Table of Contents

State/Territory Name: Maine

State Plan Amendment (SPA) #: 13-0018-MM1

This file contains the following documents in the order listed:

- 1) Approval Letter
- 2) CMS 179 Form/Summary Form (with 179-like data)
- 3) Superseding Pages Notice
- 4) Approved SPA Pages
- 5) Additional Attachments that are part of the state plan

DEPARTMENT OF HEALTH & HUMAN SERVICES Centers for Medicare & Medicaid Services JFK Federal Building, Government Center Room 2275 Boston, Massachusetts 02203



Division of Medicaid and Children's Health Operations / Boston Regional Office

March 20, 2014

Mary Mayhew, Commissioner Department of Health and Human Services 11 State House Station Augusta, Maine 04333-0011

RE: Maine 13-0018-MM1

Dear Commissioner Mayhew:

Enclosed is an approved copy of Maine's State Plan Amendment (SPA) 13-0018-MM1, which was submitted to CMS on September 13, 2013. SPA 13-0018-MM1, approved on November 19, 2013, incorporates the MAGI-Based Eligibility Groups into Maine's Medicaid State Plan in accordance with the Affordable Care Act. The effective date of this SPA is January 1, 2014.

Enclosed is a copy of the new State Plan pages to be incorporated within a separate section at the back of Maine's approved State Plan:

- S14, pages 1 6
- S25, pages 1 3
- S28, pages 1 4
- S30, pages 1 5
- S32, page 1
- S33, page 1
- S50, page 1
- S51, page 1

- S52, page 1 − 4
- S53, page 1
- S54, page 1
- S55, page 1
- S57, page 1
- S59, page 1
- Additional attachments that are part of the State Plan

In addition, enclosed is a summary of State Plan pages which are superseded by ME 13-0018-MM1; this document should be incorporated into a separation section in the front of the State Plan. Page 2 - Mary C Mayhew, Commissioner

If you have any questions regarding this SPA, please contact Kathryn Holt, Maine State Lead, at 617/565-1246, or at kathryn.holt@cms.hhs.gov.

Sincerely,

/s/

Richard R. McGreal Associate Regional Administrator

cc: Stefanie Nadeau, Director, Office of Maine Care Services

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DATE RECEIVED:	DATE APPROVED:
9/13/13	11/19/13
PLAN APPROV	/ED – ONE COPY ATTACHED
EFFECTIVE DATE OF APPROVED MATERIAL:	SIGNATURE OF REGIONAL OFFICIAL:
1/1/14	/s/
TYPED NAME	TITLE
Richard R. McGreal	Associate Regional Administrator, Division of
	Medicaid and Children's Health Operations, Boston
	Regional Office

SUPERSEDING PAGES OF STATE PLAN MATERIAL

TRANSMITTAL NUMBER:

STATE:

13-0018MM1

Maine

Pages or sections of pages being superseded by S25, S28, S30, S51, S52, S53, and S14 and related pages or sections of pages being deleted as obsolete

State Plan Section	Complete Pages Removed	Partial Pages Removed
Attachment 2.2-A	Page 1 Page 3 Page 3 Page 4 Page 4 Page 12 Page 13 Page 13 Page 14 Page 14 Page 21 Page 23 Page 23 Page 23b (do not remove 23b cont. on the next page)	Page 2, A.2.b Page 2, A.2.c Page 2a, A.3 Page 5, A.12 Page 9c, B.1 remove "Caretaker relatives" and "Pregnant women"; Remove reference to treatment of CR & minor children at the bottom of the page. Page 17b, B.13.2 Page 17c, B.15 Page 20, B.14 Page 23c, B.21 Page 25, C.4
Supplement 1 to Attachment 2.2-A	Page 1	
Attachment 2.6-A	Page 3b Page 11a Page 19 Page 19a Page 21	Page 1, A.2.a(i) and (iii) Page 5, 4.a remove "AFDC levels in Supplement 1" because Supplement 1 is going away. Page 6 related to AFDC recipients, pregnant women, infants, and children Page 7, 1.a(1) and (2) Page 12, 5.e(2) Page

		18, 5.e Page 25, 11.a(3)
Supplement 1 to Attachment 2.6-A	Pages 1, 1a, 1b, 2, 2a, 3, 3a and 4	
Supplement 2 to Attachment 2.6-A	Pages 1-5	
Supplement 8a to Attachment 2.6-A	Page 5 Page 8	
Supplement 8b to Attachment 2.6-A		Page 1, #4
Supplement 12 to Attachment 2.6-A	Page 2, 2a, 2a cont., 2a-1 Addendum Addendum page 2	
Supplement 14 to Attachment 2.6-A	Page 1	



			OMB Control Number 0938-1 OMB Expiration date: 10/31/2
OC Inco	ome Standards		S
Enter the	AFDC Standards below.	All states must ente	r:
	uvivalent AFDC Payment ayment Standard in Effect		
Entry of c	other standards is optional	l.	
MAG	I-equivalent AFDC	Payment Standa	ard in Effect As of May 1, 1988
In	come Standard Entr	ry - Dollar Amo	unt - Automatic Increase Option S13a
Th	e standard is as follows:		
	• Statewide standard		
	○ Standard varies by re	gion	
	○ Standard varies by liv	ving arrangement	
	○ Standard varies in so	me other way	
	Enter the statewide stand	lard	
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	+ 2	405	
	+ 3	535	
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ncome Standard Entry - Dollar Amount - Automatic Increase Option	S13a
he standard is as follows:	
• Statewide standard	
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○ Standard varies by living arrangement	
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+ 1 214 X Increment amount \$ 116	
+ 2 337 X	
+ 3 453 X	
+ 4 569 X	
+ 5 685 X	
+ 6 801 X	
+ 7 917 X	
+ 8 1,033 X	
The dollar amounts increase automatically each year O Yes No	
GI-equivalent AFDC Payment Standard in Effect As of July 16, 1996	
ncome Standard Entry - Dollar Amount - Automatic Increase Option	S13a
he standard is as follows:	
• Statewide standard	
○ Standard varies by region	
\bigcirc Standard varies by living arrangement	
○ Standard varies in some other way	
Enter the statewide standard	



	Household size	Standard (\$)	Additional incremental amount
			• Yes O No
+	1	288	X Increment amount \$ 141
+	2	436	X
+	3	578	X
+	4	719	X
+	5	861	X
+	6	1,002	X
+	7	1,144	x
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			- • ·	Yes 🔿 No	C				
+	1	262	Incr	ement amoun	nt \$	142			
+	2	412	K						
+	3	553	<						
+	4	695	<						
+	5	837	<						
+	6	979	<						
+	7	1,120	<						
+	8	1 262							
The of Y Pay	dollar amounts incre	in Effect As	f July 16, 19			•			pe
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Income Standard Entry - Dollar Amount - Automatic Increase Option

S13a



The standard is as follows:

- Statewide standard
- \bigcirc Standard varies by region
- \bigcirc Standard varies by living arrangement
- Standard varies in some other way

The dollar amounts increase automatically each year

🔿 Yes 🛛 🔿 No

TANF payment standard

	Income Standard Entry - Dollar Amount - Automatic Increase Option	S13a
,	The standard is as follows:	

- Statewide standard
- Standard varies by region
- \bigcirc Standard varies by living arrangement
- \bigcirc Standard varies in some other way

The dollar amounts increase automatically each year

🔿 Yes 🛛 🔿 No

MAGI-equivalent TANF payment standard

Income Standard Entry - Dollar Amount - Automatic Increase Option	S13a
The standard is as follows:	
○ Statewide standard	
○ Standard varies by region	
○ Standard varies by living arrangement	
○ Standard varies in some other way	
The dollar amounts increase automatically each year	
\bigcirc Yes \bigcirc No	

PRA Disclosure Statement





Eligibility Groups - Mandatory Coverage S25 Parents and Other Caretaker Relatives
42 CFR 435.110 1902(a)(10)(A)(i)(I) 1931(b) and (d)
Parents and Other Caretaker Relatives - Parents and other caretaker relatives of dependent children with household income at or below a standard established by the state.
\checkmark The state attests that it operates this eligibility group in accordance with the following provisions:
Individuals qualifying under this eligibility group must meet the following criteria:
Are parents or other caretaker relatives (defined at 42 CFR 435.4), including pregnant women, of dependent children (defined at 42 CFR 435.4) under age 18. Spouses of parents and other caretaker relatives are also included.
The state elects the following options:
 This eligibility group includes individuals who are parents or other caretakers of children who are 18 years old, ☑ provided the children are full-time students in a secondary school or the equivalent level of vocational or technical training.
Options relating to the definition of caretaker relative (select any that apply):
Options relating to the definition of dependent child (select the one that applies):
 The state elects to eliminate the requirement that a dependent child must be deprived of parental support or care by reason of the death, physical or mental incapacity, or absence from the home or unemployment of at least one parent.
C The child must be deprived of parental support or care, but a less restrictive standard is used to measure unemployment of the parent (select the one that applies):
Have household income at or below the standard established by the state.
MAGI-based income methodologies are used in calculating household income. Please refer as necessary to S10 MAGI- Based Income Methodologies, completed by the state.
Income standard used for this group
Minimum income standard
The minimum income standard used for this group is the state's AFDC payment standard in effect as of May 1, 1988, converted to MAGI-equivalent amounts by household size. The standard is described in S14 AFDC Income Standards.
The state certifies that it has submitted and received approval for its converted May 1, 1988 AFDC payment standard.
An attachment is submitted.
Maximum income standard



	The state certifies that it has submitted and received approval for its converted income standard(s) for parents and
\checkmark	other caretaker relatives to MAGI-equivalent standards and the determination of the maximum income standard to
	be used for parents and other caretaker relatives under this eligibility group.

An attachment is submitted.

The state's maximum income standard for this eligibility group is:

- The state's effective income level for section 1931 families under the Medicaid state plan as of March 23, 2010, converted to a MAGI-equivalent percent of FPL or amounts by household size.
- C The state's effective income level for section 1931 families under the Medicaid state plan as of December 31, 2013, converted to a MAGI-equivalent percent of FPL or amounts by household size.

