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State/Territory Name: OH

State Plan Amendment (SPA) #: 16-0017

This file contains the following documents in the order listed:

- 1) Approval Letter
- 2) CMS 179 Form/Summary Form (with 179-like data)
- 3) Approved SPA Pages

Department of Health & Human Services
Centers for Medicare & Medicaid Services
233 North Michigan Avenue, Suite 600
Chicago, Illinois 60601-5519



May 16, 2016

John B. McCarthy, Director
Ohio Department of Medicaid
P.O. Box 182709
50 West Town Street, Suite 400
Columbus, Ohio 43218

RE: TN 16-0017

Dear Mr. McCarthy:

Enclosed for your records is an approved copy of the following State Plan Amendment (SPA):

Transmittal #16-0017 - Health Homes Services Program Extension
 - Effective Date: June 30, 2016

If you have any questions on this SPA, please have a member of your staff contact Christine Davidson at (312) 886-3642 or by email at christine.davidson@cms.hhs.gov.

Sincerely,

/s/

Ruth A. Hughes
Associate Regional Administrator
Division of Medicaid and Children's Health Operations

Enclosure

cc: Carolyn Humphrey, ODM
Sarah Curtin, ODM
Becky Jackson, ODM
Greg Niehoff, ODM

OMB Control Number: 0938-1148
Expiration date: 10/31/2014

Transmittal Number: 16-0017 Supersedes Transmittal Number: 14-0014 Proposed Effective Date: Jun 30, 2016 Approval Date:
Attachment 3.1-H Page Number:

Submission Summary

Transmittal Number:

Please enter the Transmittal Number (TN) in the format ST-YY-0000 where ST= the state abbreviation, YY = the last two digits of the submission year, and 0000 = a four digit number with leading zeros. The dashes must also be entered.

16-0017

Supersedes Transmittal Number:

Please enter the Supersedes Transmittal Number (TN) in the format ST-YY-0000 where ST= the state abbreviation, YY = the last two digits of the submission year, and 0000 = a four digit number with leading zeros. The dashes must also be entered.

14-0014

- The State elects to implement the Health Homes State Plan option under Section 1945 of the Social Security Act.

Name of Health Homes Program:

Health Homes date extension

State Information**State/Territory name:**

Ohio

Medicaid agency:

Ohio Department of Medicaid

Authorized Submitter and Key Contacts

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Proposed Effective Date

06/30/2016

(mm/dd/yyyy)

Executive Summary

Summary description including goals and objectives:

This SPA supersedes Ohio SPA TN 14-0014, and simply extends the end date of this program to June 30, 2017.

This State Plan Amendment is in Attachment 3.1-H, except for the Payment Methodologies section, which is in Attachment 4.19-B of the State Plan.

This State Plan Amendment modifies Medicaid health homes for beneficiaries who meet the State's definition of serious and persistent mental illness (SPMI) - which includes adults with serious mental illness (SPMI) and children with serious emotional disturbance (SED) - to the following Ohio counties: Butler County, Adams County, Scioto County, Lawrence County, and Lucas County. Health home services included in this SPA were originally implemented with SPA TN 12-0013 and included the abovementioned counties. There are currently six approved Health Homes operating these counties.

Ohio's Community Behavioral Health Centers (CBHCs) are eligible to apply to become Medicaid health homes for Medicaid beneficiaries with SPMI/SED. The goals of Ohio's CBHC health homes for Medicaid beneficiaries with SPMI are as follows: improve the integration of physical and behavioral health care; lower the rates of hospital emergency department (ED) use; reduce hospital admissions and re-admissions; reduce healthcare costs; decrease reliance on long-term care facilities; improve the experience of care, quality of life and consumer satisfaction; and improve health outcomes. Moreover, the State expects to achieve better care coordination and management of health conditions as well as increase the use of preventive and wellness management services.

Federal Budget Impact

| Federal Fiscal Year | | Amount |
|---------------------|------|----------------|
| First Year | 2016 | \$ 6700000.00 |
| Second Year | 2017 | \$ 20300000.00 |

Federal Statute/Regulation Citation

Section 1945 of the Social Security Act, Section 2703 of the Affordable Care Act

Governor's Office Review

No comment.

Comments received.

Describe:

No response within 45 days.

• Other.

Describe:

The State Medicaid Director is the Governor's designee.

Transmittal Number: 16-0017 Supersedes Transmittal Number: 14-0014 Proposed Effective Date: Jun 30, 2016 Approval Date:

| | |
|--|--|
| DATE RECEIVED: 4/15/16 | DATE APPROVED: 5/16/16 |
| PLAN APPROVED – ONE COPY ATTACHED | |
| EFFECTIVE DATE OF APPROVED MATERIAL: 6/30/16 | SIGNATURE OF REGIONAL OFFICIAL: /s/ |
| TYPED NAME Ruth A. Hughes | TITLE Associate Regional Administrator |

Health Homes Population Criteria and Enrollment

Population Criteria

The State elects to offer Health Homes services to individuals with:

- Two or more chronic conditions

Specify the conditions included:

- Mental Health Condition
- Substance Abuse Disorder
- Asthma
- Diabetes
- Heart Disease
- BMI over 25

Other Chronic Conditions

- One chronic condition and the risk of developing another

Specify the conditions included:

- Mental Health Condition
- Substance Abuse Disorder
- Asthma
- Diabetes
- Heart Disease
- BMI over 25

Other Chronic Conditions

Specify the criteria for at risk of developing another chronic condition:

- One or more serious and persistent mental health condition

Specify the criteria for a serious and persistent mental health condition:

Beginning July 1, 2014 the State elects to target Phase 1 Health Homes services to individuals with:

- One or more serious and persistent mental health conditions
- The poorest health outcomes resulting from their co-morbidity and complex health conditions

Specify the criteria for a serious and persistent mental health condition:

Health home services for individuals with a serious and persistent mental health condition will be targeted to those with a demonstrated need for health home services based on the combination of a history of uncoordinated care (as evidenced by high inpatient hospitalization use or high emergency department use or high community psychiatric supportive treatment use) and serious mental illness (as evidenced by a serious mental health diagnosis or high mental health service utilization or high mental health pharmacy use).

