

**Table of Contents (Cover Page) for one PDF to post on Medicaid.gov**

**Sample Template is below this line. Do not print the wording above this line.**

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## **Table of Contents**

**State/Territory Name: Rhode Island**

**State Plan Amendment (SPA) #: RI 11-008**

This file contains the following documents in the order listed:

- 1) Approval Letter
- 2) CMS 179 Form/Summary Form (with 179-like data)
- 3) Approved SPA Pages

DEPARTMENT OF HEALTH & HUMAN SERVICES  
Centers for Medicare & Medicaid Services  
JFK Federal Building, Government Center  
Room 2275  
Boston, Massachusetts 02203



Division of Medicaid and Children's Health Operations / Boston Regional Office

August 2, 2012

Steven M. Costantino, Secretary  
Office of Health & Human Services  
57 Howard Avenue  
Louis Pasteur Building  
Cranston, RI 02920

Dear Secretary Costantino:

We are pleased to enclose a copy of approved State plan amendment (SPA) No. 11-008 (single state agency designation). This SPA is effective July 1, 2011, as requested, and approves the transition of the single-state agency designation from the Department of Human services (DHS) to the Executive Office of Health and Human Services (EOHHS).

Financial eligibility determinations will continue to be conducted by DHS under the supervision and direction of EOHHS. This approval reflects changes made post-submission, including changes to most SPA pages and organizational charts. Attached are the final pages as approved.

If there are questions, please contact Lynn DeVecchio at (617) 565-1201.

Sincerely,

/s/

Richard R. McGreal  
Associate Regional Administrator

Enclosure

cc: Sandra Powell, Director, Department of Human Services  
Elena Nicolella, Medicaid Director  
Kimberly Merolla-Brito, Chief Policy and Systems Specialist

<b>TRANSMITTAL AND NOTICE OF APPROVAL OF STATE PLAN MATERIAL</b>	1. TRANSMITTAL NUMBER: 11-008	2. STATE RI
	3. PROGRAM IDENTIFICATION: TITLE XIX OF THE SOCIAL SECURITY ACT (MEDICAID)	
FOR: HEALTH CARE FINANCING ADMINISTRATION	4. PROPOSED EFFECTIVE DATE July 1, 2011	
TO: REGIONAL ADMINISTRATOR HEALTH CARE FINANCING ADMINISTRATION DEPARTMENT OF HEALTH AND HUMAN SERVICES		

5. TYPE OF PLAN MATERIAL (Check One):

NEW STATE PLAN       AMENDMENT TO BE CONSIDERED AS NEW PLAN       AMENDMENT

COMPLETE BLOCKS 6 THRU 10 IF THIS IS AN AMENDMENT (Separate Transmittal for each amendment)

6. FEDERAL STATUTE/REGULATION CITATION: 42 CFR 430.10	7. FEDERAL BUDGET IMPACT: a. FFY 2011      \$0 b. FFY 2012      \$0
8. PAGE NUMBER OF THE PLAN SECTION OR ATTACHMENT: Section 1 Pages <del>1,2,7,8</del> 1,2,7 and 9 Section 7 Page 89 Attachment 1.1-A Attorney General Certification of Single State Agency Attachment 1.2-A Pages 1-3 Attachment 1.2-B Pages 1-2 Attachment 1.2-C Page 1 Attachment 1.2-D Page 1 (NEW)	9. PAGE NUMBER OF THE SUPERSEDED PLAN SECTION OR ATTACHMENT (If Applicable): Section 1 Pages 1,2,7 and 9 Section 7 Page 89 Attach. 1.1-A Attach. 1.2-A Pages 1-7 Attach. 1.2-B Pages 1-2 Attach. 1.2-C Page 1

10. SUBJECT OF AMENDMENT:  
Changing oversight of Medicaid State Plan from the RI Department of Human Services to the RI Executive Office of Health and Human Services

