

## **Table of Contents**

**State/Territory Name: California**

**State Plan Amendment (SPA) #: 18-020**

This file contains the following documents in the order listed:

- 1) Approval Letter
- 2) CMS 179 Form/Summary Form (with 179-like data)
- 3) Approved SPA Pages

DEPARTMENT OF HEALTH & HUMAN SERVICES  
Centers for Medicare & Medicaid Services  
San Francisco Regional Office  
90 Seventh Street, Suite 5-300 (5W)  
San Francisco, CA 94103-6706



**DIVISION OF MEDICAID & CHILDREN'S HEALTH OPERATIONS**

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October 12, 2018

Mari Cantwell  
Chief Deputy Director, Health Care Programs  
California Department of Health Care Services (DHCS)  
P.O. Box 997413, MS 0000  
Sacramento, CA 95899-7413

Re: Approval of State Plan Amendment 18-0020

Dear Ms. Cantwell:

The Centers for Medicare & Medicaid Services (CMS), has completed its review of California State Plan Amendment (SPA) Transmittal Number 18-0020. This SPA implements a Behavioral Health Home Program (HHP) as authorized under Section 2703 of the Patient Protection and Affordable Care Act (Section 1945 of the Social Security Act) in the Group 1 County of San Francisco.

Individuals eligible to receive health home services must have: One serious and persistent mental health condition serious mental illness (SMI) or serious emotional disturbance (SED), which is further defined as major depression disorders, bipolar disorders, and psychotic disorders (including schizophrenia). This SPA delegates designated providers, as described in Section 1945(h)(6) of the Social Security Act, as the health home provider.

We approve California SPA 18-0020 on October 12, 2018 with an effective date of January 1, 2019. Enclosed is the approval notice generated by the MACPro system. Please incorporate the amended language into your state plan.

In accordance with the statutory provisions at Section 1945(c)(1) of the Social Security Act, for payments made to health home providers under this amendment, during the first eight fiscal quarters that the SPA is in effect January 1, 2019 through December 31, 2020 for San Francisco County, the federal medical assistance percentage (FMAP) rate applicable to such payments shall be equal to 90 percent. The FMAP rate for payments made to San Francisco County-based health home providers will return to the state's published FMAP on January 1, 2021. The Form CMS-64 has a designated category of service Line 43 for states to report health home services expenditures for enrollees with chronic conditions.

CMS' approval of SPA 18-0020 does not affect the 1115 state demonstration waiver amendment to waive freedom of choice, which allows the state to provide Health Home Program services through the Medi-Cal managed care delivery system. The effective date of the 1115 state demonstration waiver amendment remains July 1, 2018.

We want to acknowledge that CMS is in receipt of the Health Home Program claiming methodology that DHCS submitted on May 1, 2018. CMS must approve the claiming methodology before the state can claim any portion of the managed care payments at the enhanced matching rate.

CMS understands with the approval of this SPA -- along with the previously-approved companion Section 1115 demonstration amendment -- DHCS plans to develop prospective risk-based rates for the health home services provided under the managed care plans. CMS expects that the state will develop the overall capitation rates, including the Health Home Program-related rates, on a timely basis, which will provide CMS an opportunity to review the rates prior to the rating period.

This SPA approval is based on the state's agreement to collect and report information required for the evaluation of the health home model. CMS encourages DHCS to report on the CMS recommended core set of quality measures.

Please share with your staff my appreciation for their time and effort throughout this process. If you have any questions regarding this Health Home State Plan Amendment, please contact Cheryl Young at 415-744-3598 or via email at [Cheryl.Young@cms.hhs.gov](mailto:Cheryl.Young@cms.hhs.gov).

Sincerely,

/s/


Dzung Hoang  
Acting Associate Regional Administrator  
Division of Medicaid & Children's Health Operations

Enclosure

cc: Sarah Brooks, DHCS  
Brian Hansen, DHCS  
Alan Roush, DHCS  
Nathaniel Emery, DHCS  
Angeli Lee, DHCS

CMS-10434 OMB 0938-1188

### Package Information

<b>Package ID</b>	CA2018MS00070	<b>Submission Type</b>	Official
<b>Program Name</b>	Group 1 County of San Francisco and the population criterion of Serious Mental Illness or Serious Emotional Disturbance.	<b>State</b>	CA
<b>SPA ID</b>	CA-18-0020	<b>Region</b>	San Francisco, CA
<b>Version Number</b>	2	<b>Package Status</b>	Approved
<b>Submitted By</b>	Nathaniel Emery	<b>Submission Date</b>	7/23/2018
<b>Package Disposition</b>		<b>Approval Date</b>	10/12/2018 10:43 AM EDT
<b>Priority Code</b>	P2		

## Approval Notice

DEPARTMENT OF HEALTH & HUMAN SERVICES  
 Centers for Medicare & Medicaid Services  
 7500 Security Boulevard, Mail Stop S2-14-26  
 Baltimore, Maryland 21244-1850



**Date:** 10/12/2018

**Head of Agency:** Jennfer Kent

**Title/Dept :** Director

**Address 1:** 1501 Capitol Avenue

**Address 2:**

**City :** Sacramento

**State:** CA

**Zip:** 95814

**MACPro Package ID:** CA2018MS00070

**SPA ID:** CA-18-0020

**Subject**

CA SPA 18-0020

**Dear Jennfer Kent**

This is an informal communication that will be followed with an official communication to the State's Medicaid Director.

The Centers for Medicare and Medicaid Services (CMS) is pleased to inform you that we are recommending approval for your request for CA SPA 18-0020

Reviewable Unit	Effective Date
Health Homes Intro	1/1/2019
Health Homes Geographic Limitations	1/1/2019
Health Homes Population and Enrollment Criteria	1/1/2019
Health Homes Providers	1/1/2019
Health Homes Service Delivery Systems	1/1/2019
Health Homes Payment Methodologies	1/1/2019
Health Homes Services	1/1/2019
Health Homes Monitoring, Quality Measurement and Evaluation	1/1/2019

For payments made to Health Homes providers under this new Health Homes Program submission package a medical assistance percentage (FMAP) rate of 90% applies to such payments for the period 1/1/2019 to 12/31/2020.

90% EFMAP for the period of 1/1/2019 to 12/31/2020.

**Sincerely,**

Alissa M. DeBoy

Mrs.

## Approval Documentation

Name	Date Created	
No items available		

## Submission - Summary

MEDICAID | Medicaid State Plan | Health Homes | CA2018MS00070 | CA-18-0020 | Group 1 County of San Francisco and the population criterion of Serious Mental Illness or Serious Emotional Disturbance.

### Package Header

<b>Package ID</b>	CA2018MS00070	<b>SPA ID</b>	CA-18-0020
<b>Submission Type</b>	Official	<b>Initial Submission Date</b>	7/23/2018
<b>Approval Date</b>	10/12/2018	<b>Effective Date</b>	N/A
<b>Superseded SPA ID</b>	N/A		

### State Information

**State/Territory Name:** California

**Medicaid Agency Name:** California Department of Health Care Services

### Submission Component

- State Plan Amendment
- Medicaid
- CHIP

### Submission - Summary

MEDICAID | Medicaid State Plan | Health Homes | CA2018MS00070 | CA-18-0020 | Group 1 County of San Francisco and the population criterion of Serious Mental Illness or Serious Emotional Disturbance.

### Package Header

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<b>Approval Date</b> 10/12/2018	<b>Effective Date</b> N/A
<b>Superseded SPA ID</b> N/A	

### SPA ID and Effective Date

**SPA ID** CA-18-0020

Reviewable Unit	Proposed Effective Date	Superseded SPA ID
Health Homes Intro	1/1/2019	n/a
Health Homes Geographic Limitations	1/1/2019	n/a
Health Homes Population and Enrollment Criteria	1/1/2019	n/a
Health Homes Providers	1/1/2019	n/a
Health Homes Service Delivery Systems	1/1/2019	n/a
Health Homes Payment Methodologies	1/1/2019	n/a
Health Homes Services	1/1/2019	n/a
Health Homes Monitoring, Quality Measurement and Evaluation	1/1/2019	n/a



## Submission - Summary

MEDICAID | Medicaid State Plan | Health Homes | CA2018MS00070 | CA-18-0020 | Group 1 County of San Francisco and the population criterion of Serious Mental Illness or Serious Emotional Disturbance.

### Package Header

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<b>Approval Date</b>	10/12/2018	<b>Effective Date</b>	N/A
<b>Superseded SPA ID</b>	N/A		

### Executive Summary

**Summary Description Including Goals and Objectives** This California Department of Health Care Services' (DHCS) Health Homes Program (HHP) State Plan Amendment (SPA) is for the Group 1 County of San Francisco and the population criterion of Serious Mental Illness (SMI) or Serious Emotional Disturbance (SED). The HHP will utilize the Medi-Cal Managed Care (MCMC) infrastructure. Managed Care Plans (MCPs) will be responsible for the overall administration of the HHP. The HHP will be structured as a HHP network including MCP, one or more Community Based Care Management Entities (CB-CMEs), linkages to Medi-Cal Specialty Mental Health Plans, Community and Social Support Services. The HHP benefit authorized herein, will operate in conjunction with, and is subject to the terms of, the State's approved Section 1115 Demonstration, including any approved waiver of freedom-of-choice that enables the state to limit the HHP benefit to the MCMC Delivery System. The goals for HHP are: improve care coordination, integrate palliative care, strengthen community linkages and team-based care, improve the health outcomes of HHP members, and wrap increased care coordination around existing care as close to the member's usual point of care delivery as possible in the community. DHCS Objectives include: ensure sufficient provider infrastructure and capacity to implement HHP as an entitlement benefit, ensure HHP providers appropriately serve members experiencing homelessness, and increase integration of physical & behavioral health services. Group 1 County of San Francisco will implement SMI or SED January 1, 2019.

### Federal Budget Impact and Statute/Regulation Citation

#### Federal Budget Impact

	Federal Fiscal Year	Amount
First	2019	\$2928447
Second	2020	\$4925415

#### Federal Statute / Regulation Citation

Section 2703 of the Patient Protection and Affordable Care Act

### Submission - Summary

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<b>Superseded SPA ID</b>	N/A		

### Governor's Office Review

- No comment
- Comments received
- No response within 45 days
- Other

**Describe** Other- Governor Office does not want to review

## Submission - Public Comment

MEDICAID | Medicaid State Plan | Health Homes | CA2018MS00070 | CA-18-0020 | Group 1 County of San Francisco and the population criterion of Serious Mental Illness or Serious Emotional Disturbance.

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<b>Superseded SPA ID</b>	N/A		

#### Name of Health Homes Program

Group 1 County of San Francisco and the population criterion of Serious Mental Illness or Serious Emotional Disturbance.

