

Implementation Guide: Medicaid State Plan Eligibility Individuals Receiving State Plan Home and Community- Based Services

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Individuals Receiving State Plan Home and Community-Based Services

POLICY CITATION

Statute: 1902(a)(10)(A)(ii)(XXII) and 1915(i)

Regulations: 42 C.F.R. §435.219 and §436.219

BACKGROUND

Overview

This reviewable unit (RU) describes one of two optional eligibility groups for individuals who are eligible for the home and community-based services (HCBS) benefit described in section 1915(i) of the Social Security Act (the Act). This group is specific to individuals who are not otherwise eligible for Medicaid and who have income at or below 150 percent of the federal poverty level (FPL). This RU provides the criteria under which individuals may be covered under this group, as well as the income standard used.

The State Plan HCBS Benefit

The Deficit Reduction Act of 2005 established section 1915(i) of the Act to allow states to provide HCBS as an optional benefit under their Medicaid state plans. This option allows states to receive federal financial participation for services that were previously eligible for federal funds only under waiver or demonstration projects, such as a 1915(c) waiver. The 1915(i) benefit is available to all Medicaid beneficiaries whose income does not exceed 150 percent of the federal poverty level (FPL) and who meet needs-based criteria established by the state. In addition, states may extend benefits to somewhat higher income individuals (up to 300 percent of the SSI FBR) who are also eligible for HCBS waiver services.

Under a section 1915(c) waiver, states can offer HCBS to targeted groups of Medicaid beneficiaries who would otherwise require long-term services and supports in an institutional setting. Section 1915(i) services, unlike those under a waiver, are not restricted to individuals who would otherwise require the level of care provided in a hospital, nursing facility or ICF/IID. In addition, there is no cost neutrality requirement to provide 1915(i) services and states are not required to perform comparative cost estimates relative to institutional care.

When establishing a 1915(i) benefit (hereafter referred to as a state plan HCBS benefit), states develop needs-based criteria for the benefit, which are less restrictive than the level of care requirements for institutional care. States may target the benefit to a specific population or populations based on diagnosis, disability, Medicaid eligibility group and/or age.

To receive state plan HCBS, an individual must meet the needs-based criteria and targeting requirements specific to the benefit, and the individual must be eligible under either a mandatory or optional state plan eligibility group.

State Plan HCBS Eligibility Groups

The Affordable Care Act expanded access to state plan HCBS by creating two new optional eligibility groups specific to individuals who need these services. The first group – Individuals

Receiving State Plan Home and Community-Based Services – is described in this implementation guide. This eligibility group covers individuals who are not otherwise eligible for Medicaid and who have income at or below 150 percent of the FPL. The second group – Individuals Receiving State Plan Home and Community-Based Services Who Are Otherwise Eligible for HCBS Waivers – covers individuals whose income does not exceed 300 percent of the SSI federal benefit rate and who also meet the eligibility requirements for HCBS provided under a waiver. Additional information on the second group can be found in the implementation guide that accompanies the RU for that group.

Characteristics

Section 1902(a)(10)(A)(ii)(XXII) of the Act allows states to extend eligibility specifically to individuals who will receive a state plan HCBS benefit. The Individuals Receiving State Plan Home and Community-Based Services group (referred to here as the state plan HCBS group) covers individuals “who are eligible for home and community-based service under needs-based criteria established under paragraph (1)(A) of section 1915(i).” This includes individuals who:

- Are not otherwise eligible for Medicaid;
- Have income at or below a standard established by the state, which cannot exceed 150 percent of the FPL;
- Meet the needs-based criteria and the targeting requirements of a state plan HCBS benefit; and
- Will receive one or more state plan HCBS benefits under section 1915(i) of the Act.

Option: Populations Covered: When covering the state plan HCBS group, states may choose to extend eligibility to all individuals who meet the characteristics of this group, as described in regulation, or a state may limit eligibility to specific populations of individuals as allowed by statute. Any limitations established for the eligibility group are separate from the needs-based criteria and targeting requirements specific to the state plan HCBS benefit.

Section 1902(a)(10)(A)(ii) of the Act describes most optional Medicaid eligibility groups, including the state plan HCBS group, and authorizes states to include in these eligibility groups the specific populations described in section 1905(a) of the Act. Among the populations described in section 1905(a) is, paragraph (xvii), the population made up of “individuals who are eligible for home and community-based services under needs-based criteria established under paragraph (1)(A) of section 1915(i).”

If a state wants to cover in this particular eligibility group all individuals who meet the eligibility requirements for the group (i.e., all individuals eligible for an approved 1915(i) benefit who are not otherwise eligible for Medicaid and have income no greater than 150% of the FPL), the state elects to cover the population at section 1905(a)(xvii). Alternatively, a state may elect to more narrowly cover select 1905(a)-based populations of individuals. For example, a state could choose to include individuals who are age 65 or older, as described at section 1905(a)(iii), or individuals under age 21, as described at 1905(a)(i) (or both, and/or other discrete 1905(a)-based populations). If a state chooses individuals under age 21 (or under age 20, 19 or 18) as a population for coverage under this group, the state may choose to cover all individuals under the

specified age who meet the eligibility requirements of this optional group, or the state may choose to cover one or more reasonable classifications of such individuals.