To accomplish this, Ohio has used Medicaid claims data (including a review of diagnosis codes, pharmaceutical use, inpatient hospital admissions, and Emergency room utilization) to select the eligible pool of health home enrollees. The precise selection criteria has been reviewed and revised multiple times through discussions with Ohio MHAS and their stakeholders and approved by Medical directors of Ohio Medicaid and Ohio MHAS. Ohio will allow other consumers who lack sufficient Medicaid claims history to be enrolled if the health homes determine that they meet similar medical need and clinical diagnostic criteria. Ohio will retrospectively review those enrollments to confirm the medical need for health home services.

Remaining narrative is provided in separate attachment via e-mail.

Geographic Limitations

Health Homes services will be available statewide

Describe statewide geographical phase in/expansion. This should include dates and corresponding geographical areas that bring the program statewide.

If no, specify the geographic limitations:

By county

Specify which counties:

Health home services will be implemented as of the effective date of this SPA in the following Ohio counties: Butler County, Adams County, Scioto County, Lawrence County, and Lucas County. The health home services included in this SPA were originally implemented with SPA TN 12-0013.

By region

Specify which regions and the make-up of each region:

By city/municipality

Specify which cities/municipalities:

Other geographic area

Describe the area(s):

Enrollment of Participants

Participation in a Health Homes is voluntary. Indicate the method the State will use to enroll eligible Medicaid individuals into a Health Home:

Opt-In to Health Homes provider

Describe the process used:

Ohio will employ a two-prong approach to enroll eligible Medicaid beneficiaries with SPMI to health homes based upon consumer choice.

1. Eligible Medicaid beneficiaries will be identified using the claims data research described above. Health homes will be provided with the list of eligible health home enrollees and will perform outreach to them to orient them to the benefit of enrolling into a health home. This will include both individuals with and without a service history with that community behavioral health center. The beneficiary will be afforded the choice to enroll, and, if so, the health home they choose will document and retain the beneficiary's informed consent. Additionally, should beneficiaries desire to receive health home services from another health home provider they will be able to do so. Eligible Medicaid beneficiaries with SPMI who are currently being served at a CBHC that is not a health home will have the option of receiving health home services at one of the CBHC health home sites in their targeted geographical region.

Remaining narrative is provided in separate attachment via e-mail.

Automatic Assignment with Opt-Out of Health Homes provider

Describe the process used:

The State provides assurance that it will clearly communicate the opt-out option to all individuals assigned to a Health Home under an opt-out process and submit to CMS a copy of any letter or other communication used to inform such individuals of their right to choose.

Other

Describe:

- The State provides assurance that eligible individuals will be given a free choice of Health Homes providers.**
- The State provides assurance that it will not prevent individuals who are dually eligible for Medicare and Medicaid from receiving Health Homes services.**
- The State provides assurance that hospitals participating under the State Plan or a waiver of such plan will be instructed to establish procedures for referring eligible individuals with chronic conditions who seek or need treatment in a hospital emergency department to designated Health Homes providers.**
- The State provides assurance that it will have the systems in place so that only one 8-quarter period of enhanced FMAP for each Health Homes enrollee will be claimed. Enhanced FMAP may only be claimed for the first eight quarters after the effective date of a Health Homes State Plan Amendment that makes Health Home Services available to a new population, such as people in a particular geographic area or people with a particular chronic condition.**
- The State assures that there will be no duplication of services and payment for similar services provided under other Medicaid authorities.**

Health Homes Providers

Types of Health Homes Providers

Designated Providers

Indicate the Health Homes Designated Providers the State includes in its program and the provider qualifications and standards:

Physicians

Describe the Provider Qualifications and Standards:

Clinical Practices or Clinical Group Practices

Describe the Provider Qualifications and Standards:

Rural Health Clinics

Describe the Provider Qualifications and Standards:

Community Health Centers

Describe the Provider Qualifications and Standards:

Community Mental Health Centers

Describe the Provider Qualifications and Standards:

Community behavioral health centers (CBHCs) will serve as designated providers for individuals with SPMI and deliver services through a multi-disciplinary team of health care professionals, including at least one nurse care manager on staff at each health home. CBHC will be required to meet state-defined qualifying core elements that assure coordination of comprehensive medical, behavioral, long-term care and social services that are timely, quality driven and integrated. CBHC health homes will be required to demonstrate the integration of behavioral health and primary care services by providing health care services rendered by primary care clinicians who are embedded or co-located with the community behavioral health provider. Integration of behavioral and primary health care must be in place for a period of at least six months prior to the CBHC enrolling as an SPMI Health Home. A health home must provide a minimum level of medical screening and treatment services consistent with current professional standards of care. CBHC health homes will be required to establish written agreements with primary care practices that support bi-directional, integrated care. Additionally, CBHC health homes are required to establish partnerships and coordinate with other health care resources to address identified client needs, which include, but are not limited to: hospitals, medical service providers, specialists (including OB/GYNs and substance abuse treatment specialists), long-term care service and support providers, managed care plans and other providers as appropriate to meet beneficiaries' needs.

