

DEPARTMENT OF HEALTH & HUMAN  
SERVICES Health Care Financing Administration

Center for Medicaid and State Operations 7500 Security Boulevard Baltimore, Maryland 21244-1850

May 6, 1999

Dear State Medicaid Director:

This letter transmits a revised preprint application for a "Proposal for a Section 1915(b) Capitated Waiver Program," and is specifically for use by States initially applying for 1915(b) capitated waivers.

This guidance was developed by Health Care Financing Administration (HCFA) Central Office and Regional Office staff, with review and comment by the Medicaid Managed Care Technical Advisory Group and the Office of Management and Budget. It updates the previous preprint application and adds a particular focus on the enrollment of special needs populations into Medicaid managed care systems. This was accomplished by incorporating issues from the September 1998 guide on "Key Approaches to the Use of Managed Care Systems for Persons with Special Health Care Needs" in the preprint application. We also incorporated guidance from 19 State Medicaid Directors' letters related to Medicaid managed care provisions in the Balanced Budget Act of 1997. When the Medicaid managed care final rule is published, this preprint will be revised accordingly.

The purpose of the preprint is to streamline the waiver application and approval process and, thus, eliminate unnecessary and cumbersome paperwork requirements. The completion of this request, used in conjunction with the State Medicaid Manual instructions at section 2106-2112, will help to expedite the State waiver request and HCFA's review of the waiver proposal. While the preprint is in the form of a check-off document, the State will need to provide detailed explanations in some areas.

All waiver requests under section 1915(b) of the Social Security Act (the Act) are subject to the requirements that the State document the cost effectiveness of the project, its effect on recipient access to services, and its projected impact. This model section 1915(b) waiver application form will help States provide sufficient documentation for the Secretary to be able to determine whether the statutory and regulatory requirements of section 1915(b) of the Act have been satisfied.

I hope that you find this preprint useful in requesting implementation authority of a 1915(b) waiver program involving managed care organizations, pre-paid health plans, or Health Insuring Organizations. Any comments or questions regarding the document should be directed to Mike Fiore of my staff on

(410) 786-0623 or mfiore@hcfa.gov.

Sincerely,

/s/

Sally K. Richardson

Director

Enclosures

[Revised Preprint Application](#) in Adobe (tm) Portable Document Format (PDF)

[Appendixes to Preprint Application](#) in zipped format that extracts to fourteen files in PDF format.

cc:

All HCFA Regional Administrators

All HCFA Associate Regional Administrators for Medicaid and State Operations Lee Partridge

American Public Human Services Association

Joy Wilson

National Conference of State Legislatures Matt Salo National Governors' Association

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