The state's effective income level for any population of parents/caretaker relatives under a Medicaid 1115

O demonstration as of March 23, 2010, converted to a MAGI-equivalent percent of FPL or amounts by household size.

The state's effective income level for any population of parents/caretaker relatives under a Medicaid 1115 demonstration as of December 31, 2013, converted to a MAGI-equivalent percent of FPL or amounts by household size.

Enter the amount of the maximum income standard:

A percentage of the federal poverty level: 157 %

C The state's AFDC payment standard in effect as of July 16, 1996, converted to a MAGI-equivalent standard. The standard is described in S14 AFDC Income Standards.

The state's AFDC payment standard in effect as of July 16, 1996, increased by no more than the percentage increase in the Consumer Price Index for urban consumers (CPI-U) since such date, converted to a MAGIequivalent standard. The standard is described in S14 AFDC Income Standards.

C The state's TANF payment standard, converted to a MAGI-equivalent standard. The standard is described in S14 AFDC Income Standards.

 \bigcirc Other dollar amount

Income standard chosen:

Indicate the state's income standard used for this eligibility group:

- The minimum income standard
- \bigcirc The maximum income standard

The state's AFDC payment standard in effect as of July 16, 1996, increased by no more than the percentage increase in the Consumer Price Index for urban consumers (CPI-U) since such date. The standard is described in S14 AFDC Income Standards.

• Another income standard in-between the minimum and maximum standards allowed

○ The state's AFDC payment standard in effect as of July 16, 1996, not converted to a MAGI-equivalent standard. The standard is described in S14 AFDC Income Standards.



C The state's TANF payment standard, not converted to a MAGI-equivalent standard. The standard is described in S14 AFDC Income Standards.
The state's AFDC payment standard in effect as of July 16, 1996, converted to a MAGI-equivalent standard. The standard is described in S14 AFDC Income Standards.
The state's TANF payment standard, converted to a MAGI-equivalent standard. The standard is described in S14 AFDC Income Standards.
• Other income standard in-between the minimum and the maximum standards allowed.
The amount of the income standard for this eligibility group is:
• A percentage of the federal poverty level: 100 %
○ A dollar amount
There is no resource test for this eligibility group.
Presumptive Eligibility
The state covers individuals under this group when determined presumptively eligible by a qualified entity. The state assures it also covers individuals under the Pregnant Women (42 CFR 435.116) and/or Infants and Children under Age 19 (42 CFR 435.118) eligibility groups when determined presumptively eligible.
○ Yes

PRA Disclosure Statement



Eligibility Groups - Mandatory Coverage S28 Pregnant Women
42 CFR 435.116 1902(a)(10)(A)(i)(III) and (IV) 1902(a)(10)(A)(ii)(I), (IV) and (IX) 1931(b) and (d) 1920
Pregnant Women - Women who are pregnant or post-partum, with household income at or below a standard established by the state.
\checkmark The state attests that it operates this eligibility group in accordance with the following provisions:
Individuals qualifying under this eligibility group must be pregnant or post-partum, as defined in 42 CFR 435.4.
Pregnant women in the last trimester of their pregnancy without dependent children are eligible for full benefits under this group in accordance with section 1931 of the Act, if they meet the income standard for state plan Parents and Other Caretaker Relatives at 42 CFR 435.110.
• Yes 🔿 No
MAGI-based income methodologies are used in calculating household income. Please refer as necessary to S10 MAGI-Based Income Methodologies, completed by the state.
Income standard used for this group
Minimum income standard (Once entered and approved by CMS, the minimum income standard cannot be changed.)
The state had an income standard higher than 133% FPL established as of December 19, 1989 for determining eligibility for pregnant women, or as of July 1, 1989, had authorizing legislation to do so.
• Yes O No
Enter the amount of the minimum income standard (no higher than 185% FPL): 185 % FPL
Maximum income standard
The state certifies that it has submitted and received approval for its converted income standard(s) for pregnant women to MAGI-equivalent standards and the determination of the maximum income standard to be used for pregnant women under this eligibility group.
An attachment is submitted.
The state's maximum income standard for this eligibility group is:
 The state's highest effective income level for coverage of pregnant women under sections 1931 (low-income families), 1902(a)(10)(A)(i)(III) (qualified pregnant women), 1902(a)(10)(A)(i)(IV) (mandatory poverty level-related pregnant women), 1902(a)(10)(A)(ii)(IX) (optional poverty level-related pregnant women), 1902(a)(10)(A)(ii)(IV) (A)(ii)(IV) (institutionalized pregnant women) in effect under the Medicaid state plan as of March 23, 2010, converted to a MAGI-equivalent percent of FPL.



The state's highest effective income level for coverage of pregnant women under sections 1931 (low-income
 families), 1902(a)(10)(A)(i)(III) (qualified pregnant women), 1902(a)(10)(A)(i)(IV) (mandatory poverty level-related pregnant women), 1902(a)(10)(A)(ii)(IX) (optional poverty level-related pregnant women), 1902(a)(10) (A)(ii)(I) (pregnant women who meet AFDC financial eligibility criteria) and 1902(a)(10)(A)(ii)(IV) (institutionalized pregnant women) in effect under the Medicaid state plan as of December 31, 2013, converted to a MAGI-equivalent percent of FPL.
C The state's effective income level for any population of pregnant women under a Medicaid 1115 demonstration as of March 23, 2010, converted to a MAGI-equivalent percent of FPL.
C The state's effective income level for any population of pregnant women under a Medicaid 1115 demonstration as of December 31, 2013, converted to a MAGI-equivalent percent of FPL.
○ 185% FPL
The amount of the maximum income standard is: 209 % FPL
Income standard chosen
Indicate the state's income standard used for this eligibility group:
○ The minimum income standard
• The maximum income standard
○ Another income standard in-between the minimum and maximum standards allowed.
There is no resource test for this eligibility group.
Benefits for individuals in this eligibility group consist of the following:
• All pregnant women eligible under this group receive full Medicaid coverage under this state plan.
Pregnant women whose income exceeds the income limit specified below for full coverage of pregnant women receive only pregnancy-related services.
Presumptive Eligibility
The state covers ambulatory prenatal care for individuals under this group when determined presumptively eligible by a qualified entity.
• Yes 🔿 No
The presumptive period begins on the date the determination is made.
The end date of the presumptive period is the earlier of:
The date the eligibility determination for regular Medicaid is made, if an application for Medicaid is filed by the last day of the month following the month in which the determination of presumptive eligibility is made; or
The last day of the month following the month in which the determination of presumptive eligibility is made, if no application for Medicaid is filed by that date.
There may be no more than one period of presumptive eligibility per pregnancy.
A written application must be signed by the applicant or representative.



• Yes 🔿 No
○ The state uses a single application form for Medicaid and presumptive eligibility, approved by CMS.
• The state uses a separate application form for presumptive eligibility, approved by CMS. A copy of the application form is included.
An attachment is submitted.
The presumptive eligibility determination is based on the following factors:
The woman must be pregnant
Household income must not exceed the applicable income standard at 42 CFR 435.116.
State residency
Citizenship, status as a national, or satisfactory immigration status
The state uses qualified entities, as defined in section 1000 A of the Ast, to determine eligibility presumptively for
The state uses qualified entities, as defined in section 1920A of the Act, to determine eligibility presumptively for this eligibility group.
List of Qualified Entities S17
A qualified entity is an entity that is determined by the agency to be capable of making presumptive eligibility determinations based on an individual's household income and other requirements, and that meets at least one of the following requirements. Select one or more of the following types of entities used to determine presumptive eligibility for this eligibility group:
Furnishes health care items or services covered under the state's approved Medicaid state plan and is eligible to receive payments under the plan
Is authorized to determine a child's eligibility to participate in a Head Start program under the Head Start Act
Is authorized to determine a child's eligibility to receive child care services for which financial assistance is provided under the Child Care and Development Block Grant Act of 1990
Is authorized to determine a child's eligibility to receive assistance under the Special Supplemental Food Program for Women, Infants and Children (WIC) under section 17 of the Child Nutrition Act of 1966
Is authorized to determine a child's eligibility under the Medicaid state plan or for child health assistance under the Children's Health Insurance Program (CHIP)
Is an elementary or secondary school, as defined in section 14101 of the Elementary and Secondary Education Act of 1965 (20 U.S.C. 8801)
Is an elementary or secondary school operated or supported by the Bureau of Indian Affairs
Is a state or Tribal child support enforcement agency under title IV-D of the Act
Is an organization that provides emergency food and shelter under a grant under the Stewart B. McKinney Homeless Assistance Act
Is a state or Tribal office or entity involved in enrollment in the program under Medicaid, CHIP, or title IV-A of the Act



Is an organization that determines eligibility for any assistance or benefits provided under any program of public or assisted housing that receives Federal funds, including the program under section 8 or any other section of the United States Housing Act of 1937 (42 U.S.C. 1437) or under the Native American Housing Assistance and Self Determination Act of 1996 (25 U.S.C. 4101 et seq.)
Is a health facility operated by the Indian Health Service, a Tribe, or Tribal organization, or an Urban Indian Organization
Other entity the agency determines is capable of making presumptive eligibility determinations:
The state assures that it has communicated the requirements for qualified entities, at 1920A(b)(3) of the Act, and has provided adequate training to the entities and organizations involved. A copy of the training materials has been included.
An attachment is submitted.