#### Indicate whether public comment was solicited with respect to this submission.

- Public notice was not federally required and comment was not solicited
- Public notice was not federally required, but comment was solicited
- Public notice was federally required and comment was solicited

#### Indicate how public comment was solicited:

- Newspaper Announcement
- Publication in state's administrative record, in accordance with the administrative procedures requirements
- Email to Electronic Mailing List or Similar Mechanism
- Website Notice

#### Select the type of website

- Website of the State Medicaid Agency or Responsible Agency


**Date of Posting:** Jul 6, 2018

**Website URL:** <http://www.dhcs.ca.gov/formsandpubs/laws/Pages/Proposed2018.aspx>

- Website for State Regulations
- Other

- Public Hearing or Meeting
- Other method

#### Upload copies of public notices and other documents used

Name	Date Created	
<a href="#">18-0020 PN</a>	8/28/2018 3:36 PM EDT	

#### Upload with this application a written summary of public comments received (optional)

Name	Date Created	
No items available		

#### Indicate the key issues raised during the public comment period (optional)

- Access
- Quality

- Cost
- Payment methodology
- Eligibility
- Benefits
- Service delivery
- Other issue

## Submission - Tribal Input

MEDICAID | Medicaid State Plan | Health Homes | CA2018MS00070 | CA-18-0020 | Group 1 County of San Francisco and the population criterion of Serious Mental Illness or Serious Emotional Disturbance.

### Package Header

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<b>Superseded SPA ID</b>	N/A		

#### Name of Health Homes Program

Group 1 County of San Francisco and the population criterion of Serious Mental Illness or Serious Emotional Disturbance.

#### One or more Indian health programs or Urban Indian Organizations furnish health care services in this state

- Yes
- No

#### This state plan amendment is likely to have a direct effect on Indians, Indian health programs or Urban Indian Organizations

- Yes
- No

The state has solicited advice from Indian Health Programs and/or Urban Indian Organizations, as required by section 1902(a)(73) of the Social Security Act, prior to submission of this SPA

#### Complete the following information regarding any solicitation of advice and/or tribal consultation conducted with respect to this submission:

##### Solicitation of advice and/or Tribal consultation was conducted in the following manner:

- All Indian Health Programs

Date of solicitation/consultation:	Method of solicitation/consultation:
5/23/2018	<p>Date of solicitation/consultation: May 23, 2018 . No comments received.</p> <p>Method of solicitation/consultation: On 5/23/18 the tribal notice was sent to Indian health programs (IHP) and Urban Indian Health (UIH) Organizations.</p> <p>On 5/30/18 a tribal webinar was held with IHP and UIH Organizations on the SPA.</p>

- All Urban Indian Organizations

Date of solicitation/consultation:	Method of solicitation/consultation:
5/23/2018	<p>Date of solicitation/consultation: May 23, 2018. No comments received.</p> <p>Method of solicitation/consultation: On 5/23/18 the tribal notice was sent to Indian health programs (IHP) and Urban Indian Health (UIH) Organizations.</p> <p>On 5/30/18 a tribal webinar was held with IHP and UIH Organizations on the SPA.</p>

States are not required to consult with Indian tribal governments, but if such consultation was conducted voluntarily, provide information about such consultation below:

All Indian Tribes

The state must upload copies of documents that support the solicitation of advice in accordance with statutory requirements, including any notices sent to Indian Health Programs and/or Urban Indian Organizations, as well as attendee lists if face-to-face meetings were held. Also upload documents with comments received from Indian Health Programs or Urban Indian Organizations and the state's responses to any issues raised. Alternatively indicate the key issues and summarize any comments received below and describe how the state incorporated them into the design of its program.

Name	Date Created	
SPA 18-0019 & 18-0020	6/25/2018 1:07 PM EDT	

**Indicate the key issues raised (optional)**

- Access
- Quality
- Cost
- Payment methodology
- Eligibility
- Benefits
- Service delivery
- Other issue

## Submission - Other Comment

MEDICAID | Medicaid State Plan | Health Homes | CA2018MS00070 | CA-18-0020 | Group 1 County of San Francisco and the population criterion of Serious Mental Illness or Serious Emotional Disturbance.

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<b>Superseded SPA ID</b>	N/A		

### SAMHSA Consultation

#### Name of Health Homes Program

Group 1 County of San Francisco and the population criterion of Serious Mental Illness or Serious Emotional Disturbance.

The State provides assurance that it has consulted and coordinated with the Substance Abuse and Mental Health Services Administration (SAMHSA) in addressing issues regarding the prevention and treatment of mental illness and substance abuse among eligible individuals with chronic conditions.

<b>Date of consultation</b>
6/13/2018

## Health Homes Intro

MEDICAID | Medicaid State Plan | Health Homes | CA2018MS00070 | CA-18-0020 | Group 1 County of San Francisco and the population criterion of Serious Mental Illness or Serious Emotional Disturbance.

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<b>Superseded SPA ID</b>	n/a		
	User-Entered		

### Program Authority

1945 of the Social Security Act

The state elects to implement the Health Homes state plan option under Section 1945 of the Social Security Act.

#### Name of Health Homes Program

Group 1 County of San Francisco and the population criterion of Serious Mental Illness or Serious Emotional Disturbance.

### Executive Summary

**Provide an executive summary of this Health Homes program including the goals and objectives of the program, the population, providers, services and service delivery model used**

This California Department of Health Care Services' (DHCS) Health Homes Program (HHP) State Plan Amendment (SPA) is for the Group 1 County of San Francisco and the population criterion of Serious Mental Illness or Serious Emotional Disturbance. The HHP will utilize the Medi-Cal Managed Care (MCMC) infrastructure. Managed Care Plans (MCPs) will be responsible for the overall administration of the HHP. The HHP will be structured as a HHP network including MCP, one or more Community Based Care Management Entities (CB-CMEs), linkages to Medi-Cal Specialty Mental Health Plans, Community and Social Support Services. The HHP benefit authorized herein, will operate in conjunction with, and is subject to the terms of, the State's approved Section 1115 Demonstration, including any approved waiver of freedom-of-choice that enables the state to limit the HHP benefit to the MCMC Delivery System. The goals for HHP are: improve care coordination, integrate palliative care, strengthen community linkages and team-based care, improve the health outcomes of HHP members, and wrap increased care coordination around existing care as close to the member's usual point of care delivery as possible in the community. DHCS Objectives include: ensure sufficient provider infrastructure and capacity to implement HHP as an entitlement benefit, ensure HHP providers appropriately serve members experiencing homelessness, and increase integration of physical & behavioral health services. Group 1 County of San Francisco will implement SMI or SED January 1, 2019.

### General Assurances

- The state provides assurance that eligible individuals will be given a free choice of Health Homes providers.
- The states provides assurance that it will not prevent individuals who are dually eligible for Medicare and Medicaid from receiving Health Homes services.
- The state provides assurance that hospitals participating under the state plan or a waiver of such plan will be instructed to establish procedures for referring eligible individuals with chronic conditions who seek or need treatment in a hospital emergency department to designated Health Homes providers.
- The state provides assurance that FMAP for Health Homes services shall be 90% for the first eight fiscal quarters from the effective date of the SPA. After the first eight quarters, expenditures will be claimed at the regular matching rate.
- The state provides assurance that it will have the systems in place so that only one 8-quarter period of enhanced FMAP for each health homes enrollee will be claimed.
- The state provides assurance that there will be no duplication of services and payment for similar services provided under other Medicaid authorities.



# Health Homes Geographic Limitations

MEDICAID | Medicaid State Plan | Health Homes | CA2018MS00070 | CA-18-0020 | Group 1 County of San Francisco and the population criterion of Serious Mental Illness or Serious Emotional Disturbance.

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	User-Entered		

- Health Homes services will be available statewide
- Health Homes services will be limited to the following geographic areas
- Health Homes services will be provided in a geographic phased-in approach

### Specify the geographic limitations of the program

- By county
- By region
- By city/municipality
- Other geographic area

**Describe the area(s):** By County:  
San Francisco 1/1/2019

## Health Homes Population and Enrollment Criteria

MEDICAID | Medicaid State Plan | Health Homes | CA2018MS00070 | CA-18-0020 | Group 1 County of San Francisco and the population criterion of Serious Mental Illness or Serious Emotional Disturbance.

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	User-Entered		

### Categories of Individuals and Populations Provided Health Homes Services

The state will make Health Homes services available to the following categories of Medicaid participants

- Categorically Needy (Mandatory and Options for Coverage) Eligibility Groups
- Medically Needy Eligibility Groups

## Health Homes Population and Enrollment Criteria

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	User-Entered		

### Population Criteria

The state elects to offer Health Homes services to individuals with

- Two or more chronic conditions
- One chronic condition and the risk of developing another
- One serious and persistent mental health condition

**Specify the criteria for a serious and persistent mental health condition**

Serious mental illness (SMI) or serious emotional disturbance (SED), which is further defined as major depression disorders, bipolar disorders, and psychotic disorders [including schizophrenia].

## Health Homes Population and Enrollment Criteria

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	User-Entered		

### Enrollment of Participants

**Participation in a Health Homes is voluntary. Indicate the method the state will use to enroll eligible Medicaid individuals into a Health Home**

- Opt-In to Health Homes provider
- Referral and assignment to Health Homes provider with opt-out
- Other (describe)

**Describe the process used**

MCPs will notify their members via a notice, no later than the start of HHP in the county, that HHP is enhanced care coordination for members with SMI or SED, is voluntary, members can choose a different CB-CME, and they can opt-out at any time. DHCS/MCPs will develop the Targeted Engagement List (TEL) based upon eligibility and utilization data multiple times each year. MCPs will use the TEL to conduct a progressive process (including letters, phone calls, in person visits, texts, and emails) to engage the members. Members are advised that the HHP is voluntary, and that they can opt-out at any time. MCPs will inform members of their assigned CB-CME and the option to choose a different CB-CME. If the member's assigned primary care physician is affiliated with a CB-CME, the member will be assigned to that CB-CME, unless the member chooses another CB-CME. The MCP and/or CB-CME will secure consent from the member to participate in HHP and to authorize release of information in accordance with legal requirements. The MCP/CB-CME will maintain records of these consents. DHCS is providing significant resources for provider awareness and engagement to facilitate participation in the program. Providers will have the ability to refer potentially eligible members to their MCPs to evaluate their eligibility for HHP. DHCS will use administrative data to identify and notify potentially eligible FFS members regarding the HHP. This notice will be provided no later than sixty days from the start of HHP in the county, and will inform these members that HHP is enhanced care coordination for members with chronic conditions, is voluntary, that they have the option to enroll in managed care for all of their services, including HHP services, have the opportunity to choose a different CB-CME, and HHP members can opt-out at any time. Providers can refer potentially eligible FFS members to the program for eligibility determination.

## Health Homes Providers

MEDICAID | Medicaid State Plan | Health Homes | CA2018MS00070 | CA-18-0020 | Group 1 County of San Francisco and the population criterion of Serious Mental Illness or Serious Emotional Disturbance.

