or 2014. Data from the CMS 372 for 2012 are included in this table for both years.
- ³ Oregon waiver expenditures for all waivers decreased in 2014 as the state implemented Community First Choice, which provides services previously funded by waivers.
- ⁴ Kansas transitioned all section 1915(c) services to managed care on January 1, 2013. Starting on that date, all capitated expenditures were reported as waiver expenditures and no non-waiver expenditures were reported.
- ⁵ The state submitted one or more CMS 372s for 2014 that were not accepted by CMS. Data from the CMS 372 for 2013 are included in this table.
- ⁶ The CMS 372 report for Illinois Waiver 0350 indicated significantly greater average non-waiver expenditures than in previous years, which increased the statewide total for non-waiver Medicaid expenditures.
- ⁷ One or more CMS 372s for 2013 were not submitted for this state. Data from the CMS 372 for 2012 are included in this table.
- ⁸ The 2014 CMS 372 for Alaska Waiver 0260 listed total days of service of 348. This table assumes 348 is the average days of service.
- ⁹ The CMS 372 report for North Dakota Waiver 0468 indicated 365 participants and 365 total days of service. This table assumes only one participant and 365 days of service, consistent with 2013 data.
- ¹⁰ Florida 2014 data indicate more participants, and lower months of service and average expenditures per participant, than previous years. The state terminated four waivers and transitioned participants to Waiver 0962 in March 2014. Some individuals were counted in two waivers during the year as a result.
- ¹¹ The state submitted one or more CMS 372s for 2013 that were not accepted by CMS. Data from the CMS 372 for 2012 are included in this table.
- ¹² The 2014 CMS 372 report for Missouri Waiver 0346 was for a temporary extension and included only four months of data. Data for 2015 are used in this table because 2013 data also were for a partial year.
- ¹³ For several New York waivers, data for 2013 and 2014 are not included because no CMS 372 has been accepted by CMS from 2011 through 2014.
- ¹⁴ The 2013 CMS 372 for Arkansas Waiver 0936 listed total days of service of 218. This table assumes 218 is the average days of service.
- ¹⁵ Maryland terminated Waiver 0353 and transitioned participants to Waiver 0265 in January 2014. As a result, this table includes only seven months of data for Waiver 0353. The waiver years overlapped, so some participants were counted in both waivers.
- ¹⁶ For most of New Jersey's waivers, the most recent CMS 372 accepted by CMS is from 2011. Data in these tables include 2011. New Jersey terminated all waivers except Waiver 0031 in 2014.
- ¹⁷ North Carolina expanded Waiver 0423 and terminated Waivers 0662 and 0663 in calendar year 2012, during the time period for the 2013 report for Waiver 0423. Days of service and expenditures for Waiver 0423 are lower than in 2014 because some Waiver 0423 data includes only part of the year.
- ¹⁸ New Mexico terminated waivers for older adults and people with physical disabilities and people with HIV/AIDS in 2013 and serves these participants in a section 1115 demonstration. Total state 1915(c) waiver participants decreased in 2014 as a result.
- ¹⁹ Data for Delaware, Hawaii and Tennessee only include waivers targeted to people with developmental disabilities. These states provide HCBS to other populations within section 1115 demonstrations.

State Totals: Data for Waivers Targeting Older Adults and/or People with Physical Disabilities, 2014

Table 4

State	Participants per 1,000 State Residents	Rank	Total Participants	Percent Change Participants 2013-14	Total Participant Months	Percent Change Participant Months 2013-14	Average Participant Months	Percent Change Average Participant Months 2013-14	Average Waiver Expenditures	Percent Change Average Waiver Expenditures 2013-14	Average Total Medicaid Expenditures for Waiver Participants	Percent Change Average Medicaid Expenditures 2013-14
Minnesota	9.14	1	49,860	2.2%	511,715	2.9%	10.3	0.8%	\$19,233	7.0%	\$31,717	6.1%
Oregon ¹	7.99	2	31,708	6.2%	289,658	5.0%	9.1	-1.1%	\$794	-87.8%	\$9,534	-14.3%
Mississippi	7.66	3	22,913	6.0%	226,932	8.3%	9.9	2.2%	\$10,318	2.4%	\$14,508	1.5%
Wisconsin ^{2,3}	7.10	4	40,863	2.7%	388,958	3.2%	9.5	0.5%	\$24,313	-0.7%	\$29,931	-0.4%
Illinois	7.02	5	90,351	3.8%	799,321	4.7%	8.8	0.8%	\$9,631	-1.9%	\$12,003	-6.3%
Idaho	6.29	6	10,269	3.5%	84,180	-20.2%	8.2	-22.9%	\$7,614	0.2%	\$16,983	-4.7%
Washington	5.99	7	42,277	3.3%	427,559	8.0%	10.1	4.6%	\$15,497	9.4%	\$19,477	-1.2%
Oklahoma	5.39	8	20,885	-0.9%	202,675	-0.1%	9.7	0.9%	\$9,280	5.3%	\$16,052	5.8%
Ohio	5.13	9	59,431	0.9%	566,514	0.0%	9.5	-0.9%	\$11,702	1.0%	\$22,782	-0.5%
Colorado	4.87	10	26,071	4.4%	249,667	4.6%	9.6	0.2%	\$10,705	10.1%	\$19,355	6.8%
Iowa ⁴	4.67	11	14,517	2.7%	143,075	0.3%	9.9	-2.3%	\$6,819	-5.9%	\$15,324	-4.0%
Kansas ⁵	4.48	12	13,003	-0.4%	123,426	-2.7%	9.5	-2.3%	\$32,685	10.3%	\$32,685	10.3%
Dist. of Columbia	4.48	13	2,950	-11.7%	27,875	-8.0%	9.4	4.2%	\$10,123	-33.9%	\$54,733	0.1%
Alaska	4.18	14	3,076	-8.4%	31,423	-1.5%	10.2	7.6%	\$28,143	-2.6%	\$54,614	4.2%
Florida ⁶	4.12	15	81,844	89.3%	423,669	1.7%	5.2	-46.3%	\$5,171	-46.2%	\$17,923	43.3%
Connecticut	4.10	16	14,721	3.1%	144,425	2.9%	9.8	-0.2%	\$16,455	12.7%	\$22,925	9.1%
Pennsylvania	4.00	17	51,219	7.7%	536,199	12.7%	10.5	4.6%	\$23,039	8.1%	\$27,246	7.3%
Virginia	3.91	18	32,509	6.1%	329,196	7.2%	10.1	1.1%	\$19,328	9.4%	\$26,666	5.9%
South Carolina	3.52	19	17,012	5.2%	160,827	0.6%	9.5	-4.3%	\$9,479	-4.1%	\$14,974	-1.5%
Arkansas ^{3,7}	3.48	20	10,325	0.0%	93,194	0.0%	9.0	0.0%	\$11,270	0.0%	\$18,705	0.0%
Wyoming	3.23	21	1,883	1.9%	17,890	1.2%	9.5	-0.7%	\$8,226	-3.1%	\$15,856	-2.7%
West Virginia	3.17	22	5,862	-13.2%	62,212	-14.0%	10.6	-0.9%	\$17,975	1.1%	\$25,272	2.4%
Nebraska	3.15	23	5,918	0.6%	54,453	0.0%	9.2	-0.6%	\$12,284	2.1%	\$19,216	3.1%
Georgia	3.10	24	31,291	-2.5%	312,163	-3.5%	10.0	-1.0%	\$14,368	4.0%	\$20,932	2.8%
Missouri ⁸	3.00	25	18,186	-2.9%	203,067	15.9%	11.2	19.3%	\$6,932	10.8%	\$18,227	34.8%
New Hampshire	2.58	26	3,426	-1.8%	32,752	0.5%	9.6	2.4%	\$13,323	5.2%	\$23,817	-2.8%
Kentucky ⁴	2.41	27	10,635	-3.0%	109,867	-2.3%	10.3	0.7%	\$8,688	6.4%	\$16,930	3.9%
Montana	2.37	28	2,427	1.8%	24,041	-0.8%	9.9	-2.6%	\$15,001	-4.5%	\$27,672	-3.4%
Indiana	2.21	29	14,579	17.7%	136,182	21.0%	9.3	2.8%	\$11,027	2.8%	\$31,119	-2.3%
Massachusetts	2.11	30	14,260	2.8%	131,388	1.3%	9.2	-1.5%	\$9,969	7.6%	\$22,078	7.8%
South Dakota	2.01	31	1,716	2.8%	15,352	3.1%	8.9	0.3%	\$8,854	5.7%	\$14,384	0.8%
Alabama	1.83	32	8,843	6.1%	86,713	7.0%	9.8	0.9%	\$7,563	6.6%	\$13,664	5.6%
Maryland ⁹	1.78	33	10,648	9.1%	99,039	1.4%	9.3	-7.1%	\$16,673	-27.8%	\$30,218	-2.6%
New Jersey ^{3,10}	1.60	34	14,296	0.0%	137,387	0.0%	9.6	0.0%	\$12,956	0.0%	\$21,749	0.0%
Michigan	1.32	35	13,107	13.5%	116,552	13.3%	8.9	-0.3%	\$20,922	43.2%	\$25,112	29.0%
Maine	1.28	36	1,706	1.7%	14,529	0.7%	8.5	-0.9%	\$20,698	5.0%	\$27,667	3.0%
New York ^{7,11}	1.25	37	24,665	1.0%	198,843	1.9%	8.1	0.9%	\$5,681	16.3%	\$32,520	3.5%
North Carolina	1.23	38	12,189	-2.8%	122,848	-6.0%	10.1	-3.3%	\$19,251	29.5%	\$26,505	24.5%

State Totals: Data for Waivers Targeting Older Adults and/or People with Physical Disabilities, 2014

Table 4

State	Participants per 1,000 State Residents	Rank	Total Participants	Percent Change Participants 2013-14	Total Participant Months	Percent Change Participant Months 2013-14	Average Participant Months	Percent Change Average Participant Months 2013-14	Average Waiver Expenditures	Percent Change Average Waiver Expenditures 2013-14	Average Total Medicaid Expenditures for Waiver Participants	Percent Change Average Medicaid Expenditures 2013-14
Louisiana	1.22	39	5,664	-3.0%	59,189	-0.9%	10.4	2.1%	\$20,899	2.5%	\$28,028	1.6%
Nevada ³	1.07	40	3,033	4.9%	28,072	7.6%	9.3	2.5%	\$4,731	3.8%	\$14,855	-10.0%
Utah	0.80	41	2,349	13.1%	21,451	8.9%	9.1	-3.7%	\$17,506	3.4%	\$19,487	-6.2%
Texas	0.52	42	14,060	-2.9%	140,696	0.8%	10.0	3.8%	\$12,809	6.6%	\$18,730	11.1%
North Dakota ¹²	0.50	43	373	-1.8%	3,473	-4.0%	9.3	-2.2%	\$14,388	12.7%	\$35,843	7.3%
California	0.44	44	17,198	5.9%	164,250	5.0%	9.6	-0.9%	\$13,403	7.2%	\$32,314	2.0%
United States ¹³	2.74	n/a	874,118	7.1%	8,052,900	3.0%	9.2	-3.8%	\$12,977	-1.5%	\$21,672	3.2%