PRA Disclosure Statement



OMB Control Number 0938-1148 OMB Expiration date: 10/31/2014

Eligibility Groups - Mandatory Coverage S30 Infants and Children under Age 19			
	(A)(i (A)(i	i)(III), (IV), (VI) and (VII) ii)(IV) and (IX)	
Infants the state	and base	Children under Age 19 - Infants and children under age 19 with household income at or below standards established ed on age group.	by
√ The	state	e attests that it operates this eligibility group in accordance with the following provisions:	
	Chi	ildren qualifying under this eligibility group must meet the following criteria:	
		Are under age 19	
		Have household income at or below the standard established by the state.	
		AGI-based income methodologies are used in calculating household income. Please refer as necessary to S10 MAGI- sed Income Methodologies, completed by the state.	
	Inc	come standard used for infants under age one	
		Minimum income standard	
		The state had an income standard higher than 133% FPL established as of December 19, 1989 for determining eligibility for infants under age one, or as of July 1, 1989, had authorizing legislation to do so.	
		• Yes 🔿 No	
		Enter the amount of the minimum income standard (no higher than 185% FPL): 185 % FPL	
		Maximum income standard	
		The state certifies that it has submitted and received approval for its converted income standard(s) for infants under age one to MAGI-equivalent standards and the determination of the maximum income standard to be used for infants under age one.	L
		An attachment is submitted.	
		The state's maximum income standard for this age group is:	
		 The state's highest effective income level for coverage of infants under age one under sections 1931 (low-income families), 1902(a)(10)(A)(i)(III) (qualified children), 1902(a)(10)(A)(i)(IV) (mandatory poverty level-related infants), 1902(a)(10)(A)(ii)(IX) (optional poverty level-related infants) and 1902(a)(10)(A)(ii)(IV) (institutionalized children), in effect under the Medicaid state plan as of March 23, 2010, converted to a MAGI-equivalent percent of FPL. 	3



	0	The state's highest effective income level for coverage of infants under age one under sections 1931 (low-income families), 1902(a)(10)(A)(i)(III) (qualified children), 1902(a)(10)(A)(i)(IV) (mandatory poverty level-related infants), 1902(a)(10)(A)(ii)(IX) (optional poverty level-related infants) and 1902(a)(10)(A)(ii)(IV) (institutionalized children), in effect under the Medicaid state plan as of December 31, 2013, converted to a MAGI-equivalent percent of FPL.
	0	The state's effective income level for any population of infants under age one under a Medicaid 1115 demonstration as of March 23, 2010, converted to a MAGI-equivalent percent of FPL.
	0	The state's effective income level for any population of infants under age one under a Medicaid 1115 demonstration as of December 31, 2013, converted to a MAGI-equivalent percent of FPL.
	\bigcirc	185% FPL
	En	ter the amount of the maximum income standard: 191 % FPL
	Inc	ome standard chosen
	The	e state's income standard used for infants under age one is:
	$oldsymbol{eta}$	The maximum income standard
	0	If not chosen as the maximum income standard, the state's highest effective income level for coverage of infants under age one under sections 1931 (low-income families), 1902(a)(10)(A)(i)(III) (qualified children), 1902(a)(10) (A)(i)(IV) (mandatory poverty level-related infants), 1902(a)(10)(A)(ii)(IX) (optional poverty level-related infants) and 1902(a)(10)(A)(ii)(IV) (institutionalized children), in effect under the Medicaid state plan as of March 23, 2010, converted to a MAGI-equivalent percent of FPL.
	0	If higher than the highest effective income level for this age group under the state plan as of March 23, 2010, and if not chosen as the maximum income standard, the state's highest effective income level for coverage of infants under age one under sections 1931 (low-income families), 1902(a)(10)(A)(i)(III) (qualified children), 1902(a)(10) (A)(i)(IV) (mandatory poverty level-related infants), 1902(a)(10)(A)(ii)(IX) (optional poverty level-related infants) and 1902(a)(10)(A)(ii)(IV) (institutionalized children), in effect under the Medicaid state plan as of December 31, 2013, converted to a MAGI-equivalent percent of FPL.
	0	If higher than the highest effective income level for this age group under the state plan as of March 23, 2010, and if not chosen as the maximum income standard, the state's effective income level for any population of infants under age one under a Medicaid 1115 demonstration as of March 23, 2010, converted to a MAGI-equivalent percent of FPL.
	0	If higher than the highest effective income level for this age group under the state plan as of March 23, 2010, and if not chosen as the maximum income standard, the state's effective income level for any population of infants under age one under a Medicaid 1115 demonstration as of December 31, 2013, converted to a MAGI-equivalent percent of FPL.
	0	Another income standard in-between the minimum and maximum standards allowed, provided it is higher than the effective income standard for this age group in the state plan as of March 23, 2010.
Inco	ome	standard for children age one through age five, inclusive

Minimum income standard



The minimum income standard used for this age group is 133% FPL.
Maximum income standard
The state certifies that it has submitted and received approval for its converted income standard(s) for children age one through five to MAGI-equivalent standards and the determination of the maximum income standard to be used for children age one through five.
An attachment is submitted.
The state's maximum income standard for children age one through five is:
 The state's highest effective income level for coverage of children age one through five under sections 1931 (low-income families), 1902(a)(10)(A)(i)(III) (qualified children), 1902(a)(10)(A)(i)(VI) (mandatory poverty level-related children age one through five), and 1902(a)(10)(A)(i)(IV) (institutionalized children), in effect under the Medicaid state plan as of March 23, 2010, converted to a MAGI-equivalent percent of FPL.
The state's highest effective income level for coverage of children age one through five under sections 1931 (low- income families), 1902(a)(10)(A)(i)(III) (qualified children), 1902(a)(10)(A)(i)(VI) (mandatory poverty level- related children age one through five), and 1902(a)(10)(A)(ii)(IV) (institutionalized children), in effect under the Medicaid state plan as of December 31, 2013, converted to a MAGI-equivalent percent of FPL.
C The state's effective income level for any population of children age one through five under a Medicaid 1115 demonstration as of March 23, 2010, converted to a MAGI-equivalent percent of FPL.
C The state's effective income level for any population of children age one through five under a Medicaid 1115 demonstration as of December 31, 2013, converted to a MAGI-equivalent percent of FPL.
Enter the amount of the maximum income standard: 157 % FPL
Income standard chosen
The state's income standard used for children age one through five is:
• The maximum income standard
If not chosen as the maximum income standard, the state's highest effective income level for coverage of children age one through five under sections 1931 (low-income families), 1902(a)(10)(A)(i)(III) (qualified children), 1902(a)(10)(A)(i)(VI) (mandatory poverty level-related children age one through five), and 1902(a)(10)(A)(ii) (IV) (institutionalized children), in effect under the Medicaid state plan as of March 23, 2010, converted to a MAGI-equivalent percent of FPL.
If higher than the highest effective income level for this age group under the state plan as of March 23, 2010, and if not chosen as the maximum income standard, the state's highest effective income level for coverage of children age one through five under sections 1931 (low-income families), 1902(a)(10)(A)(i)(III) (qualified children), 1902(a)(10)(A)(i)(VI) (mandatory poverty level-related children age one through five), and 1902(a)(10)(A)(i)(i) (IV) (institutionalized children), in effect under the Medicaid state plan as of December 31, 2013, converted to a MAGI-equivalent percent of FPL.



		If higher than the highest effective income level for this age group under the state plan as of March 23, 2010, and
	0	if not chosen as the maximum income standard, the state's effective income level for any population of children age one through five under a Medicaid 1115 demonstration as of March 23, 2010, converted to a MAGI-equivalent percent of FPL.
	0	If higher than the highest effective income level for this age group under the state plan as of March 23, 2010, and if not chosen as the maximum income standard, the state's effective income level for any population of children age one through five under a Medicaid 1115 demonstration as of December 31, 2013, converted to a MAGI-equivalent percent of FPL.
	0	Another income standard in-between the minimum and maximum standards allowed, provided it is higher than the effective income standard for this age group in the state plan as of March 23, 2010.
Inc	ome	standard for children age six through age eighteen, inclusive
	Mi	nimum income standard
	The	e minimum income standard used for this age group is 133% FPL.
	Ma	aximum income standard
	1	The state certifies that it has submitted and received approval for its converted income standard(s) for children age six through eighteen to MAGI-equivalent standards and the determination of the maximum income standard to be used for children age six through age eighteen.
		An attachment is submitted.
	The	An attachment is submitted. e state's maximum income standard for children age six through eighteen is:
	The	
		e state's maximum income standard for children age six through eighteen is: The state's highest effective income level for coverage of children age six through eighteen under sections 1931 (low-income families), 1902(a)(10)(A)(i)(III) (qualified children), 1902(a)(10)(A)(i)(VII) (mandatory poverty level-related children age six through eighteen) and 1902(a)(10)(A)(ii)(IV) (institutionalized children), in effect
	۲	e state's maximum income standard for children age six through eighteen is: The state's highest effective income level for coverage of children age six through eighteen under sections 1931 (low-income families), 1902(a)(10)(A)(i)(III) (qualified children), 1902(a)(10)(A)(i)(VII) (mandatory poverty level-related children age six through eighteen) and 1902(a)(10)(A)(ii)(IV) (institutionalized children), in effect under the Medicaid state plan as of March 23, 2010, converted to a MAGI-equivalent percent of FPL. The state's highest effective income level for coverage of children age six through eighteen under sections 1931 (low-income families), 1902(a)(10)(A)(i)(III) (qualified children), 1902(a)(10)(A)(i)(VII) (mandatory poverty level-related children age six through eighteen) and 1902(a)(10)(A)(i)(IV) (institutionalized children), in effect
	۲	e state's maximum income standard for children age six through eighteen is: The state's highest effective income level for coverage of children age six through eighteen under sections 1931 (low-income families), 1902(a)(10)(A)(i)(III) (qualified children), 1902(a)(10)(A)(i)(VII) (mandatory poverty level-related children age six through eighteen) and 1902(a)(10)(A)(ii)(IV) (institutionalized children), in effect under the Medicaid state plan as of March 23, 2010, converted to a MAGI-equivalent percent of FPL. The state's highest effective income level for coverage of children age six through eighteen under sections 1931 (low-income families), 1902(a)(10)(A)(i)(III) (qualified children), 1902(a)(10)(A)(i)(VII) (mandatory poverty level-related children age six through eighteen) and 1902(a)(10)(A)(i)(IV) (institutionalized children), in effect under the Medicaid state plan as of December 31, 2013, converted to a MAGI-equivalent percent of FPL. The state's effective income level for any population of children age six through eighteen under a Medicaid 1115
	۲	e state's maximum income standard for children age six through eighteen is: The state's highest effective income level for coverage of children age six through eighteen under sections 1931 (low-income families), 1902(a)(10)(A)(i)(III) (qualified children), 1902(a)(10)(A)(i)(VII) (mandatory poverty level-related children age six through eighteen) and 1902(a)(10)(A)(ii)(IV) (institutionalized children), in effect under the Medicaid state plan as of March 23, 2010, converted to a MAGI-equivalent percent of FPL. The state's highest effective income level for coverage of children age six through eighteen under sections 1931 (low-income families), 1902(a)(10)(A)(i)(III) (qualified children), 1902(a)(10)(A)(i)(VII) (mandatory poverty level-related children age six through eighteen) and 1902(a)(10)(A)(i)(IV) (institutionalized children), in effect under the Medicaid state plan as of December 31, 2013, converted to a MAGI-equivalent percent of FPL. The state's effective income level for any population of children age six through eighteen under a Medicaid 1115 demonstration as of March 23, 2010, converted to a MAGI-equivalent percent of FPL. The state's effective income level for any population of children age six through eighteen under a Medicaid 1115
	 • •	e state's maximum income standard for children age six through eighteen is: The state's highest effective income level for coverage of children age six through eighteen under sections 1931 (low-income families), 1902(a)(10)(A)(i)(III) (qualified children), 1902(a)(10)(A)(i)(VII) (mandatory poverty level-related children age six through eighteen) and 1902(a)(10)(A)(ii)(IV) (institutionalized children), in effect under the Medicaid state plan as of March 23, 2010, converted to a MAGI-equivalent percent of FPL. The state's highest effective income level for coverage of children age six through eighteen under sections 1931 (low-income families), 1902(a)(10)(A)(i)(III) (qualified children), 1902(a)(10)(A)(i)(VII) (mandatory poverty level-related children age six through eighteen) and 1902(a)(10)(A)(i)(VI) (institutionalized children), in effect under the Medicaid state plan as of December 31, 2013, converted to a MAGI-equivalent percent of FPL. The state's effective income level for any population of children age six through eighteen under a Medicaid 1115 demonstration as of March 23, 2010, converted to a MAGI-equivalent percent of FPL. The state's effective income level for any population of children age six through eighteen under a Medicaid 1115 demonstration as of March 23, 2010, converted to a MAGI-equivalent percent of FPL.



