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DEPARTMENT OF HEALTH & HUMAN SERVICES Centers for Medicare & Medicaid Services JFK Federal Building, Government Center Room 2275 Boston, Massachusetts 02203



Division of Medicaid and Children's Health Operations / Boston Regional Office

June 6, 2016

Roderick Bremby, Commissioner Department of Social Services 55 Farmington Avenue Hartford, CT 06105

Dear Mr. Bremby:

We are pleased to enclose a copy of approved Connecticut State Plan Amendment (SPA) No. 16-0011, submitted to my office on March 31, 2016 and approved on May 23, 2016. This SPA amends Attachment 4.19-B of the State Plan in order to adjust reimbursement for dental services, including adding and deleting selected Current Dental Terminology (CPT) codes to ensure the dental fee schedule remains compliant with the Health Insurance Portability and Accountability Act (HIPPA). This SPA also corrects a technical error regarding limits on the number of cleaning, fluoride and examinations allowed per year without prior authorization for beneficiaries residing in long-term care facilities, which was inadvertently omitted in previously approved SPA 15-027 due to a typographical error. The policy of the Medicaid program remained unchanged.

This SPA has been approved effective January 1, 2016, as requested by the State.

Changes are reflected in the following sections of your approved State Plan:

- Attachment 3.1A, page 8a
- Attachment 3.1B, page 8a
- Attachment 4.19B, Page 1(e)

If you have any questions regarding this matter you may contact Marie DiMartino (617) 565-9157 or by e-mail at <u>Marie.DiMartino@cms.hhs.gov</u>

Sincerely,

/s/

Richard R. McGreal Associate Regional Administrator

cc: Kate McEvoy, Director of Medical Administration - Health Services and Supports

DEPARTMENT OF HEALTH AND HUMAN SERVICES HEALTH CARE FINANCING ADMINISTRATION		FORM APPROVED OMB NO. 0938-0193
TRANSMITTAL AND NOTICE OF APPROVAL	1. TRANSMITTAL NUMBER: 16-011	2. STATE: CT
OF STATE PLAN MATERIAL FOR: HEALTH CARE FINANCING ADMINISTRATION	3. PROGRAM IDENTIFICATION: TITLE XIX OF THE SOCIAL SECURITY ACT (MEDICAID)	
 TO: REGIONAL ADMINISTRATOR CENTERS FOR MEDICARE AND MEDICAID SERVICES DEPARTMENT OF HEALTH AND HUMAN SERVICES 5. TYPE OF STATE PLAN MATERIAL (Check Onc): 	4. PROPOSED EFFECTIVE DATE: January 1, 2016	N
NEW STATE PLANAMENDMENT TO BE CONSIDERED AS NEW PLANAMENDMENT		
COMPLETE BLOCKS 6 THRU 10 IF THIS IS AN AMENDMENT (Separate Transmittal for each amendment)		
 FEDERAL STATUTE/REGULATION CITATION: Sections 1905(a)(10) of the Social Security Act and 42 CFR 440.100 	 7. FEDERAL BUDGET IMPACT: a. FFY 2016 \$29,000 b. FFY 2017 \$40,000 	
 PAGE NUMBER OF THE PLAN SECTION OR ATTACHMENT: Addendum Page 8a to Attachments 3.1-A and 3.1-B Attachment 4.19-B Page 1(e) 	 9. PAGE NUMBER OF THE SUPERSEDED PLAN SECTION OR ATTACHMENT (If applicable) Addendum Page 8a to Attachments 3.1-A and 3.1-B Attachment 4.19-B Page 1(c) 	
10. SUBJECT OF AMENDMENT: Effective January 1, 2016, SPA 16-011 amends Attachment 4.19-B of the Medicaid State Plan to adjust reimbursement for dental services, including adding and deleting selected Current Dental Terminology (CDT) codes to ensure the dental fee schedule remains compliant with the Health Information Portability and Accountability Act (HIPAA). This SPA also will correct a technical error regarding limits on the number of cleanings, fluoride and examinations allowed per year without prior authorizations for beneficiaries residing in long-term care facilities, which was inadvertently omitted in SPA 15-027 due to a typographical error but continues to reflect the policy of the Medicaid program.		
11. GOVERNOR'S REVIEW (Check One):		
12. SIGNATURE OF STATE AGENCY OFFICIAL:	16. RETURN TO:	
13. TYPED NAME: Roderick L. Bremby 14 TITLE: Commissioner	State of Connecticut Department of Social Services 55 Farmington Avenue – 9th floor Usetford CT 06105	
15. DATE SUBMITTED: March 28, 2016	Hartford, CT 06105 Attention: Ginny Mahoney	5
FOR REGIONAL OFFICE USE ONLY		
17. DATE RECEIVED: March 31, 2016	18. DATE APPROVED: May 23, 2016	
PLAN APPROVED – ONE COPY ATTACHED		
19. EFFECTIVE DATE OF APPROVED MATERIAL: January 1, 2016	20. SIGNATURE OF REGIONAL OFFICIAL	¥
21. TYPED NAME: Richard R. McGreal	22. TITLE: Associate Regional Administrator Division of Medicaid & Children's He	alth Operation:
23. REMARKS:		
FORM HCFA-179 (07-92)		