Financial Eligibility

Each state establishes its own income standard for eligibility under the group for individuals receiving state plan HCBS, up to 150% of the FPL. There is no resource test for this eligibility group. Additionally, states have flexibility with respect to the income counting methodology used.

Income Standard

As described above and at 42 C.F.R. §435.219(a) and §436.219(a), income eligibility for this group is limited to individuals whose income does not exceed 150 percent of the FPL. When electing to cover this group, states establish an income standard at or below 150 percent of the FPL.

Option: Income Standard. States may use the same income standard for all individuals covered or they may choose to apply different income standards to different section 1905(a) populations.

- If the state covers all individuals (i.e. the population at 1905(a)(xvii)), the state may:
 - Apply a single income standard (e.g., 150% FPL) , or
 - Apply a single income standard generally (to the population described at 1905(a)(xvii)), and apply a higher income standard to one or more section 1905(a) populations (e.g., establish a general income standard of 120% FPL for individuals eligible under this group, and apply an income standard of 150% FPL to the population of individuals age 65 and older). When a state elects this option, the income standard applied to the targeted populations must be higher (not lower) than the standard applied to the general group, as they would already be eligible under the general standard.
- If the state covers multiple populations under this group, the state may:
 - Apply the same income standard to all populations; e.g., the state can choose to cover the populations of individuals age 65 and older and children under age 19 up to 150% FPL, or
 - Elect a separate income standard for each population; e.g., cover individuals under age 19 to 120% FPL and cover individuals age 65 and older to 150% FPL.

Financial Methodologies

While the statute does not define a specific methodology to be used in determining income eligibility for this group, the underlying requirements in section 1902(r)(2) dictate that SSI income and resource methodologies (or the most closely related cash assistance program in the Territories) must be used to determine eligibility for populations based on age, blindness or disability. For all other populations, states may establish their own reasonable methodology, consistent with the rules regarding financial responsibility of relatives and subject to approval by CMS. States are permitted to apply less restrictive methodologies under section 1902(r)(2) of the Act. 209(b) states may apply their more restrictive eligibility criteria to this group, and they may also elect to utilize less restrictive income counting methodologies under section 1902(r)(2) of the Act.

Option: Income Counting Methodology. States may use the same income counting methodology for all individuals covered or they may choose to apply different income counting methodologies to different section 1905(a) populations.

- If the state covers all individuals (i.e., the population at 1905(a)(xvii)), the state may:
 - Apply SSI methodologies for all populations, or
 - Apply SSI methodologies to all but specified populations (the specified populations to which a state may apply a separate methodology may not be specific to individuals age 65 or older or individuals with blindness or a disability); the state may apply a different methodology, such as the MAGI-like methodology, to the specified 1905(a) populations.
- If the state covers multiple populations under this group, the state may:
 - Apply SSI methodologies to all populations, or
 - Apply SSI methodologies to all aged, blind and disabled populations and apply different income counting methodologies to other populations.

REVIEWABLE UNIT DEPENDENCIES

Many RUs in MACPro are dependent upon other RUs. Each time a primary RU is changed, there could be an effect on other, secondary RUs which are dependent on the primary. For example, in the **Mandatory Eligibility Groups** RU, there is a question as to whether the state covers the Adult Group. If *Yes* is selected, and if a box is checked to include the Adult Group in the submission package, then the **Adult Group** RU will be included by the system in the package and the user can navigate to it to complete it. If *No* is selected, the **Adult Group** RU will not be included in the package. In this example, the **Mandatory Eligibility Groups** RU is the *Primary RU* and the **Adult Group** RU is the *Secondary RU*. The **Adult Group** RU is considered to be dependent on selections made in the **Mandatory Eligibility Groups** RU.

Whenever a change in a primary RU may affect a secondary RU, you either need to revise the secondary RU (if it is already in the package) or add the secondary RU to the package so that it can be updated in the same submission package as the primary RU.

The following table explains the dependent relationships for the **Individuals Receiving State Plan Home and Community-Based Services** RU:

Primary RU	Secondary RU	Nature of Dependency	Actions Needed
Eligibility Determinations of Individuals Age 65 or Older or Who Have Blindness or a Disability	Individuals Receiving State Plan Home and Community-Based Services	Unless the Eligibility Determinations for Individuals Age 65 or Older or Who Have Blindness of a Disability RU (primary) has either been approved in MACPro or is included, completed and validated in the submission package, the Mandatory Eligibility Groups RU (secondary) cannot be displayed.	If the secondary RU will not display because the primary RU is neither approved in MACPro nor included in the package: <ul style="list-style-type: none"> • Add the primary RU to the package, complete it and validate it. • Alternatively, remove the secondary RU from the package.

Primary RU	Secondary RU	Nature of Dependency	Actions Needed
Eligibility Determinations of Individuals Age 65 or Older or Who Have Blindness or a Disability	Individuals Receiving State Plan Home and Community-Based Services	The selection of <i>209(b) State</i> , or <i>SSI Criteria</i> or <i>1634 State</i> as the basis for the eligibility determination in section A of the Eligibility Determinations of Individuals Age 65 or Older or Who Have Blindness or a Disability RU (primary) affects the requirements displayed in section C of Individuals Receiving State Plan Home and Community-Based Services with respect to financial methodologies.	<ul style="list-style-type: none"> • You must complete, save and validate the primary RU before you can complete the secondary RU. • Once the primary RU is approved in MACPro, it does not have to be included again in a submission package unless the basis changes, in which case the secondary RU also has to be included again.