	The state's income standard used for children age six through eighteen is:
	• The maximum income standard
	If not chosen as the maximum income standard, the state's highest effective income level for coverage of children age six through eighteen under sections 1931 (low-income families), 1902(a)(10)(A)(i)(III) (qualified children), 1902(a)(10)(A)(i)(VII) (mandatory poverty level-related children age six through eighteen) and 1902(a)(10)(A) (ii)(IV) (institutionalized children), in effect under the Medicaid state plan as of March 23, 2010, converted to a MAGI-equivalent percent of FPL.
	If higher than the highest effective income level for this age group under the state plan as of March 23, 2010, and if not chosen as the maximum income standard, the state's highest effective income level for coverage of children age six through eighteen under sections 1931 (low-income families), 1902(a)(10)(A)(i)(III) (qualified children), 1902(a)(10)(A)(i)(VII) (mandatory poverty level-related children age six through eighteen) and 1902(a)(10)(A) (ii)(IV) (institutionalized children), in effect under the Medicaid state plan as of December 31, 2013, converted to a MAGI-equivalent percent of FPL.
	If higher than the highest effective income level for this age group under the state plan as of March 23, 2010, and if not chosen as the maximum income standard, the state's effective income level for any population of children age six through eighteen under a Medicaid 1115 demonstration as of March 23, 2010, converted to a MAGI- equivalent percent of FPL.
	If higher than the highest effective income level for this age group under the state plan as of March 23, 2010, and if not chosen as the maximum income standard, the state's effective income level for any population of children age six through eighteen under a Medicaid 1115 demonstration as of December 31, 2013, converted to a MAGI- equivalent percent of FPL.
	C Another income standard in-between the minimum and maximum standards allowed, provided it is higher than the effective income standard for this age group in the state plan as of March 23, 2010.
The	ere is no resource test for this eligibility group.
Pre	sumptive Eligibility
The	state covers children when determined presumptively eligible by a qualified entity.
0	Yes (No

PRA Disclosure Statement



OMB Control Number 0938-1148 OMB Expiration date: 10/31/2014

Eligibility Groups - Mandatory Coverage S32 Adult Group 1902(a)(10)(A)(i)(VIII) 42 CFR 435.119 The state covers the Adult Group as described at 42 CFR 435.119. () Yes () No

PRA Disclosure Statement



Eligibility Groups - Mandatory Coverage Former Foster Care Children	S33
42 CFR 435.150 1902(a)(10)(A)(i)(IX)	
Former Foster Care Children - Individuals under the age of 26, not otherwise mandatorily eligible, who were on Medicaid and in foster care when they turned age 18 or aged out of foster care.	t
✓ The state attests that it operates this eligibility group under the following provisions:	
Individuals qualifying under this eligibility group must meet the following criteria:	
Are under age 26.	
Are not otherwise eligible for and enrolled for mandatory coverage under the state plan, except that eligibility under this group takes precedence over eligibility under the Adult Group.	r
Were in foster care under the responsibility of the state or Tribe and were enrolled in Medicaid under the state's stat plan or 1115 demonstration when they turned 18 or at the time of aging out of that state's or Tribe's foster care program.	te
The state elects to cover children who were in foster care and on Medicaid in <u>any</u> state at the time they turned 18 c aged out of the foster care system.	or
○ Yes	
The state covers individuals under this group when determined presumptively eligible by a qualified entity. The state assure it also covers individuals under the Pregnant Women (42 CFR 435.116) and/or Infants and Children under Age 19 (42 CFR 435.118) eligibility groups when determined presumptively eligible.	
○ Yes	

PRA Disclosure Statement



OMB Control Number 0938-1148 OMB Expiration date: 10/31/2014

S50

Eligibility Groups - Options for Coverage Individuals above 133% FPL

1902(a)(10)(A)(ii)(XX) 1902(hh) 42 CFR 435.218

Individuals above 133% FPL - The state elects to cover individuals under 65, not otherwise mandatorily or optionally eligible, with income above 133% FPL and at or below a standard established by the state and in accordance with provisions described at 42 CFR 435.218.

🔿 Yes 🛛 💿 No

PRA Disclosure Statement



OMB Control Number 0938-1148 OMB Expiration date: 10/31/2014

Eligibility Groups - Options for Coverage Optional Coverage of Parents and Other Caretaker Relatives

S51

42 CFR 435.220 1902(a)(10)(A)(ii)(I)

Optional Coverage of Parents and Other Caretaker Relatives - The state elects to cover individuals qualifying as parents or other caretaker relatives who are not mandatorily eligible and who have income at or below a standard established by the state and in accordance with provisions described at 42 CFR 435.220.

🔿 Yes 🛛 💿 No

PRA Disclosure Statement



OMB Control Number 0938-1148 OMB Expiration date: 10/31/2014

Eligibility Groups - Options for Coverage Reasonable Classification of Individuals under Age 21 S52
42 CFR 435.222 1902(a)(10)(A)(ii)(I) 1902(a)(10)(A)(ii)(IV)
Reasonable Classification of Individuals under Age 21 - The state elects to cover one or more reasonable classifications of individuals under age 21 who are not mandatorily eligible and who have income at or below a standard established by the state and in accordance with provisions described at 42 CFR 435.222.
\odot Yes \bigcirc No
The state attests that it operates this eligibility group in accordance with the following provisions:
Individuals qualifying under this eligibility group must qualify under a reasonable classification by meeting the following criteria:
Be under age 21, or a lower age, as defined within the reasonable classification.
Have household income at or below the standard established by the state, if the state has an income standard for the reasonable classification.
Not be eligible and enrolled for mandatory coverage under the state plan.
MAGI-based income methodologies are used in calculating household income. Please refer as necessary to S10 MAGI- Based Income Methodologies, completed by the state.
The state covered at least one reasonable classification under this eligibility group under its Medicaid state plan as of December 31, 2013, or under a Medicaid 1115 Demonstration as of March 23, 2010 or December 31, 2013, with income standards higher (including disregarding all income) than the current mandatory income standards for the individual's age.
The state also covered at least one reasonable classification under this group in the Medicaid state plan as of March 23, 2010 with income standards higher (including disregarding all income) than the current mandatory income standards for the individual's age.
• Yes 🔿 No
Reasonable Classifications Covered in the Medicaid State Plan as of March 23, 2010
$\boxed{\checkmark}$ The state attaches the approved pages from the Medicaid state plan as of March 23, 2010 to indicate the age groups, reasonable classifications, and income standards used at that time for this eligibility group.
An attachment is submitted.
Current Coverage of All Children under a Specified Age



The state covers all children under a specified age limit, equal to or higher than the age limit and/or income standard used in the Medicaid state plan as of March 23, 2010, provided the income standard is higher than the current mandatory income standard for the individual's age. The age limit and/or income standard used must be no higher than any age limit and/or income standard covered in the Medicaid state plan as of December 31, 2013 or under a Medicaid 1115 Demonstration as of March 23, 2010 or December 31, 2013. Higher income standards may include the disregard of all income.

• Yes 🔿 No

Indicate below the age under which all children are covered under this eligibility group, based on a specific age group used previously in the Medicaid state plan or under a Demonstration, which is equal to or higher than the age group for coverage of all children in the Medicaid state plan as of March 23, 2010.

● Under age 21 ○ Under age 20 ○ Under age 19 ○ Under age 18

Enter the income standard used for this age group. The standard must be higher than the mandatory income standard for the individual's age, not more restrictive than that used in the Medicaid state plan as of March 23, 2010 and not less restrictive than that used in the Medicaid state plan as of December 31, 2013 or under a Medicaid 1115 Demonstration as of March 23, 2010 or December 31, 2013.

Income standard used

Minimum income standard

The minimum income standard for this classification of children is the AFDC payment standard in effect as of July 16, 1996, not converted to MAGI-equivalent. This standard is described in S14 AFDC Income Standards.