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	User-Entered		

### Types of Health Homes Providers

Designated Providers

**Indicate the Health Homes Designated Providers the state includes in its program and the provider qualifications and standards**

- Physicians
- Clinical Practices or Clinical Group Practices
- Rural Health Clinics
- Community Health Centers
- Community Mental Health Centers
- Home Health Agencies
- Case Management Agencies
- Community/Behavioral Health Agencies
- Federally Qualified Health Centers (FQHC)
- Other (Specify)

Provider Type	Description
Managed Care Plans (MCPs)	<p>Provider Qualifications and Standards:</p> <ol style="list-style-type: none"> <li>1. Qualified through review of certification criteria and through a readiness review process.</li> <li>2. Contracts directly with the state</li> <li>3. Have experience operating broad-based regional provider network</li> <li>4. Have an adequate network of CB-CMEs (including behavioral health professionals) in geographic target areas for HHP to serve eligible members, maintained through contracts, MOU or MOA with organizations that are part of the HHP provider network.</li> <li>5. Have the capacity to qualify and support organizations who meet the standards for CB-CMEs, including:                         <ul style="list-style-type: none"> <li>• Identifying organizations;</li> </ul> </li> </ol>

Provider Type	Description
	<ul style="list-style-type: none"> <li>• Providing the infrastructure and tools necessary to support CB-CME in care coordination;</li> <li>• Gathering and sharing HHP member-level information regarding health care utilization, gaps in care and medications;</li> <li>• Providing outcome tools and measurement protocols to assess CB-CME effectiveness; and</li> <li>• Developing and offering learning activities that will support CB-CME.</li> </ul> <p>6. Have authority to access Medi-Cal claims/encounter data for the population served</p>
<p>Community Based Care Management Entity (CB-CMEs)</p>	<p>1. Organizations must be: Behavioral Health entities, Community Mental Health Center, Community Health Center, FQHCs, Rural Health Center, Indian Health Clinic, Indian Health Center, Hospital or Hospital-Based Physician Group or Clinic, local health department, primary care or specialist physician or physician group, substance use disorder treatment provider, providers serving those that experience homelessness, other entities who meet certification and qualifications of a CB-CME may serve in this capacity if selected and certified by the MCP. 2. Experience serving Medi-Cal members and, as appropriate for their assigned HHP member population, experience with high-risk members such as individuals who are homeless; 3. Comply with all program requirements; 4. Have strong, engaged organizational leadership who agree to participate in learning activities, including in-person sessions and regularly scheduled calls; 5. Provide appropriate and timely in-person care coordination activities, as needed. If in person communication is not possible, alternative communication methods in addition to in person such as telehealth or telephonic contacts may also be utilized if culturally appropriate and accessible for the HHP member to enhance access to services for HHP members and families where geographic or other barriers exist and according to member choice; 6. Have the</p>

Provider Type	Description
	capacity to accompany HHP members to critical appointments, when necessary, to assist in achieving HAP goals; 7. Agree to accept any eligible HHP members assigned by the MCP, according to their contract with the MCP; 8. Demonstrate engagement and cooperation of area hospitals, Primary Care Practices and Behavioral Health Providers – through the development of agreements and processes - to collaborate with the CB-CME on care coordination; 9. Use HIT/HIE to link HHP services and share relevant information with other providers involved in the HHP member's care, in accordance with the HIT/HIE goals.

Teams of Health Care Professionals

Health Teams

## Health Homes Providers

MEDICAID | Medicaid State Plan | Health Homes | CA2018MS00070 | CA-18-0020 | Group 1 County of San Francisco and the population criterion of Serious Mental Illness or Serious Emotional Disturbance.

### Package Header

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### Provider Infrastructure

#### Describe the infrastructure of provider arrangements for Health Home Services

HHP services and care team providers will be added to California's Managed Care Plan (MCP) infrastructure (including all non-Cal Medi-Connect and non-specialty plans) to facilitate the expansion needed for enhanced HHP services to members enrolled in managed care. HHP is supported by the existing services provided in the managed care environment. The MCPs will leverage existing communication with their provider networks to facilitate the care planning, care coordination, and care transition coordination requirements of HHP, including the assignment of each HHP member to a Primary Care Provider. The MCPs also have existing relationships with the Medi-Cal County Specialty Mental Health plans (MHP) in each county to facilitate care coordination.

The HHP will be structured as a HHP network to provide care coordination. This network includes MCP, one or more Community Based Care Management Entity (CB-CME), and community and social support services (taken together as the health home). The delivery of HHP services will be accomplished through the partnership between MCP and CB-CME either through direct provision of HHP services, or through contractual arrangements with appropriate providers who will be providing components of the HHP services and planning and coordination of other services. MCPs contract directly with the State and will be responsible for the overall administration of the HHP, maintain overall responsibility for the HHP network, and receive HHP payment from the State. CB-CMEs will serve as the frontline provider of HHP services and will be rooted in the community. The vast majority of CB-CMEs will have contracts with the MCPs. In limited cases some duties may be provided under an MOU or MOA. In all cases there will be an agreement which will be either a contract, MOU or MOA between the MCPs and CB-CMEs. MCPs will certify and select organizations to serve as CB-CMEs. The CB-CMEs serve as the single community-based entities with responsibility, in conjunction with the MCP, for ensuring that an assigned HHP member receives access to HHP services. It is also the intent of the HHP to provide flexibility in how the CB-CMEs are organized. CB-CMEs may subcontract with other entities or individuals to perform some CB-CME duties. Regardless of subcontracting arrangements, CB-CMEs retain overall responsibility for all CB-CME duties that the CB-CME has agreed to perform for the MCP, either through direct CB-CME service or service the CB-CME has subcontracted to another provider. In situations in which the MCP can demonstrate that there are insufficient entities rooted in the community that are capable or willing to provide for the full range of CB-CME duties, the MCP can perform duties of the CB-CME, or subcontract with other entities to perform these duties, with advance approval from DHCS. In addition, the MCP may provide, or subcontract with another community based entity to provide, specific CB-CME duties to assist a CB-CME to provide the full range of CB-CME duties when this MCP assistance is the best organizational arrangement to promote HHP goals.

DHCS will require the following team members on a multi-disciplinary care team:

Dedicated Care Manager, HHP Director, Clinical Consultant, Community Health Workers (in appropriate roles at the discretion of the MCP) and Housing Navigator for HHP members experiencing homelessness. Required team members Qualifications:

- Dedicated Care Manager - Including but not limited to paraprofessional (with appropriate training) or licensed care manager, social worker, or nurse.
- HHP Director - Including but not limited to ability to manage multi-disciplinary care teams.
- Clinical Consultant - Including but not limited to clinician consultant(s), who may be primary care physician, specialist physician, psychiatrist, psychologist, pharmacist, registered nurse, advanced practice nurse, nutritionist, licensed clinical social worker, or other behavioral health care professional.
- Community Health Workers - Including but not limited to paraprofessional or peer advocate.
- Housing Navigator - Including but not limited to paraprofessional or other qualification based on experience and knowledge of the population and processes. The multi-disciplinary care team consists of staff employed by the CB-CME that provides HHP funded services. The team will primarily be located at the CB-CME organization, except as noted above regarding organization flexibility. The MCP may organize its provider network for HHP services according to provider availability and capacity, and network efficiency, while maximizing the stated HHP goals and HHP network goals. This MCP network flexibility includes centralizing certain roles that could be utilized across multiple CB-CMEs – and particularly low-volume CBCMEs – for efficiency, such as the director and clinical consultant roles. An HHP goal is to provide HHP services where members seek care. Staffing and the day-to-day care coordination should occur in the community and in accordance with the member's preference. In addition to required CB-CME team members, the MCP may choose to also make HHP-funded payments to providers that are not explicitly part of the CB-CME team, but who serve as the HHP member's service providers for participation in case conferences and information sharing in order to support the development and maintenance of the HHP member's Health Action Plan. The MCP may make such payments directly to the providers or through their CB-CME.

Additional team members, such as a pharmacist or nutritionist, may be included on the multi-disciplinary care team in order to meet the HHP member's individual care coordination needs. HAP planning and coordination will require participation of other providers who may not be part of the CB-CME multi-disciplinary care team, such as the involvement of a pharmacist for medication reconciliation for care transitions. It is the responsibility of the MCP to ensure their cooperation. The agreement and/or All Plan Letter (APL) language will include all appropriate CB-CME required responsibilities that are delegated to CB-CMEs under the HHP. This language will include, but not be limited to, staffing requirements, HHP network adequacy, relationship to for SMI/SED services with county mental health plans, monitoring and reporting requirements, and



standards for the six HHP services- Comprehensive Care Management, Care Coordination, Health Promotion, Transitional Care, Individual and Family Support, and Referrals to the Community; hospitals instructed to establish procedures for referring eligible to HHP providers; receive payment from DHCS and disperse funds to CB-CMEs; use of multi-disciplinary care teams, provision of cost effective culturally appropriate and person and family centered HHP services; coordinate access to high-quality health care services informed by evidence-based clinical practice guidelines, develop a person-centered care plan; use of health information technology to link services, facilitate communication among team members and between the health team and individual and family caregivers, and provide feedback to practices, as feasible and appropriate: establish a continuous quality improvement program, and collect and report on data that permits an evaluation of increased coordination of care and chronic disease management on individual-level clinical outcomes, experience of care outcomes, and quality of care outcomes at the population level.

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### Supports for Health Homes Providers

**Describe the methods by which the state will support providers of Health Homes services in addressing the following components**

1. Provide quality-driven, cost-effective, culturally appropriate, and person- and family- centered Health Homes services
2. Coordinate and provide access to high quality health care services informed by evidence-based clinical practice guidelines
3. Coordinate and provide access to preventive and health promotion services, including prevention of mental illness and substance use disorders
4. Coordinate and provide access to mental health and substance abuse services
5. Coordinate and provide access to comprehensive care management, care coordination, and transitional care across settings. Transitional care includes appropriate follow-up from inpatient to other settings, such as participation in discharge planning and facilitating transfer from a pediatric to an adult system of health care
6. Coordinate and provide access to chronic disease management, including self-management support to individuals and their families
7. Coordinate and provide access to individual and family supports, including referral to community, social support, and recovery services
8. Coordinate and provide access to long-term care supports and services
9. Develop a person-centered care plan for each individual that coordinates and integrates all of his or her clinical and non-clinical health-care related needs and services
10. Demonstrate a capacity to use health information technology to link services, facilitate communication among team members and between the health team and individual and family caregivers, and provide feedback to practices, as feasible and appropriate
11. Establish a continuous quality improvement program, and collect and report on data that permits an evaluation of increased coordination of care and chronic disease management on individual-level clinical outcomes, experience of care outcomes, and quality of care outcomes at the population level

#### Description

- 1.Require MCPs to have an adequate network.
- 2.Providers will follow existing managed care contractual requirements and guidance including maintenance of a quality improvement program, and provider training on evidenced-based practice guidelines.
- 3.DHCS will provide guidelines/requirements, including readiness tools to determine if MCPs and their network are ready to implement the HHP. The readiness tools will be used to conduct assessments of provider organizations identified by MCPs and the State as potential CB-CMEs. The assessment tool addresses staff composition, data infrastructure, etc.
- 4.An instructional program for care coordinators is being developed to include a series of instructional sessions for a patient-centered, high touch model of care management including, but not limited to online instruction, peer sharing through webinars, and multiple sessions on advanced care coordination beginning prior to implementation and continuing after implementation. Each stage of the care coordinator-patient partnership will be addressed in the curriculum (outreach, engagement, assessment, care plan development, and coordination of all services).
- 5.Materials developed under #4 above will be used as a base with the addition of new materials to establish a learning collaborative to educate providers before and after implementation with the appropriate tools and materials for successful program operation and to guarantee participation in quality improvement activities designed to improve performance of the HHPs and outcomes for the HHP members. Best practices and lessons learned will be analyzed and shared during teleconferences to support their usage. Topics will include development and implementation of communication techniques, engagement strategies, and care coordinator training. This learning collaborative will consist of a combination of statewide and regional meetings, webinars, teleconferences, and a provider's section of the State's HHP webpage.