INSTRUCTIONS

Check the assurance above section **A** to indicate that the state provides 1915(i) state plan home and community-based services to individuals eligible under other eligibility groups.

A. Characteristics

There are statements (**A.1.** through **A.3.**) describing the characteristics of this eligibility group.

B. Individuals Covered

At **B.1.**, select *Yes* or *No* to indicate if the state covers all individuals who meet the characteristics in section **A**.

- If *No* is selected at **B.1.**, at **B.2.**, select one or more of the eight options (**B.2.a.** through **B.2.h.**) to describe the individuals who are covered in this eligibility group.
 - If **B.2.d. *All Children under a specified age limit*** is selected, select one of the four options to indicate the age of the children covered.
 - If **B.2.e. *Reasonable classifications of children*** is selected, select the **Add Classification** button. See the **Reasonable Classifications of Children – Under Age 21, 20, 19 or 18** implementation guide for the instructions on how to complete the screen.
 - When you have completed this screen, select the **Save Classifications** button to return to the **Individuals Receiving State Plan Home and Community-Based Services RU**. The classifications selected will appear in the RU.
 - If you wish to make a change, select the **Edit Classifications** button.
 - If **B.2.h. *Other individuals who qualify for home and community-based services under 1915(i)*** is selected:
 - Provide the name of the population and a description in the text boxes provided.
Screen Validation: Each name entered here must be different from the other names.
 - To add additional populations, select the + **Add Population** link.
 - To remove a population:
 - If there is only one population, uncheck the **Other Individuals who qualify.....**option.
 - If there is more than one population, select the **Delete** link associated with the population to be removed.

C. Financial Methodologies

At **C.1.**, select *Yes* or *No* to indicate if the state uses the same financial methodology for all of the individuals covered in this group.

There are four possible instructions, depending on the selections made in section **B** and at **C.1.**:

1. The state covers either all individuals described in section A or at least one of the following: individuals age 65 or older, individuals with blindness, individuals who have a disability as selected at **B.2.**; and which use only one financial methodology for all.
2. The state does not cover any of the following: individuals age 65 or older, individuals with blindness, or individuals who have a disability as selected at **B.2.**; but uses only one financial methodology for the individuals covered.
3. The state covers all individuals described in section A; but does not use the same financial methodology for everyone.
4. The state does not cover all individuals as selected at **B.2.**; and does not use the same financial methodology for everyone.

Use the instruction below that matches the state type (1634, SSI Criteria, or 209(b) state or territory) and the selections made in section **B** and at **C.1**.

For 1634 or SSI Criteria States

1. Which cover either all individuals described in section A or at least one of the following: individuals age 65 or older, individuals with blindness, individuals who have a disability as selected at **B.2.**; and which use only one financial methodology for all.
 - At **C.2.**, there is a statement that SSI methodologies are used. A separate RU, **Non-MAGI Methodologies**, describes the Non-MAGI methodologies used by the state.

If you wish to view the approved methodologies, select the **View approved version of Non-MAGI Methodologies** link.

- The **Non-MAGI Methodologies** RU will appear if there is an approved version in the MACPro system.
- If there is no approved version of the RU in MACPro, a screen will appear with the following message: “There is no approved version of this reviewable unit in MACPro available to display.”
- Select the **Individuals Receiving State Plan Home and Community-Based Services** link to return to the **Individuals Receiving State Plan Home and Community-Based Services** RU.
- Indicate **Yes** or **No**, if less restrictive methodologies are used in calculating countable income.
 - If **Yes** is selected, select the **Add/Modify Less Restrictive Methodologies** button.
 - When you have completed these screens, the less restrictive methodologies selected will be inserted into the **Individuals Receiving State Plan Home and Community-Based Services** RU. (See the implementation guides, **Less Restrictive Income Methodologies – Selection** and **Less Restrictive Income Methodologies** for how to complete these screens.)

For 1634 or SSI Criteria States

2. Which do not cover any of the following: individuals age 65 or older, individuals with blindness, or individuals who have a disability as selected at **B.2.**; but which use only one financial methodology for the individuals covered.

- At **C.2.**, select one of four options (**C.2.a.**, **C.2.c.**, **C.2.d.** or **C.2.e.**) to indicate the financial methodology used (NOTE: option **C.2.b.** is for 209(b) states only).
 - If **C.2.a. SSI methodologies, C.2.c. MAGI-like methodologies, or C.2.d. AFDC methodologies** is selected, there is a statement that a separate RU, **Non-MAGI Methodologies**, describes the Non-MAGI methodologies used by the state.

If you wish to view the approved methodologies, select the ***View approved version of Non-MAGI Methodologies*** link.