Maximum income standard

No income test was used (all income was disregarded) for this classification either in the Medicaid state plan as of December 31, 2013, or under a Medicaid 1115 Demonstration as of March 23, 2010 or December 31, 2013.

○ Yes ● No

The state certifies that it has submitted and received approval for its converted income standards for this classification of children to MAGI-equivalent standards and the determination of the

 $|\mathcal{L}|$ maximum income standard to be used for this classification of children under this eligibility group.

An attachment is submitted.

The state's maximum income standard for this classification of children (which must exceed the minimum for the classification) is:

The state's effective income level for this classification of children under the Medicaid state plan as of March 23, 2010, converted to a MAGI-equivalent percent of FPL or amounts by household size.

The state's effective income level for this classification of children under the Medicaid state plan as of December 31, 2013, converted to a MAGI-equivalent percent of FPL or amounts by household size.



	The state's effective income level for this classification of children under a Medicaid 1115 O Demonstration as of March 23, 2010, converted to a MAGI-equivalent percent of FPL or amounts by household size.
	The state's effective income level for this classification of children under a Medicaid 1115 O Demonstration as of December 31, 2013, converted to a MAGI-equivalent percent of FPL or amounts by household size.
	Enter the amount of the maximum income standard:
	• A percentage of the federal poverty level: 156 %
	The state's AFDC payment standard in effect as of July 16, 1996, converted to a MAGI- equivalent standard. This standard is described in S14 AFDC Income Standards. This option should only be selected for children 19 and older, and only if the state has not elected to cover the Adult Group.
	The state's TANF payment standard, converted to a MAGI-equivalent standard. This standard is described in S14 AFDC Income Standards. This option should only be selected for children 19 and older, and only if the state has not elected to cover the Adult Group.
	○ Other dollar amount
Inco	me standard chosen
Indi	viduals qualify under this classification under the following income standard:
C	The minimum standard.
۲	The maximum income standard.
О	If not chosen as the maximum income standard, the state's effective income level for this classification under the Medicaid state plan as of March 23, 2010, converted to a MAGI-equivalent percent of FPL or amounts by household size.
0	If not chosen as the maximum income standard, and if higher than the effective income level used under the Medicaid state plan as of March 23, 2010, the state's effective income level for this classification under the Medicaid state plan as of December 31, 2013, converted to a MAGI- equivalent percent of FPL or amounts by household size.
О	If not chosen as the maximum income standard, and if higher than the effective income level used under the Medicaid state plan as of March 23, 2010, the state's effective income level for this classification under a Medicaid 1115 Demonstration as of March 23, 2010, converted to a MAGI- equivalent percent of FPL or amounts by household size.
С	If not chosen as the maximum income standard, and if higher than the effective income level used under the Medicaid state plan as of March 23, 2010, the state's effective income level for this classification under a Medicaid 1115 Demonstration as of December 31, 2013, converted to a MAGI-equivalent percent of FPL or amounts by household size.
0	Another income standard in-between the minimum and maximum standards allowed, provided it is higher than the effective income level for this classification in the state plan as of March 23, 2010, converted to a MAGI equivalent.



Current Coverage of Reasonable Classifications Covered in the Medicaid State Plan as of March 23, 2010

The state covers reasonable classifications of children previously covered in the Medicaid state plan as of March 23, 2010, with income standards higher than the current mandatory income standard for the age group. Age limits and income standards are equal to or higher than the Medicaid state plan as of March 23, 2010, but no higher than any age limit and/or income standard for this classification covered in the Medicaid state plan as of December 31, 2013 or under a Medicaid 1115 Demonstration as of March 23, 2010 or December 31, 2013. Higher income standards may include the disregard of all income.

🔿 Yes 💿 No

Other Reasonable Classifications Previously Covered

The state covers reasonable classifications of children <u>not</u> covered in the Medicaid state plan as of March 23, 2010, but covered under the Medicaid state plan as of December 31, 2013 or under a Medicaid 1115 Demonstration as of March 23, 2010 or December 31, 2013 with an income standard higher than the current mandatory income standard for the age group.

○ Yes ● No

Additional new age groups or reasonable classifications covered

If the state has <u>not</u> elected to cover the Adult Group (42 CFR 435.119), it may elect to cover additional new age groups or reasonable classifications that have not been covered previously. If the state covers the Adult Group, this additional option is not available, as the standard for the new age groups or classifications is lower than that used for mandatory coverage.

The state does <u>not</u> cover the Adult Group and elects the option to include in this eligibility group additional age groups or reasonable classifications that have not been covered previously in the state plan or under a Medicaid 1115 Demonstration. Any additional age groups or reasonable classifications not previously covered are restricted to the AFDC income standard from July 16, 1996, not converted to a MAGI-equivalent standard.

🔿 Yes 🛛 💿 No

There is no resource test for this eligibility group.

PRA Disclosure Statement



OMB Control Number 0938-1148 OMB Expiration date: 10/31/2014

Eligibility Groups - Options for Coverage Children with Non IV-E Adoption Assistance	S53
42 CFR 435.227 1902(a)(10)(A)(ii)(VIII)	
Children with Non IV-E Adoption Assistance - The state elects to cover children with special needs for whom there is a non IV-E adoption assistance agreement in effect with a state, who were eligible for Medicaid, or who had income at or below a standard established by the state and in accordance with provisions described at 42 CFR 435.227.	ł
\odot Yes \bigcirc No	
\checkmark The state attests that it operates this eligibility group in accordance with the following provisions:	
Individuals qualifying under this eligibility group must meet the following criteria:	
The state adoption agency has determined that they cannot be placed without Medicaid coverage because of specineeds for medical or rehabilitative care;	al
Are under the following age (see the Guidance for restrictions on the selection of an age):	
• Under age 21	
○ Under age 20	
○ Under age 19	
○ Under age 18	
MAGI-based income methodologies are used in calculating household income. Please refer as necessary to S10 MAGI Based Income Methodologies, completed by the state.	[-
The state covered this eligibility group in the Medicaid state plan as of December 31, 2013, or under a Medicaid 1115 Demonstration as of March 23, 2010 or December 31, 2013.	
• Yes O No	
The state also covered this eligibility group in the Medicaid state plan as of March 23, 2010. • Yes O No	
Individuals qualify under this eligibility group if they were eligible under the state's approved state plan prior the execution of the adoption agreement.	to
The state used an income standard or disregarded all income for this eligibility group either in the Medicaid state as of March 23, 2010 or December 31, 2013, or under a Medicaid 1115 Demonstration as of March 23, 2010 or December 31, 2013.	plan
\bigcirc Yes \bigcirc No	
There is no resource test for this eligibility group.	

PRA Disclosure Statement



OMB Control Number 0938-1148 OMB Expiration date: 10/31/2014

S54

Eligibility Groups - Options for Coverage Optional Targeted Low Income Children

1902(a)(10)(A)(ii)(XIV) 42 CFR 435.229 and 435.4 1905(u)(2)(B)

Optional Targeted Low Income Children - The state elects to cover uninsured children who meet the definition of optional targeted low income children at 42 CFR 435.4, who have household income at or below a standard established by the state and in accordance with provisions described at 42 CFR 435.229.

🔿 Yes 🛛 💿 No

PRA Disclosure Statement



OMB Control Number 0938-1148 OMB Expiration date: 10/31/2014

Eligibility Groups - Options for Coverage Individuals with Tuberculosis

S55

1902(a)(10)(A)(ii)(XII) 1902(z)

Individuals with Tuberculosis - The state elects to cover individuals infected with tuberculosis who have income at or below a standard established by the state, limited to tuberculosis-related services.

🔿 Yes 💿 No

PRA Disclosure Statement



Medicaid Eligibility

OMB Control Number 0938-1148 OMB Expiration date: 10/31/2014

Eligibility Groups - Options for Coverage Independent Foster Care Adolescents

S57

42 CFR 435.226 1902(a)(10)(A)(ii)(XVII)

Independent Foster Care Adolescents - The state elects to cover individuals under an age specified by the state, less than age 21, who were in state-sponsored foster care on their 18th birthday and who meet the income standard established by the state and in accordance with the provisions described at 42 CFR 435.226.

🔿 Yes 🛛 💿 No

PRA Disclosure Statement

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0938-1148. The time required to complete this information collection is estimated to average 40 hours per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Mail Stop C4-26-05, Baltimore, Maryland 21244-1850.



Medicaid Eligibility

OMB Control Number 0938-1148 OMB Expiration date: 10/31/2014

Eligibility Groups - Options for Coverage Individuals Eligible for Family Planning Services

S59

1902(a)(10)(A)(ii)(XXI) 42 CFR 435.214

Individuals Eligible for Family Planning Services - The state elects to cover individuals who are not pregnant, and have household income at or below a standard established by the state, whose coverage is limited to family planning and related services and in accordance with provisions described at 42 CFR 435.214.

🔿 Yes 🛛 💿 No

PRA Disclosure Statement

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0938-1148. The time required to complete this information collection is estimated to average 40 hours per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Mail Stop C4-26-05, Baltimore, Maryland 21244-1850.

Modified Adjusted Gross Income (MAGI) Conversion Plan

This MAGI Conversion Plan is being submitted to CMS by Maine as required by Section 1902(e)(14)(E) of the Social Security Act, which requires each state to submit for approval the income eligibility thresholds for Medicaid and the Children's Health Insurance Program (CHIP) proposed to be established using modified adjusted gross income (MAGI). As described in the December 28, 2012 State Health Officials' Letter on Modified Adjusted Gross Income (MAGI) income conversion, states can choose among three options to convert net standards for Medicaid and CHIP to MAGI equivalent standards.¹ The purpose of the MAGI Conversion Plan is to provide CMS with information about each state's MAGI conversion methodology, as well as the data used and results of conversion. CMS will be reviewing the submitted materials and notifying the State with their approval or disapproval by **June 15, 2013**.

Eligibility and FMAP claiming conversions. States are required to submit information about their conversion methodology, data and results for income conversions related to eligibility and those required for FMAP claiming in accordance with CMS' FMAP rule. For additional information about the FMAP rule, please see: https://s3.amazonaws.com/public-inspection.federalregister.gov/2013-07599.pdf.