11. GOVERNOR'S REVIEW (Check One):

GOVERNOR'S OFFICE REPORTED NO COMMENT       OTHER, AS SPECIFIED:  
 COMMENTS OF GOVERNOR'S OFFICE ENCLOSED      (See Attached Letter)  
 NO REPLY RECEIVED WITHIN 45 DAYS OF SUBMITTAL

12. SIGNATURE OF STATE AGENCY OFFICIAL: 	16. RETURN TO: RI DHS Policy Office Department of Human Services 57 Howard Avenue Cranston, RI 02920
13. TYPED NAME: Steven Costantino	
14. TITLE: Secretary, EOHHS	
15. DATE SUBMITTED: September 30, 2011	

**FOR REGIONAL OFFICE USE ONLY**

17. DATE RECEIVED: 9/30/2011	18. DATE APPROVED: 08/02/2012
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**PLAN APPROVED - ONE COPY ATTACHED**

19. EFFECTIVE DATE OF APPROVED MATERIAL: 7/1/2011	20. SIGNATURE OF REGIONAL OFFICIAL:
21. TYPED NAME: Richard R. McGreal	22. TITLE: Associate Regional Administrator Division of Medicaid & Children's Health Ops.
23. REMARKS:	

State Plan under Title XIX of the Social Security Act  
MEDICAL ASSISTANCE PROGRAM

State: Rhode Island

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**Citation**

As a condition for receipt of Federal funds under Title XIX of the Social Security Act  
the

42 CFR  
430.10

Rhode Island Executive Office of Health and Human Services  
(Single State Agency)

submits the following State plan for the medical assistance program, and hereby agrees to  
administer the program in accordance with the provisions of this State plan, the requirements  
of Titles XI and XIX of the Act, and all applicable Federal regulations and other official  
issuances of the Department.

State Plan under Title XIX of the Social Security Act  
State: Rhode Island

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Citation

**SECTION 1: SINGLE STATE AGENCY ORGANIZATION**

**1.1. Designation and Authority**

42 CFR 431.10  
AT-79-29

- (a) **The Rhode Island Executive Office of Health and Human Services is the single State agency designated to administer of supervise the administration of the Medicaid program under Title XIX of the Social Security Act. (All references in this plan to "the Medicaid agency" mean the agency named in this paragraph.)**

**Attachment 1.1-A is a certification signed by the State Attorney General identifying the single State agency and citing the legal authority under which it administers or supervises administration of the program.**

State Plan under Title XIX of the Social Security Act  
State: Rhode Island

1.2

Organization for Administration

Citation

42 CFR 431.11

- (a) ATTACHMENT 1.2-A contains a description of the organization and functions of the Medicaid Agency and organization chart of the agency.
- (b) Within the State agency, the Medicaid Program has been designated as the medical assistance unit. ATTACHMENT 1.2-B contains a description of the organization and functions of the medical assistance unit and an organization chart of the unit.
- (c) ATTACHMENT 1.2-C contains a description of the kinds and numbers of professional medical personnel and supporting staff used in the administration of the plan and their responsibilities.
- (d) Eligibility determinations are made by State or local staff of an agency other than the agency named in paragraph 1.1(a). ATTACHMENT 1.2-D contains a description of the staff designated to make such determinations and the functions they will perform.

Not applicable. Only staff of the agency named in paragraph 1.1 (a) make such determinations.

Revision: HCFA-47-90-38(BPP)  
May 22, 1980

State Plan under Title XIX of the Social Security Act

State RHODE ISLAND

Citation

42 CFR  
431.12 (b)  
AT-78-90

1.4 State Medical Care Advisory Committee

There is an advisory committee to the Secretary, as chief executive officer of the Medicaid single state on health on health and medical care services established in accordance with and meeting all the requirements of 42 CFR 431.12.