Notes:

- ¹ Oregon waiver expenditures for all waivers decreased in 2014 as the state implemented Community First Choice, which provides services previously funded by waivers.
- ² Wisconsin combined its Family Care section 1915(c) waivers in 2015: one waiver targeted people with developmental disabilities and the other targeted older adults and people with physical disabilities. The state submitted a single CMS 372 report for both waivers in 2013 and 2014. Estimates for each waiver were developed based on the percentage of Family Care participants, total days of service, and expenditures for each waiver in 2012.
- ³ One or more CMS 372s were not submitted for either 2013 or 2014. Data from the CMS 372 for 2012 are included in this table for both years.
- ⁴ The state submitted one or more CMS 372s for 2014 that were not accepted by CMS. Data from the CMS 372 for 2013 are included in this table.
- ⁵ Kansas transitioned all section 1915(c) services to managed care on January 1, 2013. Starting on that date, all capitated expenditures were reported as waiver expenditures and no non-waiver expenditures were reported.
- ⁶ Florida 2014 data indicate more participants, and lower months of service and average expenditures per participant, than previous years. The state terminated four waivers and transitioned participants to Waiver 0962 in March 2014. Some individuals were counted in two waivers during the year as a result.
- ⁷ One or more CMS 372s for 2014 were not submitted for this state. Data from the CMS 372 for 2013 are included in this table.
- ⁸ The 2014 CMS 372 report for Missouri Waiver 0346 was for a temporary extension and included only four months of data. Data for 2015 are used in this table because 2013 data also were for a partial year.
- ⁹ Maryland terminated Waiver 0353 and transitioned participants to Waiver 0265 in January 2014. As a result, this table includes only seven months of data for Waiver 0353. The waiver years overlapped, so some participants were counted in both waivers.
- ¹⁰ For most of New Jersey's waivers, the most recent CMS 372 accepted by CMS is from 2011. Data in these tables include 2011 data. New Jersey terminated all waivers for this population in 2014.
- ¹¹ For several New York waivers, data for 2013 and 2014 are not included because no CMS 372 has been accepted by CMS from 2011 through 2014.
- ¹² The CMS 372 report for North Dakota Waiver 0468 indicated 365 participants and 365 total days of service. This table assumes only one participant and 365 days of service, consistent with 2013 data.
- ¹³ New Mexico closed section 1915(c) waivers for older adults and people with physical disabilities in 2013 and now provides HCBS to this population within a section 1115 Demonstration. U.S. percent change data include 2013 New Mexico data.

State Totals: Data for Waivers Targeting People with Developmental Disabilities, 2014

Table 5

State	Participants per 1,000 State Residents	Rank	Total Participants	Percent Change Participants 2013-14	Total Participant Months	Percent Change Participant Months 2013-14	Average Participant Months	Percent Change Average Participant Months 2013-14	Average Waiver Expenditures	Percent Change Average Waiver Expenditures 2013-14	Average Total Medicaid Expenditures for Waiver Participants	Percent Change Average Medicaid Expenditures 2013-14
Wisconsin ^{1,2}	6.18	1	35,579	8.3%	384,284	10.7%	10.8	2.3%	\$25,843	-3.7%	\$35,843	-8.8%
North Dakota	5.91	2	4,372	4.1%	44,350	4.9%	10.1	0.7%	\$37,320	9.7%	\$42,215	7.0%
South Dakota	4.18	3	3,563	1.3%	40,535	2.2%	11.4	1.0%	\$30,051	2.5%	\$35,067	2.8%
Oregon ³	4.15	4	16,464	8.8%	177,693	4.4%	10.8	-4.1%	\$6,567	-83.7%	\$14,594	-70.7%
Iowa	4.09	5	12,721	3.7%	145,298	3.8%	11.4	0.0%	\$34,685	7.7%	\$47,309	8.1%
Idaho	4.06	6	6,637	43.4%	69,869	51.9%	10.5	5.9%	\$25,866	-13.0%	\$35,206	-9.3%
New York ⁴	3.72	7	73,260	2.1%	855,226	2.2%	11.7	0.1%	\$67,203	-6.3%	\$79,277	-4.8%
New Hampshire	3.68	8	4,892	5.1%	50,323	3.0%	0.0	-100.0%	\$42,466	-1.4%	\$48,050	-8.1%
Wyoming	3.63	9	2,119	-1.1%	23,677	-2.8%	11.2	-1.7%	\$44,255	-3.5%	\$52,333	-2.7%
Maine ²	3.25	10	4,327	2.0%	48,625	-0.9%	11.2	-2.8%	\$73,030	0.1%	\$82,059	-1.6%
Kentucky	3.22	11	14,196	7.2%	153,661	8.2%	10.8	0.9%	\$35,028	-4.5%	\$41,596	-3.4%
Kansas ⁵	3.15	12	9,123	2.2%	103,509	2.9%	11.3	0.7%	\$43,904	9.2%	\$43,904	4.5%
Minnesota	3.02	13	16,459	1.0%	191,573	1.4%	11.6	0.4%	\$65,749	1.8%	\$73,868	2.3%
Ohio	2.94	14	34,138	4.4%	388,310	5.3%	11.4	0.9%	\$39,896	0.3%	\$51,564	0.6%
Pennsylvania	2.76	15	35,316	-1.2%	378,585	2.8%	10.7	4.1%	\$59,092	8.8%	\$68,177	7.1%
Connecticut	2.75	16	9,887	5.3%	113,293	4.3%	11.5	-0.9%	\$75,849	-1.5%	\$84,345	-1.3%
California	2.68	17	103,814	7.7%	1,139,764	7.2%	11.0	-0.5%	\$24,948	1.1%	\$38,421	1.2%
Alaska ⁶	2.63	18	1,940	6.9%	22,196	12.2%	11.4	5.0%	\$76,124	-5.0%	\$87,107	-2.4%
Montana	2.62	19	2,681	-0.8%	26,531	-14.3%	9.9	-13.6%	\$36,696	7.8%	\$45,005	5.7%
Indiana	2.57	20	16,930	20.0%	175,677	12.0%	10.4	-6.6%	\$34,700	-8.1%	\$40,299	-9.2%
Nebraska ⁷	2.55	21	4,802	0.0%	54,698	0.0%	11.4	0.0%	\$49,481	0.0%	\$55,717	0.0%
Dist. of Columbia	2.49	22	1,642	3.1%	19,490	2.9%	11.9	-0.3%	\$102,203	7.9%	\$114,086	-3.7%
Maryland	2.47	23	14,760	2.5%	171,069	3.9%	11.6	1.3%	\$54,010	2.4%	\$62,880	5.4%
Louisiana ⁸	2.46	24	11,441	-2.1%	135,195	-1.9%	11.8	0.2%	\$38,947	3.2%	\$47,276	2.1%
West Virginia	2.45	25	4,526	0.8%	53,547	1.5%	11.8	0.7%	\$78,509	5.0%	\$85,396	4.5%
New Mexico	2.30	26	4,788	12.4%	51,633	6.9%	10.8	-4.9%	\$61,375	-8.7%	\$67,186	-7.0%
Missouri	2.12	27	12,834	3.3%	119,071	22.0%	9.3	18.0%	\$51,665	6.7%	\$61,592	3.4%
South Carolina	2.03	28	9,784	6.0%	103,872	2.0%	10.6	-3.8%	\$30,062	-3.4%	\$36,940	-3.4%
Massachusetts ²	1.94	29	13,079	4.9%	146,960	5.1%	11.2	0.1%	\$73,425	7.7%	\$97,539	7.4%
Hawaii	1.85	30	2,623	-1.4%	30,680	-2.6%	11.7	-1.2%	\$40,375	3.0%	\$45,938	3.5%
Washington	1.84	31	13,008	4.0%	140,184	0.5%	10.8	-3.4%	\$48,845	5.6%	\$52,756	4.6%
Colorado	1.76	32	9,399	11.2%	99,150	4.7%	10.5	-5.8%	\$38,257	-2.7%	\$50,776	0.1%
Utah	1.68	33	4,935	3.7%	56,452	5.5%	11.4	1.8%	\$36,106	2.8%	\$40,445	1.1%
Illinois ⁹	1.65	34	21,215	4.9%	235,324	7.2%	11.1	2.1%	\$32,812	5.3%	\$66,969	96.2%
Florida ¹⁰	1.52	35	30,195	-21.2%	326,586	24.3%	10.8	57.8%	\$24,392	40.0%	\$35,990	11.9%
Arkansas ^{2,11}	1.43	36	4,233	0.0%	48,975	0.0%	11.6	0.0%	\$42,403	0.0%	\$58,540	0.0%
Oklahoma	1.39	37	5,375	2.3%	61,491	1.5%	11.4	-0.8%	\$54,324	3.6%	\$65,228	0.6%
Virginia	1.34	38	11,104	7.5%	127,923	6.8%	11.5	-0.6%	\$61,900	0.5%	\$72,166	0.4%

State Totals: Data for Waivers Targeting People with Developmental Disabilities, 2014

Table 5

State	Participants per 1,000 State Residents	Rank	Total Participants	Percent Change Participants 2013-14	Total Participant Months	Percent Change Participant Months 2013-14	Average Participant Months	Percent Change Average Participant Months 2013-14	Average Waiver Expenditures	Percent Change Average Waiver Expenditures 2013-14	Average Total Medicaid Expenditures for Waiver Participants	Percent Change Average Medicaid Expenditures 2013-14
Tennessee	1.24	39	8,090	0.8%	93,615	1.0%	11.6	0.2%	\$82,272	3.7%	\$86,702	4.7%
Texas	1.23	40	33,157	5.7%	375,096	3.6%	11.3	-2.0%	\$35,347	-1.6%	\$43,067	-1.4%
North Carolina ¹²	1.21	41	11,989	11.7%	108,532	62.9%	9.1	45.8%	\$42,075	223.7%	\$46,345	185.5%
New Jersey ¹³	1.20	42	10,716	0.0%	123,355	0.0%	11.5	0.0%	\$56,457	0.0%	\$64,070	0.0%
Georgia ⁷	1.19	43	11,956	-2.2%	135,612	-1.5%	11.3	0.7%	\$37,263	1.6%	\$40,721	1.6%
Alabama	1.13	44	5,490	-0.9%	63,944	-1.1%	11.6	-0.3%	\$58,052	5.0%	\$62,766	4.9%
Delaware	1.05	45	984	3.6%	11,342	4.0%	11.5	0.4%	\$105,999	2.1%	\$110,275	2.4%
Michigan	0.87	46	8,583	-0.3%	98,303	0.1%	11.5	0.4%	\$52,914	5.6%	\$64,805	4.4%
Mississippi ⁸	0.74	47	2,211	21.6%	24,474	15.0%	11.1	-5.4%	\$31,643	35.8%	\$36,260	31.4%
Nevada	0.66	48	1,883	5.8%	21,083	5.5%	11.2	-0.3%	\$47,438	5.7%	\$57,194	3.4%
United States	2.14	n/a	683,220	3.4%	7,570,635	6.1%	11.1	2.6%	\$43,278	0.4%	\$53,928	1.6%

Notes:

- ¹ Wisconsin combined its Family Care section 1915(c) waivers in 2015: one waiver targeted people with developmental disabilities and the other targeted older adults and people with physical disabilities. The state submitted a single CMS 372 report for both waivers in 2013 and 2014. Estimates for each waiver were developed based on the percentage of Family Care participants, total days of service, and expenditures for each waiver in 2012.
- ² One or more CMS 372s for 2014 were not submitted for this state. Data from the CMS 372 for 2013 are included in this table.
- ³ Oregon waiver expenditures for all waivers decreased in 2014 as the state implemented Community First Choice, which provides services previously funded by waivers.
- ⁴ For several New York waivers, data for 2013 and 2014 are not included because no CMS 372 has been accepted by CMS from 2011 through 2014.
- ⁵ Kansas transitioned all section 1915(c) services to managed care on January 1, 2013. Starting on that date, all capitated expenditures were reported as waiver expenditures and no non-waiver expenditures were reported.
- ⁶ The 2014 CMS 372 for Alaska Waiver 0260 listed total days of service of 348. This table assumes 348 is the average days of service.
- ⁷ The state submitted one or more CMS 372s for 2014 that were not accepted by CMS. Data from the CMS 372 for 2013 are included in this table.
- ⁸ One or more CMS 372s for 2013 were not submitted for this state. Data from the CMS 372 for 2012 are included in this table.
- ⁹ The CMS 372 report for Illinois Waiver 0350 indicated significantly greater average non-waiver expenditures than in previous years, which increased the statewide total for non-waiver Medicaid expenditures.
- ¹⁰ Florida 2013 data indicate more participants, and lower months of service and lower average expenditures per participant, than 2014 and years before 2013. The state terminated four waivers and transitioned participants to Waiver 0867 in June 2013. Some individuals were counted in two waivers during the year as a result.
- ¹¹ The 2013 CMS 372 for Arkansas Waiver 0936 listed total days of service of 218. This table assumes 218 is the average days of service.
- ¹² North Carolina expanded Waiver 0423 and terminated Waivers 0662 and 0663 in calendar year 2012, during the time period for the 2013 report for Waiver 0423. Days of service and expenditures for Waiver 0423 are lower than in 2014 because some Waiver 0423 data includes only part of the year.
- ¹³ For New Jersey's waivers for this population, the most recent CMS 372 accepted by CMS is from 2011. Data in these tables include 2011 data.

State	Participants per 1,000 State Residents	Rank	Total Participants	Percent Change Participants 2013-14	Total Participant Months	Percent Change Participant Months 2013-14	Average Participant Months	Percent Change Average Participant Months 2013-14	Average Waiver Expenditures	Percent Change Average Waiver Expenditures 2013-14	Average Total Medicaid Expenditures for Waiver Participants	Percent Change Average Medicaid Expenditures 2013-14
Kansas ¹	1.56	1	4,517	-11.4%	38,870	4.2%	8.6	17.6%	\$13,017	24.9%	\$13,017	-28.2%
Colorado	0.64	2	3,449	5.0%	33,403	5.4%	9.7	0.4%	\$9,126	6.1%	\$16,899	7.7%
Iowa	0.31	3	961	-13.2%	10,109	-7.1%	10.5	7.0%	\$9,521	12.5%	\$23,059	-5.7%
Montana	0.22	4	222	5.7%	2,129	11.0%	9.6	5.0%	\$14,717	-14.6%	\$28,717	-8.0%
Wisconsin	0.19	5	1,094	-20.2%	10,136	-12.4%	9.3	9.8%	\$14,449	14.4%	\$21,138	17.0%
Louisiana	0.13	6	612	207.5%	2,868	143.7%	4.7	-20.8%	\$1,360	53.8%	\$13,854	12.6%
Wyoming	0.11	7	63	-14.9%	471	-23.8%	7.5	-10.5%	\$8,980	5.3%	\$19,060	11.7%
Connecticut	0.08	8	300	80.7%	2,502	73.0%	8.3	-4.3%	\$17,564	2.5%	\$36,038	6.1%
Michigan ²	0.05	9	515	17.3%	3,314	14.9%	6.4	-2.1%	\$6,375	-7.0%	\$12,649	-12.0%
Texas	0.01	10	294	76.0%	1,988	116.5%	6.8	23.0%	\$5,103	118.7%	\$18,023	83.2%
New York ³	0.00	11	0	0.0%	0	0.0%	0.0	0.0%	\$0	0.0%	\$0	0.0%
Massachusetts ⁴	0.00	12	0	0.0%	0	0.0%	0.0	0.0%	\$0	0.0%	\$0	0.0%
United States	0.04	n/a	12,027	-0.7%	105,791	5.4%	8.8	6.2%	\$10,805	10.3%	\$16,716	-7.8%

Notes:

¹ Kansas transitioned all section 1915(c) services to managed care on January 1, 2013. Starting on that date, all capitated expenditures were reported as waiver expenditures and no non-waiver expenditures were reported.

² One CMS 372 for 2013 was not submitted for this state. Data from the CMS 372 for 2012 are included in this table.

³ For New York waivers for this population, data for 2013 and 2014 are not included because no CMS 372 has been accepted by CMS from 2011 through 2014.

⁴ CMS 372 reports for the Massachusetts waivers for this population indicated no participants were served in 2014. The waivers began in 2013 and a CMS 372 report was not required for that year.

State Totals: Data for Waivers Targeting Adults with HIV/AIDS, 2014

Table 7

State	Participants per 1,000 State Residents	Rank	Total Participants	Percent Change Participants 2013-14	Total Participant Months	Percent Change Participant Months 2013-14	Average Participant Months	Percent Change Average Participant Months 2013-14	Average Waiver Expenditures	Percent Change Average Waiver Expenditures 2013-14	Average Total Medicaid Expenditures for Waiver Participants	Percent Change Average Medicaid Expenditures 2013-14
Florida	0.38	1	7,559	-5.8%	73,892	-4.4%	9.8	1.5%	\$1,420	0.1%	\$18,084	15.8%
South Carolina	0.20	2	943	-4.4%	10,143	-5.7%	10.8	-1.4%	\$4,492	0.6%	\$17,844	3.9%
Illinois	0.10	3	1,308	-6.6%	11,369	-13.6%	8.7	-7.6%	\$10,650	-3.7%	\$20,126	-13.3%
California	0.05	4	1,812	-7.1%	17,955	-8.6%	9.9	-1.7%	\$4,643	0.9%	\$25,956	-3.7%
New Jersey ¹	0.03	5	249	0.0%	2,756	0.0%	11.1	0.0%	\$2,332	0.0%	\$19,852	0.0%
Pennsylvania	0.02	6	257	-13.5%	2,696	-11.9%	10.5	1.8%	\$10,747	9.3%	\$13,275	6.0%
Alabama	0.02	7	92	-8.0%	957	-4.8%	10.4	3.5%	\$5,525	-7.0%	\$17,750	-2.1%
Iowa ²	0.01	8	36	0.0%	383	0.0%	10.6	0.0%	\$9,303	0.0%	\$20,228	0.0%
Colorado ³	0.01	9	54	0.0%	514	0.0%	9.5	0.0%	\$7,778	0.0%	\$15,348	0.0%
Missouri	0.01	10	57	-21.9%	454	-34.1%	8.0	-15.6%	\$30,044	13.9%	\$49,491	4.4%
United States ⁴	0.04	n/a	12,367	-6.1%	121,118	-6.3%	9.8	-0.2%	\$3,528	-1.8%	\$19,507	5.7%

Notes:

¹ For New Jersey's waiver for this population, the most recent CMS 372 accepted by CMS is from 2011. Data in these tables include 2011 data. New Jersey terminated all waivers for this population in 2014.

² The state submitted a CMS 372 for 2014 that was not accepted by CMS. Data from the CMS 372 for 2013 are included in this table.

³ One CMS 372 for 2014 was not submitted for this state. Data from the CMS 372 for 2013 are included in this table.

⁴ New Mexico closed its section 1915(c) waiver for this population in 2013 and now provides HCBS to this population within a section 1115 demonstration. U.S. percent change data include 2013 New Mexico data.

State Totals: Data for Waivers Targeting Medically Fragile Children, 2014

Table 8

State	Participants per 1,000 State Residents	Rank	Total Participants	Percent Change Participants 2013-14	Total Participant Months	Percent Change Participant Months 2013-14	Average Participant Months	Percent Change Average Participant Months 2013-14	Average Waiver Expenditures	Percent Change Average Waiver Expenditures 2013-14	Average Total Medicaid Expenditures for Waiver Participants	Percent Change Average Medicaid Expenditures 2013-14
Alaska	0.39	1	287	1.4%	3,243	12.3%	11.3	10.7%	\$40,426	0.0%	\$86,473	14.1%
Texas	0.24	2	6,346	1.0%	68,235	-1.8%	10.8	-2.8%	\$14,812	-4.2%	\$83,776	4.5%
North Carolina	0.20	3	1,961	16.5%	19,455	14.1%	9.9	-2.1%	\$40,601	9.4%	\$68,964	15.9%
Kansas ¹	0.19	4	542	17.1%	5,501	14.7%	10.2	-2.0%	\$77,120	-0.2%	\$77,120	-17.3%
South Carolina	0.14	5	673	10.7%	7,121	46.1%	10.6	32.0%	\$1,663	24.0%	\$71,463	17.6%
New Mexico	0.07	6	148	0.0%	1,428	-12.1%	9.7	-12.1%	\$10,790	-4.7%	\$23,595	0.1%
Illinois	0.05	7	666	1.8%	6,755	3.1%	10.1	1.2%	\$3,093	-13.4%	\$136,180	-1.3%
Utah	0.05	8	143	15.3%	1,412	9.8%	9.9	-4.8%	\$21,677	1.0%	\$56,156	-39.2%
Maryland	0.03	9	203	-7.3%	2,286	-3.7%	11.3	3.9%	\$11,358	-2.9%	\$142,408	16.9%
Colorado	0.03	10	168	3.7%	1,102	-16.1%	6.6	-19.1%	\$1,329	12.5%	\$52,460	-2.7%
Georgia	0.03	11	314	-24.3%	2,396	-34.8%	7.6	-13.8%	\$24,737	-26.5%	\$55,739	-24.5%
Oregon ²	0.02	12	97	0.0%	963	-1.5%	9.9	-1.5%	\$799	-85.6%	\$76,594	-31.2%
Oklahoma	0.01	13	57	26.7%	78	-13.0%	1.4	-31.3%	\$58,401	15.1%	\$71,521	0.9%
North Dakota ³	0.01	14	10	11.1%	117	47.1%	11.7	32.4%	\$8,980	14.3%	\$30,754	87.2%
California	0.003	15	119	22.7%	1,099	32.8%	9.2	8.3%	\$5,123	-0.7%	\$98,708	17.0%
New York ⁴	0.00	16	0	0.0%	0	0.0%	0.0	0.0%	\$0	0.0%	\$0	0.0%
United States	0.04	n/a	11,734	3.9%	121,191	2.8%	10.3	-1.1%	\$21,245	2.3%	\$82,035	3.0%

Notes:

¹ Kansas transitioned all section 1915(c) services to managed care on January 1, 2013. Starting on that date, all capitated expenditures were reported as waiver expenditures and no non-waiver expenditures were reported.