Home Health Agencies

Describe the Provider Qualifications and Standards:

Other providers that have been determined by the State and approved by the Secretary to be qualified as a health home provider:

Case Management Agencies

Describe the Provider Qualifications and Standards:

Community/Behavioral Health Agencies

Describe the Provider Qualifications and Standards:

Federally Qualified Health Centers (FQHC)

Describe the Provider Qualifications and Standards:

Other (Specify)

Teams of Health Care Professionals

Indicate the composition of the Health Homes Teams of Health Care Professionals the State includes in its program. For each type of provider indicate the required qualifications and standards:

Physicians

Describe the Provider Qualifications and Standards:

Nurse Care Coordinators

Describe the Provider Qualifications and Standards:

Nutritionists

Describe the Provider Qualifications and Standards:

Social Workers

Describe the Provider Qualifications and Standards:

- Behavioral Health Professionals

Describe the Provider Qualifications and Standards:

- Other (Specify)

- Health Teams

Indicate the composition of the Health Homes Health Team providers the State includes in its program, pursuant to Section 3502 of the Affordable Care Act, and provider qualifications and standards:

- Medical Specialists

Describe the Provider Qualifications and Standards:

- Nurses

Describe the Provider Qualifications and Standards:

- Pharmacists

Describe the Provider Qualifications and Standards:

- Nutritionists

Describe the Provider Qualifications and Standards:

- Dieticians

Describe the Provider Qualifications and Standards:

- Social Workers

Describe the Provider Qualifications and Standards:

Behavioral Health Specialists

Describe the Provider Qualifications and Standards:

Doctors of Chiropractic

Describe the Provider Qualifications and Standards:

Licensed Complementary and Alternative Medicine Practitioners

Describe the Provider Qualifications and Standards:

Physicians' Assistants

Describe the Provider Qualifications and Standards:

Supports for Health Homes Providers

Describe the methods by which the State will support providers of Health Homes services in addressing the following components:

1. Provide quality-driven, cost-effective, culturally appropriate, and person- and family-centered Health Homes services,
2. Coordinate and provide access to high-quality health care services informed by evidence-based clinical practice guidelines,
3. Coordinate and provide access to preventive and health promotion services, including prevention of mental illness and substance use disorders,
4. Coordinate and provide access to mental health and substance abuse services,
5. Coordinate and provide access to comprehensive care management, care coordination, and transitional care across settings. Transitional care includes appropriate follow-up from inpatient to other settings, such as participation in discharge planning and facilitating transfer from a pediatric to an adult system of health care,
6. Coordinate and provide access to chronic disease management, including self-management support to individuals and their families,
7. Coordinate and provide access to individual and family supports, including referral to community, social support, and recovery services,
8. Coordinate and provide access to long-term care supports and services,
9. Develop a person-centered care plan for each individual that coordinates and integrates all of his or her clinical and non-clinical health-care related needs and services:
10. Demonstrate a capacity to use health information technology to link services, facilitate communication among team members and between the health team and individual and family caregivers, and provide feedback to practices, as feasible and appropriate:
11. Establish a continuous quality improvement program, and collect and report on data that permits an evaluation of increased coordination of care and chronic disease management on individual-level clinical outcomes, experience of care outcomes, and

quality of care outcomes at the population level.

Description:

The State supported providers of Health Homes services in addressing the above components through a technical assistance contract which has subsequently ended. The State continues to provide technical assistance on an as-needed basis.

Provider Infrastructure

Describe the infrastructure of provider arrangements for Health Homes Services.

Each CBHC health home must have established a health home team led by a dedicated Care Manager who will provide health home services, and coordinate and facilitate beneficiaries' access to services in accordance with a single, integrated care plan. The CBHC must also identify other health care team members necessary to comprehensively and holistically meet the beneficiaries' needs, including the previously mentioned requirement to employ at least one nurse care manager. While the composition of the team of health care professionals is flexible and is expected to change as the needs of the health home beneficiary change over time, the health home team provides consistency and continuity of care for the beneficiary. Medical leadership is essential to systematically implement standards of quality care. Clinical personnel with experience in Patient Centered Medical Home transformation shall expose the expertise of change improvement science (e.g., IHI's Breakthrough Series Model) to drive enhanced system performance leading to improved clinical outcomes. To that end, both the Embedded Primary Care Clinician and the staff RN are integral to the success and demonstration of integrated care in CBHC health homes. The Embedded Primary Care Clinician assesses, monitors and consults on the routine, preventive, acute and chronic physical health care needs of clients. Requiring each health home to have at least one nurse care manager on staff assures that there is a medical professional to provide consultation on the overall care management and care coordination of the health home service, including overall management and coordination of the consumer's integrated care plan, comprehensive assessment, and case review. This requirement also assures continuity of care between the health home and ancillary physical health, behavioral health and social services providers.

Core CBHC health home team members and roles: Health Home Team Leader: Provides administrative and clinical leadership and oversight to the health home team and monitors provision of health home services. A key function of the Team Leader role is to champion for health home services and motivate and educate other staff members. The Health Home Team Leader must possess a strong health management background and an understanding of practice management, data management, and managed care. The Health Home Team Leader must also have training and experience in quality improvement. The Health Home Team Leader will monitor and facilitate clinical processes and components of Health Homes, which include but are not limited to: consumer identification and engagement; completion of comprehensive health and risk assessments; development of care plans; scheduling and facilitation of treatment team meetings; provision of health home services; monitoring consumer status and response to health coordination and prevention activities; and development, tracking and dissemination of outcomes. The additional clinical and administrative duties will include hiring and training of staff, providing feedback regarding staff

Provider Standards

The State's minimum requirements and expectations for Health Homes providers are as follows:

A community behavioral health center (CBHC) must meet state defined core requirements in order to qualify as a provider of health home services for individuals with serious and persistent mental illness (SPMI). CBHCs will be the only provider type recognized by the State as eligible to provide Health Home services for persons with SPMI. The State will contract with the approved CBHC Health Home for the provision of, and payment for, Health Home services. Unless otherwise indicated, CBHCs must meet the following minimum requirements prior to providing health home services:

Be certified by the Ohio Department of Mental Health and Addiction Services as eligible to provide the following covered community mental health services: pharmacological management, mental health assessment, behavioral health counseling and therapy, and community psychiatric support treatment.