- The **Non-MAGI Methodologies** RU will appear if there is an approved version in the MACPro system.
- If there is no approved version of the RU in MACPro, a screen will appear with the following message: “There is no approved version of this reviewable unit in MACPro available to display.”
- Select the ***Individuals Receiving State Plan Home and Community-Based Services*** link to return to the **Individuals Receiving State Plan Home and Community-Based Services** RU.
- If **C.2.e. Other methodology** is selected, enter the name and description of the other methodology used in the text boxes provided.
- Indicate **Yes** or **No**, if less restrictive methodologies are used in calculating countable income.
 - If **Yes** is selected, select the ***Add/Modify Less Restrictive Methodologies*** button.
 - When you have completed these screens, the less restrictive methodologies selected will be inserted into the **Individuals Receiving State Plan Home and Community-Based Services** RU. (See the implementation guides, **Less Restrictive Income Methodologies – Selection** and **Less Restrictive Income Methodologies** for how to complete these screens.)

For 1634 or SSI Criteria States

3. *Which cover all individuals described in section A; but which do not use the same financial methodology for everyone.*

- At **C.2.**, select one of four options (**C.2.a.**, **C.2.c.**, **C.2.d.** or **C.2.e.**) to indicate the financial methodology used for most of the populations covered (NOTE: option **C.2.b.** is for 209(b) states only). After completing this selection, you will be able to identify the populations that use different methodologies and describe them.
 - If **C.2.a. SSI methodologies, C.2.c. MAGI-like methodologies, or C.2.d. AFDC methodologies** is selected, there is a statement that a separate RU, **Non-MAGI Methodologies**, describes the Non-MAGI methodologies used by the state.

If you wish to view the approved methodologies, select the ***View approved version of Non-MAGI Methodologies*** link.

- The **Non-MAGI Methodologies** RU will appear if there is an approved version in the MACPro system.

- If there is no approved version of the RU in MACPro, a screen will appear with the following message: “There is no approved version of this reviewable unit in MACPro available to display.”
 - Select the ***Individuals Receiving State Plan Home and Community-Based Services*** link to return to the **Individuals Receiving State Plan Home and Community-Based Services** RU.
 - If **C.2.e. *Other methodology*** is selected, enter the name and description of the other methodology used in the text boxes provided.
- Indicate **Yes** or **No**, if less restrictive methodologies are used in calculating countable income.
 - If **Yes** is selected, select the ***Add/Modify Less Restrictive Methodologies*** button.
 - When you have completed these screens, the less restrictive methodologies selected will be inserted into the **Individuals Receiving State Plan Home and Community-Based Services** RU. (See the implementation guides, **Less Restrictive Income Methodologies – Selection** and **Less Restrictive Income Methodologies** for how to complete these screens.)
- At **C.3.**, select the populations for which other financial methodologies are used. The selected populations will appear in a table below the population list. To select the financial methodology used for each population,
 - Click on the name of the population and it will appear below the table.
 - Select the financial methodology used for that population, following the steps above for the methodology used for most of the populations covered.
 - When you have made your selections for the first population, click on the second and subsequent populations in the table to enter their specific financial methodologies. The methodologies selected will save automatically.
 - To view any population’s methodology, including the details of less restrictive methodologies that were entered, click on the name of the population and the details will appear below the table.

For 1634 or SSI Criteria States

4. *Which do not cover all individuals as selected at B.2.; and which do not use the same financial methodology for everyone.*

- At **C.2.**, select the financial methodology used for each population in the table (the populations selected in section **B**). To select the financial methodology used for each population,
 - Click on the name of the population and it will appear below the table.
 - Select the financial methodology used for that population by following the steps below:
 - Select one of four options (**C.2.a.**, **C.2.c.**, **D.2.d.** or **C.2.e.**) to indicate the financial methodology used (NOTE: option **C.2.b.** is for 209(b) states only).
 - If **C.2.a. *SSI methodologies***, **C.2.c. *MAGI-like methodologies***, or **C.2.d. *AFDC methodologies*** is selected, there is a statement

that a separate RU, **Non-MAGI Methodologies**, describes the Non-MAGI methodologies used by the state.

If you wish to view the approved methodologies, select the ***View approved version of Non-MAGI Methodologies*** link.

- The **Non-MAGI Methodologies** RU will appear if there is an approved version in the MACPro system.
- If there is no approved version of the RU in MACPro, a screen will appear with the following message: “There is no approved version of this reviewable unit in MACPro available to display.”
- Select the ***Individuals Receiving State Plan Home and Community-Based Services*** link to return to the **Individuals Receiving State Plan Home and Community-Based Services** RU.
 - If **C.2.e. Other** methodology is selected, enter the name and description of the other methodology used in the text boxes provided.
- Indicate **Yes** or **No**, if less restrictive methodologies are used in calculating countable income.
 - If **Yes** is selected, select the ***Add/Modify Less Restrictive Methodologies*** button.
 - When you have completed these screens, the less restrictive methodologies selected will be inserted into the **Individuals Receiving State Plan Home and Community-Based Services** RU. (See the implementation guides, **Less Restrictive Income Methodologies – Selection** and **Less Restrictive Income Methodologies** for how to complete these screens.)
 - When you have made your selections for the first population, click on the second and subsequent populations in the table to enter their specific financial methodologies. The methodologies selected will save automatically.
 - To view any population’s methodology, including the details of less restrictive methodologies that were entered, click on the name of the population and the details will appear below the table.

For 209(b) States

1. Which cover either all individuals described in section A or at least one of the following: individuals age 65 or older, individuals with blindness, individuals who have a disability as selected at **B.2.**; and which use only one financial methodology for all.
 - At **C.2.**, select one of two options (**C.2.a.** or **C.2.b.**) to indicate the financial methodology used.
 - If **C.2.a. SSI methodologies** is selected, a separate RU, **Non-MAGI Methodologies**, describes the Non-MAGI methodologies used by the state.