² Oregon waiver expenditures for all waivers decreased in 2014 as the state implemented Community First Choice, which provides services previously funded by waivers.

³ The CMS 372 report for North Dakota Waiver 0834 indicated no participants were served in 2014.

⁴ For New York's waiver for this population, data for 2013 and 2014 are not included because no CMS 372 has been accepted by CMS from 2011 through 2014.

State Totals: Data for Waivers Targeting People with Brain Injuries, 2014

Table 9

State	Participants per 1,000 State Residents	Rank	Total Participants	Percent Change Participants 2013-14	Total Participant Months	Percent Change Participant Months 2013-14	Average Participant Months	Percent Change Average Participant Months 2013-14	Average Waiver Expenditures	Percent Change Average Waiver Expenditures 2013-14	Average Total Medicaid Expenditures for Waiver Participants	Percent Change Average Medicaid Expenditures 2013-14
Iowa	0.42	1	1,296	-4.1%	14,977	-2.8%	11.6	1.4%	\$24,421	7.6%	\$43,692	10.1%
Wyoming	0.31	2	179	-2.7%	2,023	-4.8%	11.3	-2.1%	\$31,746	-31.9%	\$38,700	-27.4%
Illinois	0.30	3	3,802	-12.8%	35,722	-14.4%	9.4	-1.8%	\$17,462	4.7%	\$38,720	81.4%
Minnesota	0.27	4	1,497	1.6%	16,331	0.6%	10.9	-0.9%	\$65,193	-1.7%	\$76,865	-1.8%
Kansas ¹	0.26	5	750	10.3%	7,644	27.0%	10.2	15.1%	\$39,784	19.1%	\$39,784	8.8%
New Hampshire	0.19	6	246	6.0%	2,632	4.7%	10.7	-1.3%	\$85,278	-3.0%	\$85,517	-12.0%
Connecticut	0.13	7	452	5.6%	5,341	5.2%	11.8	-0.4%	\$97,211	3.6%	\$107,572	5.2%
Kentucky ²	0.10	8	420	0.5%	4,692	2.7%	11.2	2.3%	\$88,619	1.3%	\$94,405	1.5%
Colorado	0.06	9	314	10.6%	2,971	6.7%	9.5	-3.5%	\$45,655	0.6%	\$55,154	4.0%
Pennsylvania	0.05	10	647	6.8%	7,272	2.8%	11.2	-3.7%	\$74,644	-2.2%	\$79,680	-2.5%
Massachusetts	0.04	11	261	35.9%	2,458	28.5%	9.4	-5.4%	\$71,478	-0.5%	\$94,820	-2.6%
Utah	0.04	12	113	6.6%	1,234	4.0%	10.9	-2.4%	\$32,164	2.4%	\$35,573	-0.4%
New Jersey ³	0.04	13	342	0.0%	3,911	0.0%	11.4	0.0%	\$75,871	0.0%	\$85,038	0.0%
Indiana	0.03	14	192	-1.0%	2,083	-5.5%	10.8	-4.5%	\$26,787	0.7%	\$55,167	2.4%
West Virginia	0.02	15	30	328.6%	224	721.7%	7.5	91.7%	\$16,869	102.7%	\$28,580	73.9%
Wisconsin ⁴	0.01	16	86	0.0%	1,010	0.0%	11.7	0.0%	\$67,967	0.0%	\$90,390	0.0%
Nebraska ⁵	0.01	17	21	5.0%	245	2.1%	11.7	-2.8%	\$32,843	0.3%	\$35,684	3.0%
Maryland	0.01	18	63	6.8%	681	7.5%	10.8	0.7%	\$101,826	4.6%	\$109,065	4.2%
New York ⁶	0.00	19	0	0.0%	0	0.0%	0.0	0.0%	\$0	0.0%	\$0	0.0%
United States	0.03	n/a	10,711	-2.8%	111,452	-2.8%	10.4	0.0%	\$43,204	6.0%	\$57,749	17.2%

Notes:

- ¹ Kansas transitioned all section 1915(c) services to managed care on January 1, 2013. Starting on that date, all capitated expenditures were reported as waiver expenditures and no non-waiver expenditures were reported.
- ² The state submitted a CMS 372 for 2014 that was not accepted by CMS. Data from the CMS 372 for 2013 are included in this table.
- ³ For New Jersey's waiver for this population, the most recent CMS 372 accepted by CMS is from 2011. Data in these tables include 2011 data. New Jersey terminated all waivers for this population in 2014.
- ⁴ One or more CMS 372s were not submitted for either 2013 or 2014. Data from the CMS 372 for 2012 are included in this table for both years.
- ⁵ The state's 2013 CMS 372 for the waiver for this population was submitted but not accepted by CMS. Data from the CMS 372 for 2012 are included in this table.
- ⁶ For New York's waiver for this population, data for 2013 and 2014 are not included because no CMS 372 has been accepted by CMS from 2011 through 2014.

Comparison of 2014 Expenditures Data: CMS 372 and Waiver Expenditures Report Based on CMS-64 Data

Table 10

State	Waiver Number	Target Population	CMS 372 Report Period Begin Date	CMS 372 Report Period End Date	CMS 372 Total Waiver Expenditures	Waiver Expenditures Report for Fiscal Year 2014	CMS 372 Percentage Difference from Waiver Expenditures Report
Alabama	0001	Intellectual Disability	10/1/2013	9/30/2014	\$313,168,523	\$317,547,606	-1%
Alabama	0068	Aging/Physical Disabilities	10/1/2013	9/30/2014	\$59,711,061	\$64,308,015	-7%
Alabama	0241	Physical Disabilities	4/1/2013	3/31/2014	\$5,043,237	\$4,960,107	2%
Alabama	0391	ID-Child	10/1/2013	9/30/2014	\$5,539,554	\$5,496,296	1%
Alabama	0407	Physical Disabilities	2/22/2013	2/21/2014	\$1,576,282	\$1,923,541	-18%
Alabama	0878	Aging/Physical Disabilities	4/1/2013	3/31/2014	\$549,961	\$771,546	-29%
Alabama	40382	HIV/AIDS	10/1/2013	9/30/2014	\$508,294	\$512,804	-1%
Alabama	Total	All	n/a	n/a	\$386,096,912	\$395,519,915	-2%
Alaska	0260	DD-incl. ID & ASD	7/1/2013	6/30/2014	\$147,681,413	\$138,732,700	6%
Alaska	0261	Aging	7/1/2013	6/30/2014	\$77,949,211	\$72,475,368	8%
Alaska	0262	Physical Disabilities	7/1/2013	6/3/2014	\$8,617,373	\$9,776,493	-12%
Alaska	0263	Medically Fragile	7/1/2013	6/30/2014	\$11,602,293	\$9,742,556	19%
Alaska	Total	All	n/a	n/a	\$245,850,290	\$230,727,117	7%
Arkansas ¹	0188	DD-incl. ID & ASD	7/1/2012	6/30/2013	\$178,617,018	\$184,697,983	-3%
Arkansas ¹	0195	Aging	7/1/2012	6/30/2013	\$59,176,879	\$52,809,580	12%
Arkansas ¹	0312	Physical Disabilities	7/1/2012	6/30/2013	\$43,598,712	\$44,876,614	-3%
Arkansas ¹	0400	Aging/Physical Disabilities	12/1/2011	11/30/2012	\$13,584,396	\$16,759,028	-19%
Arkansas ¹	0936	ASD-Child	10/1/2012	9/30/2013	\$874,401	\$2,522,585	-65%
Arkansas ¹	Total	All	n/a	n/a	\$295,851,406	\$301,665,790	-2%
California	0139	Physical Disabilities	1/1/2014	12/31/2014	\$130,441,644	\$85,240,615	53%
California	0141	Aging	7/1/2013	6/30/2014	\$39,046,818	\$39,353,446	-1%
California	0183	HIV/AIDS	1/1/2014	12/31/2014	\$8,413,253	\$19,199,840	-56%
California	0336	DD-incl. ID & ASD	3/29/2013	3/28/2014	\$2,590,002,145	\$2,733,411,406	-5%
California	0431	Aging/Physical Disabilities	3/1/2013	2/28/2014	\$49,753,788	\$46,229,015	8%
California	0457	Physical Disabilities	1/1/2014	12/31/2014	\$10,774,768	\$42,330,522	-75%
California	0486	Medically Fragile	12/27/2013	12/26/2014	\$609,609	\$758,791	-20%
California	0855	Aging/Physical Disabilities	7/1/2013	6/30/2014	\$488,445	\$511,649	-5%
California	Total	All	n/a	n/a	\$2,829,530,470	\$2,967,035,284	-5%
Colorado	0006	Aging/Physical Disabilities	7/1/2013	6/30/2014	\$269,179,118	\$278,000,702	-3%
Colorado	0007	DD-incl. ID & ASD	7/1/2013	6/30/2014	\$307,164,487	\$308,632,554	0%
Colorado ¹	0211	HIV/AIDS	1/1/2013	12/31/2013	\$420,026	\$120,561	248%
Colorado	0268	Serious Mental Illness	7/1/2013	6/30/2014	\$31,477,254	\$32,164,647	-2%
Colorado	0288	Brain Injuries	7/1/2013	6/30/2014	\$14,335,775	\$14,422,854	-1%
Colorado	0293	DD-incl. ID & ASD	7/1/2013	6/30/2014	\$38,987,011	\$39,023,669	0%
Colorado	0305	DD-Child (incl. ID & ASD)	7/1/2013	6/30/2014	\$3,037,994	\$3,052,286	0%
Colorado	0434	ASD-Child	1/1/2014	12/31/2014	\$669,622	\$791,704	-15%
Colorado	0450	Medically Fragile	7/1/2013	6/30/2014	\$223,309	\$230,780	-3%

Comparison of 2014 Expenditures Data: CMS 372 and Waiver Expenditures Report Based on CMS-64 Data