Provide all of the following health home services as necessary and appropriate for beneficiaries: comprehensive care management, care coordination, health promotion, comprehensive transitional care, individual and family supports, referral to community and social support services, and the use of health information technology to support the delivery of health home services.

A health home provider shall demonstrate integration of physical and behavioral health care for a minimum of six months prior to the date of application by:

1. Having an ownership or membership interest in a primary care organization where primary care services are fully integrated and embedded; or
2. Entering into a written integrated care agreement which is a contract, memorandum of understanding, or other written agreement with a primary care provider for co-located bi-directional coordinated care at each health home site. For the purposes of this rule, when the health home service is co-located in a primary care setting, it is subject to the provisions of this rule and the primary care setting must be identified and reported to the department. The department reserves the right to visit primary care settings where the health home service is co-located.

Health Homes Service Delivery Systems

Identify the service delivery system(s) that will be used for individuals receiving Health Homes services:

- Fee for Service**
- PCCM**

- PCCMs will not be a designated provider or part of a team of health care professionals. The State provides assurance that it will not duplicate payment between its Health Homes payments and PCCM payments.**
- The PCCMs will be a designated provider or part of a team of health care professionals.**

The PCCM/Health Homes providers will be paid based on the following payment methodology outlined in the payment methods section:

- Fee for Service**
- Alternative Model of Payment (describe in Payment Methodology section)**
- Other**

Description:

- Requirements for the PCCM participating in a Health Homes as a designated provider or part of a team of health care professionals will be different from those of a regular PCCM.

If yes, describe how requirements will be different:

Risk Based Managed Care

- The Health Plans will not be a Designated Provider or part of a Team of Health Care Professionals. Indicate how duplication of payment for care coordination in the Health Plans' current capitation rate will be affected:

- The current capitation rate will be reduced.

- The State will impose additional contract requirements on the plans for Health Homes enrollees.

Provide a summary of the contract language for the additional requirements:

Ohio will employ both the Ohio Medicaid fee-for-service delivery system, and risk based managed care under the Ohio Medicaid Managed Care delivery system.

The contract between the State and the Medicaid MCPs will require that each MCP performs the following activities to support the CBHC Health Home:

1. Establishes a partnership with the CBHC Health Home and develops written policies and procedures in order to avoid gaps or duplication in the delivery of health home services.
2. Develops a transition plan timely and in collaboration with the CBHC Health Home for each plan member that will receive Health Home services. The transition plan should confirm the start date for Health Home services and identify the member's primary care provider, the data information that will be transferred to the CBHC Health Home, and the single point of contact designated for the CBHC Health Home.
3. Performs ongoing identification of the plan's members who have a diagnosis of SPMI and could benefit from receiving Health Home services. The MCP will contact these eligible members, educate the members about the benefits of receiving Health Home services, assist them in selecting a Health Home, and facilitate the referral to the selected Health Home.
4. Transmission of information and reports (e.g., clinical patient summaries, approved prior authorizations, IP/ED notifications) to the health home in order to assist with the delivery of health home services.

Other

Describe:

- The Health Plans will be a Designated Provider or part of a Team of Health Care Professionals.

Provide a summary of the contract language that you intend to impose on the Health Plans in order to deliver the Health Homes services.

- The State provides assurance that any contract requirements specified in this section will be included in any new or the next contract amendment submitted to CMS for review.

The State intends to include the Health Homes payments in the Health Plan capitation rate.

- Yes

- The State provides an assurance that at least annually, it will submit to the regional office as part of

their capitated rate Actuarial certification a separate Health Homes section which outlines the following:

- Any program changes based on the inclusion of Health Homes services in the health plan benefits
- Estimates of, or actual (base) costs to provide Health Homes services (including detailed a description of the data used for the cost estimates)
- Assumptions on the expected utilization of Health Homes services and number of eligible beneficiaries (including detailed description of the data used for utilization estimates)
- Any risk adjustments made by plan that may be different than overall risk adjustments
- How the final capitation amount is determined in either a percent of the total capitation or an actual PMPM

The State provides assurance that it will design a reporting system/mechanism to monitor the use of Health Homes services by the plan ensuring appropriate documentation of use of services.

The State provides assurance that it will complete an annual assessment to determine if the payments delivered were sufficient to cover the costs to deliver the Health Homes services and provide for adjustments in the rates to compensate for any differences found.

No

Indicate which payment methodology the State will use to pay its plans:

Fee for Service

Alternative Model of Payment (describe in Payment Methodology section)

Other

Description:

Other Service Delivery System:

Describe if the providers in this other delivery system will be a designated provider or part of the team of health care professionals and how payment will be delivered to these providers:

The State provides assurance that any contract requirements specified in this section will be included in any new or the next contract amendment submitted to CMS for review.

Health Homes Payment Methodologies

The State's Health Homes payment methodology will contain the following features:

Fee for Service

Fee for Service Rates based on:

Severity of each individual's chronic conditions

Describe any variations in payment based on provider qualifications, individual care needs, or the intensity of the services provided:

Capabilities of the team of health care professionals, designated provider, or health team.

Describe any variations in payment based on provider qualifications, individual care needs, or the intensity of the services provided:

Other: Describe below.

Provide a comprehensive description of the rate-setting policies the State will use to establish Health Homes provider reimbursement fee-for-service rates. Explain how the methodology is consistent with the goals of efficiency, economy and quality of care. Within your description, please explain: the reimbursable unit(s) of service, the cost assumptions and other relevant factors used to determine the payment amounts, the minimum level of activities that the State agency requires for providers to receive payment per the defined unit, and the State's standards and process required for service documentation.