If you wish to view the approved methodologies, select the ***View approved version of Non-MAGI Methodologies*** link.

- The **Non-MAGI Methodologies** RU will appear if there is an approved version in the MACPro system.
 - If there is no approved version of the RU in MACPro, a screen will appear with the following message: “There is no approved version of this reviewable unit in MACPro available to display.”
 - Select the ***Individuals Receiving State Plan Home and Community-Based Services*** link to return to the **Individuals Receiving State Plan Home and Community-Based Services** RU.
- If **C.2.b. More restrictive requirements than SSI** is selected, there is a statement that the more restrictive requirements are described in the **More Restrictive Requirements than SSI under 1902(f)** RU.

If you wish to view the more restrictive methodologies,

- If the **More Restrictive Requirements than SSI under 1902(f) – (209(b) States)** RU is included in this submission package, select the ***View current version of More Restrictive Requirements than SSI under 1902(f) – (209(b) States)*** link to view the version included in this package.
 - If the **More Restrictive Requirements than SSI under 1902(f) – (209(b) States)** RU is not included in the package, select the ***View approved version of More Restrictive Requirements than SSI under 1902(f) – (209(b) States)*** link to view the approved version.
 - The appropriate **More Restrictive Requirements than SSI under 1902(f) – (209(b) States)** RU will appear for viewing.
 - If the **More Restrictive Requirements than SSI under 1902(f) – (209(b) States)** RU is not included in the package and there is no approved version, a screen message will appear to include the RU in the package if the ***View More Restrictive Requirements than SSI under 1902(f) - (209(b) States)*** link is selected.
 - Select the ***Individuals Receiving State Plan Home and Community-Based Services*** link to return to the **Individuals Receiving State Plan Home and Community-Based Services** y RU.
- Indicate **Yes** or **No**, if less restrictive methodologies are used in calculating countable income.
- If **Yes** is selected, select the ***Add/Modify Less Restrictive Methodologies*** button.
 - When you have completed these screens, the less restrictive methodologies selected will be inserted into the **Individuals Receiving State Plan Home and Community-Based Services** RU. (See the implementation guides, **Less Restrictive Income Methodologies – Selection** and **Less Restrictive Income Methodologies** for how to complete these screens.)

For 209(b) States

2. Which do not cover any of the following: individuals age 65 or older, individuals with blindness, or individuals who have a disability as selected at **B.2.**; but which use only one financial methodology for the individuals covered.

- At **C.2.**, select one of five options (**C.2.a.** through **C.2.e.**) to indicate the financial methodology used.
 - If **C.2.a. SSI methodologies, C.2.c. MAGI-like methodologies, or C.2.d. AFDC methodologies** is selected, there is a statement that a separate RU, **Non-MAGI Methodologies**, describes the Non-MAGI methodologies used by the state.

If you wish to view the approved methodologies, select the *View approved version of Non-MAGI Methodologies* link.

- The Non-MAGI Methodologies RU will appear if there is an approved version in the MACPro system.
- If there is no approved version of the RU in MACPro, a screen will appear with the following message: “There is no approved version of this reviewable unit in MACPro available to display.”
- Select the *Individuals Receiving State Plan Home and Community-Based Services* link to return to the **Individuals Receiving State Plan Home and Community-Based Services** RU.
- If option **C.2.b. More restrictive requirements than SSI** is selected, there is a statement that the more restrictive requirements are described in the **More Restrictive Requirements than SSI under 1902(f)** RU.

If you wish to view the more restrictive methodologies,

- If the **More Restrictive Requirements than SSI under 1902(f) – (209(b) States)** RU is included in this submission package, select the *View current version of More Restrictive Requirements than SSI under 1902(f) – (209(b) States)* link to view the version included in this package.
- If the **More Restrictive Requirements than SSI under 1902(f) – (209(b) States)** RU is not included in the package, select the *View approved version of More Restrictive Requirements than SSI under 1902(f) – (209(b) States)* link to view the approved version.
- The appropriate **More Restrictive Requirements than SSI under 1902(f) – (209(b) States)** RU will appear for viewing.
- If the **More Restrictive Requirements than SSI under 1902(f) – (209(b) States)** RU is not included in the package and there is no approved version, a screen message will appear to include the RU in the package if the *View More Restrictive Requirements than SSI under 1902(f) - (209(b) States)* link is selected.
- Select the *Individuals Receiving State Plan Home and Community-Based Services* link to return to the **Individuals Receiving State Plan Home and Community-Based Services** RU.

- If **C.2.e. Other methodology** is selected, enter the name and description of the other methodology used in the text boxes provided.
- Indicate **Yes** or **No**, if less restrictive methodologies are used in calculating countable income.
 - If **Yes** is selected, select the **Add/Modify Less Restrictive Methodologies** button.
 - When you have completed these screens, the less restrictive methodologies selected will be inserted into the **Individuals Receiving State Plan Home and Community-Based Services RU**. (See the implementation guides, **Less Restrictive Income Methodologies – Selection** and **Less Restrictive Income Methodologies** for how to complete these screens.)