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TN #: 11-008  
Supersedes  
TN #: 75-8

Approved: 8/2/12

Effective Date: 07/01/2011

Revision: **HCFA-PM-91-4 (BFD)**  
**AUGUST 1991**

Page 89  
OMB No. 0938-

State/Territory: **RHODE ISLAND**

Citation                      7.4 State Governor's Review

42 CFR 430.12 (b)

The Medicaid agency will provide opportunity for the Office of the Governor to review State Plan amendments, long-range program planning projections, and other Periodic reports therein, excluding periodic statistical, budget and fiscal reports. Any comments made will be transmitted to the Centers for Medicare & Medicaid Services (CMS) with such documents.

Not applicable. The Governor --

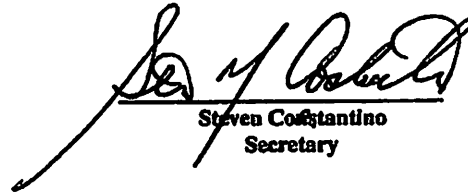
Does not wish to review any plan material. The Governor's office is apprised of major changes, but does not review details of plan material.

Wishes to review only the plan materials specified in the enclosed document.

I hereby certify that I am authorized to submit this plan on behalf of

**RHODE ISLAND EXECUTIVE OFFICE OF HEALTH AND HUMAN SERVICES**  
(Designated Single State Agency)

Date: 7/1/11

  
Steven Constantino  
Secretary

TN No. 11-008  
Supercedes  
TN No. 95-001

Approval Date: 8/2/12

Effective Date: 07-01-11

HCFA ID: 7982<sup>E</sup>





State of Rhode Island and Providence Plantations

DEPARTMENT OF ATTORNEY GENERAL

150 South Main Street • Providence, RI 02903  
(401) 274-4400 - TDD (401) 453-0410

*Peter F. Kilmartin, Attorney General*

September 1, 2011

Steven M. Costantino  
Secretary

Rhode Island Executive Office of Health and Human Services  
600 New London Avenue – Louis Pasteur Building  
Cranston, Rhode Island 02920

*Re: Certification of Single State Agency*

Dear Secretary Costantino,

As you requested, this letter is to certify that pursuant to R.I. Gen. Laws § 42-7.2-2, the Rhode Island Executive Office of Health and Human Services is designated as the single state agency authorized under Title XIX of the Social Security Act, 42 USC § 1396a et seq.

In addition, the Rhode Island Executive Office of Health and Human Services has the authority to adopt rules and regulations governing the administration of this program pursuant to R.I. Gen. Laws § 42-7.2-11.

Very Truly Yours,

Peter F. Kilmartin  
Attorney General

**State Plan under Title XIX of the Social Security Act  
State: Rhode Island**

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**Description of the Organization and Functions of the Single State Agency  
Rhode Island Executive Office of Health and Human Services**

The Executive Office of Health and Human Services (EOHHS) is the single State agency responsible for administering the Title XIX program. EOHHS is headed by the Secretary of Health and Human Services, who is appointed by the Governor and serves as the executive and principle point of accountability for the programs and services administered by the four health and human services agencies within its jurisdiction (1) the Department of Human Services, (2) Department of Children Youth and Families, (3) Department of Behavioral Healthcare, Developmental Disabilities and Hospitals, and (4) Department of Health.

The Secretary is vested with the authority to administer or supervise the administration of the Medicaid financed program and services provided by the agencies within EOHHS. The Secretary supervises all key offices and administrative personnel located in the Medicaid Program, which serves as the medical assistance unit required by 42 CFR 431.11. The Medicaid Program is headed by the Medicaid Director who, under the supervision of the Secretary, develops, analyzes, and evaluates Medicaid financed services and programs.

Prior to July 1, 2011, the Rhode Island Department of Human Services (DHS) was the single State agency designated by law for the purposes of administration of programs under Title XIX. Effective July 1, 2011 pursuant to Rhode Island General Laws, Chapter 42-7 .2-2(b), as amended by Article 3, Sub of the Acts of 2011, the Rhode Island General Assembly designated EOHHS as the single State agency.