Table 10

State	Waiver Number	Target Population	CMS 372 Report Period Begin Date	CMS 372 Report Period End Date	CMS 372 Total Waiver Expenditures	Waiver Expenditures Report for Fiscal Year 2014	CMS 372 Percentage Difference from Waiver Expenditures Report
Colorado	0961	Aging/Physical Disabilities	7/1/2013	6/30/2014	\$1,706,674	\$1,799,915	-5%
Colorado	4157	PD-Child	7/1/2013	6/30/2014	\$8,205,004	\$8,618,975	-5%
Colorado	4180	DD-Child (incl. ID & ASD)	7/1/2013	6/30/2014	\$9,719,210	\$10,720,838	-9%
Colorado	Total	All	n/a	n/a	\$685,125,484	\$697,579,485	-2%
Connecticut	0140	Aging	7/1/2013	6/30/2014	\$213,769,241	\$231,497,524	-8%
Connecticut	0301	Physical Disabilities	10/1/2013	9/30/2014	\$28,466,790	\$28,180,512	1%
Connecticut	0302	Brain Injuries	1/1/2014	12/31/2014	\$43,939,213	\$44,628,392	-2%
Connecticut	0426	DD-incl. ID & ASD	2/1/2013	1/31/2014	\$115,629,193	\$124,321,358	-7%
Connecticut	0437	DD-incl. ID & ASD	10/1/2013	9/30/2014	\$623,834,909	\$664,501,638	-6%
Connecticut	0653	Serious Mental Illness	4/1/2013	3/31/2014	\$5,269,215	\$6,495,143	-19%
Connecticut	0881	DD-incl. ID & ASD	4/1/2013	3/31/2014	\$9,167,478	\$10,220,798	-10%
Connecticut	0993	Autism Spectrum Disorder	1/1/2014	12/31/2014	\$1,247,773	\$965,899	29%
Connecticut	40110	DD-Child (incl. ID & ASD)	1/1/2014	12/31/2014	\$43,920	\$33,988	29%
Connecticut	Total	All	n/a	n/a	\$1,041,367,732	\$1,110,845,252	-6%
Delaware	0009	DD-incl. ID & ASD	7/1/2013	6/30/2014	\$104,303,024	\$105,640,569	-1%
Delaware ²	0136	Aging/Physical Disabilities	not required	not required	\$0	\$79,797	-100%
Delaware	Total	All	n/a	n/a	\$104,303,024	\$105,720,366	-1%
Dist. of Columbia	0307	DD-incl. ID & ASD	11/20/2013	11/19/2014	\$167,817,691	\$159,836,035	5%
Dist. of Columbia	0334	Aging/Physical Disabilities	1/4/2013	1/3/2014	\$29,861,828	\$25,096,128	19%
Dist. of Columbia	Total	All	n/a	n/a	\$197,679,518	\$184,932,163	7%
Florida ³	0010	Aging/Physical Disabilities	7/1/2013	3/31/2014	\$61,942,419	\$23,255,531	166%
Florida ³	0116	Aging	7/1/2013	3/31/2014	\$6,909,154	\$3,539,101	95%
Florida	0194	HIV/AIDS	1/1/2014	12/31/2014	\$10,731,791	\$38,937,741	-72%
Florida ³	0280	Aging/Physical Disabilities	1/1/2014	3/1/2014	\$2,189,420	\$6,581,069	-67%
Florida ²	0294	DD-incl. ID & ASD	not required	not required	\$0	\$71,586	-100%
Florida ³	0315	Aging	7/1/2013	3/31/2014	\$74,404,991	\$0	n/a
Florida	0342	Physical Disabilities	7/1/2013	6/30/2014	\$13,251,796	\$11,629,372	14%
Florida	0392	DD-other specific diagnoses	7/1/2013	6/30/2014	\$785,617	\$5,239,715	-85%
Florida ³	0867	DD-incl. ID & ASD	3/15/2013	3/14/2014	\$735,681,732	\$806,418,720	-9%
Florida	0962	Aging/Physical Disabilities	7/1/2013	6/30/2014	\$264,494,421	\$446,115,130	-41%
Florida	40166	Physical Disabilities	7/1/2013	6/30/2014	\$17,034	\$10,770	58%
Florida	40205	DD-other specific diagnoses	1/1/2014	12/31/2014	\$59,237	\$66,547	-11%
Florida	Total	All	n/a	n/a	\$1,170,467,612	\$1,341,865,282	-13%
Georgia	0112	Aging/Physical Disabilities	10/1/2013	9/30/2014	\$403,584,810	\$369,965,561	9%
Georgia	0175	DD-incl. ID & ASD	10/1/2013	9/30/2014	\$67,533,018	\$67,243,969	0%
Georgia ¹	0323	DD-incl. ID & ASD	1/1/2013	12/31/2013	\$377,985,228	\$398,598,843	-5%
Georgia	4116	Medically Fragile	4/1/2013	3/31/2014	\$7,767,535	\$4,975,222	56%

State	Waiver Number	Target Population	CMS 372 Report Period Begin Date	CMS 372 Report Period End Date	CMS 372 Total Waiver Expenditures	Waiver Expenditures Report for Fiscal Year 2014	CMS 372 Percentage Difference from Waiver Expenditures Report
Georgia	4170	Physical Disabilities	4/1/2013	3/31/2014	\$46,015,522	\$44,429,832	4%
Georgia	Total	All	n/a	n/a	\$902,886,114	\$885,213,427	2%
Hawaii	0013	DD-incl. ID & ASD	7/1/2013	6/30/2014	\$105,903,418	\$106,137,406	0%
Hawaii	Total	All	n/a	n/a	\$105,903,418	\$106,137,406	0%
Idaho	0076	DD-incl. ID & ASD	10/1/2013	9/30/2014	\$145,834,094	\$95,805,719	52%
Idaho	0859	DD-Child (incl. ID & ASD)	7/1/2013	6/30/2014	\$17,196,081	\$16,830,779	2%
Idaho	0887	DD-Child (incl. ID & ASD)	7/1/2013	6/30/2014	\$8,644,790	\$8,230,243	5%
Idaho	1076	Aging/Physical Disabilities	10/1/2013	9/30/2014	\$78,186,301	\$108,742,856	-28%
Idaho	Total	All	n/a	n/a	\$249,861,267	\$229,609,597	9%
Illinois	0142	Physical Disabilities	10/1/2013	9/30/2014	\$258,954,174	\$263,795,221	-2%
Illinois	0143	Aging/Physical Disabilities	10/1/2013	9/30/2014	\$468,701,151	\$444,151,106	6%
Illinois	0202	HIV/AIDS	10/1/2013	9/30/2014	\$13,930,503	\$14,148,619	-2%
Illinois	0278	Medically Fragile	9/1/2013	8/31/2014	\$2,059,773	\$2,158,493	-5%
Illinois	0326	Aging/Physical Disabilities	7/1/2013	6/30/2014	\$142,516,651	\$140,115,286	2%
Illinois	0329	Brain Injuries	7/1/2013	6/30/2014	\$66,389,418	\$64,715,409	3%
Illinois	0350	DD-incl. ID & ASD	7/1/2013	6/30/2014	\$654,584,102	\$672,596,315	-3%
Illinois	0464	DD-Child (incl. ID & ASD)	7/1/2013	6/30/2014	\$18,024,620	\$18,750,030	-4%
Illinois	0473	DD-Child (incl. ID & ASD)	7/1/2013	6/30/2014	\$23,492,188	\$22,050,907	7%
Illinois	Total	All	n/a	n/a	\$1,648,652,581	\$1,642,481,386	0%
Indiana	0210	Aging/Physical Disabilities	7/1/2013	6/30/2014	\$160,763,036	\$167,729,458	-4%
Indiana	0378	DD-incl. ID & ASD	10/1/2013	9/30/2014	\$525,907,108	\$523,650,804	0%
Indiana	0387	DD-incl. ID & ASD	4/1/2013	3/31/2014	\$61,565,773	\$70,192,200	-12%
Indiana	4197	Brain Injuries	1/1/2014	12/31/2014	\$5,143,178	\$5,212,944	-1%
Indiana	Total	All	n/a	n/a	\$753,379,095	\$766,785,406	-2%
Iowa ¹	0213	HIV/AIDS	7/1/2012	6/30/2013	\$334,904	\$332,022	1%
Iowa	0242	Intellectual Disability	7/1/2013	6/30/2014	\$441,226,003	\$450,264,326	-2%
Iowa	0299	Brain Injuries	10/1/2013	9/30/2014	\$31,649,135	\$31,683,339	0%
Iowa	0345	Physical Disabilities	8/1/2013	7/31/2014	\$4,365,862	\$4,404,395	-1%
Iowa	0819	SED	7/1/2013	6/30/2014	\$9,149,620	\$9,066,178	1%
Iowa ¹	4111	Physical Disabilities	11/1/2012	10/31/2013	\$20,912,200	\$20,423,286	2%
Iowa ¹	4155	Aging	8/1/2012	7/31/2013	\$73,719,366	\$83,367,006	-12%
Iowa	Total	All	n/a	n/a	\$581,357,090	\$599,540,552	-3%
Kansas ⁴	0224	DD-incl. ID & ASD	7/1/2013	6/30/2014	\$399,432,697	\$287,113,103	39%
Kansas ⁴	0303	Aging	1/1/2014	12/31/2014	\$195,462,702	\$37,572	520135%
Kansas ⁴	0304	Physical Disabilities	1/1/2014	12/31/2014	\$229,537,357	\$155,128,147	48%
Kansas ⁴	0320	SED	10/1/2013	9/30/2014	\$58,799,898	\$64,427	91166%
Kansas ⁴	0476	ASD-Child	1/1/2014	12/31/2014	\$1,107,993	\$619	178897%

Comparison of 2014 Expenditures Data: CMS 372 and Waiver Expenditures Report Based on CMS-64 Data

Table 10

State	Waiver Number	Target Population	CMS 372 Report Period Begin Date	CMS 372 Report Period End Date	CMS 372 Total Waiver Expenditures	Waiver Expenditures Report for Fiscal Year 2014	CMS 372 Percentage Difference from Waiver Expenditures Report
Kansas ⁴	4164	Brain Injuries	7/1/2013	6/30/2014	\$29,837,765	\$59,626	49942%
Kansas ⁴	4165	Medically Fragile	8/1/2013	7/31/2014	\$41,799,166	\$76,011,014	-45%
Kansas ⁴	Total	All	n/a	n/a	\$955,977,577	\$518,414,508	84%
Kentucky	0144	Aging/Physical Disabilities	7/1/2013	6/30/2014	\$87,241,015	\$93,392,796	-7%
Kentucky	0314	DD-incl. ID & ASD	9/1/2013	8/31/2014	\$232,333,505	\$295,142,226	-21%
Kentucky ¹	0333	Brain Injuries	1/1/2013	12/31/2013	\$21,416,291	\$23,806,566	-10%
Kentucky	0475	DD-incl. ID & ASD	9/1/2013	8/31/2014	\$264,917,533	\$265,931,833	0%
Kentucky	0477	Brain Injuries	7/1/2013	6/30/2014	\$15,803,863	\$16,000,172	-1%
Kentucky ¹	40146	Physical Disabilities	10/1/2012	9/30/2013	\$5,153,692	\$4,649,244	11%
Kentucky	Total	All	n/a	n/a	\$626,865,897	\$698,922,837	-10%
Louisiana	0121	Aging/Physical Disabilities	7/1/2013	6/30/2014	\$9,334,728	\$9,400,520	-1%
Louisiana	0361	DD-Child (incl. ID & ASD)	2/21/2013	2/20/2014	\$12,645,777	\$12,296,872	3%
Louisiana	0401	DD-incl. ID & ASD	7/1/2013	6/30/2014	\$420,004,711	\$427,083,691	-2%
Louisiana	0453	DD-incl. ID & ASD	7/1/2013	6/30/2014	\$12,132,411	\$12,098,612	0%
Louisiana	0472	DD-incl. ID & ASD	7/1/2013	6/30/2014	\$807,401	\$850,798	-5%
Louisiana	0866	Aging/Physical Disabilities	7/1/2013	6/30/2014	\$109,035,399	\$101,028,791	8%
Louisiana	0889	SED	3/1/2013	2/28/2014	\$832,539	\$0	n/a
Louisiana	Total	All	n/a	n/a	\$564,792,966	\$562,759,284	0%
Maine	0127	Physical Disabilities	7/1/2013	6/30/2014	\$5,159,418	\$9,385,853	-45%
Maine	0159	ID & ASD	7/1/2013	6/30/2014	\$291,699,930	\$325,851,026	-10%
Maine	0276	Aging/Physical Disabilities	7/1/2013	6/30/2014	\$30,152,119	\$20,212,097	49%
Maine ¹	0467	ID & ASD	1/1/2013	12/31/2013	\$24,132,609	(\$1,214)	-1987959%
Maine	0995	DD - non-ID	7/1/2013	6/30/2014	\$167,711	\$159,255	5%
Maine	Total	All	n/a	n/a	\$351,311,786	\$355,607,017	-1%
Maryland	0023	DD-incl. ID & ASD	7/1/2013	6/30/2014	\$765,926,370	\$767,047,616	0%
Maryland ⁵	0265	Aging/Physical Disabilities	7/1/2013	6/30/2014	\$83,089,767	\$67,536,258	23%
Maryland	0339	ASD-Child	7/1/2013	6/30/2014	\$31,255,856	\$31,655,402	-1%
Maryland ⁵	0353	Physical Disabilities	7/1/2013	1/5/2014	\$23,283,539	\$14,068,141	66%
Maryland	0645	Aging/Physical Disabilities	7/1/2013	6/30/2014	\$71,155,998	\$72,103,786	-1%
Maryland	40118	Medically Fragile	7/1/2013	6/30/2014	\$2,305,686	\$1,201,500	92%
Maryland	40198	Brain Injuries	7/1/2013	6/30/2014	\$6,415,051	\$6,748,980	-5%
Maryland	Total	All	n/a	n/a	\$983,432,267	\$960,361,683	2%
Massachusetts	0059	Aging/Physical Disabilities	1/1/2014	12/31/2014	\$142,151,265	\$128,678,437	10%
Massachusetts ²	0064	Intellectual Disability	not required	not required	\$0	\$203,756	-100%
Massachusetts	0359	Brain Injuries	7/1/2013	6/30/2014	\$6,832,172	\$6,240,548	9%
Massachusetts	0826	Intellectual Disability	7/1/2013	6/30/2014	\$40,942,882	\$40,829,820	0%
Massachusetts	0827	Intellectual Disability	7/1/2013	6/30/2014	\$876,039,475	\$804,318,927	9%