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### Other Health Homes Provider Standards

**The state's requirements and expectations for Health Homes providers are as follows**

**MCPs**

1. Attribute assigned HHP members to CB-CMEs
2. Sub-contract with CB-CMEs for the provision of HHP services and ensure that CB-CMEs fulfill all required CB-CME duties and achieve HHP goals;
3. Notify the CB-CME of inpatient admissions and emergency department visits/discharges;
4. Track and share data with CB-CMEs regarding each participant's health history;
5. Track CMS-required quality measures and state-specific measures;
6. Collect, analyze and report financial measures, health status and other measures and outcome data to be reported during the State's evaluation process;
7. Provide member resources (e.g. customer service, member grievances) relating to HHP;
8. Receive payment from DHCS and disperse funds to CB-CMEs through collection and submission of claims/encounters by the CB-CME and per the contractual agreement made between the MCP and CB-CME;
9. Establish and maintain a data-sharing agreement that is compliant with all federal and state laws and regulations, when necessary, with other providers;
10. Ensure access to timely services for HHP members, including seeing HHP members within established length of time from discharge from an acute care stay (The length of time will be established by DHCS as part of the MCP Request for Application and readiness process);
11. Ensure network compliance with 42 CFR 438.206, as applicable.
12. Ensure CB-CME care manager aggregate ratio for their enrolled population is 60 members per one care manager.
13. Ensure participation by HHP members' MCP contracted providers who are not included formally on the CB-CME's multi-disciplinary HHP team but who are responsible for coordinating with the CB-CME care manager to conduct case conferences and to provide input to the Health Action Plan. These providers are separate and distinct from the roles outlined for the multi-disciplinary HHP team.
14. Develop CB-CME training tools and reporting capabilities.
15. Have strong oversight and perform regular auditing and monitoring activities to ensure that all care management requirements are completed.
16. Develop and implement a documented policy regarding the steps that will be taken by MCP or CB-CME staff to engage members. The steps may be different for different types of members. For example, the steps may start with in-person engagement for homeless members at a shelter. For others it may start with a letter, and then progress to multiple calls, contact with their PCP, or other means until the member is successfully contacted and either enrolls or declines enrollment. The full policy process will have to be completed within an amount of time specified in the policy, such as 90 days.

**CB-CMEs**

1. Responsible for care team staffing, according to HHP required staffing ratios to be determined by DHCS, and oversight of direct delivery of the core HHP services;
2. Implement systematic processes and protocols to ensure member access to the multi-disciplinary HHP team and overall care coordination;
3. Ensure person-centered and integrated health action planning that coordinates and integrates all of the HHP member's clinical and non-clinical health care related needs and services and social services needs and services;
4. Collaborate with and engage HHP members in developing a HAP and reinforcing/maintaining/reassessing it in order to accomplish stated goals;
5. Coordinate with authorizing and prescribing entities as necessary to reinforce and support the HHP member's health action goals, conducting case conferences as needed in order to ensure HHP member care is integrated among providers;
6. Support the HHP member in obtaining and improving self-management skills to prevent negative health outcomes and improve health;
7. Provide evidence-based care;
8. Manage referrals, coordination and follow-up to needed services and supports; actively maintain a directory of community partners and a process ensuring appropriate referrals and follow up;
9. Support HHP members and families during discharge from hospital and institutional settings, including providing evidence-based transition planning;
10. Accompany the HHP member to critical appointments (when necessary and in accordance with MCP HHP policy);
11. Provide service in the community in which the HHP member lives so services can be provided in-person, if needed;
12. Coordinate with the HHP member's MCP nurse advice line, which provides 24-hour, seven days a week availability of information and emergency consultation services to HHP members;
13. Provide quality-driven, cost-effective HHP services in a culturally competent and trauma informed manner that addresses health disparities and improves health literacy.

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No items available		

# Health Homes Service Delivery Systems

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**Identify the service delivery system(s) that will be used for individuals receiving Health Homes services**

- Fee for Service
- PCCM
- Risk Based Managed Care

**The Health Plans will be a Designated Provider or part of a Team of Health Care Professionals**

- Yes
- No

**Provide a summary of the contract language that you will impose on the Health Plans in order to deliver the Health Homes services**

MCP agreement and/or APL language will include but not be limited to staffing requirements, HHP network adequacy, relationship for SMI/SED services with county mental health plans, monitoring and reporting requirements, and standards for the six HHP services, hospitals instructed to establish procedures for referring eligible to HHP providers; receive payment from DHCS and disperse funds to CB-CMEs; use of multi-disciplinary care teams, provide cost effective, culturally appropriate, and person and family centered HHP services, coordinate access to high-quality health care services informed by evidence-based clinical practice guidelines, develop a person-centered care plan; use of health information technology to link services, facilitate communication among team members and between the health team and individual and family caregivers, and provide feedback to practices, as feasible and appropriate: establish a continuous quality improvement program, and collect and report on data that permits an evaluation of increased coordination of care and chronic disease management on individual-level clinical outcomes, experience of care outcomes, and quality of care outcomes at the population level.

- The State provides assurance that any contract requirements specified in this section will be included in any new or the next contract amendment submitted to CMS for review.

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No items available	

**The State intends to include the Health Home payments in the Health Plan capitation rate**

- Yes
- No

**Assurances**  The State provides an assurance that at least annually, it will submit to the regional office as part of their capitated rate Actuarial certification a separate Health Homes section which outlines the following:

- Any program changes based on the inclusion of Health Homes services in the health plan benefits
- Estimates of, or actual (base) costs to provide Health Homes services (including detailed a description of the data used for the cost estimates)
- Assumptions on the expected utilization of Health Homes services and number of eligible beneficiaries (including detailed description of the data used for utilization estimates)
- Any risk adjustments made by plan that may be different than overall risk adjustments
- How the final capitation amount is determined in either a percent of the total capitation or an actual PMPM

The State provides assurance that it will design a reporting system/mechanism to monitor the use of Health Homes services by the plan ensuring appropriate documentation of use of services

The State provides assurance that it will complete an annual assessment to determine if the payments delivered were sufficient to cover the costs to deliver the Health Homes services and provide for adjustments in the rates to compensate for any differences found

Other Service Delivery System

## Health Homes Payment Methodologies

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### Payment Methodology

The State's Health Homes payment methodology will contain the following features

- Fee for Service
- PCCM (description included in Service Delivery section)
- Risk Based Managed Care (description included in Service Delivery section)
- Alternative models of payment, other than Fee for Service or PMPM payments (describe below)

## Health Homes Payment Methodologies

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### Assurances

The State provides assurance that it will ensure non-duplication of payment for services similar to Health Homes services that are offered/covered under a different statutory authority, such as 1915(c) waivers or targeted case management.

**Describe below how non-duplication of payment will be achieved** DHCS will ensure non duplication of services through several mechanisms. First, through policies and guidance letters to the health plans. Second, because there are similar comprehensive case management components within the targeted case management and 1915 (c) community based services waiver programs, eligible members must choose between HHP and the other programs with similar comprehensive case management components. Lastly, agreement and/or APL language, and policies will be developed to assure that there is no duplication of payment for HHP services including, but not limited to the requirement that providers may not designate as a HHP service any activity that has already been billed to or counted towards a service requirement for another Medicaid program.

#### Payment Methodology

The MCPs will be responsible for negotiating contracts and payments to qualified CB-CMEs or other providers to ensure the delivery of HHP services. MCPs will receive a payment for HHP services through the capitation rates based on a prospective, riskbased methodology that uses a hybrid approach of payment through the existing capitation rate structure for all MCP members and a new monthly add-on risk based PMPM payment for HHP enrolled members during the ongoing service delivery period.

DHCS Payments to MCPs – The rates will be developed with the assistance of DHCS’ actuaries. DHCS will develop assumptions about member acuity and intensity of service needs to facilitate the development of capitation rates.

Within the existing capitation rate structure, DHCS will identify the amounts currently included in capitation payments that reflect DHCS’ assessment of the overlap between HHP requirements and requirements currently in the MCP contracts. This amount will be counted as HHP services to be claimed at 90% FFP match (for traditional populations; expansion populations will align to the applicable FFP match). Certain components of health home services are currently being performed as part of the utilization management functions of the MCPs and these expenditures are included within the existing capitation rate structure and combined with other services. For these expenditures, DHCS will utilize MCP reporting and work with DHCS actuaries to estimate the portion of the capitation payment attributable to health home services. Any of the health home services may be currently performed as part of the MCPs’ functions and this may likely vary by MCP. An analysis of the detailed services being provided today will be undertaken to determine an appropriate factor for calculating the current amount being provided within the rates today. This analysis will be similar in nature to the structure currently utilized for family planning services.

The new add-on PMPM monthly payment will reflect the additional amounts necessary to account for the full package of HHP services and the projected costs to successfully engage and manage HHP members. The methodology for determining the add-on PMPM rate is as follows: DHCS will develop assumptions about acuity and intensity of service needs in order to estimate the cost associated with health homes and to determine the appropriate supplemental capitation payment for verified health home members. Since some health homes services are currently performed as part of existing MCPs functions, DHCS will utilize available information including plan reported data to inform the assumptions underlying the supplemental capitation payment. An analysis of the services being provided today will be undertaken to determine an appropriate factor for calculating the current amount being provided within the rates and DHCS will exclude these costs from the development of the supplemental capitation payment to avoid duplication. Therefore, the final add-on PMPM monthly payment will reflect the additional amounts necessary to account for the full package of health homes services. For the new services and costs associated with health homes, DHCS will make the supplemental capitation payment for verified health home members. This add-on PMPM monthly payment will be claimed at 90% or expansion level FFP match. The add-on PMPM payments are turned on/off based upon each member’s enrollment/disenrollment from the HHP.

HHP will utilize the MCPs’ existing communication and reporting capabilities to perform encounter reporting for Health Home Services.

HHP services when provided by an FQHC or RHC, shall be compensated separately from, and in addition



to, the prospective payment rate received by an FQHC or RHC. This additional rate shall be deemed a supplemental rate for services not already included in the PPS rate calculation and shall therefore not be subject to a reconciliation or other reductions.

- The state has developed payment methodologies and rates that are consistent with section 1902(a)(30)(A).
- The State provides assurance that all governmental and private providers are reimbursed according to the same rate schedule, unless otherwise described above.
- The State provides assurance that it shall reimburse providers directly, except when there are employment or contractual arrangements consistent with section 1902(a)(32).

### Optional Supporting Material Upload

Name	Date Created	
No items available		

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## Service Definitions

**Provide the state's definitions of the following Health Homes services and the specific activities performed under each service**

### Comprehensive Care Management

**Definition**

Comprehensive Care Management involves activities related to engaging and collaborating with members and their family/support persons to develop their HAP. The HAP incorporates the member's needs in the areas of physical health, mental health, SUD, community-based LTSS, palliative care, trauma-informed care needs, social supports, and, as appropriate for individuals experiencing homelessness, housing. The HAP is based on the needs and desires of the member and is reassessed based on the member's progress or changes in their needs. It tracks referrals. Comprehensive care management may include case conferences to ensure that the member's care is continuous and integrated among all service providers. The member will be engaged through various electronic means, letters, community outreach, and in-person meetings where the member lives, seeks care, or is accessible. Communication/information will meet health literacy standards, trauma-informed care standards and be culturally appropriate.