Note about Income Eligibility Conversions and State Plan Amendments: Converted income standards will be used to set maximum MAGI-equivalent standards for adults in 2014 and will be used as the actual income standard in effect for children through October 2019. States will use the state plan amendment (SPA) process to identify the minimum and maximum MAGI-equivalent standards and to select the state's MAGI-based income standard for each eligibility group to which MAGI will apply in 2014. For adults for whom the Maintenance of Effort requirement expires in 2014, the selected income standard in the SPA will be anywhere between the minimum and the maximum derived through the income conversion process.

Please indicate the MAGI conversion method chosen by your state and follow the appropriate directions:

Option 1a – Standardized Methodology with SIPP data, no state data adjustments for time-limited disregards

Attach Excel spreadsheet with finalized SIPP results of eligibility and FMAP conversions to this cover page and submit to <u>incomeconversion@cms.hhs.gov.</u>

Option 1b – Standardized Methodology with SIPP data, with state data adjustments for time-limited disregards.

Please follow instructions below and submit to <u>incomeconversion@cms.hhs.gov</u>

X Option 2 – Standardized Methodology with State data
 Please follow the instructions below and submit this plan to incomeconversion@cms.hhs.gov.

¹ SHO letter available at http://www.medicaid.gov/Federal-Policy-Guidance/downloads/SHO12003.pdf

Option 3 – State proposed Alternative Method Please follow the instructions below and submit this plan to incomeconversion@cms.hhs.gov.

	Part 1 – Conversions for Eligibility		Part 2 – Conversions for FMAP Claiming and TB Group	
	Pages to Complete	Due Date	Pages to Complete	Due Date
Option 1a: Standardized Methodology, no adjustments	Page 1	May 31, 2013	Page 1	Fall 2013
Option 1b Standardized Methodology, state adjustments for time limited disregards	Pages 1 and 3	May 31, 2013	Pages 1 and 14	Fall 2013
Standardized Methodology with State Data	Page 4-11	April 30, 2013*	Pages 15-18	Fall 2013
Alternative Methodology	Page 4-13	April 30, 2013*	Pages 15-18	Fall 2013

*Eligibility conversion plans are due April 30, 2013, or within 15 days of receiving SIPP results, whichever is later.

Option 1b -- Standardized Methodology with SIPP data, with state adjustments for time limited disregards

Eligibility Conversions

Please provide information about the state-specific weighting strategy and relevant conversions for groups with time limited disregards in the table below.

<u>Eligibility group</u>: Please list each eligibility group (e.g., 1931 parents/caretaker relatives) where your state applied its own weight for time-limited disregards.

<u>Time-Limited weight</u>: Please list, for each relevant eligibility group, the weight your state applied for the conversion. For example, if you determined that 15% of enrollees received time-limited disregards in a given category and applied that as your weight, you would simply list 15%.

<u>Data Used to Derive weight</u>: Please describe, for each relevant eligibility group, the data used to calculate the time-limited weight, e.g. "state data for all 1931 enrolled individuals in March of 2012."

<u>Application of Weight</u>: Please show the formula used to apply the weight, e.g., if standard with time-limited disregard is 100 and without time-limited disregard is 75 and weight is .15, the formula would be $(100 \times .85) + (75 \times .15) = ___79$

<u>Converted standard</u>: Please fill in the converted standard for each eligibility group. This will be the weighted average of the applicant (e.g., standard including time-limited disregards) and beneficiary calculations you originally received from CMS, applying the time-limited weight to the applicant conversion.

Eligibility Group	Time-limited	Data Used to	Application of	<u>Converted</u>
	<u>Weight</u>	Derive Weight	<u>Weight</u>	<u>Standard</u>

Options 2 and 3 -- Standardized Methodology with State Data Method and

Alternative Method:

Please provide a state contact who can answer questions about the conversion plan, data, and methods:

Name: Ron Bansmer	Title: Special Projects Manager
E-mail: reinhold.bansmer@maine.gov	Phone: 207-624-4105

<u>Supplemental Information</u>: In addition to the information provided in the attached MAGI Conversion Plan, during the review and approval process, CMS may determine that supplemental information regarding the income conversion results is **necessary**. If CMS determines that a supplemental review of these results is necessary, your state may be required to submit:

- Descriptive statistics of the data used. Such descriptive statistics could include for each eligibility group converted with state data:
 - Net income statistics and disregard statistics for the full population or sample and for the population used in conversion (e.g., the 25% band) including: Total N, Mean Net Income, Standard Deviation of Mean Net Income, Median Net Income, and Number of individuals with Positive Net Income
- Data files used for conversion
- Annotated programming code used in the analysis

PART 1: ELIGIBILITY CONVERSIONS- TABLE 1 – DUE APRIL 30, 2013

For States Using Standardized Methodology with State Data

Or

Alternative Method

Please fill out Table 1 below to provide CMS with information about how state data were used for MAGI income conversion. All cells in rows for eligibility groups that do not have a converted income standard in your state (for example, if your state does not cover independent foster care adolescents or does not apply an income standard to this group) should be marked "N/A."

Instructions for Table 1:

<u>SIPP results used</u>: Your state may have used SIPP results for converting some groups. For conversions based on SIPP, please mark yes in the first column of Table 1 and provide the converted standard from those results. Please list the group below (e.g., pregnant women) and an explanation of why the SIPP results are being used for this eligibility group (e.g., data unavailable). Also, for groups that have time-limited disregards, if the state chooses to provide its own weighting, please provide the state-specific weighting strategy that was used to derive the converted standard. The explanation of the weighting strategy should include the percent assumed to have time limited disregards and the data on which this calculation was based (e.g., 15%: based on analysis of state data for those enrolled in the 1931 group in CY 2012). Attach additional pages if necessary. Note that for groups that need to be converted both for eligibility and **FMAP purposes (e.g., childless adults) the same income conversion method/data source (i.e., SIPP or state data) must be used.**

Pregnant women, full benefits: SIPP data is being used because State data is not available for this coverage group due to a system flaw that prevents Maine's Automated Client Eligibility System (ACES) from storing gross income for this coverage group.

AFDC Payment Standard 5/1/1988: SIPP data is being used because State data is not available.

AFDC Payment Standard 7/16/1996: SIPP data is being used because State data is not available.

For all conversions using state data, please provide the following information:

<u>Time period</u>-Specify the time period of data that was used, for example, June 2011-May 2012. If a time period other than 12 months was used, please explain why below and summarize the methods used to determine that the time period is unbiased. Attach additional pages if necessary:

<u>Sampling</u>: Please mark this column yes or no. If yes (in other words, the analysis did not include all records in the eligibility group), please provide a detailed explanation below of the sampling approach that was used (i.e., simple random sample, stratified sample, etc.). Please also provide information about the total population and the number of records sampled. Attach additional pages if necessary.

<u>Net income standard</u>- Please fill in the net standard that was converted for each eligibility group. This should reflect the bolded standard from the eligibility template that you developed with CMS. For conversions that were based on fixed dollar thresholds, please specify the net standard for each family size. You may use fewer or more family sizes than indicated in Table 1.

For 1115 demonstrations, please enter a row for each MAGI-included 1115 demonstration group, specifying whether it is Medicaid or S-CHIP.

<u>Income band used in conversion</u>-This column should reflect the net standard minus 25 percentage points of FPL. For example, if the net standard was 120% FPL, the income band used in conversion would be 95% FPL to 120% FPL. For standards at or below 25% FPL, the income band will include all records—e.g., for a net standard of 18% FPL, the income band used in conversion should be 0-18% FPL. For conversions of <u>fixed dollar thresholds</u>, please specify the income band (expressed as a percentage of FPL) for each family size.² For states using an alternative method, this column should only be filled out if it is applicable (e.g., if the marginal approach was used).

<u>Converted standard</u> - Please fill in the converted standard. Fixed dollar standards should be given in dollars for each family size.

² See page 15 of *How States Can Implement the Standardized Modified Adjusted Gross Income (MAGI) Conversion Methodology from State Medicaid and CHIP Data* for more information on converting fixed dollar standards to FPL. http://aspe.hhs.gov/health/reports/2013/MAGIHowTo/rb.cfm.

<u>Special note for premium payment groups</u>: If your state charges premiums for any eligibility group, please indicate which method you selected below and attach a separate sheet showing the MAGI Conversion Plan information requested (time period, net income standard, income band used in conversion, and the converted standard) for each income level used to determine premium payments.

<u>Premium conversion method</u>: Premiums may be converted either using the Standardized MAGI Conversion Methodology; or, using a ratio of the converted standard for the group to the net standard for the group for which premiums are charged. For example, if your state charges premiums for people between 150% and 300% FPL and the standard for 300% of FPL converted to 309%, you would multiple the remaining levels by 1.03 (309/300).