Per Member, Per Month Rates

Provide a comprehensive description of the rate-setting policies the State will use to establish Health Homes provider reimbursement fee for service or PMPM rates. Explain how the methodology is consistent with the goals of efficiency, economy and quality of care. Within your description, please explain: the reimbursable unit(s) of service, the cost assumptions and other relevant factors used to determine the payment amounts, the minimum level of activities that the State agency requires for providers to receive payment per the defined unit, and the State's standards and process required for service documentation.

Incentive payment reimbursement

Provide a comprehensive description of incentive payment policies that the State will use to reimburse in addition to the unit base rates. Explain how the methodology is consistent with the goals of efficiency, economy and quality of care. Within your description, please explain: the incentives that will be reimbursed through the methodology, how the supplemental incentive payments are tied to the base rate activities, the criteria used to determine a provider's eligibility to receive the payment, the methodology used to determine the incentive payment amounts, and the frequency and timing through which the Medicaid agency will distribute the payments to providers.

PCCM Managed Care (description included in Service Delivery section)

Risk Based Managed Care (description included in Service Delivery section)

Alternative models of payment, other than Fee for Service or PM/PM payments (describe below)

Tiered Rates based on:

Severity of each individual's chronic conditions

Capabilities of the team of health care professionals, designated provider, or health team.

Describe any variations in payment based on provider qualifications, individual care needs, or the intensity of the services provided:

Rate only reimbursement

Provide a comprehensive description of the policies the State will use to establish Health Homes alternative models of payment. Explain how the methodology is consistent with the goals of efficiency, economy and quality of care. Within your description, please explain the nature of the payment, the activities and associated costs or other relevant factors used to determine the payment amount, any limiting criteria used to determine if a provider is eligible to receive the payment, and the frequency and timing through which the Medicaid agency will distribute the payments to providers.

A. Effective for dates of service from July 1, 2014 through June 30, 2017, health home services are reimbursed using a monthly case rate based on cost information provided in accordance with guidance in OMB Circular A-87 "Cost Principles for State, Local and Indian Tribal Governments" in the Federal Register, Vol 70, No 168/Wednesday, August 31, 2005; or OMB Circular A-122 "Cost Principles for Non-Profit Organizations" in the Federal Register, Vol 70, No 168/Wednesday, August 31, 2005; or the Medicare Provider Reimbursement Manual, Part 1, as applicable to the health home depending on its organizational type. The only allowable costs that can be reported are the costs related to the provision of services to Medicaid Health Home enrollees for the Health Home submitting cost information.

Providers must submit claims in order to receive case rates for health homes services. A claim can be submitted if any of the health home service components are rendered during the billing month to an enrolled individual as long as the services performed are directly linked to the goals and actions documented in the single person-centered integrated care plan for each health home enrollee. Only one claim per individual will be reimbursed per calendar month.

The health home must provide the following information for the purposes of determining the monthly case rate:

1. Medicaid Health Home Enrollee Caseload is based on the estimated population to be served by the health home.
2. Medicaid Dedicated Health Home Staffing Costs. For each required team member dedicated to Medicaid health home enrollees, the following staffing information must be provided for the home team member role (health home team leader, embedded primary care clinician, care manager,

Explain how the State will ensure non-duplication of payment for similar services that are offered through another method, such as 1915(c) waivers or targeted case management.

Health home service payments will not result in any duplication of payment or services between Medicaid programs, services, or benefits (i.e. managed care, other delivery systems including waivers, any future health homes, and other state plan services).

- The State provides assurance that all governmental and private providers are reimbursed according to the same rate schedule
- The State provides assurance that it shall reimburse Health Homes providers directly, except when there are employment or contractual arrangements.

Submission - Categories of Individuals and Populations Provided Health Homes Services

The State will make Health Homes services available to the following categories of Medicaid participants:

- Categorically Needy eligibility groups**

Health Homes Services (1 of 2)

Category of Individuals CN individuals

Service Definitions

Provide the State's definitions of the following Health Homes services and the specific activities performed under each service:

Comprehensive Care Management

Definition:

Comprehensive care management begins with the identification of individuals who are potentially eligible to receive health home services. Ohio Medicaid will identify individuals who, based on claims review, appear to meet the eligibility criteria described in detail above. Health homes may review this list of eligible individuals and outreach to them for health home enrollment. Health homes may also pursue enrollment of other individuals who are not included on the state defined list of eligibles if they determine that they also meet the state defined criteria. Health home eligible individuals may be identified through referral or an administrative data review and connected to a CBHC health home to begin the comprehensive care management process. The next step is for the CBHC to engage the eligible individual and his/her family. The health home must document the consumer's informed consent specific to enrollment in the health home service prior to enrollment; informed consent shall include:

1. A description of the health home service, benefits and drawbacks of enrollment in the health home service, including the relationship between the health home service and other services, particularly other care coordination services (e.g. CPST, MCP care management, AoD case management);
2. The consumer's ability to opt out of enrollment in the health home service;
3. Orient consumers by discussing the benefits of active participation;

Describe how health information technology will be used to link this service in a comprehensive approach across the care continuum:

The State will require that participating health homes must have an operational Electronic Health Record (EHR) system in place to support the delivery of CBHC health home services. In recognition of the varying levels of EHR (i.e., electronic medical records, registries, etc.) utilized by admission to, or discharge from, an emergency department or inpatient facility. At the time of enrollment as a Health Home the CBHC must implement and actively use in clinical services an electronic medical record product certified by the Office of the National Coordinator for Health Information Technology (ONC). This must be evidenced by at least one of the following:

1. Submission of a minimum of forty per cent of prescriptions electronically;
2. Receiving structured laboratory results;
3. Utilizing continuity of care records;
4. Participating in an Ohio regional extension center program; or
5. Participating in an Ohio health information exchange.

Remaining narrative is provided in separate attachment via e-mail.

Scope of benefit/service

- The benefit/service can only be provided by certain provider types.