Comprehensive care management services include, but are not limited to

- Engaging the member in HHP and in their own care
- Assessing the HHP member's readiness for self-management using screenings and assessments with standardized tools
- Promoting the member's self-management skills to increase their ability to engage with providers
- Supporting the achievement of the member's self-directed, individualized health goals to improve their functional or health status, or prevent or slow functional declines
- Completing a comprehensive health risk assessment to identify the member's physical, mental health, substance use, and social service needs
- Developing a member's HAP and revising it as appropriate
- Reassessing a member's health status, needs and goals
- Coordinating and collaborating with all involved parties to promote continuity and consistency of care
- Clarifying roles and responsibilities of the multidisciplinary team, providers, member and family/support persons

**Describe how Health Information Technology will be used to link this service in a comprehensive approach across the care continuum**

Comprehensive care management will be supported through varying methods throughout the state. Parts of the state are very connected via health information exchange that includes providers, facilities, public health and other entities to exchange structured electronic data. Other parts of the state have minimal health information exchange infrastructure. The state and federal government have made significant investments for providers to adopt electronic health records through the EHR Incentive Programs, the Mental Health Services Act support for Specialty Mental Health, and the other HITECH programs. This will be built upon by the MCPs, CB-CMEs and external providers to support electronic health information exchange for HHP.

**Scope of service**

**The service can be provided by the following provider types**

Behavioral Health Professionals or Specialists

**Description**

Provide clinical consultant services at the MCP or CB-CME. These services include but are not limited to review and inform HAP; act as clinical resource for care manager, as needed; and facilitate access to primary care and behavioral health providers, as needed to assist care manager.

Nurse Practitioner

Nurse Care Coordinators

Nurses

**Description**

Provide clinical consultant services at the MCP or CB-CME. These services include but are not limited to review and inform HAP; act as clinical resource for care manager, as needed; and facilitate access to primary care and behavioral health providers, as needed to assist care manager.

Medical Specialists

**Description**

Provide clinical consultant services at the MCP or CB-CME. These services include but are not limited to review and inform HAP; act as clinical resource for care manager, as needed; and facilitate access to primary care and behavioral health providers, as needed to assist care manager.

Physicians

**Description**

Provide clinical consultant services at the MCP or CB-CME. These services include but are not limited to review and inform HAP; act as clinical resource for care manager, as needed; and facilitate access to primary care and behavioral health providers, as needed to assist care manager.

Physician's Assistants

Pharmacists

**Description**

Provide clinical consultant services at the MCP or CB-CME. These services include but are not limited to review and inform HAP; act as clinical resource for care manager, as needed; and facilitate access to primary care and behavioral health providers, as needed to assist care manager.

Social Workers

**Description**

Provide dedicated care manager or clinical consultant services at the MCP or CB-CME. These services include but are not limited to oversee provision of HHP services and implementation of HAP; offer services where the HHP member lives, seek care, or finds most easily accessible and within MCP guidelines; connect HHP member to other social services he/she may need; advocate on behalf of members with health care professionals; use motivational interviewing and trauma informed care practices; work with hospital staff on discharge plan; engage eligible HHP members; accompany HHP member to office visits, as needed and according to MCP guidelines; monitor treatment adherence (including medication); provide health promotion and self-management training; arrange transportation; assist with linkage to social supports; have overall responsibility for management and operation of the team; have responsibility for quality measures and reporting for the team; call HHP member to facilitate HHP visit with care manager; review and inform HAP; act as clinical resource for care manager, as needed; and facilitate access to primary care and behavioral health providers, as needed to assist care manager.

Doctors of Chiropractic

Licensed Complementary and alternative Medicine Practitioners

Dietitians

Nutritionists

**Description**

Provide clinical consultant services at the MCP or CB-CME. These services include but are not limited to review and inform HAP; act as clinical resource for care manager, as needed; and facilitate access to primary care and behavioral health providers, as needed to assist care manager.

Other (specify)

Provider Type	Description
Dedicated Care Managers, Community Health Worker, HHP Director , Housing Navigator	Dedicated Care Managers, Community Health Worker, HHP Director , Housing Navigator and other Care manager services include but are not limited to oversee provision of HHP services and implementation of HAP; offer services where the HHP member lives, seek care, or finds most easily accessible and within MCP guidelines; connect HHP member to other social services he/she may need; advocate on behalf of members with health care professionals; use motivational interviewing and trauma-informed care practices; work with hospital staff on discharge plan; engage eligible HHP members; accompany

Provider Type	Description
	<p>HHP member to office visits, as needed and according to MCP guidelines; monitor treatment adherence (including medication); provide self management training; arrange transportation; assist with linkage to social supports; have overall responsibility for management and operation of the team; have responsibility for quality measures and reporting for the team; call HHP member to facilitate HHP visit with care manager. CHW services include but not limited to, engage eligible HHP members; accompany member to office visits, as needed, and in the most easily accessible setting, within MCP guidelines; self-management training; arrange transportation; assist with linkage to social supports; call member to facilitate visit with care manager. Director services include but not limited to, oversight of team; direct provision of services, case conferences; information sharing, reporting and design/implement HHP. Housing navigator services include but not limited to, form and foster relationships with housing agencies and permanent housing providers, including supportive housing providers; partner with housing agencies and providers to offer the member permanent, independent housing options, including supportive housing; connect and assist the member to get available permanent housing; coordinate with member in the most easily accessible setting, within MCP guidelines. Other- other provider types will be included by MCPs to provide services as needed based on member's health needs.</p>

**Care Coordination**

**Definition**

Care coordination includes services to implement the member's HAP. Care coordination services begin once a HAP is completed. For members, these care coordination services will integrate with current MCP care coordination activities, but will require a higher level of service than current MCP requirements. Care coordination may include case conferences in order to ensure that the member's care is continuous & integrated among all providers. Care coordination may include engagement activities notifying the individual of linkage to a CB-BME and supporting the participation process. HHP services will be provided through various electronic means, letters, & in-person meetings where the member lives, seeks care, or is accessible. These services will meet health literacy standards, trauma informed care standards, & be culturally appropriate. Care coordination services address the implementation of the HAP & ongoing care coordination and include, but are not limited to

- Working with the member to implement, update, & maintain their HAP
- Assisting the member in navigating health, behavioral health, long term services & support; and social services systems, including housing.
- Sharing options with the member for accessing care, providing information to the member regarding care planning, facilitating communication & understanding
- Monitoring/supporting treatment adherence (including medication management & reconciliation)
- Managing referrals, coordination, and follow-up to needed services/supports to ensure needed services/supports are offered & accessed
- Sharing information with all involved parties to monitor the member's conditions, health status, & medications and side effects
- Assisting in attainment of the member's goals
- Identifying & addressing barriers to treatment adherence
- Encouraging the member's decision making & continued participation
- Creating and promoting linkages to other services/supports
- Accompanying members to appointments

**Describe how Health Information Technology will be used to link this service in a comprehensive approach across the care continuum**

HHP providers will work with MCPs or a qualified entity to securely access patient data and to develop partnerships that maximize the use of HIT across providers (i.e. hospitals). HHP providers will utilize HIT to create, document, execute and update a plan of care for every patient that is accessible to the interdisciplinary team of providers. HHP providers will also be encouraged to utilize HIT to monitor patient outcomes, initiate changes in care and follow up on patient testing, treatments, services and referrals. The HHP will promote the use of web-based health information technology registries and referral tracking systems that leverage electronic health information exchange and technology in the community.

**Scope of service**

**The service can be provided by the following provider types**

- Behavioral Health Professionals or Specialists

**Description**

Provide clinical consultant services at the MCP or CB-CME. These services include but are not limited to review and inform HAP; act as clinical resource for care manager, as needed; and facilitate access to primary care and behavioral health providers, as needed to assist care manager.

- Nurse Practitioner

Nurse Care Coordinators

Nurses

**Description**

Provide clinical consultant services at the MCP or CB-CME. These services include but are not limited to review and inform HAP; act as clinical resource for care manager, as needed; and facilitate access to primary care and behavioral health providers, as needed to assist care manager.

Medical Specialists

**Description**

Provide clinical consultant services at the MCP or CB-CME. These services include but are not limited to review and inform HAP; act as clinical resource for care manager, as needed; and facilitate access to primary care and behavioral health providers, as needed to assist care manager.

Physicians

**Description**

Provide clinical consultant services at the MCP or CB-CME. These services include but are not limited to review and inform HAP; act as clinical resource for care manager, as needed; and facilitate access to primary care and behavioral health providers, as needed to assist care manager.

Physician's Assistants

Pharmacists

**Description**

Provide clinical consultant services at the MCP or CB-CME. These services include but are not limited to review and inform HAP; act as clinical resource for care manager, as needed; and facilitate access to primary care and behavioral health providers, as needed to assist care manager.

Social Workers

**Description**

Provide dedicated care manager or clinical consultant services at the MCP or CB-CME. These services include but are not limited to oversee provision of HHP services and implementation of HAP; offer services where the HHP member lives, seek care, or finds most easily accessible and within MCP guidelines; connect HHP member to other social services he/she may need; advocate on behalf of members with health care professionals; use motivational interviewing and trauma informed care practices; work with hospital staff on discharge plan; engage eligible HHP members; accompany HHP member to office visits, as needed and according to MCP guidelines; monitor treatment adherence (including medication); provide health promotion and self-management training; arrange transportation; assist with linkage to social supports; have overall responsibility for management and operation of the team; have responsibility for quality measures and reporting for the team; call HHP member to facilitate HHP visit with care manager; review and inform HAP; act as clinical resource for care manager, as needed; and facilitate access to primary care and behavioral health providers, as needed to assist care manager.

Doctors of Chiropractic

Licensed Complementary and alternative Medicine Practitioners

Dieticians

Nutritionists

**Description**

Provide clinical consultant services at the MCP or CB-CME. These services include but are not limited to review and inform HAP; act as clinical resource for care manager, as needed; and facilitate access to primary care and behavioral health providers, as needed to assist care manager.

Other (specify)

Provider Type	Description

Provider Type	Description
Dedicated Care Managers, Community Health Worker, HHP Director , Housing Navigator	Dedicated Care Managers, Community Health Worker, HHP Director , Housing Navigator and other Care manager services include but are not limited to oversee provision of HHP services and implementation of HAP; offer services where the HHP member lives, seek care, or finds most easily accessible and within MCP guidelines; connect HHP member to other social services he/she may need; advocate on behalf of members with health care professionals; use motivational interviewing and trauma-informed care practices; work with hospital staff on discharge plan; engage eligible HHP members; accompany HHP member to office visits, as needed and according to MCP guidelines; monitor treatment adherence (including medication); provide self- management training; arrange transportation; assist with linkage to social supports; have overall responsibility for management and operation of the team; have responsibility for quality measures and reporting for the team; call HHP member to facilitate HHP visit with care manager. CHW services include but not limited to, engage eligible HHP members; accompany member to office visits, as needed, and in the most easily accessible setting, within MCP guidelines; self-management training; arrange transportation; assist with linkage to social supports; call member to facilitate visit with care manager. Director services include but not limited to, oversight of team; direct provision of services, case conferences; information sharing, reporting and design/implement HHP. Housing navigator services include but not limited to, form and foster relationships with housing agencies and permanent housing providers, including supportive housing providers; partner with housing agencies and providers to offer the member permanent, independent housing options, including supportive housing; connect and assist the member to get available permanent housing; coordinate with member in the most easily accessible setting, within MCP guidelines. Other- other provider types will be included by MCPs to provide services as needed based on member's health needs.