Please indicate which approach was used and provide upper income net and converted standards if you applied the ratio method:

□ Standardized method

X Ratio Method

Upper income level, net standard 200% FPL

Upper income level, converted standard 208% FPL

Table 1

out only if applicable)	Coverage Category	SIPP Results used (Yes/No)	Time Period	Sampling (yes/no)	Net Income Standard	Income band used in conversion (Alternative Method states to fill out only if	Converted Standard
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Coverage Category	SIPP Results used (Yes/No)	Time Period	Sampling (yes/no)	Net Income Standard	Income band used in conversion (Alternative Method states to fill out only if applicable)	Converted Standard
Parents and other caretaker relatives (mandatory under Section 1931)	No	3/1/2012 through 2/28/2013	No	% FPL 150 or Fixed dollar standards Family size 1 2 3 4 5 6 7 Add-on for additional family members if relevant	% FPL 126 - 150	% FPL 157 or Fixed dollar standards Family size 1 2 3 4 5 6 7 Add-on for additional family members if relevant
Parents and other caretaker relatives (optional under 1902(a)(10)(A)(ii)(I)	No	3/1/2012 through 2/28/2013	No	% FPL 200 or Fixed dollar standards Family size 1 2 3 4 5 6 7 Add-on for additional family members if relevant	% FPL 176 -200 or % FPL by Family size (for groups with fixed dollar standards) 1 2 3 4 5 6 7 Add-on for additional family members if relevant	% FPL 208 or Fixed dollar standards Family size 1 2 3 4 5 6 7 Add-on for additional family members if relevant

Coverage Category	SIPP Results used (Yes/No)	Time Period	Sampling (yes/no)	Net Income Standard	Income band used in conversion (Alternative Method states to fill out only if applicable)	Converted Standard
Pregnant women, full benefits	No	3/1/2012 through 2/28/2013	No	200% FPL	176 – 200% FPL	208% FPL
Pregnant women, pregnancy only coverage	Yes					209% FPL
Children under age 1	No	3/1/2012 through 2/28/2013	No	185% FPL	161 – 185% FPL	191% FPL
Children ages 1 to 5	No	3/1/2012 through 2/28/2013	No	150% FPL	126 – 150% FPL	157% FPL
Children ages 6 to 18	No	3/1/2012 through 2/28/2013	No	150% FPL	126 – 150% FPL	157% FPL
M-CHIP optional targeted low- income children	N/A					
Optional reasonable classifications of individuals under age 21	Yes	3/1/2012 through 2/28/2013	No	150% FPL	126 – 150% FPL	156% FPL
State adoption assistance	N/A					
Independent foster care adolescents	N/A					
Family planning services	N/A					
Other Medicaid section 1115 demonstration (e.g., childless adults). Insert more rows if needed.	N/A			100% FPL		100% FPL
Separate CHIP State plan Children 	No	3/1/2012 through 2/28/2013	No	200% FPL	176 – 200% FPL	208% FPL

Coverage Category	SIPP Results used (Yes/No)	Time Period	Sampling (yes/no)	Net Income Standard	Income band used in conversion (Alternative Method states to fill out only if applicable)	Converted Standard
Separate CHIP State plan Pregnant Women option 	N/A					
Separate CHIP State planUnborn child option	N/A					
Other S-CHIP section 1115 demonstration (e.g., pregnant women). Insert more rows if needed.	N/A					
AFDC payment standard 5/1/1988	Yes			Fixed dollar standards Family size 1 2 3 4 5 6 7 Add-on for additional family members if relevant	% FPL by family size 1 2 3 4 5 6 7 Add-on for additional family members if relevant	Fixed dollar standards Family size 1 2 3 4 5 6 7 Add-on for additional family members if relevant

Coverage Category	SIPP Results used (Yes/No)	Time Period	Sampling (yes/no)	Net Income Standard	Income band used in conversion (Alternative Method states to fill out only if applicable)	Converted Standard
AFDC payment standard 7/16/1996	Yes			Fixed dollar standards Family size 1 2 3 4 5 6 7 Add-on for additional family members if relevant	% FPL by family size 1 2 3 4 5 6 7 Add-on for additional family members if relevant	Fixed dollar standards Family size 1 2 3 4 5 6 7 Add-on for additional family members if relevant
Pre-CHIP Medicaid as of 3/31/97	No	3/1/2012 through 2/28/2013	No	< age 1N/A 1-5133% 6-13125% 14-18125%	< age 1 1-5108 – 133% FPL_ 6-13101 – 125% FPL 14-18101 – 125% FPL	< age 1 1-5140% FPL 6-13132% FPL 14-18_132% FPL

Premium Payment Determination: Please indicate whether the Standardized MAGI Conversion methodology was used or a ratio of the converted standard at the upper ranges of the eligibility threshold was used.

Premium payment determinations were calculated using a ratio of the converted standard for the CHIP group to the net standard for the group.

PART 1: ELIGIBILITY CONVERSIONS

Option 3-- Alternative Method, additional information

Please provide a summary of the alternative method and data source or sources used for income conversion, including how the method differs from the Standardized MAGI Conversion Methodology specified in the December 28, 2012 State Health Officials' Letter on Modified Adjusted Gross Income (MAGI) Income Conversion. Please include equations showing how the method is applied mathematically and a description of how fixed dollar standards were converted, if relevant. Attach additional pages if necessary.

Please provide a description below of how your method meets the criteria specified in the December 28, 2012 State Health Officials' Letter on Modified Adjusted Gross Income (MAGI) Conversion: unbiased, accuracy, precision, and data quality. Attach additional pages if necessary. More detailed information about these criteria is available in the ASPE issue brief *Modified Adjusted Gross Income (MAGI) Income Conversion Methodologies.* ³

³ See <u>http://www.shadac.org/files/2.%20ASPE%20Brief%20-%20MAGI%20Income%20Conversion%20Methodologies%20(March%202013).pdf</u>.

<u>Unbiased</u>: Across all eligibility categories, the method does not systematically increase or decrease the number of eligible individuals within a given eligibility group or systematically increase or decrease the costs to states.

<u>Accuracy</u>: To the extent possible, the method minimizes changes in eligibility status by minimizing losses and gains in eligibility for a given category of coverage.

<u>Precision</u>: The converted standard must be stable and repeatable. In other words, if the methodology to arrive at the converted standard were repeated, it would arrive at the same result. For example, if a sampling methodology is used, the sample size must be large enough to ensure that the conversion method, if calculated on another sample, would in general yield the same converted standard.

Data quality: The data used are representative of the income and disregards of the population so as not to bias the converted standard due to poor data quality.



Option 1b -- Standardized Methodology with SIPP data, with state adjustments for time limited disregards

Eligibility Conversions

Please provide information about the state-specific weighting strategy and relevant conversions for groups with time limited disregards in the table below.

<u>Eligibility group</u>: Please list each eligibility group (e.g., 1931 parents/caretaker relatives) where your state applied its own weight for time-limited disregards.

<u>Time-Limited weight</u>: Please list, for each relevant eligibility group, the weight your state applied for the conversion. For example, if you determined that 15% of enrollees received time-limited disregards in a given category and applied that as your weight, you would simply list 15%.

<u>Data Used to Derive weight</u>: Please describe, for each relevant eligibility group, the data used to calculate the time-limited weight, e.g. "state data for all 1931 enrolled individuals in March of 2012."

<u>Application of Weight</u>: Please show the formula used to apply the weight, e.g., if standard with time-limited disregard is 100 and without time-limited disregard is 75 and weight is .15, the formula would be $(100 \times .85) + (75 \times .15) = ____79$

<u>Converted standard</u>: Please fill in the converted standard for each eligibility group. This will be the weighted average of the applicant (e.g., standard including time-limited disregards) and beneficiary calculations you originally received from CMS, applying the time-limited weight to the applicant conversion.

Eligibility Group	Time-limited Weight	<u>Data Used to Derive</u> <u>Weight</u>	Application of Weight	Converted Standard

PART 2: FMAP CONVERSIONS - DUE FALL 2013

Options 2 and 3 -- For States Using Standardized Methodology with State Data

Or

Alternative Method

Please fill out Table 2 below to provide CMS with information about how state data were used for FMAP related conversions. If your state did not cover a certain eligibility group on December 1, 2009, all cells in that row should be marked "N/A." **All states** must fill out relevant conversions under "TB conversion", "MAGI groups relevant for FMAP" and "optional ABD groups." **209(b) states** must also fill out information for the relevant mandatory groups listed at the end of the table if the state applied a disregard on December 1, 2009 that varied from the standard SSI-related methodology disregards. All cells in rows for eligibility groups that do not have a converted income standard in your state (for example, if your state does not cover the options group for individuals who meet the requirements of SSI or optional state supplement, but who do not receive cash assistance) should be marked "N/A". In addition, if your state has elected the state TB group option for eligibility, please include those conversion results with Part 2.⁴

Instructions for Table 2: This template assumes that the information about sampling and income bands (if relevant) you provided for eligibility conversions in Part 1 of this plan also apply to the FMAP conversions in part 2. If not, please attach a separate explanation of how and why they differ. Similarly, it assumes that if an alternate methodology was used in part 1, the same was used for part 2, so the information provided on pages 12 and 13 apply. If not, please attach a separate explanation of how and why they differ.

<u>SIPP results used</u>: Your state may have used SIPP results for converting some groups. For conversions based on SIPP, please mark yes in the first column of Table 1 and provide the converted standard from those results. Please list the group below (e.g., the optional aged, blind or disabled poverty level group) and an explanation of why the SIPP results are being used for this eligibility group (e.g., state data unavailable). Also, for groups that have time-limited disregards, if the state chooses to provide its own weighting, please provide the state-specific weighting strategy that was used to derive the converted standard. The explanation of the weighting strategy should include the percent assumed to have time limited disregards and the data on which this calculation was based (e.g., 15% receive the \$65 or \$85 if no unearned income, and on-half of other earned income disregard based on analysis of state data for those enrolled in the

⁴ CMS did not complete SIPP conversions for the TB group during Phase 1 of the "template project" and will be completing them during Phase 2 when FMAP conversions are being done. Consequently, reporting of TB group conversions has been included with Phase 2 FMAP conversions.

medically needy group in CY 2012). Attach additional pages if necessary. Note that for groups that need to be converted both for eligibility and FMAP purposes (e.g., childless adults) the same income conversion method/data source (i.e., SIPP or state data) must be used.

<u>Net income standard</u>: Please fill in the net standard that was converted for each eligibility group. For **MAGI groups relevant to FMAP claiming** (e.g., parent/caretaker relatives, childless adults, and reasonable classifications of children), in most cases this will be the effective income standard your state provided in Part 1. However, if the effective income standard was different on 12/1/2009, that standard should be listed here. **For ABD groups**, this standard will be the bolded effective income standard from the ABD template you completed with CMS. Please provide this information in % of FBR or in fixed dollar state supplement payments as relevant, and for different family sizes as applicable.

<u>Converted standard</u> -Please fill in the converted standard.

Table 2

Eligibility Group	SIPP Results used (Yes/No)	Time Period	Net Income Standard % FPL or fixed dollar standards by family size if applicable	Converted Standard
FMAP Conversions				
TBD				

PRA Disclosure Statement

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0938-1148. The time required to complete this information collection is estimated to average 20 per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Mail Stop C4-26-05, Baltimore, Maryland 21244-1850.