Behavioral Health Professionals or Specialists

Description

Nurse Care Coordinators

Description

Nurses

Description

Medical Specialists

Description

Physicians

Description

Physicians' Assistants

Description

Pharmacists

Description

[Empty rectangular box]

Social Workers

Description

[Empty rectangular box]

Doctors of Chiropractic

Description

[Empty rectangular box]

Licensed Complementary and Alternative Medicine Practitioners

Description

[Empty rectangular box]

Dieticians

Description

[Empty rectangular box]

Nutritionists

Description

[Empty rectangular box]

Other (specify):

Name

[Empty rectangular box]

Description

Care Coordination

Definition:

1. Implement the integrated care plan;
 2. Assist consumer in obtaining health care, including primary, acute and specialty medical care, mental health, substance abuse services and developmental disabilities services, long-term care and ancillary services and supports;
 3. Perform medication management, including medication reconciliation;
 4. Track tests and referrals, and follow-up as necessary;
 5. Coordinate, facilitate and collaborate with the consumer, team of health care professionals and other providers, and the consumer's family, guardian and/or significant others;
 6. Share the crisis management and contingency plan, assist with and coordinate prevention, management and stabilization of crises and ensure post-crisis follow-up care is arranged and received;
 7. Assist consumer in obtaining referrals to community, social and recovery supports, making appointments and confirming that the consumer received the services(s);
 8. Provide clinical summaries and consumer information along with routine reports of integrated care plan compliance to the team of health care professionals, including the consumer and the consumer's family, guardian and/or significant others consistent with the communication plan.

Remaining narrative is provided in separate attachment via e-mail.

Describe how health information technology will be used to link this service in a comprehensive approach across the care continuum:

The State will require that participating health homes must have an operational Electronic Health Record (EHR) system in place to support the delivery of CBHC health home services. In recognition of the varying levels of EHR (i.e., electronic medical records, registries, etc.) utilized by CBHC health homes, the State will initially require that CBHC health homes are able to receive utilization data electronically from a variety of sources. The data will, at a minimum, include clinical patient summaries (e.g., diagnosis, medication profiles, etc.) and notifications of a patient's admission to, or discharge from, an emergency department or inpatient facility. At the time of enrollment as a Health Home the CBHC must implement and actively use in clinical services an electronic medical record product certified by the Office of the National Coordinator for Health Information Technology (ONC). This must be evidenced by at least one of the following:

1. Submission of a minimum of forty per cent of prescriptions electronically;
 2. Receiving structured laboratory results;
 3. Utilizing continuity of care records;

Scope of benefit/service

The benefit/service can only be provided by certain provider types.

Behavioral Health Professionals or Specialists

Description

Nurse Care Coordinators

Description

Nurses

Description

Medical Specialists

Description

[Empty rectangular box]

Physicians

Description

[Empty rectangular box]

Physicians' Assistants

Description

[Empty rectangular box]

Pharmacists

Description

[Empty rectangular box]

Social Workers

Description

[Empty rectangular box]

Doctors of Chiropractic

Description

[Empty rectangular box]

Licensed Complementary and Alternative Medicine Practitioners

Description

[Empty rectangular box]

Dietitians

Description

Nutritionists

Description

Other (specify):

Name

Description

Health Promotion

Definition:

1. Provide education to the consumer and the consumer's family, guardian and/or significant others that is specific to the consumer's needs as identified in the assessment;

2. Assist the consumer in acquiring symptom self-monitoring and management skills so that the consumer learns to identify and minimize the effects of the chronic illnesses that negatively impact his/her daily functioning;

3. Provide or connect the consumer and the consumer's family, guardian and/or significant others with services that promote a healthy lifestyle and wellness through the use of evidence-based, evidence-informed, best, emerging, and/or promising practices; the integrated care plan;

4. Actively engage the consumer and the consumer's family, guardian and/or significant others in developing, implementing and monitoring

5. Connect supports including self-help/self-management and advocacy groups;

6. Manage consumer population through use of clinical and consumer data to remind consumers about services needed for both preventive and chronic care;

7. Promote positive behavioral health and lifestyle choices; and

8. Provide education to the consumer and the consumer's family, guardian and /or significant others about accessing care in appropriate settings.

Remaining narrative is provided in separate attachment via e-mail.

Describe how health information technology will be used to link this service in a comprehensive approach across the care continuum:

The State will require that participating health homes must have an operational Electronic Health Record (EHR) system in place to support the delivery of CBHC health home services. In recognition of the varying levels of EHR (i.e., electronic medical records, registries, etc.) utilized by CBHC health homes, the State will initially require that CBHC health homes are able to receive utilization data electronically from a variety of sources. The data will, at a minimum, include clinical patient summaries (e.g., diagnosis, medication profiles, etc.) and notifications of a patient's Information Technology (ONC). This must be evidenced by at least one of the following:

1. Submission of a minimum of forty per cent of prescriptions electronically;
2. Receiving structured laboratory results;
3. Utilizing continuity of care records;
4. Participating in an Ohio regional extension center program; or

Scope of benefit/service

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Other (specify):

Name

Description

Health Homes Services (2 of 2)

Category of Individuals

CN individuals

Service Definitions

Provide the State's definitions of the following Health Homes services and the specific activities performed under each service:

Comprehensive transitional care from inpatient to other settings, including appropriate follow-up

Definition:

Coordinate and collaborate with providers;
Facilitate and manage care transitions (e.g., inpatient-to-inpatient, residential, community setting(s) to prevent unnecessary inpatient admissions, inappropriate emergency department use and other adverse outcomes such as homelessness;
Conduct or facilitate effective clinical hand-offs that include timely access to follow-up post discharge care in the appropriate setting, timely receipt and transmission of a transition/discharge plan from the discharging entity, and medication reconciliation. A clinical hand-off is the transfer of care and responsibility from the outgoing clinician/provider to the oncoming clinician/provider and includes verbal and written communication to relay vital information about the consumer and his/her anticipated needs.
Remaining narrative is provided in separate attachment via e-mail.