For 209(b) States

3. *Which cover all individuals described in section A; but which do not use the same financial methodology for everyone.*

- At **C.2.**, select one of five options (**C.2.a.**, through **C.2.e.**) which describes the financial methodology that is used for most of the populations covered. After you complete this, you will be able to identify the populations that use different methodologies and describe them.
 - If option **C.2.a. SSI methodologies**, **C.2.c. MAGI-like methodologies**, or **C.2.d. AFDC methodologies** is selected, there is a statement that a separate RU, **Non-MAGI Methodologies**, describes the Non-MAGI methodologies used by the state.

If you wish to view the approved methodologies, select the **View approved version of Non-MAGI Methodologies** link.

- The Non-MAGI Methodologies RU will appear if there is an approved version in the MACPro system.
- If there is no approved version of the RU in MACPro, a screen will appear with the following message: “There is no approved version of this reviewable unit in MACPro available to display.”
 - Select the **Individuals Receiving State Plan Home and Community-Based Services** link to return to the **Individuals Receiving State Plan Home and Community-Based Services RU**.
- If option **C.2.b. More restrictive requirements than SSI** is selected, there is a statement that the more restrictive requirements are described in the **More Restrictive Requirements than SSI under 1902(f)** RU.

If you wish to view the more restrictive methodologies,

- If the **More Restrictive Requirements than SSI under 1902(f) – (209(b) States)** RU is included in this submission package, select the **View current version of More Restrictive Requirements than SSI under 1902(f) – (209(b) States)** link to view the version included in this package.

- If the **More Restrictive Requirements than SSI under 1902(f) – (209(b) States)** RU is not included in the package, select the *View approved version of More Restrictive Requirements than SSI under 1902(f) – (209(b) States)* link to view the approved version.
- The appropriate **More Restrictive Requirements than SSI under 1902(f) – (209(b) States)** RU will appear for viewing.
- If the **More Restrictive Requirements than SSI under 1902(f) – (209(b) States)** RU is not included in the package and there is no approved version, a screen message will appear to include the RU in the package if the *View More Restrictive Requirements than SSI under 1902(f) - (209(b) States)* link is selected.
- Select the *Individuals Receiving State Plan Home and Community-Based Services* link to return to the **Individuals Receiving State Plan Home and Community-Based Services** RU.
 - If **C.2.e. Other methodology** is selected, enter the name and description of the other methodology used in the text boxes provided.
- Indicate *Yes* or *No*, if less restrictive methodologies are used in calculating countable income.
 - If *Yes* is selected, select the *Add/Modify Less Restrictive Methodologies* button.
 - When you have completed these screens, the less restrictive methodologies selected will be inserted into the **Individuals Receiving State Plan Home and Community-Based Services** RU. (See the implementation guides, **Less Restrictive Income Methodologies – Selection** and **Less Restrictive Income Methodologies** for how to complete these screens.)
- At **C.3.**, select the populations for which other financial methodologies are used. The selected populations will appear in a table below the population list. To select the financial methodology used for each population,
 - Click on the name of the population and it will appear below the table
 - Select the financial methodology used for that population, following the steps used for the methodology used for most of the populations covered, above.
 - When you have made your selections for the first population, click on the second and subsequent populations in the table to enter their specific financial methodologies. The methodologies selected will save automatically.
 - To view any population’s methodology, including the details of less restrictive methodologies that were entered, click on the name of the population and the details will appear below the table.

For 209(b) States

4. *Which do not cover all individuals as selected at B.2.; and which do not use the same financial methodology for everyone.*

- At **C.2.**, select the financial methodology used for each population in the table (the populations selected in section **B**). To select the financial methodology used for each population,
 - Click on the name of the population and it will appear below the table

- Select the financial methodology used for that population by following the steps below:
 - Select one of five options (**C.2.a.** through **C.2.e.**) to indicate the financial methodology used.
 - If option **C.2.a. SSI methodologies, C.2.c. MAGI-like methodologies, or C.2.d. AFDC methodologies** is selected, there is a statement that a separate RU, **Non-MAGI Methodologies**, describes the Non-MAGI methodologies used by the state.

If you wish to view the approved methodologies, select the ***View approved version of Non-MAGI Methodologies*** link.

- The **Non-MAGI Methodologies** RU will appear if there is an approved version in the MACPro system.
- If there is no approved version of the RU in MACPro, a screen will appear with the following message: “There is no approved version of this reviewable unit in MACPro available to display.”
- Select the ***Individuals Receiving State Plan Home and Community-Based Services*** link to return to the **Individuals Receiving State Plan Home and Community-Based Services** RU.
- If option **C.2.b. More restrictive requirements than SSI** is selected, there is a statement that the more restrictive requirements are described in the **More Restrictive Requirements than SSI under 1902(f)** RU.

If you wish to view the more restrictive methodologies,

- If the **More Restrictive Requirements than SSI under 1902(f) – (209(b) States)** RU is included in this submission package, select the ***View current version of More Restrictive Requirements than SSI under 1902(f) – (209(b) States)*** link to view the version included in this package.
- If the **More Restrictive Requirements than SSI under 1902(f) – (209(b) States)** RU is not included in the package, select the ***View approved version of More Restrictive Requirements than SSI under 1902(f) – (209(b) States)*** link to view the approved version.
- The appropriate **More Restrictive Requirements than SSI under 1902(f) – (209(b) States)** RU will appear for viewing.
- If the **More Restrictive Requirements than SSI under 1902(f) – (209(b) States)** RU is not included in the package and there is no approved version, a screen message will appear to include the RU in the package if

the ***View More Restrictive Requirements than SSI under 1902(f) - (209(b) States)*** link is selected.