The Secretary of EOHHS is the chief executive officer of the single State agency and in this capacity, plans, organizes, staffs, directs, and supervises all Medicaid financed programs and services through the Medicaid Program. The Secretary is responsible for ensuring that Medicaid beneficiaries have access to the quality services they need in the most cost-effective manner and setting.

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TN: 11-008  
Supersedes: 95 001

Approved: 8/2/12 Effective Date: 07/01/11

**State Plan under Title XIX of the Social Security Act  
State: Rhode Island**

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The Secretary has been empowered by law to adopt any rules and regulations for the administration of Medicaid programs and services. Additionally, the Secretary is authorized to direct the organization, finance and delivery of Medicaid services and programs. The Secretary also oversees any initiatives that use Medicaid as the basis for expanding access to affordable health coverage.

The EOHHS maintains memorandum of understanding with the following departments under its jurisdiction pertaining to the administration of Medicaid funded services and programs:

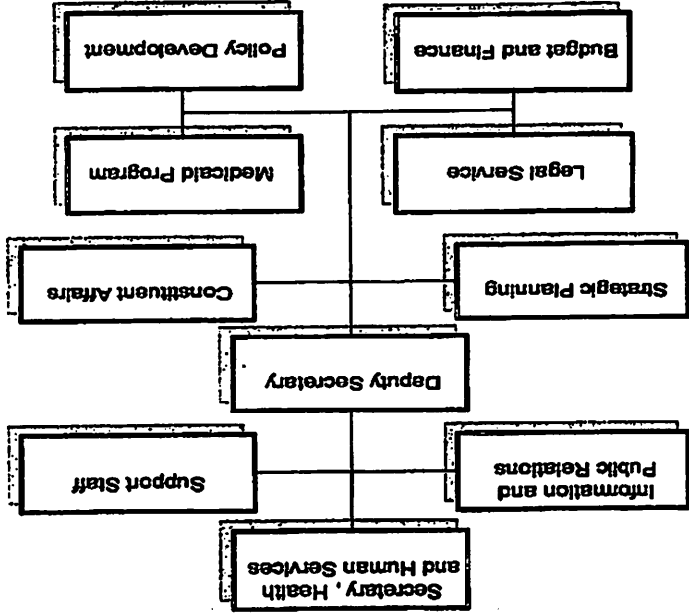
Department of Children, Youth and Families - health care services for children with special health care needs and in substitute care funded through federal and state programs

Department of Health - State survey agency

Department of Human Services – Community-based care for elders; Medicaid eligibility determinations for pregnant women, children and families, aged, blind, and disabled; financial eligibility determinations for Medicaid-funded long-term care.

Department of Behavioral Healthcare, Developmental Disabilities and Hospitals – community-based services for adults with developmental disabilities and adults with behavioral health needs.

# EOHHS Organizational Chart



Effective Date: 07/01/11

Approval Date: 8/2/12

TN No.: 11-008  
Supersedes: 95-001

**State Plan under Title XIX of the Social Security Act  
State: Rhode Island**

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**Rhode Island Executive Office of Health and Human Services  
Medicaid Program**

**Description of the Medicaid Program:**

The Rhode Island Medicaid Program was established by the Secretary to improve the efficiency, transparency, effectiveness and coordination of the Medicaid financed programs and services across state agencies. Key functions include:

- **Overseeing administration and operations of the Medicaid program, including the Title XIX state plan, Section 1115 Demonstration Project, Title XXI SCHIP plan, and Home and Community-Based Services;**
- **Managing requests for state plan and waiver amendments and new waivers authorized by the Secretary;**
- **Assisting the Secretary in coordinating implementation of Medicaid policies administered by the State's health and human service agencies;**
- **Analyzing federal Medicaid-related policy activities, including proposals being considered by Congress and national policy groups, and identifying Medicaid policy changes in other states of potential significance to Rhode Island;**
- **Assisting the Secretary in developing budget and policy documents on Medicaid related matters for the Governor's staff, legislators, the leaders of the state's health and human services agencies, and other interested parties.**
- **Coordinating complex projects that affect the Medicaid program in part or as a whole;**
- **Managing within EOHHS the promulgation of regulations for Title XIX or XXI eligibility, payments, rates, billing, service coverage, and provider participation policies;**
- **Supporting the purchase of high quality health services in a manner that promotes program goals, maximizes efficiency, and supports leveraging available federal and third-party funding;**
- **Coordinate with EOHHS and its agencies the process of Medicaid budget forecasting and the implementation and analysis of Medicaid purchasing strategies;**
- **Conduct economic analysis on various purchasing and reimbursement policies and present policies and options to program staff for consideration; and**
- **Monitor the academic literature and the purchasing methods of other states and payers to identify best practices and innovative approaches to purchasing health care services.**

