

**1.4** Please provide the effective (date costs begin to be incurred) and implementation (date services begin to be provided) dates for this plan or plan amendment (42 CFR 457.65):

Original start date: August 1, 1998  
Effective date: July 10, 1998  
Implementation date: August 1, 1998

Amendment #1 Submitted: January 28, 1999  
Amendment#1 Disapproved: November 29, 1999

Amendment #2 Submitted: March 1, 2002  
Effective Date: December 15, 2001; January 1, 2002; February 1, 2002  
Implementation Date: January 1, 2002

Amendment #3 Submitted: November 14, 2002  
Effective Date: July 1, 2002; Dental Benefits - July 1, 2003

Amendment#4 Submitted: June 2, 2005  
Effective Date: July 1, 2005

Amendment#5 Submitted: August 27, 2007  
Effective Date: July 1, 2007

Amendment#6 Submitted: August 8, 2008  
Effective Date: July 1, 2008

Amendment#7 Submitted: April 15, 2009  
Effective Date: April 15, 2009

**9.10. Provide a one year projected budget. A suggested financial form for the budget is attached. The budget must describe: (Section 2107(d)) (42CFR 457.140)**

Planned use of funds, including --

- Projected amount to be spent on health services;
- Projected amount to be spent on administrative costs, such as outreach, child health initiatives, and evaluation; and
- Assumptions on which the budget is based, including cost per child and expected enrollment.

Projected sources of non-Federal plan expenditures, including any requirements for cost-sharing by enrollees.

The State is seeking a federal match on funds received from the Robert Wood Johnson Foundation (RWJ) grant, Maximizing Enrollment for Kids: Making Medicaid and SCHIP Work. The grant was awarded to the State on February 11, 2009 and the grant period is from February 15, 2009 through February 14, 2013. The State's initiative is entitled *Working Smart for Utah's Kids*.

The State was granted a total of \$999,934 and below is a summary of general grant functions. RWJ will conduct a diagnostic assessment and improvement plan. The final budget is subject to approval from the RWJ National Program Office and will be based on the results of the diagnostic assessment.

Working Smart for Utah's Kids seeks to maximize enrollment of eligible Utah children by removing administrative barriers to enrollment and retention. Working Smart plans to achieve this goal by implementing four specific objectives: (1) Streamline the Application Process (2) Simplify Renewal Process and Improve Client Education (3) Integrate School Data from Free and Reduced School Lunch Program and (4) Evaluate Multicultural Outreach to Develop Best Practices.

The State assures that RWJ has less than 25% in annual revenue generated from health care providers and that RWJ does not provide services (including administrative) directly or indirectly under the Medicaid or SCHIP state plan.

The award letter from RWJ is attached to this amendment request.