**Health Promotion**

**Definition**

Health promotion includes services to encourage and support members to make lifestyle choices based on healthy behavior, with the goal of motivating members to successfully monitor and manage their health. Members will develop skills that enable them to identify and access resources to assist them in managing their conditions and preventing other chronic conditions. HHP services will be provided through various electronic means, letters, mailings, community outreach, and in-person meetings where the member lives, seeks care, or is accessible.

Communication and information will

meet health literacy standards, trauma informed care standards, and be culturally appropriate.

Health promotion services include, but are not limited to

- Encouraging and supporting health education for the member/family/support persons
- Coaching members/family/support persons about chronic conditions and ways to manage health conditions based on the member's preferences
- Connecting the member to self-care programs to help increase their understanding of their conditions and care plan
- Promoting engagement of the member and family/support persons in self-management and decision making
- Encouraging and facilitating routine preventive care such as flu shots and cancer screenings
- Linking the member to resources for smoking cessation management of member chronic conditions; self-help recovery resources; and other services based on member needs and preferences
- Assessing the member's and family/support persons' understanding of the member's health condition and motivation to engage in self-management
- Using evidence-based practices, such as motivational interviewing, to engage and help member participate in and manage their care.

**Describe how Health Information Technology will be used to link this service in a comprehensive approach across the care continuum**

HHP providers will work with MCPs or a qualified entity to securely access patient data and to develop partnerships that maximize the use of HIT across providers. HHP providers will utilize HIT to promote, link, manage and follow up on member health promotion activities. The HHP will leverage electronic health information exchange and technology in the community, including reporting regarding health promotion activities that is required as part of the EHR Incentive Program Meaningful Use and Quality Measures.

**Scope of service**

**The service can be provided by the following provider types**

- Behavioral Health Professionals or Specialists

**Description**

- Nurse Practitioner
- Nurse Care Coordinators
- Nurses

Provide clinical consultant services at the MCP or CB-CME to encourage and support health education for the member/family/support persons. These services include but are not limited to review and inform HAP; act as clinical resource for care manager, as needed; and facilitate access to primary care and behavioral health providers, as needed to assist care manager to encourage and support health education for the member/family/support persons.

**Description**

Provide clinical consultant services at the MCP or CB-CME to encourage and support health education for the member/family/support persons. These services include but are not limited to coach members about chronic conditions and ways to manage health conditions, connect the member to self-care programs, promote engagement, encourage/facilitate routine preventive care; assess understanding of member's health condition and motivation to engage in self- management; review and inform HAP; act as clinical resource for care manager, as needed; and facilitate access to primary care and behavioral health providers, as needed to assist care manager to encourage and support health education for the member/family/support persons.

- Medical Specialists

**Description**

Provide clinical consultant services at the MCP or CB-CME to encourage and support health education for the member/family/support persons. These services include but are not limited to limited to coach members about chronic conditions and ways to manage health conditions, connect the member to self-care programs, promote engagement, encourage/facilitate routine preventive care; assess understanding of member's health condition and motivation to engage in self -management; review and inform HAP; act as clinical resource for care manager, as needed; and facilitate access to primary care and behavioral health providers, as needed to assist care manager to encourage and support health education for the member/family/support persons

- Physicians

**Description**

Provide clinical consultant services at the MCP or CB-CME to encourage and support health education for the member/family/support persons. These services include but are not limited to limited to coach members about chronic conditions and ways to manage health conditions, connect the member to self-care programs, promote engagement, encourage/facilitate routine preventive care; assess understanding of member's health condition and motivation to engage in self management; review and inform HAP; act as clinical resource for care manager, as needed; and facilitate access to primary care and behavioral health providers, as needed to assist care manager to encourage and support health education for the member/family/support persons.

- Physician's Assistants

- Pharmacists

**Description**

Provide clinical consultant services at the MCP or CB-CME to encourage and support health education for the member/family/support persons. These services include but are not limited to limited to coach members about chronic conditions and ways to manage health conditions, connect the member to self-care programs, promote engagement, encourage/facilitate routine preventive care; assess understanding of member's health condition and motivation to engage in self -management; review and inform HAP; act as clinical resource for care manager, as needed; and facilitate access to primary care and behavioral health providers, as needed to assist care manager to encourage and support health education for the member/family/support persons

Social Workers

**Description**

Provide dedicated care manager or clinical consultant services at the MCP or CB-CME to encourage and support health education for the member/family/support persons. These services include but are not limited to limited to coach members about chronic conditions and ways to manage health conditions, connect the member to self-care programs, promote engagement, encourage/facilitate routine preventive care; assess understanding of member's health condition and motivation to engage in self-management; oversee provision of HHP services and implementation of HAP; offer services where the HHP member lives, seek care, or finds most easily accessible and within MCP guidelines; connect HHP member to other social services he/she may need; advocate on behalf of members with health care professionals; use motivational interviewing and trauma-informed care practices; work with hospital staff on discharge plan; engage eligible HHP members; accompany HHP member to office visits, as needed and according to MCP guidelines; monitor treatment adherence (including medication); provide health promotion and self-management training; arrange transportation; assist with linkage to social supports; have overall responsibility for management and operation of the team; have responsibility for quality measures and reporting for the team; call HHP member to facilitate HHP visit with care manager; review and inform HAP; act as clinical resource for care manager, as needed; and facilitate access to primary care and behavioral health providers, as needed to assist care manager to encourage and support health education for the member/family/support persons, adherence (including medication); provide health promotion and self-management training; arrange transportation; assist with linkage to social supports; have overall responsibility for management and operation of the team; have responsibility for quality measures and reporting for the team; call HHP member to facilitate HHP visit with care manager; review and inform HAP; act as clinical resource for care manager, as needed; and facilitate access to primary care and behavioral health providers, as needed to assist care manager.

Doctors of Chiropractic

Licensed Complementary and alternative Medicine Practitioners

Dieticians

Nutritionists

**Description**

Provide clinical consultant services at the MCP or CB-CME to encourage and support health education for the member/family/support persons. These services include but are not limited to review and inform HAP; act as clinical resource for care manager, as needed; and facilitate access to primary care and behavioral health providers, as needed to assist care manager to encourage and support health education for the member/family/support persons.

Other (specify)

Provider Type	Description
Dedicated Care Manager, Community Health Worker, HHP Director, Housing Navigator and Other	Dedicated Care Manager, Community Health Worker, HHP Director, Housing Navigator and other. Care manager services include but are not limited to oversee provision of HHP services and implementation of HAP; offer services where the HHP member lives, seek care, or finds most easily accessible and within MCP guidelines; connect HHP member to other social services he/she may need; advocate on behalf of members with health care professionals; use motivational interviewing and trauma-informed care practices; work with hospital staff on discharge plan; engage eligible HHP members; accompany HHP member to office visits, as needed and according to MCP guidelines; monitor treatment adherence (including medication); provide health promotion and self-management training; arrange transportation; assist with linkage to social supports; have overall



Provider Type	Description
	responsibility for management and operation of the team; have responsibility for quality measures and reporting for the team; call HHP member to facilitate HHP visit with care manager. CHW services include but not limited to, engage eligible HHP members; accompany member to office visits, as needed, and in the most easily accessible setting, within MCP guidelines; health promotion and self-management training; arrange transportation; assist with linkage to social supports; distribute health promotion materials; call member to facilitate visit with care manager. Director services may include but not limited to, oversight of team; direct provision of health promotion services, case conferences; information sharing' reporting and design/implement HHP. Other- other provider types will be included by MCPs to provide services as needed based on member's health needs.

**Comprehensive Transitional Care from Inpatient to Other Settings (including appropriate follow-up)**

**Definition**

Comprehensive Transitional Care includes services to facilitate HHP members' transitions among treatment facilities, including admissions and discharges. In addition, comprehensive transitional care reduces avoidable HHP member admissions and readmissions. Agreements and processes to ensure prompt notification to the member's care coordinator and tracking of member's admission or discharge to/from an emergency department, hospital inpatient facility, residential/treatment facility are required. Methods to promote sharing of information on transitions to/from transitional and/or permanent supportive housing, incarceration facility, or other treatment center are encouraged as appropriate. The member and family/support persons will be assisted through emails, texts, phone calls, letters, and in-person meetings. Communication and information will meet health literacy standards, trauma informed care standards, and be culturally appropriate.

Comprehensive Transitional Care services include, but are not limited to:

- Transmitting a summary care record or discharge summary to all involved parties
- Providing medication information and reconciliation
- Planning timely scheduling of follow-up appointments with recommended outpatient providers and/or community partners
- Collaborating, communicating, and coordinating with all involved parties
- Easing the member's transition by addressing their understanding of rehabilitation activities, self-management activities, and medication management
- Planning appropriate care/place to stay post-discharge, including temporary housing or stable housing and social services
- Arranging transportation for transitional care, including medical appointments
- Developing and facilitating the member's transition plan
- Preventing and tracking avoidable admissions and readmissions
- Evaluating the need to revise the member's HAP
- Providing transition support to permanent housing

**Describe how Health Information Technology will be used to link this service in a comprehensive approach across the care continuum**

HHP providers will work with MCPs or a qualified entity to securely access patient data and to develop partnerships that maximize the use of HIT across providers. HHP providers will utilize HIT to communicate with health facilities and to facilitate interdisciplinary collaboration among all providers, the patient, family, caregivers and local supports. The HHP will leverage electronic health information exchange and technology in the community, including reporting regarding transition of care activities that is required as part of the EHR Incentive Program Meaningful Use and Quality Measures.

**Scope of service**

**The service can be provided by the following provider types**

- Behavioral Health Professionals or Specialists

**Description**

Provide clinical consultant services at the MCP or CB-CME to facilitate HHP members' transitions among treatment facilities, including admission and discharges. These services include but are not limited to provide medication information, plan timely scheduling of follow-up appointments, plan appropriate care, develop and facilitate member's transition plan; review and inform HAP; act as clinical resource for care manager, as needed; and facilitate access to primary care and behavioral health providers, as needed to assist care manager.

- Nurse Practitioner
- Nurse Care Coordinators
- Nurses

**Description**

Medical Specialists

Provide clinical consultant services at the MCP or CB-CME to facilitate HHP members' transitions among treatment facilities, including admission and discharges. These services include but are not limited to provide medication information, plan timely scheduling of follow-up appointments, plan appropriate care, develop and facilitate member's transition plan; review and inform HAP; act as clinical resource for care manager, as needed; and facilitate access to primary care and behavioral health providers, as needed to assist care manager.

**Description**

Provide clinical consultant services at the MCP or CB-CME to facilitate HHP members' transitions among treatment facilities, including admission and discharges. These services include but are not limited to provide medication information, plan timely scheduling of follow-up appointments, plan appropriate care, develop and facilitate member's transition plan; review and inform HAP; act as clinical resource for care manager, as needed; and facilitate access to primary care and behavioral health providers, as needed to assist care manager

Physicians

**Description**

Provide clinical consultant services at the MCP or CB-CME to facilitate HHP members' transitions among treatment facilities, including admission and discharges. These services include but are not limited to provide medication information, plan timely scheduling of follow-up appointments, plan appropriate care, develop and facilitate member's transition plan; review and inform HAP; act as clinical resource for care manager, as needed; and facilitate access to primary care and behavioral health providers, as needed to assist care manager.