Comparison of 2014 Expenditures Data: CMS 372 and Waiver Expenditures Report Based on CMS-64 Data

Table 10

State	Waiver Number	Target Population	CMS 372 Report Period Begin Date	CMS 372 Report Period End Date	CMS 372 Total Waiver Expenditures	Waiver Expenditures Report for Fiscal Year 2014	CMS 372 Percentage Difference from Waiver Expenditures Report
Massachusetts	0828	Intellectual Disability	7/1/2013	6/30/2014	\$41,043,153	\$36,387,149	13%
Massachusetts ⁶	1027	Serious Mental Illness	4/1/2013	3/31/2014	\$0	\$567,067	-100%
Massachusetts ⁶	1028	Serious Mental Illness	4/1/2013	3/31/2014	\$0	\$42,726	-100%
Massachusetts ¹	40207	ASD-Child	10/1/2012	9/30/2013	\$2,298,042	\$1,535,058	50%
Massachusetts	40701	Brain Injuries	5/1/2013	4/30/2014	\$8,955,812	\$9,661,950	-7%
Massachusetts	40702	Brain Injuries	5/1/2013	4/30/2014	\$2,867,695	\$3,132,815	-8%
Massachusetts	Total	All	n/a	n/a	\$1,121,130,495	\$1,031,598,253	9%
Michigan	0167	DD-incl. ID & ASD	10/1/2013	9/30/2014	\$442,626,984	\$439,666,508	1%
Michigan ⁷	0233	Aging/Physical Disabilities	10/1/2013	9/30/2014	\$274,221,684	\$135,877,987	102%
Michigan	0438	SED	10/1/2013	9/30/2014	\$3,282,940	\$5,803,963	-43%
Michigan	4119	DD-Child (incl. ID & ASD)	10/1/2013	9/30/2014	\$11,534,304	\$15,226,479	-24%
Michigan ⁷	Total	All	n/a	n/a	\$731,665,912	\$596,574,937	23%
Minnesota	0025	Aging	7/1/2013	6/30/2014	\$334,498,038	\$265,682,303	26%
Minnesota	0061	DD-incl. ID & ASD	7/1/2013	6/30/2014	\$1,082,168,890	\$1,073,057,567	1%
Minnesota	0166	Physical Disabilities	10/1/2013	9/30/2014	\$598,480,236	\$560,923,659	7%
Minnesota	4128	Physical Disabilities	4/1/2013	3/31/2014	\$26,001,572	\$26,296,378	-1%
Minnesota	4169	Brain Injuries	4/1/2013	3/31/2014	\$97,593,787	\$95,487,367	2%
Minnesota	Total	All	n/a	n/a	\$2,138,742,523	\$2,021,447,274	6%
Mississippi	0255	Physical Disabilities	7/1/2013	6/30/2014	\$46,997,195	\$46,937,055	0%
Mississippi	0272	Aging/Physical Disabilities	7/1/2013	6/30/2014	\$158,604,458	\$163,247,394	-3%
Mississippi	0282	DD-incl. ID & ASD	7/1/2013	6/30/2014	\$69,963,569	\$68,403,899	2%
Mississippi	0355	Aging/Physical Disabilities	10/1/2013	9/30/2014	\$10,376,066	\$10,315,439	1%
Mississippi	0366	Physical Disabilities	7/1/2013	6/30/2014	\$20,446,641	\$20,487,335	0%
Mississippi	Total	All	n/a	n/a	\$306,387,929	\$309,391,122	-1%
Missouri	0026	Aging/Physical Disabilities	7/1/2013	6/30/2014	\$95,538,881	\$90,110,650	6%
Missouri	0178	DD-incl. ID & ASD	7/1/2013	6/30/2014	\$621,552,421	\$621,278,703	0%
Missouri	0197	HIV/AIDS	11/1/2013	10/31/2014	\$1,712,485	\$1,724,390	-1%
Missouri ⁸	0346	Aging/Physical Disabilities	4/26/2014	4/25/2015	\$1,628,840	\$1,668,056	-2%
Missouri	0404	DD-incl. ID & ASD	7/1/2013	6/30/2014	\$23,113,796	\$18,137,466	27%
Missouri	0698	ASD-Child	7/1/2013	6/30/2014	\$1,449,290	\$959,980	51%
Missouri	0841	DD-incl. ID & ASD	10/1/2013	9/30/2014	\$13,263,202	\$8,529,589	55%
Missouri	1021	Physical Disabilities	1/1/2014	12/31/2014	\$14,408,156	\$13,785,265	5%
Missouri	40185	DD-Child (incl. ID & ASD)	10/1/2013	9/30/2014	\$3,689,752	\$3,073,423	20%
Missouri	40190	Physical Disabilities	7/1/2013	6/30/2014	\$14,493,314	\$14,440,396	0%
Missouri	Total	All	n/a	n/a	\$790,850,138	\$773,707,918	2%
Montana	0148	Aging/Physical Disabilities	7/1/2013	6/30/2014	\$36,406,937	\$30,408,872	20%
Montana	0208	DD-incl. ID & ASD	7/1/2013	6/30/2014	\$96,760,303	\$97,790,481	-1%

Comparison of 2014 Expenditures Data: CMS 372 and Waiver Expenditures Report Based on CMS-64 Data

Table 10

State	Waiver Number	Target Population	CMS 372 Report Period Begin Date	CMS 372 Report Period End Date	CMS 372 Total Waiver Expenditures	Waiver Expenditures Report for Fiscal Year 2014	CMS 372 Percentage Difference from Waiver Expenditures Report
Montana ²	0371	DD-incl. ID & ASD	not required	not required	\$0	\$859	-100%
Montana	0455	Serious Mental Illness	7/1/2013	6/30/2014	\$3,267,164	\$3,306,160	-1%
Montana	0667	ASD-Child	1/1/2014	12/31/2014	\$1,582,289	\$1,770,844	-11%
Montana	1037	DD-incl. ID & ASD	10/1/2013	9/30/2014	\$38,570	\$38,571	0%
Montana	Total	All	n/a	n/a	\$138,055,263	\$133,315,787	4%
Nebraska	0187	Aging/Physical Disabilities	8/1/2013	7/31/2014	\$72,694,418	\$78,033,299	-7%
Nebraska ¹	0394	DD-incl. ID & ASD	1/1/2013	12/31/2013	\$10,824,436	\$11,062,407	-2%
Nebraska ¹	0396	DD-incl. ID & ASD	1/1/2013	12/31/2013	\$206,959,936	\$213,581,258	-3%
Nebraska ¹	4154	DD-Child (incl. ID & ASD)	6/1/2012	5/31/2013	\$19,824,559	\$19,656,829	1%
Nebraska	40199	Brain Injuries	10/1/2013	9/30/2014	\$689,711	\$661,095	4%
Nebraska	Total	All	n/a	n/a	\$310,993,060	\$322,994,888	-4%
Nevada	0125	DD-incl. ID & ASD	10/1/2013	9/30/2014	\$89,324,937	\$81,029,536	10%
Nevada	0152	Aging	7/1/2013	6/30/2014	\$10,305,153	\$9,924,286	4%
Nevada ¹	0452	Aging/Physical Disabilities	7/1/2011	6/30/2012	\$266,816	\$371,156	-28%
Nevada	4150	Physical Disabilities	1/1/2014	12/31/2014	\$3,777,251	\$3,969,853	-5%
Nevada	Total	All	n/a	n/a	\$103,674,156	\$95,294,831	9%
New Hampshire	0053	DD-incl. ID & ASD	9/1/2013	8/31/2014	\$203,065,424	\$213,259,361	-5%
New Hampshire	0060	Aging/Physical Disabilities	7/1/2013	6/30/2014	\$45,646,057	\$50,130,161	-9%
New Hampshire	0397	DD-Child (incl. ID & ASD)	1/1/2014	12/31/2014	\$4,680,603	\$5,136,464	-9%
New Hampshire	4177	Brain Injuries	11/1/2013	10/31/2014	\$20,978,426	\$22,254,385	-6%
New Hampshire	Total	All	n/a	n/a	\$274,370,510	\$290,780,371	-6%
New Jersey ⁹	0031	DD-incl. ID & ASD	10/1/2010	9/30/2011	\$604,997,705	\$824,873,688	-27%
New Jersey ⁹	0032	Aging/Physical Disabilities	10/1/2010	9/30/2011	\$168,441,873	\$94,722	177728%
New Jersey ⁹	0160	HIV/AIDS	3/1/2010	2/28/2011	\$580,651	\$0	n/a
New Jersey ⁹	4133	Physical Disabilities	4/1/2011	3/31/2012	\$16,784,149	\$173,684	9564%
New Jersey ⁹	4174	Brain Injuries	7/1/2010	6/30/2011	\$25,948,003	\$56,827	45561%
New Jersey ⁹	Total	All	n/a	n/a	\$816,752,381	\$825,198,921	-1%
New Mexico ²	0161	HIV/AIDS	not required	not required	\$0	\$65,441	-100%
New Mexico	0173	DD-incl. ID & ASD	7/1/2013	6/30/2014	\$268,436,667	\$272,350,538	-1%
New Mexico	0223	Medically Fragile	7/1/2013	6/30/2014	\$1,596,927	\$1,601,579	0%
New Mexico	0448	DD-incl. ID & ASD	10/1/2013	9/30/2014	\$25,424,526	\$27,586,517	-8%
New Mexico ²	0449	Aging/Physical Disabilities	not required	not required	\$0	\$12,973,334	-100%
New Mexico	Total	All	n/a	n/a	\$295,458,120	\$314,577,409	-6%
New York ¹	0034	Aging/Physical Disabilities	9/1/2012	8/31/2013	\$26,923,490	\$10,637,417	153%
New York	0238	DD-incl. ID & ASD	10/1/2013	9/30/2014	\$4,919,512,736	\$4,632,874,172	6%
New York ¹⁰	0269	Brain Injuries	n/a	n/a	\$0	\$155,770,721	-100%
New York ¹⁰	0296	SED	n/a	n/a	\$0	\$54,904,820	-100%

