DEPARTMENT OF HEALTH & HUMAN SERVICES

Centers for Medicare & Medicaid Services

Refer to DMCH: SJ

Region II Federal Building 26 Federal Plaza New York, N.Y. 10278

September 23, 2011

Jason A. Helgerson Deputy Commissioner New York State Department of Health Corning Tower, Empire State Plaza Albany, New York 12237

Dear Commissioner Helgerson:

This is to notify you that New York State Plan Amendment (SPA) #09-56-B has been approved for adoption into the State Medicaid Plan with an effective date of December 1, 2009. The SPA provides that certain hospital-based clinics in the upper northeastern region of New York State will seek to become certified as health care homes in order to improve health outcomes and efficiency through patient care continuity and coordination of health services (the Adirondack Health Care Home Multipayor Program), and that these facilities will be eligible for enhanced payments for services provided to recipients.

This SPA approval consists of 2 Pages. As New York has requested, we are approving the Attachment 4.19B-Page 1(c)(i)(C) and 1(c)(i)(D), which were submitted with the State's August 30, 2011 electronic submission to the CMS SPA Mailbox. These pages replace the various copies of the pages which had been submitted earlier by the State for 09-49-B and the originally submitted SPA 09-49. In addition, we are using the revised HCFA-179 which was submitted by the State on August 30, 2011.

This amendment satisfies all of the statutory requirements at sections 1902(a)(13) and (a)(30) of the Social Security Act, and the implementing regulations at 42 CFR 447.250 and 447.272. Enclosed are copies of SPA 09-56-B and HCFA-179 form, as approved.

If you have any questions or wish to discuss this SPA further, please contact Ricardo Holligan or Shing Jew of this office. Mr. Holligan may be reached at (212) 616-2414, and Mr. Jew's telephone number is (212) 616-2426.

Associate Regional Administrator
Division of Medicaid and Children's Health

Enclosure:

SPA #09-56-B HCFA-179 Form CC: JUlberg

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TRANSMITTAL AND NOTICE OF APPROVAL OF	1. TRANSMITTAL NUMBER:	OMB NO. 0938-
STATE PLAN MATERIAL		2. OTATE
FOR: HEALTH CARE FINANCING ADMINISTRATION	09-56-B	New York
	3. PROGRAM IDENTIFICATION: TITLE XIX OF THE SOCIAL SECURITY ACT (MEDICAID)	
TO: REGIONAL ADMINISTRATOR	4. PROPOSED EFFECTIVE DATE	
HEALTH CARE FINANCING ADMINISTRATION	December 1, 2009	
DEPARTMENT OF HEALTH AND HUMAN SERVICES	·	
5. TYPE OF PLAN MATERIAL (Check One):		
NEW STATE PLAN AMENDMENT TO BE CONS	DERED AS NEW PLAN	AMENDMENT
COMPLETE BLOCKS 6 THRU 10 IF THIS IS AN AMEND 6. FEDERAL STATUTE/REGULATION CITATION:	MENT (Separate Transmittal for each at 7. FEDERAL BUDGET IMPACT:	nendment)
Section 1902(a)(30) of the Social Security Act	a. FFY 12/01/09-9/30/10 \$ 150,749	
42 CFR §447.204	b. FFY 10/01/10 - 9/30/11 \$ 166,926	
8. PAGE NUMBER OF THE PLAN SECTION OR ATTACHMENT:	9. PAGE NUMBER OF THE SUPERSEDED PLAN	
Attachment Ada De De man diskings at some	SECTION OR ATTACHMENT (If Ap	plicable):
Attachment 4.19-B: Pages 1(c)(i)(C), 1(c)(i)(D)		
** SEE REMARKS	·	
10. SUBJECT OF AMENDMENT:		
Adirondack Health Care Home Multipayor Program (Hospital-I	pased Clinics)	
(FMAP=61.59% (12/01/09-12/31/10); 58.77% (1/1/11-3/31/11): 56	.88% (4/1/11-6/30/11); 50% (7/1/11 fe	orward))
11. GOVERNOR'S REVIEW (Check One):		
☑ GOVERNOR'S OFFICE REPORTED NO COMMENT	OTHER, AS SPEC	ieleD.
☐ COMMENTS OF GOVERNOR'S OFFICE ENCLOSED	_ Official, AS SI Ec	n nso.
☐ NO REPLY RECEIVED WITHIN 45 DAYS OF SUBMITTAL		
12		
12. AGENCY OFFICIAL:	16. RETURN TO:	
	New York State Department of He	aith
13. TYPED NAME: Jason A. Helgerson	Corning Tower Empire State Plaza	
14. TITLE: Medicaid Director & Deputy Commissioner	Albany, New York 12237	
Department of Health	•	
15. DATE SUBMITTED:	-	
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New York 1(c)(i)(C)