Describe how health information technology will be used to link this service in a comprehensive approach across the care continuum:

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1. Submission of a minimum of forty per cent of prescriptions electronically;
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[Empty rectangular box]

Dieticians

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Nutritionists

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Other (specify):

Name

Description

Individual and family support, which includes authorized representatives

Definition:

Provide expanded access to and availability of services; Provide continuity in relationships between consumer, family, guardian and/or significant others with physician and care manager; Outreach to the consumer and his/her family, guardian and/or significant others, and perform advocacy on the consumer's behalf to identify and obtain needed resources such as medical transportation and other benefits for which he/she may be eligible; Educate the consumer in self-management of his/her chronic condition:
1. Facilitate further development of daily living skills;
2. Assist with obtaining and adhering to medication and other prescribed treatments;
3. Provide interventions that address symptoms and behaviors, and assist the health home consumer in eliminating barriers to seeking or maintaining education, employment or other meaningful activities related to his or her recovery-oriented goal; Provide opportunities for the family, guardian and/or significant others to participate in assessment and integrated care plan development, implementation and update; Ensure that health home service is delivered in a manner that is culturally and linguistically appropriate; Provide assistance in identifying and accessing needed community supports including self-help, peer support and natural supports, i.e. individual resources as identified by and available to the consumer which are independent from formal services, e.g. a relative, teacher, clergy member, etc.; Promote personal independence and empower the consumer to improve his/her own environment; Include the consumer's family, guardian and/or significant others in the quality improvement process including but not limited to, surveys to capture experience with health home service, establishment of a consumer and family advisory council; and Allow the consumer and his/her family, guardian and/or significant others access to the electronic health record or other clinical information.

Describe how health information technology will be used to link this service in a comprehensive approach across the care continuum:

The State will require that participating health homes must have an operational Electronic Health Record (EHR) system in place to support the delivery of CBHC health home services. In recognition of the varying levels of EHR (i.e., electronic medical records, registries, etc.) utilized by CBHC health homes, the State will initially require that CBHC health homes are able to receive utilization data electronically from a variety of sources. The data will, at a minimum, include clinical patient summaries (e.g., diagnosis, medication profiles, etc.) and notifications of a patient's Information Technology (ONC). This must be evidenced by at least one of the following:
1. Submission of a minimum of forty per cent of prescriptions electronically;
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Nutritionists

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[Empty box]

Other (specify):

Name

[Empty box]

Description

[Empty box]

Referral to community and social support services, if relevant

Definition:

1. Provide referrals to community/social/recovery support services; and
2. Assist the consumer in making appointments, confirm that the consumer attended the appointment, and determine the outcome of the visit and any needed follow-up.
The CBHC health home will offer and/or arrange for onsite and offsite community and social support services through effective collaborations with social service agencies and community partners. The CBHC health home will identify and provide referrals to community, social, or recovery support services such as maintaining eligibility for benefits, obtaining legal assistance, and housing. The CBHC health home will assist the consumer in making appointments; validate the service was received; and complete any follow up as necessary. Care Managers will be responsible for identifying non-clinical services and needs that require referrals to community and social supports during the comprehensive assessment with input from individual and family and other team members. However, Qualified Health Home Specialists will largely initiate referrals to community resources and social supports, assist with the completion of paperwork, ensure that needed services, resources and supports are acquired and provide status reports and updates to the team. The Team Leader will monitor team's referral process for community and social supports identify/compile community resources and assist with complex cases. The methods of health home services delivery will consist of, service delivery to the beneficiary and may include other individuals who will assist in the beneficiary's treatment; service delivery may be face-to-face, by telephone, and/or by video conferencing; and service delivery may be in individual, family or group format; service delivery is not-site specific and the health home services should be provided in locations and settings that meet the needs of the health home beneficiary.

Describe how health information technology will be used to link this service in a comprehensive approach across the care continuum.

The State will require that participating health homes must have an operational Electronic Health Record (EHR) system in place to support the delivery of CBHC health home services. In recognition of the varying levels of EHR (i.e., electronic medical records, registries, etc.) utilized by CBHC health homes, the State will initially require that CBHC health homes are able to receive utilization data electronically from a variety of sources. The data will, at a minimum, include clinical patient summaries (e.g., diagnosis, medication profiles, etc.) and notifications of a patient's admission to, or discharge from, an emergency department or inpatient facility. At the time of enrollment as a Health Home the CBHC must implement and actively use in clinical services an electronic medical record product certified by the Office of the National Coordinator for Health Information Technology (ONC). This must be evidenced by at least one of the following:

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Name

Description

Health Homes Patient Flow

Describe the patient flow through the State's Health Homes system. The State must submit to CMS flow-charts of the typical process a Health Homes individual would encounter:

No change from 14-0014. The State previously provided flow charts for adult and child scenarios via e-mail.

Medically Needy eligibility groups

- All Medically Needy eligibility groups receive the same benefits and services that are provided to Categorically Needy eligibility groups.
- Different benefits and services than those provided to Categorically Needy eligibility groups are provided to some or all Medically Needy eligibility groups.
 - All Medically Needy receive the same services.
 - There is more than one benefit structure for Medically Needy eligibility groups.

Health Homes Monitoring, Quality Measurement and Evaluation

Monitoring

Describe the State's methodology for tracking avoidable hospital readmissions, including data sources and measurement specifications:

The state will use claims data and the HEDIS method to calculate the number of inpatient stays that were followed by an acute readmission for any diagnosis within 30 days.

Describe the State's methodology for calculating cost savings that result from improved coordination of care and chronic disease management achieved through the Health Homes program, including data sources and measurement specifications.