Physician's Assistants

Pharmacists

**Description**

Provide clinical consultant services at the MCP or CB-CME to facilitate HHP members' transitions among treatment facilities, including admission and discharges. These services include but are not limited to provide medication information, plan timely scheduling of follow-up appointments, plan appropriate care, develop and facilitate member's transition plan; review and inform HAP; act as clinical resource for care manager, as needed; and facilitate access to primary care and behavioral health providers, as needed to assist care manager

Social Workers

**Description**

Provide dedicated care manager or clinical consultant services at the MCP or CB-CME to facilitate HHP members' transitions among treatment facilities, including admission and discharges. These services include but are not limited to oversee provision of HHP services and implementation of HAP; offer services where the HHP member lives, seek care, or finds most easily accessible and within MCP guidelines; connect HHP member to other social services he/she may need; advocate on behalf of members with health care professionals; use motivational interviewing and trauma-informed care practices; work with hospital staff on discharge plan; engage eligible HHP members; accompany HHP member to office visits, as needed and according to MCP guidelines; monitor treatment adherence (including medication); provide health promotion and self-management training; arrange transportation; assist with linkage to social supports; have overall responsibility for management and operation of the team; have responsibility for quality measures and reporting for the team; call HHP member to facilitate HHP visit with care manager; review and inform HAP; act as clinical resource for care manager, as needed; and facilitate access to primary care and behavioral health providers, as needed to assist care manager.

Doctors of Chiropractic

Licensed Complementary and alternative Medicine Practitioners

- Dieticians
- Nutritionists

**Description**

Provide clinical consultant services at the MCP or CB-CME to facilitate HHP members' transitions among treatment facilities, including admission and discharges. These services include but are not limited to provide medication information, plan timely scheduling of follow-up appointments, plan appropriate care, develop and facilitate member's transition plan; review and inform HAP; act as clinical resource for care manager, as needed; and facilitate access to primary care and behavioral health providers, as needed to assist care manager

- Other (specify)

Provider Type	Description
Dedicated Care Manager, Community Health Worker, HHP Director, Housing Navigator and Other	Care Manager services include but are not limited to oversee provision of HHP services & implementation of HAP; offer services where the HHP member lives, seek care, or finds most easily accessible & within MCP guidelines; connect HHP member to other social services he/she may need; advocate on behalf of members with health care professionals; use motivational interviewing & trauma-informed care practices; work with hospital staff on discharge plan; engage eligible HHP members; accompany HHP member to office visits, as needed & according to MCP guidelines; monitor treatment adherence (including medication); provide self-management training; arrange transportation; assist with linkage to social supports; have overall responsibility for management & operation of the team; have responsibility for quality measures & reporting for the team; call HHP member to facilitate HHP visit with care manager. CHW services include but not limited to, engage eligible HHP members; accompany member to office visits, as needed, & in the most easily accessible setting, within MCP guidelines; self-management training; arrange transportation; assist with linkage to social supports; call member to facilitate visit with care manager. Director services may include but not limited to, oversight of team; direct provision of comprehensive transitional care services, case conferences; reporting & HHP implementation. Housing navigator services include but not limited to, form & foster relationships with housing agencies & permanent housing providers, including supportive housing providers; partner with housing agencies & providers to offer the member permanent, independent housing options, including supportive housing; connect & assist the member to get available permanent housing; coordinate with member in the most easily accessible setting, within MCP guidelines. Other- other provider types will be included by MCPs to provide services as needed based on member's health needs.

**Individual and Family Support (which includes authorized representatives)**

**Definition**

Individual and family support services include activities that ensure that the HHP member and family/support persons are knowledgeable about the member's conditions with the overall goal of improving their adherence to treatment and medication management. Individual and family support services also involve identifying supports needed for the member and family/support persons to manage the member's condition and assisting them to access these support services. The member and family/support persons will be assisted through e-mails, texts, phone calls, letters, and in-person meetings where the member lives, seeks care, or is accessible. Communication and information will meet health literacy standards, trauma informed care standards, and be culturally appropriate.

Individual and family support services include, but are not limited to

- Linking the member and family/support persons to peer supports and/or support groups to educate, motivate and improve self-management
- Determining when member and family/support persons are ready to receive and act upon information provided and assist them with making informed choices
- Advocating for the member and family/support persons to identify and obtain needed resources (e.g. transportation) that support their ability to meet their health goals
- Accompanying the member to clinical appointments, when necessary
- Assessing the strengths and needs of the member and family/support persons
- Identifying barriers to improving their adherence to treatment and medication management
- Evaluating family/support persons' needs for services.
- Providing individual housing transition services, including services that support an individual's ability to prepare for and transition to housing.

• Providing individual housing and tenancy sustaining services, including services that support the individual in being a successful tenant in his/her housing arrangement and thus able to sustain tenancy.

**Describe how Health Information Technology will be used to link this service in a comprehensive approach across the care continuum**

HHP providers will work with MCPs or a qualified entity to securely access patient data and to develop partnerships that maximize the use of HIT across providers. HHP providers will utilize HIT to provide the patient access to care plans and options for accessing clinical information. The HHP will leverage electronic health information exchange and technology in the community, including reporting and patient services that are required as part of the EHR Incentive Program Meaningful Use and Quality Measures.

**Scope of service**

**The service can be provided by the following provider types**

Behavioral Health Professionals or Specialists

**Description**

Provide clinical consultant services at the MCP or CB-CME that ensure the member is knowledgeable about their conditions with goal to improve adherence to treatment and medication management. These services include but are not limited to link to support groups, assist with informed choices, advocate for the member, identify barriers to treatment and medication management; review and inform HAP; act as clinical resource for care manager, as needed; and facilitate access to primary care and behavioral health providers, as needed to assist care manager.

Nurse Practitioner

Nurse Care Coordinators

Nurses

**Description**

Provide clinical consultant services at the MCP or CB-CME that ensure the member is knowledgeable about their conditions with goal to improve adherence to treatment and medication management. These services include but are not limited to link to support groups, assist with informed choices, advocate for the member, identify barriers to treatment and medication management; review and inform HAP; act as clinical resource for care manager, as needed; and facilitate access to primary care and behavioral health providers, as needed to assist care manager.

Medical Specialists

**Description**

-Provide clinical consultant services at the MCP or CB-CME that ensure the member is knowledgeable about their conditions with goal to improve adherence to treatment and medication management. These services include but are not limited to link to support groups, assist with informed choices, advocate for the member, identify barriers to treatment and medication management; review and inform HAP; act as clinical resource for care manager, as needed; and facilitate access to primary care and behavioral health providers, as needed to assist care manager

Physicians

**Description**

Provide clinical consultant services at the MCP or CB-CME that ensure the member is knowledgeable about their conditions with goal to improve adherence to treatment and medication management. These services include but are not limited to link to support groups, assist with informed choices, advocate for the member, identify barriers to treatment and medication management; review and inform HAP; act as clinical resource for care manager, as needed; and facilitate access to primary care and behavioral health providers, as needed to assist care manager

Physician's Assistants

Pharmacists

**Description**

Provide clinical consultant services at the MCP or CB-CME that ensure the member is knowledgeable about their conditions with goal to improve adherence to treatment and medication management. These services include but are not limited to link to support groups, assist with informed choices, advocate for the member, identify barriers to treatment and medication management; review and inform HAP; act as clinical resource for care manager, as needed; and facilitate access

Social Workers

to primary care and behavioral health providers, as needed to assist care manager.

**Description**

Provide dedicated care manager or clinical consultant services at the MCP or CB-CME that ensure the member is knowledgeable about their conditions with goal to improve adherence to treatment and medication management. These services include but are not limited to oversee provision of HHP services and implementation of HAP; offer services where the HHP member lives, seek care, or finds most easily accessible and within MCP guidelines; connect HHP member to other social services he/she may need; advocate on behalf of members with health care professionals; use motivational interviewing and trauma-informed care practices; work with hospital staff on discharge plan; engage eligible HHP members; accompany HHP member to office visits, as needed and according to MCP guidelines; monitor treatment adherence (including medication); provide health promotion and self-management training; arrange transportation; assist with linkage to social supports; have overall responsibility for management and operation of the team; have responsibility for quality measures and reporting for the team; call HHP member to facilitate HHP visit with care manager; review and inform HAP; act as clinical resource for care manager, as needed; and facilitate access to primary care and behavioral health providers, as needed to assist care manager.

Doctors of Chiropractic

Licensed Complementary and alternative Medicine Practitioners

Dietitians

Nutritionists

**Description**

Provide clinical consultant services at the MCP or CB-CME that ensure the member is knowledgeable about their conditions with goal to improve adherence to treatment and medication management. These services include but are not limited to link to support groups, assist with informed choices, advocate for the member, identify barriers to treatment and medication management; review and inform HAP; act as clinical resource for care manager, as needed; and facilitate access to primary care and behavioral health providers, as needed to assist care manager.

Other (specify)

Provider Type	Description
Dedicated Care Manager, Community Health Worker, HHP Director, Housing Navigator and Other	Care Manager Services include but are not limited to oversee provision of HHP services & implementation of HAP; offer services where the HHP member lives, seek care, or finds most easily accessible & within MCP guidelines; connect HHP member to other social services he/she may need; advocate on behalf of members with health care professionals; use motivational interviewing & trauma-informed care practices; work with hospital staff on discharge plan; engage eligible HHP members; accompany HHP member to office visits, as needed & according to MCP guidelines; monitor treatment adherence (including medication); provide self-management training; arrange transportation; assist with linkage to social supports; have overall responsibility for management & operation of the team; have responsibility for quality measures and reporting for the team; call HHP member to facilitate HHP visit with care manager. CHW services include but not limited to, engage eligible HHP members; accompany member to office visits, as needed, and in the most easily accessible setting, within MCP guidelines; self-management training; arrange transportation; assist with linkage to social supports; call member to facilitate visit with care manager. Director services may include but not limited to, oversight of team; direct provision of individual and family support services, case conferences; reporting & HHP implementation. Housing navigator services include but not limited to, form and

Provider Type	Description
	foster relationships with housing agencies and permanent housing providers, including supportive housing providers; partner with housing agencies and providers to offer the member permanent, independent housing options, including supportive housing; connect and assist the member to get available permanent housing; coordinate with member in the most easily accessible setting, within MCP guidelines. Other- other provider types will be included by MCPs to provide services as needed based on member's health needs.

**Referral to Community and Social Support Services**

**Definition**

Referral to community and social support services involves determining appropriate services to meet the needs of members, identifying and referring members to available community resources, and following up with members. HHP services will be provided through emails, texts, phone calls, letters, and in-person meetings where the member lives, seeks care, or is accessible. communication and information will meet Health literacy standards, trauma informed care standards, and be culturally appropriate. Community and social support services may include, but are not limited to:

- Identifying the member's community and social support needs.
- Identifying resources and eligibility criteria for housing, food security and nutrition, employment counseling, child care, community-based LTSS, school and faith-based services, and disability services, as needed and desired by the member
- Identifying or developing a comprehensive resource guide for the member
- Actively managing appropriate referrals to the needed resources, access to care, and engagement with other community and social supports
- Following up with the member to ensure needed services are obtained
- Coordinating services and follow-up post engagement
- Checking with member routinely through in-person or telephonic contacts to ensure they are accessing the social services they require

**Describe how Health Information Technology will be used to link this service in a comprehensive approach across the care continuum**

HHP providers will work with MCPs or a qualified entity to securely access patient data and to develop partnerships that maximize the use of HIT across providers. HHP providers will utilize HIT to initiate, manage and follow up on community based and other social service referrals. The HHP will leverage electronic health information exchange and technology in the community, including reporting and patient services that are required as part of the EHR Incentive Program Meaningful Use and Quality Measures. The HHP will work with entities supporting the use of HIT to include information and links to community and social support resources. This will be synergistic to existing websites and secure email supported by the HHP network to share information with members.