Comparison of 2014 Expenditures Data: CMS 372 and Waiver Expenditures Report Based on CMS-64 Data

Table 10

State	Waiver Number	Target Population	CMS 372 Report Period Begin Date	CMS 372 Report Period End Date	CMS 372 Total Waiver Expenditures	Waiver Expenditures Report for Fiscal Year 2014	CMS 372 Percentage Difference from Waiver Expenditures Report
New York ³	0444	Aging/Physical Disabilities	9/1/2013	8/31/2014	\$113,208,388	\$116,489,193	-3%
New York ¹⁰	0469	SED	n/a	n/a	\$0	\$79,748,870	-100%
New York ¹⁰	0470	DD-Child (incl. ID & ASD)	n/a	n/a	\$0	\$15,871,579	-100%
New York ¹⁰	0471	Medically Fragile	n/a	n/a	\$0	\$3,915,553	-100%
New York ¹⁰	4125	PD-Child	n/a	n/a	\$0	\$4,882,359	-100%
New York	40163	DD-Child (incl. ID & ASD)	4/1/2013	3/31/2014	\$1,110,460	\$0	n/a
New York	40176	DD-Child (incl. ID & ASD)	4/1/2013	3/31/2014	\$1,532,694	\$1,337,104	15%
New York	40200	DD-Child (incl. ID & ASD)	4/1/2013	3/31/2014	\$1,118,122	\$919,198	22%
New York	Total	All	n/a	n/a	\$5,063,405,890	\$5,077,350,986	0%
North Carolina	0132	Aging/Physical Disabilities	10/1/2013	9/30/2014	\$234,651,265	\$244,957,312	-4%
North Carolina	0423	DD-incl. ID & ASD	8/1/2013	7/31/2014	\$504,436,754	\$670,838,814	-25%
North Carolina ²	0662	DD-incl. ID & ASD	not required	not required	\$0	\$188,546	-100%
North Carolina ²	0663	DD-incl. ID & ASD	not required	not required	\$0	(\$54)	-100%
North Carolina	4141	Medically Fragile	7/1/2013	6/30/2014	\$79,618,023	\$86,894,464	-8%
North Carolina	Total	All	n/a	n/a	\$818,706,042	\$1,002,879,082	-18%
North Dakota	0037	DD-incl. ID & ASD	4/1/2013	3/31/2014	\$162,198,869	\$168,623,725	-4%
North Dakota	0273	Aging/Physical Disabilities	4/1/2013	3/31/2014	\$5,199,916	\$5,266,964	-1%
North Dakota	0468	Physical Disabilities	8/1/2013	7/31/2014	\$166,973	\$167,152	0%
North Dakota	0568	Medically Fragile	6/1/2013	5/31/2014	\$89,797	\$91,347	-2%
North Dakota ⁸	0834	Medically Fragile	7/1/2013	6/30/2014	\$0	\$0	n/a
North Dakota	0842	ASD-Child	11/1/2013	10/31/2014	\$966,333	\$203,338	375%
North Dakota	Total	All	n/a	n/a	\$168,621,888	\$174,352,526	-3%
Ohio	0198	Aging/Physical Disabilities	7/1/2013	6/30/2014	\$372,000,086	\$345,752,915	8%
Ohio	0231	DD-incl. ID & ASD	3/1/2013	6/30/2014	\$1,138,789,140	\$1,172,206,100	-3%
Ohio	0337	Physical Disabilities	7/1/2013	6/30/2014	\$186,325,508	\$170,095,094	10%
Ohio	0380	DD-incl. ID & ASD	7/1/2013	6/30/2014	\$157,551,591	\$156,114,062	1%
Ohio	0383	DD-incl. ID & ASD	7/1/2013	6/30/2014	\$63,269,903	\$62,713,776	1%
Ohio	0440	Aging/Physical Disabilities	7/1/2013	6/30/2014	\$58,251,790	\$53,041,281	10%
Ohio	0446	Aging/Physical Disabilities	7/1/2013	6/30/2014	\$72,243,908	\$70,556,297	2%
Ohio	0877	DD-incl. ID & ASD	7/1/2013	6/30/2014	\$2,344,655	\$2,667,211	-12%
Ohio ²	1035	Aging/Physical Disabilities	not required	not required	\$0	\$93,666,788	-100%
Ohio	4196	Aging/Physical Disabilities	7/1/2013	6/30/2014	\$6,633,918	\$6,158,073	8%
Ohio	Total	All	n/a	n/a	\$2,057,410,499	\$2,132,971,597	-4%
Oklahoma	0179	Intellectual Disability	7/1/2013	6/30/2014	\$175,452,031	\$180,219,956	-3%
Oklahoma	0256	Aging/Physical Disabilities	7/1/2013	6/30/2014	\$192,282,173	\$192,353,564	0%
Oklahoma	0343	Intellectual Disability	7/1/2013	6/30/2014	\$23,219,908	\$22,916,923	1%
Oklahoma	0351	ID-Child	7/1/2013	6/30/2014	\$2,269,254	\$2,025,504	12%

Comparison of 2014 Expenditures Data: CMS 372 and Waiver Expenditures Report Based on CMS-64 Data

Table 10

State	Waiver Number	Target Population	CMS 372 Report Period Begin Date	CMS 372 Report Period End Date	CMS 372 Total Waiver Expenditures	Waiver Expenditures Report for Fiscal Year 2014	CMS 372 Percentage Difference from Waiver Expenditures Report
Oklahoma	0399	Intellectual Disability	7/1/2013	6/30/2014	\$91,052,600	\$91,662,148	-1%
Oklahoma	0809	Aging	3/1/2013	2/28/2014	\$400,518	\$493,703	-19%
Oklahoma	0810	Physical Disabilities	10/1/2013	9/30/2014	\$1,140,347	\$1,173,250	-3%
Oklahoma	0811	Medically Fragile	7/1/2013	6/30/2014	\$3,328,847	\$3,743,859	-11%
Oklahoma	Total	All	n/a	n/a	\$489,145,677	\$494,588,907	-1%
Oregon ¹¹	0117	DD-incl. ID & ASD	7/1/2013	6/30/2014	\$80,631,780	\$38,237,223	111%
Oregon ¹¹	0185	Aging/Physical Disabilities	1/1/2014	12/31/2014	\$24,931,530	(\$43,820,308)	-157%
Oregon ¹¹	0375	DD-incl. ID & ASD	7/1/2013	6/30/2014	\$27,197,904	\$22,382,379	22%
Oregon ¹¹	0565	PD-Child	3/1/2013	2/28/2014	\$250,568	\$74,571	236%
Oregon ¹¹	40193	Medically Fragile	4/1/2013	3/31/2014	\$77,537	\$2,169,915	-96%
Oregon ¹¹	40194	DD-Child (incl. ID & ASD)	4/1/2013	3/31/2014	\$293,822	\$3,825,998	-92%
Oregon ¹¹	Total	All	n/a	n/a	\$133,383,141	\$22,869,778	483%
Pennsylvania	0147	Intellectual Disability	7/1/2013	6/30/2014	\$1,769,969,115	\$1,837,299,992	-4%
Pennsylvania	0192	HIV/AIDS	1/1/2014	12/31/2014	\$2,762,050	\$1,315,363	110%
Pennsylvania	0235	DD - non-ID	7/1/2013	6/30/2014	\$79,847,467	\$81,696,681	-2%
Pennsylvania	0277	Physical Disabilities	7/1/2013	6/30/2014	\$226,988,358	\$240,648,483	-6%
Pennsylvania	0279	Aging/Physical Disabilities	7/1/2013	6/30/2014	\$591,624,022	\$625,086,228	-5%
Pennsylvania	0319	Physical Disabilities	7/1/2013	6/30/2014	\$361,426,424	\$385,845,889	-6%
Pennsylvania	0324	DD-Child (incl. ID & ASD)	7/1/2013	6/30/2014	\$9,500,101	\$9,549,262	-1%
Pennsylvania	0354	Intellectual Disability	7/1/2013	6/30/2014	\$213,009,504	\$220,868,873	-4%
Pennsylvania	0386	Brain Injuries	7/1/2013	6/30/2014	\$48,294,536	\$50,369,212	-4%
Pennsylvania	0593	Autism Spectrum Disorder	7/1/2013	6/30/2014	\$14,563,264	\$15,929,720	-9%
Pennsylvania	Total	All	n/a	n/a	\$3,317,984,840	\$3,468,609,703	-4%
South Carolina	0186	HIV/AIDS	7/1/2013	6/30/2014	\$4,235,846	\$4,328,115	-2%
South Carolina	0237	DD-incl. ID & ASD	1/1/2014	12/31/2014	\$261,880,273	\$268,419,813	-2%
South Carolina	0284	Physical Disabilities	7/1/2013	6/30/2014	\$21,594,298	\$22,382,375	-4%
South Carolina	0405	Aging/Physical Disabilities	7/1/2013	6/30/2014	\$137,700,022	\$141,674,010	-3%
South Carolina	0456	ASD-Child	1/1/2014	12/31/2014	\$11,284,074	\$16,935,025	-33%
South Carolina	0675	Medically Fragile	1/1/2014	12/31/2014	\$1,119,195	\$559,616	100%
South Carolina	0676	DD-incl. ID & ASD	7/1/2013	6/30/2014	\$20,958,255	\$21,254,045	-1%
South Carolina	40181	Physical Disabilities	12/1/2013	11/30/2014	\$1,969,800	\$1,949,961	1%
South Carolina	Total	All	n/a	n/a	\$460,741,763	\$477,502,960	-4%
South Dakota	0044	DD-incl. ID & ASD	6/1/2013	5/31/2014	\$104,451,647	\$105,470,956	-1%
South Dakota	0189	Aging	10/1/2013	9/30/2014	\$12,154,775	\$12,372,460	-2%
South Dakota	0264	Physical Disabilities	6/1/2013	5/31/2014	\$3,038,926	\$3,028,829	0%
South Dakota	0338	DD-Child (incl. ID & ASD)	6/1/2013	5/31/2014	\$2,619,751	\$2,683,741	-2%
South Dakota	Total	All	n/a	n/a	\$122,265,099	\$123,555,986	-1%