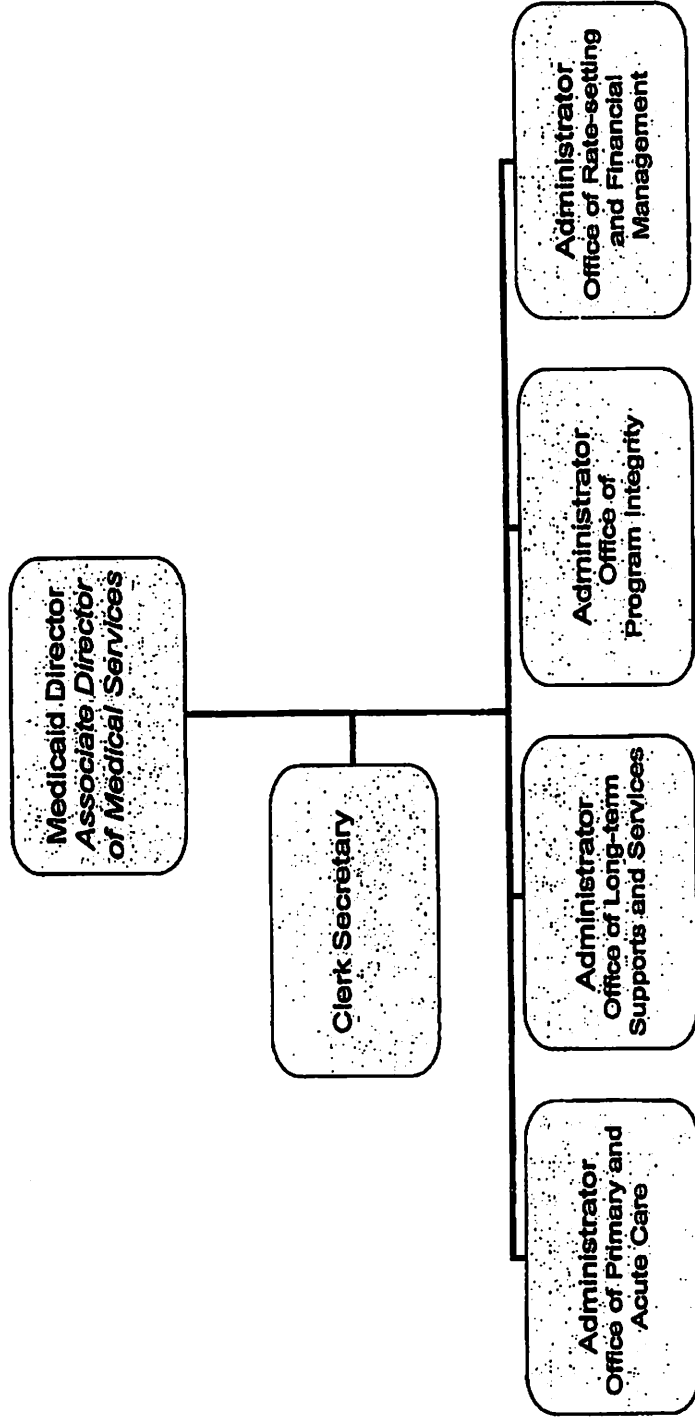
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**TN: 11-008**  
**Supersedes: 83 13**