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Addendum Page 8a To Attachment 3.1-A

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT State: <u>Connecticut</u>

AMOUNT, DURATION AND SCOPE OF SERVICES PROVIDED TO CATEGORICALLY NEEDY GROUP(S): <u>ALL</u>

(b) Limitations

- (1) No more than one (1) set of bitewing films during any one (1) calendar year period. However, this limit may be exceeded based on medical necessity. Under EPSDT, children under age 21 will receive all medically necessary services within this category.
- (2) For clients 21 years of age and older, no more than one (1) oral examination and (1) prophylaxis every year. However, this limit may be exceeded based on medical necessity.
- (3) Fluoride treatment for adults is limited to adults who have xerostomia or have undergone head or neck radiation therapy.
- (4) Clients residing in long-term care facilities may receive up to two (2) oral examinations, prophylaxis, and fluoride treatments per year, which may be exceeded based on medical necessity.

All limitations will be considered on client-based benefit assignment, rather than a provider-based benefit assignment.

TN#: <u>16-011</u> Supercedes TN# <u>15-027</u> Approval Date: <u>5/23/16</u>

Effective Date: 01/01/2016

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Addendum Page 8a To Attachment 3.1-B

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT State: <u>Connecticut</u>

AMOUNT, DURATION AND SCOPE OF SERVICES PROVIDED TO MEDICALLY NEEDY GROUP(S): <u>ALL</u>

(b) Limitations

- (1) No more than one (1) set of bitewing films during any one (1) calendar year period. However, this limit may be exceeded based on medical necessity. Under EPSDT, children under age 21 will receive all medically necessary services within this category.
- (2) For clients 21 years of age and older, no more than one (1) oral examination and (1) prophylaxis every year. However, this limit may be exceeded based on medical necessity.
- (3) Fluoride treatment for adults is limited to adults who have xerostomia or have undergone head or neck radiation therapy.
- (4) Clients residing in long-term care facilities may receive up to two (2) oral examinations, prophylaxis, and fluoride treatments per year, which may be exceeded based on medical necessity.

All limitations will be considered on client-based benefit assignment, rather than a provider-based benefit assignment.

TN#: <u>16-011</u> Supercedes TN# <u>15-027</u> Approval Date: <u>5/23/16</u>

Effective Date: 01/01/2016

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STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT State <u>Connecticut</u>

- (10) Dental services Fixed fee schedule. The agency's rates were set as of January 1, 2016 and are effective for services on or after that date. Rates are the same for private and governmental providers and are published at <u>www.ctdssmap.com</u>. From this page, go to "Provider" then to "Provider Fee Schedule Download"
- (11) Except as otherwise noted in the plan, state developed fee schedule rates are the same for both governmental and private providers of independent therapies, including physical therapy and related services, occupational therapy and audiology and speech pathology services and the fee schedule is published at www.ctdssmap.com From this page, go to "Provider" then to "Provider Fee Schedule Download".
 - a) Physical therapy and related services Fixed fee schedule. Rates were set as of January 1, 2012 and effective for services on or after that date.
 - b) Occupational therapy Fixed fee schedule. Rates were set as of January 1, 2012 and are effective for services on or after that date. Occupational therapists will be reimbursed according to the fee schedule for physical therapists.
 - c) Audiology and speech pathology services Fixed fee schedule. Rates were set as of January 1, 2016 and effective for services on or after that date.

TN # <u>16-011</u> Supersedes TN # <u>15-043</u> Approval Date 5/23/16

Effective Date 01/01/2016