Attachment 4.19-B (10/09)

Adirondack Medical Home Multipayor Program - Hospital-Based Clinics

Effective for periods on and after December 1, 2009, the Commissioner of Health is authorized to certify certain clinicians and clinics in the upper northeastern region of New York as health care homes to improve health outcomes and efficiency through patient care continuity and coordination of health services. Certified providers will be eligible for incentive payments for services provided to recipients eligible for Medicaid fee-for-service.

<u>Clinic shall mean a general hospital providing outpatient care, licensed under Article 28 of the PHL.</u>

The Adirondack Medical Home Multipayor Program is a primary care medical home collaborative of health care service providers including hospitals, diagnostic and treatment centers and private practices serving residents and eligible recipients in the counties of Clinton, Essex, Franklin, Hamilton, Saratoga and Warren. Incentive payments to clinics that meet "medical home" standards will be established jointly by the State Department of Health, participating health care service providers and payors. Medical home certification includes, but is not limited to, existing standards developed by national accrediting and professional organizations, including the National Committee for Quality Assurance's (NCQA) Physician Practice Connections® - Patient Centered Medical Home™ (PPC®-PCMH™) Recognition Program.

Under this program, incentive payments will be made for one year to participating providers to support conversion of these clinic practices to certified medical homes. Within one year, providers in the Multipayor program must achieve either Level 2 or Level 3 including additional criteria (referred to as Level 2 Plus and Level 3 Plus) as determined by the program participants in order to continue to receive the incentive payment. Eligible providers will receive the same incentive payment commensurate with the following levels of "medical home" designation: conversion support; Level 2 Plus; or Level 3 Plus. There will be no incentive payment for Level 1 designation. Incentive payments will be added to claims from program clinics for visits with Evaluation and Management codes identified by the Department of Health as "primary care."

The incentive amount was determined by the Department of Health and participating payors based on a market analysis of the cost to support the conversion of a practice to provide "medical home" patient care and management information systems related to meeting the objectives of this initiative. The participating payors agreed to a per member per month (PMPM) incentive payment of \$7. To calculate the per-visit incentive payment amount, the PMPM was multiplied by twelve (12) to calculate an annual per member payment (\$84) and then this annual amount was divided by the average number of annual primary care visits to clinics

TN <u>#09-56-B</u>	Approval Date _	SEP 2 3 2011
Supersedes TN New 10.	Effective Date _	DEC 0 1 2009

New York 1(c)(i)(D)



Attachment 4.19-B (10/09)

and practitioners' offices to arrive at a per visit incentive payment. The average annual visit rate, based on two years of claims data (January 1, 2007 – December 31, 2008) for a specific list of providers who agreed to participate in the Multipayor Program, was 3 visits per year. Therefore, the per visit incentive payment is \$28. The incentive amount will be approved by the Division of the Budget.

Except as otherwise noted in the plan, state- developed fee schedule rates are the same for both governmental and private providers of primary care "medical home" services in the Multipayor Program. The agency's fee schedule rate was set as of December 1, 2009 and is effective for services on or after that date. All Medicaid rates are published on the Department of Health's public website.

Program is on a voluntary basis. While clinics and clinicians are participating in the Multipayer Program, they are precluded from receiving incentive payments under the statewide patient centered medical home program established pursuant to section 364-m of the Social Services Law.

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Supersedes TN New

Effective Date DEC 0 1 2009