- Select the ***Individuals Receiving State Plan Home and Community-Based Services*** link to return to the **Individuals Receiving State Plan Home and Community-Based Services RU**.
 - If **C.2.e. *Other methodology*** is selected, enter the name and description of the other methodology used in the text boxes provided.
- Indicate **Yes** or **No**, if less restrictive methodologies are used in calculating countable income.
 - If **Yes** is selected, select the ***Add/Modify Less Restrictive Methodologies*** button.
 - When you have completed these screens, the less restrictive methodologies selected will be inserted into the **Individuals Receiving State Plan Home and Community-Based Services RU**. (See the implementation guides, **Less Restrictive Income Methodologies – Selection** and **Less Restrictive Income Methodologies** for how to complete these screens.)
 - When you have made your selections for the first population, click on the second and subsequent populations in the table to enter their specific financial methodologies. The methodologies selected will save automatically.
 - To view any population’s methodology, including the details of less restrictive methodologies that were entered, click on the name of the population and the details will appear below the table.

For Territories

1. Which cover either all individuals described in section A or at least one of the following: individuals age 65 or older, individuals with blindness, individuals who have a disability as selected at **B.2.**; and which use only one financial methodology for all.

- At **C.2.**, select one of four options (**C.2.a.** through **D.2.d.**) to indicate the financial methodology used.
- Indicate **Yes** or **No**, if less restrictive methodologies are used in calculating countable income.
 - If **Yes** is selected, select the ***Add/Modify Less Restrictive Methodologies*** button.
 - When you have completed these screens, the less restrictive methodologies selected will be inserted into the **Individuals Receiving State Plan Home and Community-Based Services RU**. (See the implementation guides, **Less Restrictive Income Methodologies – Selection** and **Less Restrictive Income Methodologies** for how to complete these screens.)

For Territories

2. Which do not cover any of the following: individuals age 65 or older, individuals with blindness, or individuals who have a disability as selected at **B.2.**; but which use only one financial methodology the individuals covered.

- At **C.2.**, select one of seven options (**C.2.a.** through **C.2.g.**) to indicate the financial methodology used.
 - If option **C.2.e. MAGI-like methodologies** or **C.2.f. AFDC methodologies** is selected, there is a statement that a separate RU, **Non-MAGI Methodologies**, describes the Non-MAGI methodologies used by the state.

If you wish to view the approved methodologies, select the **View approved version of Non-MAGI Methodologies** link.

- The **Non-MAGI Methodologies RU** will appear if there is an approved version in the MACPro system.
- If there is no approved version of the RU in MACPro, a screen will appear with the following message: “There is no approved version of this reviewable unit in MACPro available to display.”
 - Select the **Individuals Receiving State Plan Home and Community-Based Services** link to return to the **Individuals Receiving State Plan Home and Community-Based Services RU**.
- If **C.2.g. Other methodology** is selected, enter the name and description of the other methodology used in the text boxes provided.
- Indicate **Yes** or **No**, if less restrictive methodologies are used in calculating countable income.
 - If **Yes** is selected, select the **Add/Modify Less Restrictive Methodologies** button.
 - When you have completed these screens, the less restrictive methodologies selected will be inserted into the **Individuals Receiving State Plan Home and Community-Based Services RU**. (See the implementation guides, **Less Restrictive Income Methodologies – Selection** and **Less Restrictive Income Methodologies** for how to complete these screens.)

For territories

3. Which cover all individuals described in Section A; but which do not use the same financial methodology for everyone.

- At **C.2.**, select one of seven options (**C.2.a.** through **C.2.g.**) which describes the financial methodology that is used for most of the populations covered. After you complete this, you will be able to identify the populations that use different methodologies and describe them.
 - If option **C.2.e. MAGI-like methodologies** or **C.2.f. AFDC methodologies** is selected, there is a statement that a separate RU, **Non-MAGI Methodologies**, describes the Non-MAGI methodologies used by the state.

If you wish to view the approved methodologies, select the **View approved version of Non-MAGI Methodologies** link.

- The **Non-MAGI Methodologies** RU will appear if there is an approved version in the MACPro system.
 - If there is no approved version of the RU in MACPro, a screen will appear with the following message: “There is no approved version of this reviewable unit in MACPro available to display.”
 - Select the ***Individuals Receiving State Plan Home and Community-Based Services*** link to return to the **Individuals Receiving State Plan Home and Community-Based Services** RU.
 - If **C.2.e. *Other methodology*** is selected, enter the name and description of the other methodology used in the text boxes provided.
- Indicate **Yes** or **No**, if less restrictive methodologies are used in calculating countable income.
 - If **Yes** is selected, select the ***Add/Modify Less Restrictive Methodologies*** button.
 - When you have completed these screens, the less restrictive methodologies selected will be inserted into the **Individuals Receiving State Plan Home and Community-Based Services** RU. (See the implementation guides, **Less Restrictive Income Methodologies – Selection** and **Less Restrictive Income Methodologies** for how to complete these screens.)
- At **C.3.**, select the populations for which other financial methodologies are used. The selected populations will appear in a table below the population list. To select the financial methodology used for each population,
 - Click on the name of the population and it will appear below the table.
 - Select the financial methodology used for that population, following the steps used for the methodology used for most of the populations covered, above.
 - When you have made your selections for the first population, click on the second and subsequent populations in the table to enter their specific financial methodologies. The methodologies selected will save automatically.
 - To view any population’s methodology, including the details of less restrictive methodologies that were entered, click on the name of the population and the details will appear below the table.