Scope of service

**Scope of service**

**The service can be provided by the following provider types**

Behavioral Health Professionals or Specialists

**Description**

Provide clinical consultant services at the MCP or CB-CME to meet the needs of members and refer to available community resources. These services include but are not limited to identify member needs, manage appropriate referrals, coordinate services and follow-up post to ensure needed services are obtained; review and inform HAP; act as clinical resource for care manager, as needed; and facilitate access to primary care and behavioral health providers, as needed to assist care manager.

Nurse Practitioner

Nurse Care Coordinators

Nurses

**Description**

Provide clinical consultant services at the MCP or CB-CME to meet the needs of members and refer to available community resources. These services include but are not limited to identify member needs, manage appropriate referrals, coordinate services and follow-up post to ensure needed services are obtained; review and inform HAP; act as clinical resource for care manager, as needed; and facilitate access to primary care and behavioral health providers, as needed to assist care manager.

Medical Specialists

**Description**

Provide clinical consultant services at the MCP or CB-CME to meet the needs of members and refer to available community resources. These services include but are not limited to identify member needs,

Physicians

manage appropriate referrals, coordinate services and follow-up post to ensure needed services are obtained; review and inform HAP; act as clinical resource for care manager, as needed; and facilitate access to primary care and behavioral health providers, as needed to assist care manager

**Description**

Provide clinical consultant services at the MCP or CB-CME to meet the needs of members and refer to available community resources. These services include but are not limited to identify member needs, manage appropriate referrals, coordinate services and follow-up post to ensure needed services are obtained; review and inform HAP; act as clinical resource for care manager, as needed; and facilitate access to primary care and behavioral health providers, as needed to assist care manager.

Physician's Assistants

Pharmacists

**Description**

Provide clinical consultant services at the MCP or CB-CME to meet the needs of members and refer to available community resources. These services include but are not limited to identify member needs, manage appropriate referrals, coordinate services and follow-up post to ensure needed services are obtained; review and inform HAP; act as clinical resource for care manager, as needed; and facilitate access to primary care and behavioral health providers, as needed to assist care manager.

Social Workers

**Description**

Provide dedicated care manager or clinical consultant services at the MCP or CB-CME to meet the needs of members and refer to available community resources. These services include but are not limited to identify member needs, manage appropriate referrals, coordinate services and follow-up post to ensure needed services are obtained; oversee provision of HHP services and implementation of HAP; offer services where the HHP member lives, seek care, or finds most easily accessible and within MCP guidelines; connect HHP member to other social services he/she may need, advocate on behalf of members with health care professionals; use motivational interviewing and trauma informed care practices; work with hospital staff on discharge plan; engage eligible HHP members; accompany HHP member to office visits, as needed and according to MCP guidelines; monitor treatment adherence (including medication); provide health promotion and self-management training; arrange transportation; assist with linkage to social supports; have overall responsibility for management and operation of the team; have responsibility for quality measures and reporting for the team; call HHP member to facilitate HHP visit with care manager; review and inform HAP; act as clinical resource for care manager, as needed; and facilitate access to primary care and behavioral health providers, as needed to assist care manager.

Doctors of Chiropractic

Licensed Complementary and alternative Medicine Practitioners

Dieticians

Nutritionists

**Description**

Provide clinical consultant services at the MCP or CB-CME to meet the needs of members and refer to available community resources. These services include but are not limited to identify member needs, manage appropriate referrals, coordinate services and follow-up post to ensure needed services are obtained; review and inform HAP; act as clinical resource for care manager, as needed; and facilitate access to primary care and behavioral health providers, as needed to assist care manager

Other (specify)

Provider Type	Description
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Provider Type	Description
<p>Dedicated Care Manager, Community Health Worker, HHP Director, Housing Navigator and Other</p>	<p>Care manager services include but are not limited to oversee provision of HHP services &amp; implementation of HAP; offer services where the HHP member lives, seek care, or finds most easily accessible &amp; within MCP guidelines; connect HHP member to other social services he/she may need; advocate on behalf of members with health care professionals; use motivational interviewing &amp; trauma-informed care practices; work with hospital staff on discharge plan; engage eligible HHP members; accompany HHP member to office visits, as needed &amp; according to MCP guidelines; monitor treatment adherence (including medication); provide self-management training; arrange transportation; assist with linkage to social supports; have overall responsibility for management &amp; operation of the team; have responsibility for quality measures &amp; reporting for the team; call HHP member to facilitate HHP visit with care manager. CHW services include but not limited to, engage eligible HHP members; accompany member to office visits, as needed, &amp; in the most easily accessible setting, within MCP guidelines; self-management training; arrange transportation; assist with linkage to social supports; call member to facilitate visit with care manager. Director services may include but not limited to, oversight of team; direct provision of referral to community &amp; social support services, case conferences; reporting &amp; HHP implementation. Housing navigator services include but not limited to, form &amp; foster relationships with housing agencies &amp; permanent housing providers, including supportive housing providers; partner with housing agencies &amp; providers to offer the member permanent, independent housing options, including supportive housing; connect &amp; assist the member to get available permanent housing; coordinate with member in the most easily accessible setting, within MCP guidelines.</p> <p>Other- other provider types will be included by MCPs to provide services as needed based on member's health needs.</p>



## Health Homes Services

MEDICAID | Medicaid State Plan | Health Homes | CA2018MS00070 | CA-18-0020 | Group 1 County of San Francisco and the population criterion of Serious Mental Illness or Serious Emotional Disturbance.

### Package Header

<b>Package ID</b>	CA2018MS00070	<b>SPA ID</b>	CA-18-0020
<b>Submission Type</b>	Official	<b>Initial Submission Date</b>	7/23/2018
<b>Approval Date</b>	10/12/2018	<b>Effective Date</b>	1/1/2019
<b>Superseded SPA ID</b>	n/a		
	User-Entered		


### Health Homes Patient Flow

**Describe the patient flow through the state's Health Homes system. Submit with the state plan amendment flow-charts of the typical process a Health Homes individual would encounter**

DHCS uses administrative data to identify eligible members for HHP and notifies the MCPs of members targeted for engagement. Full Scope with zero SOC FFS members receive a notice regarding HHP and may enroll in managed care through Health Care Options or a local managed care plan. Once a Full Scope with zero SOC FFS member is enrolled in managed care, they will be included, if appropriate, on the Targeted Engagement List (TEL). MCPs assess members on the TEL to confirm eligibility to ensure they are not already well-managed or participating in another duplicative program. The engagement process including letters are sent to eligible members once eligibility is confirmed. The MCP/CBCME continues the progressive engagement activities until a member consents to receive HHP services, declines to participate, or unsuccessful engagement occurs.

As part of the enrollment process, a comprehensive needs assessment and health action plan (HAP) is developed that includes the member goals. The care manager/clinical consultant help the enrollee select a PCP and schedule any needed appointments. HHP staff arranges transportation and attends appointments with them as applicable. HHP staff conveys updates to the PCP as well as other providers as necessary. The community health worker assists with care management and coordination according to the HAP and member's goals. Additionally, the social worker helps coordinate needed social services.

For homeless members, the housing navigator assists with housing, transition and tenancy issues.

Name	Date Created	
<a href="#">Health Homes Patient Flow attachment</a>	6/25/2018 1:05 PM EDT	

# Health Homes Monitoring, Quality Measurement and Evaluation

MEDICAID | Medicaid State Plan | Health Homes | CA2018MS00070 | CA-18-0020 | Group 1 County of San Francisco and the population criterion of Serious Mental Illness or Serious Emotional Disturbance.

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## Monitoring

**Describe the state's methodology for calculating cost saving (and report cost savings annually in Quality Measure Report). Include savings that result from improved coordination of care and chronic disease management achieved through the Health Homes Program, including data sources and measurement specifications, as well as any savings associated with dual eligibles, and if Medicare data was available to the state to utilize in arriving at its cost-savings estimates**

The DHCS/evaluator will calculate regional, risk adjusted, per member per month expenses in the target population in the baseline, either by applying trend factors and estimating a projected per member per month figure or by measuring expenses against a matched control group. Cost avoidance will be calculated as the difference between actual and projected risk adjusted per member per month expenditures.

**Describe how the state will use health information technology in providing Health Homes services and to improve service delivery and coordination across the care continuum (including the use of wireless patient technology to improve coordination and management of care and patient adherence to recommendations made by their provider)**

MCPs and CB-CMEs will establish and maintain data-sharing agreements compliant with all federal & state laws/regulations. The MCP is responsible for sharing health utilization & claims/encounter data with the HHP network to facilitate care coordination and prescription monitoring for HHP members. Each MCP will have a member website available to HHP members, their families & supports. MCP utilization departments will assist the CB-CMEs with information on admissions and discharges, and ensure timely follow up care. CB-CMEs must demonstrate a capacity to use HIT to link services, facilitate communication and provide feedback to the team members. Services will be enhanced by the use of EHR systems and HIE. DHCS has established the following goals for HHP: Provide a HHP Member Portal, Utilize EHR/HIT/HIE to register HHP members, Utilize EHR/HIT/HIE to perform Point of Care Charting, and Utilize EHR/HIT/HIE to prepare/send/receive/consume a summary of care record for care transitions. DHCS expects organizations receiving EHR Incentive Program payments to use EHR in combination with community and enterprise HIE to meet these goals. DHCS has also funded, in partnership with CMS, a California Technical Assistance Program that is assisting providers in advancing the use of EHRs and in connecting to HIE. Specific milestones include connecting to HIE that uses CalDURSA and CTEN Organizations that do not have support through the EHR Incentive Programs may need support from MCPs to support the achievement of these goals. In some areas relatively few providers have EHRs, there is limited interoperability between the systems, and HIE services may not be designed for the HHP requirements. If the technology environment does not fully support the HHP goals and requirements, the MCP will demonstrate that they, and their HHP network, are maximizing EHR/HIT/HIE to the extent possible, and relate their plan to make any possible improvements in the near future.

## Health Homes Monitoring, Quality Measurement and Evaluation

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### Quality Measurement and Evaluation

- The state provides assurance that all Health Homes providers report to the state on all applicable quality measures as a condition of receiving payment from the state
- The state provides assurance that it will identify measureable goals for its Health Homes model and intervention and also identify quality measures related to each goal to measure its success in achieving the goals
- The state provides assurance that it will report to CMS information submitted by Health Homes providers to inform evaluations, as well as Reports to Congress as described in Section 2703(b) of the Affordable Care Act and as described by CMS
- The state provides assurance that it will track avoidable hospital readmissions and report annually in the Quality Measures report

PRA Disclosure Statement: According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0938-1188. The time required to complete this information collection is estimated to average 40 hours per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Mail Stop C4-26-05, Baltimore, Maryland 21244-1850.

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