State	Waiver Number	Target Population	CMS 372 Report Period Begin Date	CMS 372 Report Period End Date	CMS 372 Total Waiver Expenditures	Waiver Expenditures Report for Fiscal Year 2014	CMS 372 Percentage Difference from Waiver Expenditures Report
Tennessee	0128	Intellectual Disability	1/1/2014	12/31/2014	\$601,398,632	\$616,628,157	-2%
Tennessee	0357	Intellectual Disability	1/1/2014	12/31/2014	\$41,765,806	\$44,599,745	-6%
Tennessee	0427	Intellectual Disability	1/1/2014	12/31/2014	\$22,419,590	\$22,944,644	-2%
Tennessee	Total	All	n/a	n/a	\$665,584,028	\$684,172,546	-3%
Texas	0110	Intellectual Disability	9/1/2013	8/31/2014	\$896,453,308	\$871,203,012	3%
Texas	0181	Medically Fragile	9/1/2013	8/31/2014	\$93,994,487	\$94,538,429	-1%
Texas	0221	DD - non-ID	9/1/2013	8/31/2014	\$214,813,414	\$206,418,522	4%
Texas	0266	Aging/Physical Disabilities	9/1/2013	8/31/2014	\$180,095,647	\$167,492,830	8%
Texas	0281	DD-other specific diagnoses	3/1/2013	2/28/2014	\$7,761,528	\$7,900,177	-2%
Texas	0403	DD-incl. ID & ASD	3/1/2013	2/28/2014	\$52,979,519	\$53,500,702	-1%
Texas	0657	SED	4/1/2013	3/31/2014	\$1,500,377	\$3,402,268	-56%
Texas	Total	All	n/a	n/a	\$1,447,598,280	\$1,404,455,940	3%
Utah	0158	DD-incl. ID & ASD	7/1/2013	6/30/2014	\$172,690,443	\$172,089,244	0%
Utah	0247	Aging	7/1/2013	6/30/2014	\$3,975,709	\$3,978,502	0%
Utah	0292	Brain Injuries	7/1/2013	6/30/2014	\$3,634,492	\$3,620,139	0%
Utah	0331	Physical Disabilities	7/1/2013	6/30/2014	\$2,183,068	\$2,173,755	0%
Utah	0439	Aging/Physical Disabilities	7/1/2013	6/30/2014	\$34,962,487	\$36,659,850	-5%
Utah	1029	ASD-Child	10/1/2013	9/30/2014	\$5,493,755	\$5,685,745	-3%
Utah	40183	Medically Fragile	7/1/2013	6/30/2014	\$3,099,743	\$3,618,445	-14%
Utah	Total	All	n/a	n/a	\$226,039,698	\$227,825,680	-1%
Virginia	0321	Aging/Physical Disabilities	7/1/2013	6/30/2014	\$596,412,099	\$672,690,372	-11%
Virginia	0358	DD - non-ID	7/1/2013	6/30/2014	\$26,015,953	\$5,395,560	382%
Virginia	0372	Intellectual Disability	7/1/2013	6/30/2014	\$657,884,138	\$595,511,723	10%
Virginia	0430	Intellectual Disability	7/1/2013	6/30/2014	\$3,437,763	\$3,129,747	10%
Virginia	4149	Physical Disabilities	7/1/2013	6/30/2014	\$31,330,351	\$45,378,571	-31%
Virginia	40206	Aging/Physical Disabilities	7/1/2013	6/30/2014	\$587,913	\$658,498	-11%
Virginia	Total	All	n/a	n/a	\$1,315,668,217	\$1,322,764,471	-1%
Washington	0049	Aging/Physical Disabilities	4/1/2013	3/31/2014	\$649,103,599	\$660,634,681	-2%
Washington ²	0408	DD-incl. ID & ASD	not required	not required	\$0	\$863	-100%
Washington	0409	DD-incl. ID & ASD	9/1/2013	8/31/2014	\$196,889,261	\$196,018,012	0%
Washington	0410	DD-incl. ID & ASD	9/1/2013	8/31/2014	\$379,825,384	\$383,586,095	-1%
Washington	0411	DD-incl. ID & ASD	9/1/2013	8/31/2014	\$52,625,406	\$53,264,087	-1%
Washington	0443	Aging/Physical Disabilities	2/15/2013	2/14/2014	\$6,068,058	\$10,720,079	-43%
Washington ²	1086	Aging/Physical Disabilities	not required	not required	\$0	\$450	-100%
Washington	40669	DD-incl. ID & ASD	9/1/2013	8/31/2014	\$6,035,659	\$6,709,348	-10%
Washington	Total	All	n/a	n/a	\$1,290,547,367	\$1,310,933,615	-2%
West Virginia	0133	DD-incl. ID & ASD	7/1/2013	6/30/2014	\$355,331,328	\$360,653,048	-1%

State	Waiver Number	Target Population	CMS 372 Report Period Begin Date	CMS 372 Report Period End Date	CMS 372 Total Waiver Expenditures	Waiver Expenditures Report for Fiscal Year 2014	CMS 372 Percentage Difference from Waiver Expenditures Report
West Virginia	0134	Aging/Physical Disabilities	7/1/2013	6/30/2014	\$105,367,312	\$104,636,308	1%
West Virginia	0876	Brain Injuries	2/1/2013	1/31/2014	\$506,060	\$695,972	-27%
West Virginia	Total	All	n/a	n/a	\$461,204,700	\$465,985,328	-1%
Wisconsin	0154	Aging/Physical Disabilities	1/1/2014	12/31/2014	\$67,218,950	\$62,756,655	7%
Wisconsin ¹	0229	DD-incl. ID & ASD	1/1/2013	12/31/2013	\$152,536,084	\$156,369,445	-2%
Wisconsin ¹	0275	Brain Injuries	1/1/2012	12/31/2012	\$5,845,148	\$4,553,344	28%
Wisconsin ¹²	0367	Aging/Physical Disabilities	1/1/2014	12/31/2014	\$873,940,310	\$391,956,268	123%
Wisconsin ¹²	0368	DD-incl. ID & ASD	1/1/2014	12/31/2014	\$513,751,801	\$541,443,911	-5%
Wisconsin	0413	PD-Child	1/1/2014	12/31/2014	\$4,487,562	\$4,316,720	4%
Wisconsin	0414	DD-Child (incl. ID & ASD)	1/1/2014	12/31/2014	\$52,291,034	\$49,868,986	5%
Wisconsin	0415	SED	1/1/2014	12/31/2014	\$15,807,713	\$16,204,269	-2%
Wisconsin	0484	DD-incl. ID & ASD	1/1/2014	12/31/2014	\$200,877,335	\$145,729,254	38%
Wisconsin ¹	0485	Aging/Physical Disabilities	1/1/2012	12/31/2012	\$47,841,816	\$108,878,662	-56%
Wisconsin	Total	All	n/a	n/a	\$1,934,597,753	\$1,482,077,514	31%
Wyoming	0226	DD-incl. ID & ASD	7/1/2013	6/30/2014	\$82,315,832	\$77,928,271	6%
Wyoming	0236	Aging/Physical Disabilities	7/1/2013	6/30/2014	\$12,909,869	\$13,501,228	-4%
Wyoming	0253	DD-Child (incl. ID & ASD)	7/1/2013	6/30/2014	\$11,460,110	\$11,034,214	4%
Wyoming	0369	Aging/Physical Disabilities	7/1/2013	6/30/2014	\$2,579,571	\$2,628,361	-2%
Wyoming	0370	Brain Injuries	7/1/2013	6/30/2014	\$5,682,457	\$7,196,300	-21%
Wyoming	0451	SED	7/1/2013	6/30/2014	\$565,764	\$582,941	-3%
Wyoming ²	1060	DD-incl. ID & ASD	not required	not required	\$0	\$20,621	-100%
Wyoming ²	1061	DD-incl. ID & ASD	not required	not required	\$0	\$4,754,541	-100%
Wyoming	Total	All	n/a	n/a	\$115,513,603	\$117,646,477	-2%
United States	Total	All	n/a	n/a	\$41,797,191,480	\$41,367,148,564	1%

Notes:

- ¹ A CMS 372 for this waiver for 2014 was not submitted by the state and accepted by CMS. This table includes data from the most recent, accepted CMS 372 report, typically for 2013 but sometimes for 2012.
- ² The Waiver Expenditures Report indicated expenditures for this waiver, but a CMS 372 was not required for 2014. Either the waiver was terminated before waiver year 2014 or the first waiver year was 2015.
- ³ Florida terminated four waivers and transitioned participants to Waiver 0962 in March 2014. As a result, CMS 372 data include only three months of data for Waiver 0280 and only nine months of data for Waivers 0010, 0116, and 0315. In addition, Waiver Expenditures Report data for Waiver 0962 includes expenditures for Waiver 0315, both of which provided services through managed care organizations.
- ⁴ Kansas transitioned all section 1915(c) services to managed care in 2013. Starting on that date, CMS 372 reports included all capitated expenditures as waiver expenditures. As a result, CMS 372 data indicate greater expenditures than the Waiver Expenditures Report. In the Waiver Expenditures Report, separate estimates were provided by population. The estimate for older adults and people with physical disabilities was listed for the waiver in the population group that historically had the greatest amount of expenditures, Waiver 0304. The estimate for other populations

(including people with brain injuries, children with ASD, and medically fragile children) was listed for Waiver 4165, which historically had the greatest amount of expenditures among these waivers.

⁵ Maryland terminated Waiver 0353 and transitioned participants to Waiver 0265 in January 2014. As a result, CMS 372 data include only seven months of data for Waiver 0353.

⁶ CMS 372 reports for Massachusetts Waivers 1027 and 1028 and North Dakota Waiver 0834 indicated no participants were served in 2014 and no expenditures were incurred.

⁷ Michigan Waiver Expenditures Report data for 2014 were incomplete because the state's transitioned from Waiver 0233 to a new managed care waiver during that year. State estimates, the source for most managed care data in the report, were included starting in 2015.

⁸ The 2014 CMS 372 report for Missouri Waiver 0346 was for a temporary extension and included only four months of data. Data for 2015 are used in this table because 2013 data also were for a partial year.

⁹ For most of New Jersey's waivers, the most recent CMS 372 accepted by CMS is from 2011. Data in these tables include 2011. New Jersey terminated all waivers except Waiver 0031 in 2014. The Waiver Expenditures Report does not include state estimates for managed care spending in 2014 because New Jersey reported it within its section 1115 demonstration.

¹⁰ For New York Waivers 0269, 0296, 0469, 0470, 0471, and 4125, no CMS 372 has been accepted by CMS from 2011 through 2014. No CMS 372 data are included in the data tables.

¹¹ Oregon waiver expenditures for all waivers decreased in 2014 as the state implemented Community First Choice, which provides services previously funded by waivers.

¹² Wisconsin combined its Family Care section 1915(c) waivers in 2015: one waiver targeted people with developmental disabilities and the other targeted older adults and people with physical disabilities. The state submitted a single CMS 372 report for both waivers in 2013 and 2014. Estimates for each waiver were developed based on the percentage of Family Care participants, total days of service, and expenditures for each waiver in 2012.