**Approved: 8/2/12**

**Effective Date: 07/01/11**

**EOHHS/Medicaid Program**



TN No.: 11-008  
Supersedes: 8313

Approval Date: 8/2/12

Effective Date: 07/01/11

**State Plan under Title XIX of the Social Security Act  
State: Rhode Island**

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**Medicaid Program: Professional Medical Personnel and Supporting Staff**

The Medicaid Program employs the following professional medical personnel:

**1. Medical Director for Clinical Authorizations**

This position is filled by a physician. The position is funded under the State's Management Services contract. Duties include the review of prior authorization requests; the review of new benefit coverage requests; active participation in the Pharmacy and Therapeutics Committee; active participation in the Drug Utilization Review Board. The Medical Director also convenes and leads the Medical Care Advisory Committee.

**2. Medical Director for Strategic Planning**

This position is filled by a physician. The position is funded under the State's Management Services contract. Duties include the development of a patient-centered medical home model within Medicaid; assistance in the development of new delivery systems and initiatives.

**Office of Long-term Supports and Services**

**3. Medical Assistance Review Team: 2 nurses; 1 social case worker; 2 data control clerk**

The Medical Assistance Review Team is responsible for the determination of disability for those individuals who do not meet the SSA requirements for disability.

**4. Office of Community programs: 4 nurses; 4 social workers; 2 data control clerks**

The Office of Community Programs is responsible for assisting Medicaid eligible adults with disabilities or who are elderly to access appropriate community-based services.

**5. Office of Medical Review: 6 nurses; 1 clerk**

The Office of Medical Review is responsible for the determination of clinical eligibility for Medicaid-funded long-term care.

**Office of Primary and Acute Care**

**6. Children with Special Health Care Needs: 3 nurses; 3 social caseworkers; 1 eligibility technician; 2 data control clerks**

This program assists children with special health care needs and their families have access to appropriate services.

When necessary for consultation on additional prior authorizations, fair hearings, or exception requests, the Medicaid program has contractual relationships with clinical specialists such as physicians, dentists, physical therapists, and contracts such as the pharmacy benefits manager contract, to provide access to other pertinent clinical expertise.

**State Plan under Title XIX of the Social Security Act  
State: Rhode Island**

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**Eligibility Determinations**

In Rhode Island, the agency that determines eligibility for families and for individuals under 21 and for the aged, blind, or disabled is the Rhode Island Department of Human Services (DHS), the single State agency for the financial assistance program under title IV-A.

The Rhode Island Department of Human Services conducts the following Medicaid Eligibility functions:

- Determination of Eligibility for families and individuals under age 21;
- Determination of Eligibility for individuals who are aged, blind, or disabled;
- Determination of Financial Eligibility for individuals and couples seeking eligibility for Medicaid-funded long-term care services and supports.

A written agreement between the Single State Agency (EOHHS) and DHS reflects the written responsibilities of each party.

When changes to the eligibility determination process are required, the Medicaid Director will send a written memo to the DHS Director. This memorandum will describe the policy change and the necessary implementation steps. The information will be disseminated to the Associate Director of Management Services who will communicate to the Regional Managers and Administrator of Long-term Care, when appropriate, through written policy changes. The Associate Director of Management Services will also initiate the process for changes to the Eligibility System, INRHODES, to reflect the new policy. The Associate Director of Management Services will provide the Medicaid Director with regular status updates and with a notification once all the changes have been implemented.

Any other functions related to Medicaid Eligibility are conducted within the Medicaid Program of the Executive Office of Health and Human Services.