DEPARTMENT OF HEALTH & HUMAN SERVICES Centers for Medicare & Medicaid Services 7500 Security Boulevard, Mail Stop: S2-01-16 Baltimore, Maryland 21244-1850



Children and Adults Health Programs Group

AUG 2 6 2013

Stefanie Nadeau Director, Office of MaineCare Services State of Maine, Department of Health and Human Services 221 State Street Augusta, ME 04333

Dear Ms. Nadeau:

Thank you for submitting Part I of your state's Modified Adjusted Gross Income (MAGI) Conversion Plan for eligibility in 2014. Your state selected option 2 - state data conversion plan. This letter is to notify you that the Centers for Medicaid & Medicare Services (CMS) is formally approving Part 1 (conversions for eligibility) of your plan.

As a next step, your state will need to submit a state plan amendment (SPA) to:

- Identify the minimum and maximum MAGI-equivalent standards for relevant eligibility groups; these will go into the State Plan to memorialize the minimum and maximums that will be relevant for any future eligibility changes the state might make.
- Select the MAGI-based income standard that will apply beginning January 1, 2014 for each MAGI eligibility group.
 - a. For adults the state may select any income standard between the minimum and the maximum converted levels.
 - b. For children, because of Maintenance of Effort (MOE), the eligibility income standard will be at least the standard under the state plan on March 23, 2010 as converted (until at least October 1, 2019) when the MOE provision for children expires).

The specific MAGI-Based Eligibility Group state plan amendment documents (.pdf formatted) are enclosed with this letter. We strongly encourage states to submit all of their MAGI-Based Eligibility Group .pdf documents at the same time to facilitate a coordinated and expedited review process.

Page 2 - Ms. Nadeau

Medicaid and CHIP eligibility State Plan Amendment pages can be accessed through the Medicaid Model Data Lab (MMDL), available at:

http://157.199.113.99/MMDL/faces/portal.jsp. The MMDL system has automatically generated emails from "Form Support" which have been emailed to you with your **user name** and **password** over the last several weeks. Please contact your SOTA representative if you have any questions about using the SPA process to document the results of your state's MAGI conversion plan.

CMS will be providing more information about completing Part 2 (conversions related to FMAP claiming) of the Conversion Plan in the coming weeks, including information about using state data for FMAP conversions.

Sincerely,

/s/

Jennifer Ryan Deputy Director

Enclosure

ENCLOSURE

MAGI-BASED ELIGIBILITY GROUP STATE PLAN AMENDMENT DOCUMENTS

Medicaid MAGI-Based Eligibility Groups - Mandatory

- S25 Parents and Other Caretaker Relatives
- S28 Pregnant Women
- S30 Infants and Children under Age 19
- S32 Adult Group; Individuals Below 133% of the FPL
- S33 Former Foster Care Children up to age 26
- S14 AFDC Income Standard

Optional (only those that apply in state):

- S50 Individuals above 133% of the FPL
- S51 Optional Parents and Caretaker Relatives
- S52 Reasonable Classifications of Children
- S53 Non IV-E Adoption Assistance
- S54 Optional Targeted Low Income Children
- S55 Tuberculosis
- S57 Foster Care Adolescents—Chafee
- S59 Family Planning

CHIP MAGI Eligibility and Methods (only those that apply in state)

- CS3 Title XXI Medicaid Expansion
- CS7 Targeted Low-Income Children
- CS8 Targeted Low-Income Pregnant Women
- CS9 Conception to birth
- CS10 Children with access to public employee coverage
- CS11 Pregnant women with access to public employee coverage
- CS12 Dental only coverage

Regional Offices of The Maine Department of Health and Human Services Office for Family Independence

Descent	D: 11-ford
Bangor	Biddeford
396 Griffin Road	208 Graham Street
	Biddeford, ME 04005
	286-2400 or 1-800-322-1919
	TDD/TTY 286-2402
Caribou	Ellsworth
30 Skyway Drive, Unit 100	17 Eastward Lane
Caribou, ME 04736-2060	Ellsworth, Me 04605
493-4000 or 1-800-432-7366	667-1600 or 1-800-432-7823
TDD/TTY 493-4034	TDD/TTY 667-1639
Fort Kent	Houlton
127 Market Square	11 High Street
-	Houlton, ME 04730
,	532-5000 or 1-800-432-7338
	TDD/TTY 532-5001
wiachias	Portland
38 Prescott Drive	161 Marginal Way
	Portland, ME 04101
,	822-2000 or 1-800-482-7520
	TDD/TTY 822-2293
Sanford	Skowhegan
	98 North Avenue, Suite 10
	Skowhegan, ME 04976
	474-4800 or 1-800-452-4602
TDD/TTY 490-5466	TDD/TTY 474-4891
	30 Skyway Drive, Unit 100 Caribou, ME 04736-2060 493-4000 or 1-800-432-7366 TDD/TTY 493-4034 Fort Kent 137 Market Square Fort Kent, ME 04743 834-7700 or 1-800-432-7340 TDD/TTY 834-7702 Machias 38 Prescott Drive Machias, ME 04654 255-2000 or 1-800-432-7846 TDD/TTY 255-6866

PRESUMPTIVE ELIGIBILITY APPLICATION

Name	of Applicant:	Date of Birth:		
Social	Security Number (optional):			
Addres	SS:			
Phone	Number:			
		YES	NO	
1.	Do you have a current MaineCare card? If yes, stop here.			
2.	Are you a resident of the State of Maine?			
3.	Are you a U.S. Citizen or a legal alien?			
4.	Are you:			
	□ Married □ Divorced □ Single □ Widowed	Separate	d	
E	Total number in family (including an unbern child):			

- 5. Total number in family (including an unborn child): ______ Family includes all family members (spouse, children, parents, siblings) living in your household.
- 6. Household Member Information (if the Applicant or any other family member receives earned or unearned income (including income from: employment, self-employment, tips, commissions, pensions, Social Security, child/spousal support, gifts, disability, VA or unemployment benefits, etc.), list the amount received under Gross Income Received, where the money came from under Source, and how often the money is received under Frequency).

Name (Last, First, Middle Initial)	Relationship to the Applicant	Date of Birth	Gross Income Received	Source	Frequency
	Self				

For Provider Use Only

Gross Monthly Income

Gross Monthly Income

Gross Monthly Income

Total Gross Monthly Income

Maximum Income Levels

+ _____

+_____

Family Size		Ages 1 to 18	Ages 19 & 20		
	Ages Birth to 1	(inclusive)	(inclusive)	Cub Care	Pregnant Women
1	\$1,829	\$1,504	\$1,494	\$1,992	\$2,002
2	\$2,469	\$2,030	\$2,017	\$2,689	\$2,702
3	\$3,109	\$2,556	\$2,539	\$3 <i>,</i> 386	\$3,402
4	\$3,749	\$3,082	\$3,062	\$4,082	\$4,102
5	\$4,389	\$3,608	\$3,585	\$4,779	\$4,802
6	\$5,029	\$4,134	\$4,107	\$5,476	\$5,502
7	\$5,668	\$4,659	\$4,630	\$6,173	\$6,203
8	\$6,308	\$5,185	\$5,152	\$6,870	\$6,903
Each Additional					
Person	\$ 640	\$ 526	\$ 523	\$ 697	\$ 701

Does the applicant meet the Presumptive Eligibility Requirements?

🗆 Yes 🛛 No

Signature of Authorized Individual:

Date of Presumptive Eligibility Determination: _____

Provider Training for Presumptive Eligibility for Pregnant Women

Purpose:

Provide presumptive eligibility for Medicaid coverage to pregnant women who meet income guidelines established by MaineCare for the pregnant women coverage group (currently 209% FPL). This allows the woman to begin receiving ambulatory prenatal care the same day she visits the provider for services.

Provider Requirements:

- Qualified MaineCare provider
- Federally Qualified Health Centers [FQHC] or Family Planning office, such as Planned Parenthood

Presumptive Eligibility Determination Procedures:

- 1. Provider completes the Presumptive Eligibility application (see attached).
- 2. Provider determines the applicant's income is equal to or below the maximum level for her household size based on MaineCare Program eligibility rules.
- 3. If the Provider determines that the applicant is income eligible, the Provider notifies the applicant (in writing and orally if appropriate) of the determination and obtains the applicant's signature where indicated on the application.
- 4. If the Provider determines the applicant is not presumptively eligible for coverage, the Provider must:
 - a. notify the applicant (in writing and orally if appropriate) of the reason for the determination; and
 - b. inform the applicant that she still has the right to file a standard MaineCare application with the Maine DHHS Office for Family Independence (OFI).

Provider Enrollment Procedures:

- 1. Provider provides the applicant with a standard MaineCare application form (see attached).
- 2. Provider notifies the OFI of the presumptive eligibility determination within five working days from the date the determination was made.
- 3. Provider notifies the applicant (in writing and orally if appropriate) that if the applicant does not file a standard MaineCare application with OFI before the last day of the following month, presumptive eligibility coverage will end on that last day.
- 4. Provider notifies the applicant (in writing and orally if appropriate) that if the applicant files a standard MaineCare application with OFI before the last day of the following month, presumptive eligibility coverage will continue until an eligibility determination is made on the application that was filed.

The Provider retains a copy of the signed Presumptive Eligibility application, gives a copy to the applicant, and sends a copy to the appropriate regional office of the DHHS Office for Family Independence (OFI) (see attached list of OFI regional office locations).

Once the MaineCare provider has made a presumptive eligibility determination, coverage through MaineCare for ambulatory prenatal care for the applicant will be effective immediately. Coverage will continue through the last day of the month following the month in which the determination of presumptive eligibility is made OR if a MaineCare application has been filed, coverage will continue until the day on which an eligibility determination on that application is made.

In order to avoid a break in coverage, the Provider should encourage the applicant to apply for on-going MaineCare coverage through the Department of Health and Human Services (DHHS) during this presumptive eligibility period.

Questions:

Any questions related to presumptive eligibility for pregnant women should be directed to the MaineCare Program Manager at 1-800-442-6003.