Health homes will be implemented in targeted geographic areas across the State. Changes in per member per month (PMPM) costs will be evaluated over time for the two distinct SPMI populations, those enrolled in health homes and those not enrolled in health homes. Those not enrolled in health home will serve as the control group. The PMPM costs for the two SPMI populations will be calculated using a baseline period prior to health home implementation. The PMPM costs will then be calculated for each health home program year, which will be referred to as the projection year. The trend between the two periods for the control group will be calculated and applied to the baseline value for the health home population, producing the expected costs for the health home population absent the influence of the health homes initiative. The actual projection year costs will be compared to the expected costs for the health home population to determine program savings associated with the health homes initiative. Monthly case rates paid to the health homes will be removed from program savings to determine the net savings to the health homes program. For the above described cost savings calculation, all Medicaid services will be included within the PMPM costs, which includes long term care and support services. To ensure the most accurate comparison between the baseline period and the projection period, the same data collection methods will be used for both years, such as using the same amount of claims run-out. To ensure the most appropriate comparison between the control group and the health home population, adjustments will be made to account for differences in population characteristics and geographic influences on the mix of services that could impact the trends, where appropriate. Enrollees with both Medicare and Medicaid coverage, will be evaluated separately. Savings will be calculated to the extent that the necessary Medicare data is made available to Ohio for the calculation.

Describe how the State will use health information technology in providing Health Homes services and to improve service delivery and coordination across the care continuum (including the use of wireless patient technology to improve coordination and management of care and patient adherence to recommendations made by their provider).

The State will require that participating health homes must have an operational Electronic Health Record (EHR) system in place to support the delivery of CBHC health home services. In recognition of the varying levels of EHR (i.e., electronic medical records, registries, etc.) utilized by CBHC health homes, the State will initially require that CBHC health homes are able to receive utilization data electronically from a variety of sources. The data will, at a minimum, include clinical patient summaries (e.g., diagnosis, medication profiles, etc.) and notifications of a patient's admission to, or discharge from, an emergency department or inpatient facility. At the time of enrollment as a Health Home the CBHC must implement and actively use in clinical services an electronic medical record product certified by the Office of the National Coordinator for Health Information Technology (ONC). This must be evidenced by at least one of the following:

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3. Utilizing continuity of care records;
4. Participating in an Ohio regional extension center program; or

Quality Measurement

- The State provides assurance that it will require that all Health Homes providers report to the State on all applicable quality measures as a condition of receiving payment from the State.
- The State provides assurance that it will identify measurable goals for its Health Homes model and intervention and also identify quality measures related to each goal to measure its success in achieving the goals.

States utilizing a health team provider arrangement must describe how they will align the quality measure reporting requirements within section 3502 of the Affordable Care Act and section 1945(g) of the Social Security Act. Describe how the State will do this:

Evaluations

- The State provides assurance that it will report to CMS information submitted by Health Homes providers to inform the evaluation and Reports to Congress as described in Section 2703(b) of the Affordable Care Act and as described by CMS.

Describe how the State will collect information from Health Homes providers for purposes of determining the effect of the program on reducing the following:

Hospital Admissions

Measure:

Use of HEDIS data inpatient general hospital/acute care

Measure Specification, including a description of the numerator and denominator.

Use of HEDIS data inpatient general hospital/acute care, inpatient alcohol and other drug services, and inpatient mental health services discharges (IPU, IAD and MPT measures).

Data Sources:

Claims

Frequency of Data Collection:

- Monthly
- Quarterly
- Annually
- Continuously
- Other

Emergency Room Visits

Measure:

Use of HEDIS data for ED visits

Measure Specification, including a description of the numerator and denominator.

Use of HEDIS data for ED visits (part of ambulatory care (AMB) measure).

Data Sources:

Claims

Frequency of Data Collection:

- Monthly
- Quarterly
- Annually
- Continuously
- Other

Skilled Nursing Facility Admissions

Measure:

Use of HEDIS data codes for discharges for skilled nursing facility services

Measure Specification, including a description of the numerator and denominator.

Use of HEDIS data codes for discharges for skilled nursing facility services (part of inpatient utilization non-acute care (NON) measure).

Data Sources:

Claims

Frequency of Data Collection:

- Monthly
- Quarterly

- Annually**
- Continuously**
- Other**

Describe how the State will collect information for purpose of informing the evaluations, which will ultimately determine the nature, extent and use of the program, as it pertains to the following:

Hospital Admission Rates

The state will use claims data and HEDIS methods to calculate admission rates for general hospital/acute care, inpatient alcohol and other drug services, and inpatient mental health services discharges. In addition, the state will use claims data and the HEDIS method to calculate the number of inpatient stays that were followed by an acute readmission for any diagnosis within 30 days.

Chronic Disease Management

The state will use claims data to calculate performance measures to monitor the management of the following chronic diseases/conditions: heart disease, hypertension, obesity, diabetes, asthma, schizophrenia, bipolar disorder, and alcohol and other dependence.

Coordination of Care for Individuals with Chronic Conditions

The state will use claims data to determine whether Health Homes received a reconciled medication list at the time of discharge and to monitor whether transition records were transmitted to Health Homes within 24 hours of a discharge.

Assessment of Program Implementation

The state has selected 19 performance measures that will be used to evaluate clinical outcomes and for the purposes of quality improvement.

Processes and Lessons Learned

The state has selected 19 performance measures that will be used to evaluate clinical outcomes and for the purposes of quality improvement.

Assessment of Quality Improvements and Clinical Outcomes

The state has selected 19 performance measures that will be used to evaluate clinical outcomes and for the purposes of quality improvement.

Estimates of Cost Savings

The State will use the same method as that described in the Monitoring section.

If no, describe how cost-savings will be estimated.

PRA Disclosure Statement

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0938-1148. The time required to complete this information collection is estimated to average 80 per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Mail Stop C4-26-05, Baltimore, Maryland 21244-1850.