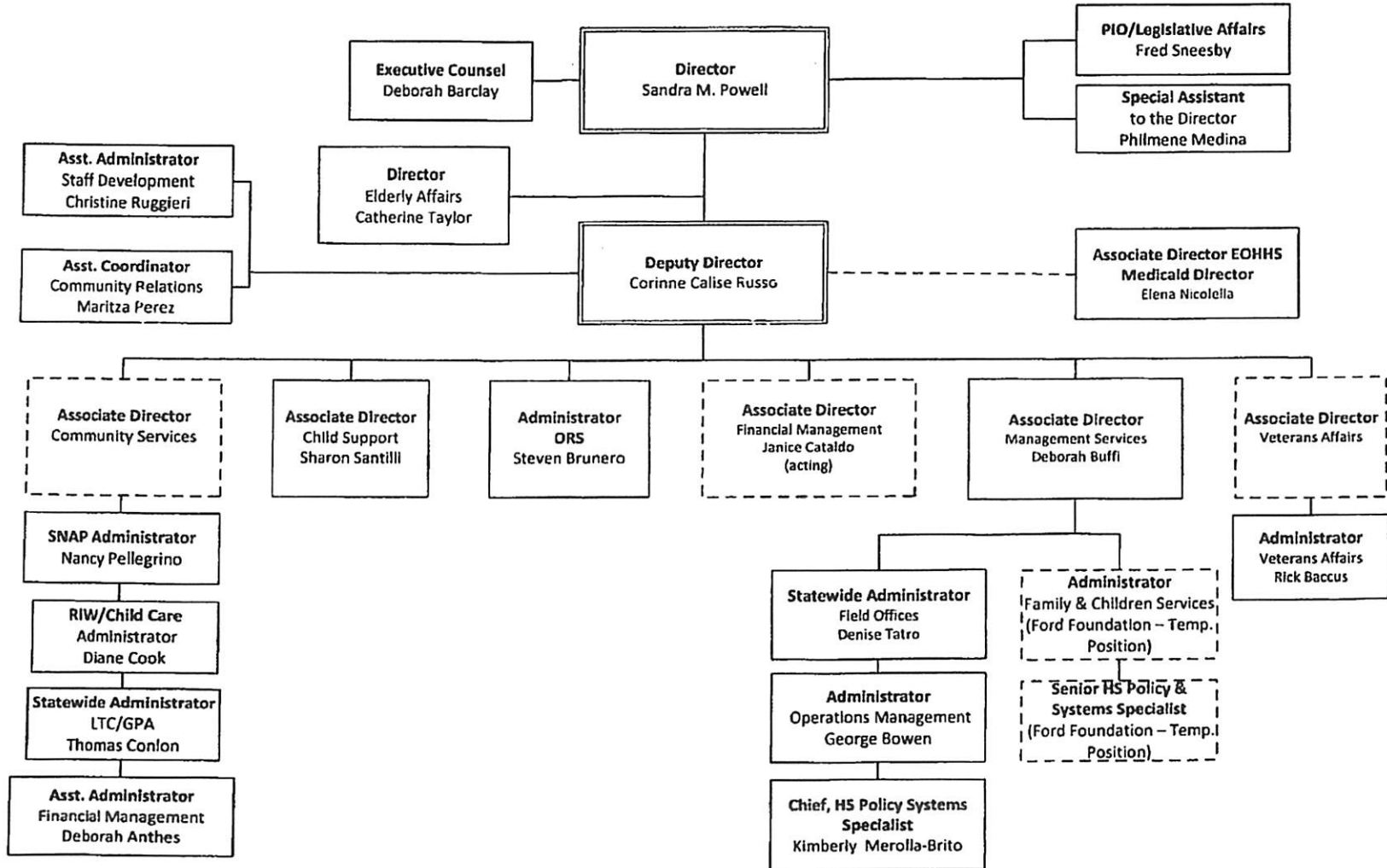




**State of Rhode Island**  
**Department of Human Services**  
 Sandra M. Powell, Director | Lincoln D. Chafee, Governor

**Department Senior Staff**

as of July 5, 2012





**State of Rhode Island**  
**Department of Human Services**  
Sandra M. Powell, Director | Lincoln D. Chafee, Governor

Attachment 1.2-D Page 3

**DHS Regional Family Centers**

as of June 14, 2012