For territories

4. *Which do not cover all individuals as selected at B.2.; and which do not use the same financial methodology for everyone.*
- At **C.2.**, select the financial methodology used for each population in the table (the populations selected in section **B**). To select the financial methodology used for each population,
 - Click on the name of the population and it will appear below the table.
 - Select the financial methodology used for that population by following the steps below:
 - Select one of seven options (**C.2.a.** through **C.2.g.**) to indicate the financial methodology used.
 - If option **C.2.e. *MAGI-like methodologies*** or **C.2.f. *AFDC methodologies*** is selected, there is a statement that a separate

RU, **Non-MAGI Methodologies**, describes the Non-MAGI methodologies used by the state.

If you wish to view the approved methodologies, select the ***View approved version of Non-MAGI Methodologies*** link.

- The **Non-MAGI Methodologies** RU will appear if there is an approved version in the MACPro system.
- If there is no approved version of the RU in MACPro, a screen will appear with the following message: “There is no approved version of this reviewable unit in MACPro available to display.”
- Select the ***Individuals Receiving State Plan Home and Community-Based Services*** link to return to the **Individuals Receiving State Plan Home and Community-Based Services** RU.
 - If **C.2.e. Other methodology** is selected, enter the name and description of the other methodology used in the text boxes provided.
- Indicate **Yes** or **No**, if less restrictive methodologies are used in calculating countable income for each of the populations.
 - If **Yes** is selected, select the ***Add/Modify Less Restrictive Methodologies*** button.
 - When you have completed these screens, the less restrictive methodologies selected will be inserted into the **Individuals Receiving State Plan Home and Community-Based Services** RU. (See the implementation guides, **Less Restrictive Income Methodologies – Selection** and **Less Restrictive Income Methodologies** for how to complete these screens.)
 - When you have made your selections for the first population, click on the second and subsequent populations in the table to enter their specific financial methodologies. The methodologies selected will be saved and displayed in the table.
 - To view any population’s methodology, including the details of less restrictive methodologies that were entered, click on the name of the population and the details will appear below the table.

D. Income Standard Used

At **D.1.**, select **Yes** or **No** to indicate if the state uses the same income standard for all individuals covered.

- If **Yes**, at **D.2.**, select one of two options (**D.2.a.** or **D.2.b.**) to describe the income standard used.
 - If **D.2.b. A lower percent of the FPL** is selected, enter the percentage in the text box provided.
Screen Validation: The percentage entered must be lower than 150%.

- If *No*, and the state covers all individuals described in section **A.**, take the following steps:
 - At **D.2.**, enter the lowest percentage of FPL which is used for individuals covered in the group in the box provided.
Screen Validation: The percentage entered must be lower than 150%.
 - At **D.3.**, select the populations for which higher income standards are used. The selected populations will appear in a table below the population list. To enter the income standard used for each population,
 - Click on the name of the population and it will appear below the table.
 - Enter the income standard used for that population.
Screen validation: If a percentage other than 150% is entered, it must be lower than 150% and higher than the percentage entered at D.2.
 - When you have entered the standard for the first population, click on the second and subsequent populations in the table to enter their income standards. The income standards entered will save automatically.
 - To change any population’s income standard, click on the name of the population and edit the details below the table.
- If *No*, and the state does not cover all individuals described in section **A.** (state covers only selected populations in section **B**), at **D.2.**, enter the income standard used for each population in the table (the populations selected in section **B**).
 - Click on the name of the population and it will appear below the table.
 - Select one of the two options (**D.2.a.** or **D.2.b.**) to describe the income standard used for that population.
 - If **a. A lower percent of the FPL** is selected, enter the percentage in the text box provided.
Screen Validation: The percentage entered must be lower than 150%.
 - When you have entered the standard for the first population, click on the second and subsequent populations in the table to enter their income standards. The income standards entered will save automatically and will be displayed in the table.
 - To change any population’s income standard, click on the name of the population and edit the details below the table.

E. Resource Standard Used

There is a statement that there is no resource standard used for this group.

F. Additional Information (Optional)

Except in limited circumstances, this field remains blank. Please consult with CMS before adding any additional information concerning this RU.

REVIEW CRITERIA

If Other individuals who qualify for home and community-based services under 1915(i) is selected, the name and description must be sufficiently clear, detailed and complete to permit

the reviewer to determine that the state's description meets applicable federal statutory, regulatory and policy requirements.

If Other methodology is selected, the name and description must be sufficiently clear, detailed and complete to permit the reviewer to determine that the state's description meets applicable federal statutory, regulatory and policy requirements.

The less restrictive methodologies entered must be sufficiently clear, detailed and complete to permit the reviewer to determine that the state's description meets applicable federal statutory, regulatory and policy requirements.